$H$-COMMUTATIVE SEMIGROUPS IN WHICH EACH HOMOMORPHISM IS UNIQUELY DETERMINED BY ITS KERNEL

Edward Joseph Tully, Jr.
COMMUTATIVE SEMIGROUPS IN WHICH EACH HOMOMORPHISM IS UNIQUELY DETERMINED BY ITS KERNEL

EDWARD J. TULLY, JR.

An equivalence relation $\sigma$ on a semigroup $S$ is called a congruence if whenever $a\sigma b$ and $c\sigma d$ it follows that $ac\sigma bd$. There is a natural correspondence between congruences on $S$ and homomorphic images of $S$. In this paper semigroups satisfying the following two conditions are considered.

1. There exists $g$ in $S$ such that, if $\sigma$ and $\rho$ are congruences on $S$ and $\{x \in S : x\sigma g\} = \{x \in S : x\rho g\}$, then $\sigma$ and $\rho$ coincide.

2. For all $a, b \in S$, either $ab = ba$ or, for some $x$ and $y$ in $S$, $abx = ba$ and $yab = ba$.

In §1, four examples of such semigroups are given. For example, in Type 1 (which is the most complicated of the four) the method of construction may be roughly described as follows. Start with an arbitrary group $G$, having $g$ as its identity element. Adjoin to $G$ any number of extra pieces. Each of these must be an interval which has been cut out from some subgroup of the additive real number. Each interval must have 0 as one endpoint and (with possibly one exception) must actually contain 0. The resulting set is turned into a semigroup by the convention that the extra elements act, wherever the operation is not already defined, in the same manner as the group identity $g$. Finally, one can, optionally, adjoin a zero element.

In §3 the following result is obtained.

MAIN THEOREM. Every semigroup satisfying (1) and (2) is isomorphic to a semigroup of one of the four types constructed in §1.

The effect of (1) is to assert that each congruence is uniquely determined by its kernel relative to $g$ (that is, by the equivalence class to which $g$ belongs). Thus if $S$ is a group with identity element $g$, an elementary theorem of group theory states that (1) is satisfied. Indeed, it is easy to see that $g$ could be taken to be any element of the group, and (1) would still be true. On the other hand, (1) does not hold for arbitrary semigroups. For example, we shall see (as a consequence of Lemma 1) that (1) does not hold for the positive integers, if we take either multiplication or addition as the semigroup operation.
(1) can be better understood if we recall that Ljapin [4, 5] gave a fairly simple condition for a subset of a semigroup to be a possible congruence class, and called such subsets normal. Thus (1) states that there is a natural one-to-one correspondence between homomorphisms of $S$ and those normal subsets which contain $g$. Moreover, given a normal subset $N$ of any semigroup, one can construct two particular congruences (defined by Ljapin [4, 5] and Teissier [9]) which are respectively the smallest and the largest congruence having $N$ as a class. Thus (1) states that these two congruences are identical for every normal set $N$ containing $g$, so that, in the lattice of congruences on $S$, the “closed interval” consisting of all those congruences having $N$ as a class reduces to a single “point.”

Our main purpose is to determine all commutative semigroups satisfying (1). However, it seemed more natural to replace commutativity by (2), which is a slightly weaker condition. Recall that Green [3] defined an equivalence relation $\mathcal{H}$ on an arbitrary semigroup by: $a \mathcal{H} b$ if and only if either $a = b$ or, for some $x, y, u, v$ in $S$, $ax = ya = b$ and $bu = vb = a$. Since (2) is simply commutativity modulo $\mathcal{H}$, we might call a semigroup satisfying (2) $\mathcal{H}$-commutative. It is easy to see that (2) implies that $\mathcal{H}$ is itself a congruence. In fact, (2) is equivalent to the assertion that $\mathcal{H}$ is a congruence and the homomorphic image determined by $\mathcal{H}$ is commutative. Some examples of $\mathcal{H}$-commutative semigroups are furnished by those semigroups (studied by Clifford [1]) which are unions of groups and in which the idempotents commute. In fact, if $S$ is a union of groups, then (2) holds if and only if the idempotents commute.

In § 2 we develop some preliminary results. Some of the methods and results of this section (for instance, the partition in Lemma 6 of a portion of $S$ into certain equivalence classes each of which is totally ordered by the divisibility relation) are very similar to those used by Ljapin [6] in his study of a more restricted class of semigroups, viz., commutative semigroups in which the only normal sets are ideals and single elements. Lemma 8 is a slightly altered version of an imbedding theorem due to Clifford [2].

