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DERIVATION**

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Let R be an integral domain containing the rational numbers, K its quotient field and Ω an algebraic closure of K ; let D be a derivation on R such that R is D -simple. The valuation rings V such that $R \subseteq V \subseteq \Omega$ on which D is regular are determined.

Introduction. Let R' be the complete integral closure of R in K . Seidenberg has shown that D is regular on R' [3]. We want here to continue his work and determine all the valuation rings V such that $R \subseteq V \subseteq \Omega$ on which D is regular.

First we determine in paragraph 2 the valuation rings of K that have property, and we show that they are in 1-1 correspondence with the proper prime ideals of R .

Then, in paragraph 4 we show that if V is a valuation ring such that $R \subseteq V \subseteq \Omega$, then D is regular on V if and only if V is unramified over K and D is regular on $V \cap K$. To do that, we have to show first in paragraph 3 that if B is a valuation ring of Ω such that $B \cap K$ is rank-1 discrete and contains the rational numbers, then its inertia field over K can be obtained as the intersection of a formal power series field with Ω .

1. Preliminaries. Let R be a commutative ring with identity. A derivation D of R is a map from R into R such that $D(a + b) = D(a) + D(b)$ and $D(ab) = aD(b) + bD(a)$ for all $a, b \in R$. An ideal I of R is a D -ideal if $D(I) \subseteq I$; R is D -simple if it has no D -ideal other than (0) and (1) . If R is a D -simple ring of characteristic $p \neq 0$, R is a primary ring [2, Theorem 1.4], hence is equal to its total quotient ring; this case will not be of interest in our considerations.

Thus, let R be a D -simple ring of characteristic 0, which is then a domain containing the rational numbers [2, Corollary 1.5]; let K be its quotient field and Ω an algebraic closure of K . The derivation D can be uniquely extended to a derivation of Ω , which we also call D , and if N is any field between K and Ω , we have $D(N) \subseteq N$ [6, Corollary 2', p. 125]. If S is a ring with quotient field N such that $D(S) \subseteq S$, we shall say that D is regular on S , or that (N, S) is D -regular, or that D can be extended to S .

We note that if D is regular on a ring S and if M is a multiplicative system of S , then D is regular on S_M . We note also that if R is D -simple, and if S is a ring such that $R \subseteq S \subseteq \Omega$, then to say that

D is regular on S is equivalent to saying that S is D -simple, indeed:

PROPOSITION 1.1. *Let R be a D -simple ring with quotient field K ; let Ω be an algebraic closure of K , and S a ring such that $R \subseteq S \subseteq \Omega$. If D is regular on S , then S is D -simple.*

Proof. It will be enough to show that if I is a nonzero ideal of S , then $I \cap R$ is a nonzero ideal of R . Let $0 \neq x \in I$, and let $X^n + k_1 X^{n-1} + \dots + k_n \in K[X]$ be its minimal polynomial over K where we note that $k_n \neq 0$; then, from the equality $x^n + k_1 x^{n-1} + \dots + k_n = 0$, we can get $r_0 x^n + r_1 x^{n-1} + \dots + r_n = 0$ with $r_i \in R \subseteq S$ for $i = 0, 1, \dots, n$, and $r_n \neq 0$, so that we have $0 \neq -r_n = r_0 x^n + r_1 x^{n-1} + \dots + r_{n-1} x \in I \cap R$.

Let L be a field, N an algebraic extension of L , and V a valuation ring of N . We shall denote the inertia degree of V over L by $f(V|L)$, and the ramification index of V over L by $e(V|L)$. If A is a valuation ring of L , following Endler's terminology in [1], we shall say that A is indecomposed in N if there is only one valuation ring of N lying over A , and, when N is a finite extension of L , we shall say that A is defectless in N if $[N:L] = \sum_{i=1}^m e(V_i|L) f(V_i|L)$ where $\{V_1, \dots, V_m\}$ is the set of valuation rings of N lying over A .

An ideal I of a ring S will be said to be proper if it is different from S . We shall use $D^{(0)}(x)$ to denote x , and for $n \geq 1$, $D^{(n)}(x)$ to denote $D(D^{(n-1)}(x))$, i.e., the n th derivative of x .

2. Extensions of the derivation in the quotient field.

LEMMA 2.1. *Let R be a ring, D a derivation on R , P a prime ideal of R containing no D -ideal other than (0) . Define $v: R \setminus \{0\} \rightarrow \{\text{nonnegative integers}\}$ by $v(x) = n$ if $D^{(i)}(x) \in P$ for $i = 0, \dots, n-1$ and $D^{(n)}(x) \notin P$. Then,*

- (i) R is a domain.
- (ii) v is the trivial valuation if $P = (0)$, and is a rank-1 discrete valuation if $P \neq (0)$.
- (iii) The valuation ring R_v of v contains R , and its maximal ideal \mathfrak{M}_v lies over P .

Proof. See [2, Theorem 3.1]. Note that for $x \in R \setminus \{0\}$ we indeed have $v(x) < \infty$ for otherwise the ideal generated by $\bigcup_{i=0}^{\infty} D^{(i)}(x)$ would be a nonzero D -ideal contained in P , which cannot be. Note also that the property for P to contain no D -ideal other than (0) is equivalent to R_P being D -simple.

LEMMA 2.2. *Let $R, D, P, v, R_v, \mathfrak{M}_v$ be as in 2.1. Let K be the*

quotient field of R . Let S be a ring between R and K such that D is regular on S . Then, the following statements are equivalent:

- (i) $S \subseteq R_v$.
- (ii) There is a prime ideal Q of S lying over P .

In this case, Q is the only prime ideal of S lying over P and is equal to $\mathfrak{M}_v \cap S$.

Proof. If $S \subseteq R_v$, take $Q = \mathfrak{M}_v \cap S$. Conversely, suppose there exists a prime ideal Q of S such that $Q \cap R = P$. Being regular on S , D is also regular on S_Q ; furthermore, $S_Q \cong R_P$, and R_P is D -simple, thus by 1.1 S_Q is D -simple. Then, by 2.1, we can define a valuation $w: S \setminus \{0\} \rightarrow \{\text{nonnegative integers}\}$ by $w(y) = m$ if $D^{(j)}(y) \in Q$ for $j = 0, \dots, m-1$ and $D^{(m)}