After proving the main theorem, we derive some corollaries in § 4. For example, in the finite case the construction becomes particularly simple (Corollary 1). Corollary 2 specializes to the class of semigroups studied by Ljapin [6]. Our results are similar to his but more explicit because of our use of subgroups of real numbers. Finally, if (2) is strengthened by requiring $g$ to be an identity element, $S$ must be either a group or a group with a zero element adjoined (Corollary 3).
1. Examples.

Type 1. Let $G$ be an arbitrary group, and $g$ its identity element. Let $G_0, G_1, \ldots$ be any (finite or finite) number of multiplicative subgroup of the positive real numbers. (Since the multiplicative positive reals are isomorphic to the additive reals, we could just as well take $G_0, G_1, \ldots$ to be additive groups, and make a few small changes in what follows. We choose multiplication mainly to agree with our use elsewhere in this paper of multiplicative notation.) Let $I_0$ be optionally either the interval $(1/2, 1)$ or the interval $[1/2, 1)$. For each $i \neq 0$, let $I_i$ be optionally either $(1/2, 1]$ or $[1/2, 1)$. For each $i$, let $T_i = G_i \cap I_i$. Let $S = \bigcup_i T_i \cup G$. (In the formation of $S$, we regard all the $T_i$ and $G$ as mutually disjoint. Formally, this involves replacing each $T_i$ by another set in one-to-one correspondence with $T_i$.) We define multiplication $\circ$ in $S$ by: $a \circ b = ab$, the group product, if $a, b \in G$; $a \circ b = b \circ a = a$, if $a \in G$, $b \in T_i$; $a \circ b = ab$, the numerical product, if $a, b \in T_i$ and $ab \in T_i$; $a \circ b = g$, the group identity, in all other cases. Finally, we adjoin to $S$ an optional zero element.

Type 2. Let $G$ be an arbitrary group, and $g$ any element of $G$. Adjoin to $G$ an optional zero element and an optional element $a$. Define $a^2 = g$, $ah = gh$, $ha = hg$, for all $h \in G$.

Type 3. Let $G$ be a multiplicative subgroup, containing $1/2$, of the positive real numbers. Let $S$ be the intersection of $G$ with either the interval $[1/2, 1)$ or the interval $[1/2, 1]$, with an extra element $0$ adjoined. Let $g$ be the number $1/2$. Define: $a \circ b = ab$, the numerical product, if $a \neq 0 \neq b$ and $ab \geq 1/2$; $a \circ b = 0$, in all other cases.

Type 4. Let $G$ be as in Type 3. Let $S$ be $G \cap [1/2, 1]$, with two extra elements, $0$ and $h$, adjoined. Define the operation as in Type 3, with the additional provision that $h \circ 1 = 1 \circ h = 1/2$, and $h \circ a = a \circ h = 0$, if $a \neq 1$.

It is fairly straightforward to check that semigroups of these four types satisfy (1) and (2). For (2), one needs only note that Types 3 and 4 are actually commutative, while in Types 1 and 2 the only non-commutativity which exists is that arising from the group $G$. Thus in Types 1 and 2, $ab$ and $ba$ are always either equal or both in $G$, so that (2) holds.

For (1), the best procedure is to determine all the congruences. For example, suppose $\sigma$ is any congruence on a semigroup of Type 1 (with the zero element adjoined). The $\sigma$-class containing 0 must be an ideal, $I$. If $I \neq \{0\}$, then $I$ contains $G$, and every other $\sigma$-class must be a single element. On the other hand, suppose $I = \{0\}$. Then $\sigma$ restricted to $G$ gives a congruence on $G$, which can be ex-
pressed as the partition of $G$ into the cosets of some normal subgroup $H$. Finally, each element of the $T_i$ must be either alone in its $\sigma$-class, or identified with $H$. The situation with the other types is similar but easier.

2. Preliminary results. We now assume that $S$ is a semigroup satisfying (1) and (2). By (2), left and right divisibility coincide, that is, if $ax = b$ then $ya = b$ for some $y$. Thus we shall say simply that $a$ divides $b$, and write $a|b$, if either $a = b$ or $ax = b$ for some $x \in S$. The divisibility relation thus defined is reflexive and transitive, but it may happen that each of two distinct elements divides the other.

**Lemma 1.** At most one element of $S$ (which is necessarily a zero element) fails to divide $g$.

**Proof.** Let $A = \{x \in S: x \not| g\}$. If $A \neq \emptyset$, $A$ is an ideal. Hence we can consider the Rees congruence modulo $A$ (introduced by Rees [8]), that is, the congruence having $A$ as one class, every other class being a single element. This congruence and the identity congruence (in which each class consists of a single element) both have $\{g\}$ as their kernel. Hence they coincide, so that $A$ consists of a single element, which must be a zero element.

Now let $I = \{x \in S: g \not| x\}$. Let $G$ be the $\mathcal{H}$-class containing $g$, that is $G = \{x \in S: g \mid x\text{ and } x \mid g\}$. Then $I$ is $G$ together with a possible zero element.

**Lemma 2.** If $x, y \in G$ and $x \mathcal{H} y$, then $x = y$.

**Proof.** Let $\sigma$ be the congruence having $G$ as one class, every other class being a single element. Then $\sigma$ and $\mathcal{H}$ are congruences with the same kernel, $G$. Hence $\sigma$ and $\mathcal{H}$ coincide.

**Lemma 3.** Suppose $J$ is an ideal containing $g$, and $a$ and $b$ are distinct elements of $S$ not in $J$. Then for some $x \in S$ either $ax \in J$, $bx \in J$ or $ax \not\in J$, $bx \not\in J$.

**Proof.** Define (following Teissier [9] and Pierce [7]) a congruence $\sigma$ by: $x \sigma y$ if and only if every multiple of the pair $x, y$ (including the pair $x, y$ itself) consists of elements $x(a), y(a)$ either both belonging to $J$, or neither belonging to $J$. Then $\sigma$ has the same kernel as the Rees congruence modulo $J$. Hence $\sigma$ coincides with the Rees congruence.
**Lemma 4.** Let \( x \in S \). Then either \( x^n \in I \), for some positive integer \( n \), or else \( x^2 = x \) and \( x \) is maximal relative to \( | \) (i.e., has no divisors except itself).

**Proof.** Suppose that, for all \( n \), \( x^n \neq I \). Let \( J = \{ y : \text{for all } n, y \not| x^n \} \). If \( x^2 \) had a proper divisor, \( a \), we could apply Lemma 3 to the pair \( a, x^2 \), to obtain \( z \) such that exactly one of the pair \( az, x^2z \) is in \( J \). But this is impossible. For \( a \not| x^2 \) implies \( az \not| x^2z \), so that if \( az \in J \) then so is \( x^2z \). On the other hand, if \( az \in J \), then \( az \not| x^n \) for some \( n \), and hence \( z \not| x^n \), so that \( x^2z \not| x^{n+2} \), and hence \( x^2z \not\in J \). Thus we have shown that \( x^2 \) is maximal relative to \( | \), and in particular that \( x^2 = x \).

Now let \( T \) be the complement of \( I \) in \( S \).

**Lemma 5.** Suppose \( a, b \in T \), and \( a \) and \( b \) are incomparable relative to \( | \) (that is \( a \not| b \) and \( b \not| a \)). Then there exists an idempotent \( e \) which is maximal relative to \( | \) and which divides exactly one of \( a, b \).

**Proof.** Let \( J = \{ x \in S : x \not| a \text{ and } x \not| b \} \). Then \( J \supseteq I \). Apply Lemma 3, to obtain \( x \in S \) with exactly one of \( ax, bx \) (say \( bx \)) in \( J \). Then \( ax \in J \). Hence \( ax = a \). Hence, for all \( n \), \( ax^n = a \). Hence, for all \( n \), \( x^n \not\in I \). By Lemma 4, \( x \) is a maximal idempotent. \( x \not| a \) since \( ax = a \). Finally, \( x \not| b \), for if \( x \not| b \) then \( b = xy \) for some \( y \in S \), and hence \( xb = x(xy) = x^2y = xy = b \in J \), so that \( xb \not\in J \) and by \( \mathcal{H} \)-commutativity \( bx \in J \), contradicting our earlier assumption.

Now we define a relation \( \pi \) on \( T \) by: \( a \pi b \) if and only if either \( a \not| b \) or \( b \not| a \).

**Lemma 6.** \( \pi \) is an equivalence relation on \( T \).

**Proof.** Clearly, \( \pi \) is reflexive and symmetric. We must show that \( \pi \) is transitive. Thus suppose that \( a \pi b \) and \( b \pi c \). There are four cases:

**Case 1:** \( a \mid b \) and \( b \mid c \). Here, clearly \( a \mid c \), so that \( a \pi c \).

**Case 2:** \( b \mid a \) and \( c \mid b \). Here, clearly \( c \mid a \), so that \( a \pi c \).

**Case 3:** \( a \mid b \) and \( c \mid b \). Suppose \( a \pi c \) does not hold. By Lemma 5, obtain a maximal idempotent \( e \) with, say, \( e \mid c \), \( e \not| a \). Since \( a \mid b \), \( ae \mid bc \). But since \( e \) is an idempotent dividing \( b \), we have \( be = b \). Hence \( aeb \), so that \( ae \in T \). Now let \( J = \{ x : x \not| ae \} \). Then \( J \supseteq I \). Moreover \( ae \neq a \), for otherwise \( e \mid a \). Apply Lemma 3 to obtain \( x \) with exactly one of \( ax, aex \) in \( J \). If \( ax \in J \), then, since \( ax \mid aex \), we have \( aex \in J \). Hence we must have \( aex \in J \), \( ax \not\in J \). Hence \( ax \mid ae \), and so \( aex \mid ae^2 = ae \). But \( aex = aex \) by \( \mathcal{H} \)-commutativity and Lemma 2. Hence \( aex \not\in J \), a contradiction.

**Case 4:** \( b \mid a \) and \( b \mid c \). Suppose \( a \pi c \) does not hold. By Lemma 5
let $e$ be a maximal idempotent with, say, $e | c$, $e \nmid c$. Then, since $b | c$ and $e | c$, we have $b \neq e$ by Case 3. But since $e$ is maximal this implies $e | b$. Hence $e | a$.

Let the $\pi$-classes be called $S_0, S_1, \cdots$. Clearly each $S_i \cup I$ is an ideal. Let $R_i$ be the homomorphic image of the semigroup $S_i \cup I$ determined by the Rees congruence modulo $I$. Let $T_i'$ be $R_i$ with the maximal idempotent of $R_i$ (if there is one) removed.

**Lemma 7.** Each $T_i'$ is a commutative semigroup with zero element 0, satisfying:

3. $T_i'$ is naturally totally ordered (in the sense of Clifford [2]), that is for all distinct $a, b \in T_i'$ either $a | b$ or $b | a$ but not both.

4. Every $a \in T_i'$ is nilpotent, that is $a^n = 0$ for some positive integer $n$.

**Proof.** $T_i'$ is commutative because it is essentially a part of an $\mathcal{H}$-commutative semigroup, considered modulo the relation $\mathcal{H}$. The zero element is simply $I$ collapsed to a single point. To prove (3), let distinct $a$ and $b$ be given. If one is 0, the other divides it, but not conversely. If neither is 0, then $a, b \in S_i$. But $S_i$ is a $\pi$-class. Hence one divides the other. By Lemma 2, it is impossible for each to divide the other. To prove (4), let $a \neq 0$ be given. Since $a \in S_i \subseteq S$, and $a$ is not a maximal idempotent, we conclude by Lemma 4, that, in $S$, $a^n \in I$ for some $n$. But this means that, in $T_i'$, $a^n = 0$.

**Lemma 8.** Suppose $T$ is any commutative semigroup with zero satisfying (3) and (4). Then $T$ is isomorphic to the intersection with the interval $(0, 1)$ of some subgroup of the positive real numbers, with either the interval $(0, 1/2)$ or the interval $(0, 1/2]$ collapsed to a point.

**Proof.** Clifford [2, especially Theorem 4, page 642] showed essentially that every such semigroup can be imbedded in the additive positive reals with either the interval $(1, \infty)$ or the interval $[1, \infty)$ collapsed to a point. Thus, in our multiplicative notation, we have $T$ imbedded in $(0, 1)$ with either $(0, 1/2)$ or $(0, 1/2]$ collapsed to a point. Let $J$ be that portion of $(0, 1)$ which is not collapsed, so that $J$ is either $[1/2, 1)$ or $(1/2, 1]$. By virtue of the imbedding, we can regard the set $U$ of nonzero elements of $T$ as a subset of $J$. Let $G$ be the multiplicative group of real numbers generated by $U$, that is let $G = \{u_1 \cdots u_m v_1^{-1} \cdots v_n^{-1} : u_i, v_i \in U\}$. We shall show that $G \cap J = U$. Clearly $G \cap J \supseteq U$. Suppose $x \in G \cap J$. Then $x = u_1 \cdots u_m v_1^{-1} \cdots v_n^{-1}$, for some $u_i, v_i \in U$. If $m + n = 1$, we are finished, because then
either $x = u_i \in U$ or $x = v_i^{-1}$, and the second case cannot arise since $x \in J$. Thus we can proceed by induction on $m + n$. If $u_i = v_i$, we can write $x = u_1 \cdots u_nv_i^{-1} \cdots v_i^{-1}$, so that by induction $x \in U$. On the other hand, suppose $u_i \neq v_i$. By (3) we have, for some $y \in T$, either $v_iy = u_i$ or $u_iy = v_i$. Since $y$ cannot be the zero element of $T$, we have $y \in U$, where either $y = u_i v_i^{-1}$ or $y = v_i u_i^{-1}$. Thus we can write either $x = y u_1 \cdots u_n v_i^{-1} \cdots v_i^{-1}$ or $x = u_1 \cdots u_n y^{-1} v_i^{-1} \cdots v_i^{-1}$. Thus by induction $x \in U$. It is now clear, that, if we start with $G$ and perform the construction stated in the lemma, we obtain $U$ together with the collapsed interval, that is, we essentially obtain $T$.

3. Proof of the main theorem. We divide the proof into four cases.

Case I: $g^2 \in G$, and there exists $a \in T$ such that $a^2 \in T$.
Case II: $g^2 \in G$, and, for all $a \in T$, $a^2 \in T$.
Case III: $g^2 \in G$, and there is none or one $\pi$-class.
Case IV: $g^2 \in G$, and there is more than one $\pi$-class.

Note first that, in Cases I and II, $G$ is a group. This follows from the known fact (Green [3]) that an $H$-class containing two elements and their product must be a group.

LEMMA 9. In Cases I and II, if $e$ is the identity of the group $G$, and $a$ is any element of $T$, we have $ae = ea = g$.

Proof. If $0 \neq s \in S$, then $es$ and $se$ are in $G$. Thus $es = ese = se$. Thus, $e$ commutes with every element of $S$. Define congruences $\sigma$ and $\rho$ on $S$ by: $x \sigma y$ if and only if either $x = y$, or $xe = ye$ and $a$ properly divides $x$ and $y$; $x \rho y$ if and only if either $x = y$, or $xe = ye$, $a \mid x$, $a \mid y$. The kernel of $\sigma$ is $\{x \in S: xe = g, a$ properly divides $x\}$, and the kernel of $\rho$ is $\{x \in S: xe = g, a \mid x\}$. But $\sigma$ and $\rho$ are distinct (since $a$ and $ae$ are in the same $\rho$-class but not in the same $\sigma$-class). Hence their kernels are distinct. Hence $ae = g$.

Now in Case I, we show that $S$ must be isomorphic to a semigroup of Type 1. By Lemmas 7 and 8, we have a one-to-one correspondence between each $T_i'$ and the intersection with either $(1/2, 1)$ or $[1/2, 1)$ of a suitable subgroup $G_i$ of the positive reals. By Lemma 5, each of the $T_i'$, with one possible exception, consists of the corresponding $T_i'$ with a maximal idempotent $e_i$ adjoined. We can handle this by numbering in such a way that $G_0$ gives rise to the exception. (If there is no exception, let $G_0 = \{1\}$.) Then for $i \neq 0$, intersecting $G_i$ with $(1/2, 1)$ or $[1/2, 1)$ gives $T_i$, since the number 1 can correspond to $e_i$. Finally we saw that $G$ is a group. Thus we have a one-to-one correspondence between $S$ and a Type 1 semigroup.
Next, we show that $g$ is the identity element of $G$. Since we are in Case I, let $a$ be such that $a^2 \in T$. Then, using Lemma 9, we have $
abla = \alpha X = \beta \gamma = \gamma ^ 2$. Thus $g$ is an idempotent in $G$, and hence is the group identity.

It remains to check that the one-to-one correspondence preserves the semigroup operation. If $x, y, xy \in T$, we must have $x$ and $y$ in the same $S_i$ (for if $x \in S_i$, $y \in S_j$ with $i \neq j$, then $xy$ would be in the ideal $S_i \cup I$ and also in the ideal $S_j \cup I$ and hence in $I$). Therefore $x$ and $y$ can be regarded as elements of some $T_i$ so that the operation is preserved by virtue of the isomorphism stated in Lemma 8. If $x, y \in T$ and $xy \in I$, we have $xy = xye = xey = xye = e^2 = e$. If $x \in T$ and $y \in I$, we have $xy \in I$. Hence $xy = xye = xey = ey = y$. Similarly, if $x \in I$ and $y \in T$, we have $xy = x$. Finally, if $x, y \in I$, the operation is preserved, since we used $G$ as the arbitrary group in the construction, and we saw by Lemma 1 that $I$ is either $G$ or $G$ with a zero element adjointed.

**Lemma 10.** In Case II, $T$ contains at most one element.

**Proof.** Suppose $a, b \in T$ and $a \neq b$. If $a$ and $b$ are incomparable, then by Lemma 5 $T$ contains an idempotent, contradicting Case II. Thus we can assume, say, $a | b$. Then $b = ac$ for some $c \in T$. If $a$ and $c$ were incomparable, we could apply Lemma 5 again, contradicting Case II. Let $d$ be either $a$ or $c$, whichever divides the other. Then $d \mid a$ and $d \mid c$. Hence $d^2 \mid ac$. Hence $d^2 \in T$, contradicting Case II.

Thus we can assume that either $T = \emptyset$ or $T = \{h\}$. In both cases, the isomorphism with a Type II semigroup is clear. For $h^2 \in I$, and hence $h^2 = (he)^2 = hehe = g^2$, by Lemma 9. On the other hand, $hx \in I$ for all $x \in I$. Hence $hx = hxe = hexe = gx$. Similarly, $hx = xg$ for $x \in I$.

**Lemma 11.** In Case III and IV, $S$ contains a zero element 0, $g^2 = 0$, every element of $S$ (except maximal idempotents) is nilpotent, and $G = \{g\}$.

**Proof.** The first two statements are clear, since $g^2 \in G$. Suppose $x$ is not a maximal idempotent. By Lemma 4, $x^n \in I$ for some $n$, so that $g \mid x^n$. Hence $0 = g^2 \mid x^n$, so that $x^n = 0$. Finally, suppose $a \in G$. Then we have $ax = g$ and $gy = a$, for some $x, y \in S$. Hence $axy = a$, and, by induction on $n$, $a(xy)^n = a$ for all $n$. Thus $xy$ is not nilpotent. Hence $xy$ is a maximal idempotent, and so is $x$ which divides it. Hence $ax = a$, so that $g = a$.

In Case III, there is at most one $\pi$-class, so that $S$ itself (with
the maximal idempotent, if one is present, removed) satisfies (3) and (4). Thus, by Lemma 8, there is an isomorphism between $S$ and a Type 3 semigroup. (By Lemma 1, $g = 1/2$.)

**Lemma 12.** In Case IV there are exactly two $\pi$-classes, one of which consists of a single element.

**Proof.** Suppose there were three $\pi$-classes, $S_1$, $S_2$ and $S_3$. By Lemma 5, at least two of these (say $S_1$ and $S_2$) contain maximal idempotents ($e_1$ and $e_2$). Then $e_2 | g$, and hence $e_1 e_2 e_2 g = g$. Hence $e_1 e_2 = g$. Similarly $e_2 e_1 = g$. Hence $g^2 = e_1 e_2 e_2 e_1 e_1 e_2 = e_1 e_2 = g$, contradicting Case IV. Thus, there are exactly two $\pi$-classes, $S_1$ and $S_2$. Now suppose each of these contained more than one element, so that $a, b \in S_1$, $c, d \in S_2$, $a \neq b$, $c \neq d$. By Lemma 5, we can assume that one of $a, b, c, d$ (say $a$) is a maximal idempotent. Since $c \mid g$ and $d \mid g$, we have $ca \mid ga = g$ and $da \mid ga = g$. Hence $ca = da = g$. Since $c \pi d$, one must divide the other (say $c \mid d$). Write $d = xc$ for some $x \in S$. Then $g = da = xca = xg$, and, by induction on $n$, $x^n \in I$ for all $n$. Hence by Lemma 4 $x$ is a maximal idempotent. But $x \in S_2$ implies $x \neq a$. Thus we have two maximal idempotents. As in the first part of the proof, their product must be $g$, and hence $g^2 = g$, contradicting Case IV.

For Case IV, we now set up an isomorphism with a Type IV semigroup. Let the one-element $\pi$-class be the $h$ of type IV. The rest of $S$, by Lemma 8, is isomorphic to $G \cap [1/2, 1]$ with 0 adjoined, for some subgroup $G$ of the positive reals. (By Lemma 1, $g = 1/2$.) It remains only to check that $h$ multiplies in the right may. Since $h \mid g$, $lhlg = g$, and hence $lh = g$. Similarly, $hl = g$. Now suppose $x \neq 1$. Since $h \mid g$, $xh \mid xg$. But $xg = 0$, for otherwise $xg = g$ and hence $x^n g = g$ for all $n$; since $x$ is not a maximal idempotent, this would contradict Lemma 11. Note first that $gx = 0$ (since otherwise $gx = g$, and hence $gx^n = g$ for all $n$, so that $x$ would not be nilpotent). Moreover $hx \neq h$, since $h$ is a maximal element not an idempotent. Hence $1 \mid hx$, so that $1(hx) = hx$. On the other hand $(1h)x = gx = 0$, so that $hx = 0$. Similarly $xh = 0$.

4. Corollaries. We say that a semigroup $S$ satisfies maximal condition on principal ideals if every family of principal ideals contains a maximal member, or equivalently if there is no infinite sequence of principal ideals each of which properly contains its predecessor. It is easy to see that this is equivalent to the assertion that there is no infinite sequence of elements of $S$ each of which properly divides its predecessor.
COROLLARY 1. Suppose \( S \) is a semigroup with maximal condition on principal ideals which satisfies (1) and (2). Then \( S \) is isomorphic to a semigroup of one of the following types.

I. Let \( G \) be an arbitrary group, and \( g \) its identity element. Let \( n_0, n_1, \cdots \) be a family of integers (with repetitions allowed) indexed by a (possibly uncountable or empty) set \( I = \{0, \cdots\} \). Let \( S = G \cup \{(i, n): i \in I, \ n \ \text{an \ integer}, \ 0 \leq n < n_i, \ (i, n) \neq (0, 0)\} \), with an optional zero element adjoined. Define multiplication by: \((i, n)g = g \) if \( g \in G \), \((i, n)(j, m) = (i, n+m) \) if \( i = j \) and \( n + m < n_i \), \((i, n)(j, m) = g \) otherwise.

II. Same as Type II in § 1.

III. Let \( g \) be a nonnegative integer. Let \( S \) be either \( \{0, 1, \cdots, g+1\} \) or \( \{1, \cdots, g+1\} \). Define \( m \cdot n = \min(m + n, g + 1) \).

IV. Let \( g \) be a nonnegative integer. Let \( S = \{0, 1, \cdots, g+1\} \cup \{h\} \). Define \( 0h = h0 = g \), \( xh = hx = g+1 \) if \( x \neq 0 \), \( mn = \min(m + n, g+1) \) if \( m \neq h \neq n \).

Proof. Each of the four types in § 1 reduces, in the presence of maximal condition, to the corresponding type in this corollary. For instance, in Type 1, each of the groups \( G_i \), if it contains any elements of the interval \( (1/2, 1) \), must contain a maximal such element, \( r \). It is easy to see that \( G \cap (1/2, 1) \) consists of powers \( r, r^2, \cdots, r^n \) of \( r \). For each \( i \), let us take \( n_i \) to be the first power of \( r \) not in the interval \( T_i \). If we identify \( r^m \) for all \( m < n_i \) with the pair \( (i, m) \) we have essentially the operation described in the corollary. Type II is the same as in § 1. Types III and IV are handled like Type I, but are easier.

Another way to prove Corollary 1 would be to repeat the proof of the main theorem, using in place of Lemma 8 a theorem of Clifford [2, Lemma 2.5, page 637] which states essentially that every semigroup satisfying (3) and (4) and containing a maximal element relative to \( | \) must be a finite cyclic semigroup. For this corollary (as well as the following ones) it is easy to check that the converse holds.

COROLLARY 2. Suppose \( S \) is a semigroup satisfying (2) in which each congruence is the Rees congruence modulo some ideal. Then \( S \) is isomorphic to a semigroup constructed as in Type 1, \( G \) being the one-element group, and the optional extra being omitted.

Proof. The assumption that every congruence is a Rees congruence implies that \( S \) contains a zero element (since the identity congruence must be a Rees congruence). Moreover, each congruence is then uniquely determined by the congruence class containing 0.
Thus (1) is satisfied, if we let $g = 0$. Therefore, we need only specialize the main theorem by determining those cases in which $g$ is a zero element. For this to happen in Type 1, we must have $G = \{g\}$, and the optional extra element must be missing. Thus, Type 1 reduces to the situation described in the corollary. In Type 2 also, we must have $G = \{g\}$, so that $S$ must have either one or two elements, without the extra 0; these cases are already included as degenerate forms of Type 1. Finally, in Types 3 and 4, $g$ can never be a zero element.

**Corollary 3.** Suppose $S$ is a semigroup satisfying (2) and containing an identity element $e$. Suppose that each congruence on $S$ is uniquely determined by its kernel relative to $e$. Then $S$ is either a group or a group with a zero element adjoined.

**Proof.** The hypothesis states that (1), with $g$ replaced by $e$, is satisfied. Therefore we need only specialize the main theorem by determining those cases in which $g$ is an identity element. In Type 1, we note that $g$ fails to act as an identity element for the elements of the $T_i$; hence each $T_i$ must be empty, so that the desired conclusion follows. In Type 2, $g$ fails to act as an identity element on the optional element $a$; hence $a$ must be lacking, so that the conclusion follows. In Type 3, $g$ fails to act as an identity element unless $S$ consists of two elements, so that $S$ must be a one-element group with a zero adjoined. In Type 4, $g$ fails to act as an identity on the element $h$.

**References**


Received January 6, 1967 and in revised form December 21, 1972.

University of California, Davis
The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Mathematical papers intended for publication in the Pacific Journal of Mathematics should be in typed form or offset-reproduced, (not dittoed), double spaced with large margins. Underline Greek letters in red, German in green, and script in blue. The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. The editorial "we" must not be used in the synopsis, and items of the bibliography should not be cited there unless absolutely necessary, in which case they must be identified by author and Journal, rather than by item number. Manuscripts, in duplicate if possible, may be sent to any one of the four editors. Please classify according to the scheme of Math. Rev. Index to Vol. 39. All other communications to the editors should be addressed to the managing editor, Richard Arens, University of California, Los Angeles, California, 90024.

50 reprints are provided free for each article; additional copies may be obtained at cost in multiples of 50.

The Pacific Journal of Mathematics is issued monthly as of January 1966. Regular subscription rate: $48.00 a year (6 Vols., 12 issues). Special rate: $24.00 a year to individual members of supporting institutions.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley, California, 94708.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), 270, 3-chome Totsuka-cho, Shinjuku-ku, Tokyo 160, Japan.
Kenneth Paul Baclawski and Kenneth Kapp, *Induced topologies for quasigroups and loops* .................................................. 393
D. G. Bourgin, *Fixed point and min – max theorems* ................................. 403
J. L. Brenner, *Zolotarev’s theorem on the Legendre symbol* .......................... 413
Jospeh Atkins Childress, Jr., *Restricting isotopies of spheres* ......................... 415
John Edward Coury, *Some results on lacunary Walsh series* .......................... 419
James B. Derr and N. P. Mukherjee, *Generalized Sylow tower groups. II* ........ 427
Paul Frazier Duvall, Jr., Peter Fletcher and Robert Allen McCoy, *Isotopy Galois spaces* ........................................................................... 435
Mary Rodriguez Embry, *Strictly cyclic operator algebras on a Banach space* .... 443
Abi (Abiadbollah) Fattahi, *On generalizations of Sylow tower groups* ............ 453
Burton I. Fein and Murray M. Schacher, *Maximal subfields of tensor products* .... 479
Ervin Fried and J. Sichler, *Homomorphisms of commutative rings with unit element* ................................................................................. 485
Kenneth R. Goodearl, *Essential products of nonsingular rings* ......................... 493
George Grätzer, Bjarni Jónsson and H. Lakser, *The amalgamation property in equational classes of modular lattices* .............................................. 507
H. Groemer, *On some mean values associated with a randomly selected simplex in a convex set* ........................................................................ 525
Marcel Herzog, *Central 2-Sylow intersections* ................................................. 535
Joel Saul Hillel, *On the number of type-k translation-invariant groups* ............. 539
Ronald Brian Kirk, *A note on the Mackey topology for (Cb(X)*, Cb(X))* .......... 543
J. W. Lea, *The peripherality of irreducible elements of lattice* ........................... 555
John Stewart Locker, *Self-adjointness for multi-point differential operators* .... 561
Robert Patrick Martineau, *Splitting of group representations* .......................... 571
Robert Massagli, *On a new radical in a topological ring* .................................. 577
Fred Richman, *The constructive theory of countable abelian p-groups* ............ 621
Edward Barry Saff and J. L. Walsh, *On the convergence of rational functions which interpolate in the roots of unity* ........................................ 639
Harold Eugene Schlais, *Non-aposyndesis and non-hereditary decomposability* ................................................................................ 643
Mark Lawrence Teply, *A class of divisible modules* .......................................... 653
Edward Joseph Tully, Jr., *#-commutative semigroups in which each homomorphism is uniquely determined by its kernel* .............................. 669
Garth William Warner, Jr., *Zeta functions on the real general linear group* ........ 681
Keith Yale, *Cocyles with range {±1}* ............................................................... 693
Chi-Lin Yen, *On the rest points of a nonlinear nonexpansive semigroup* ............ 699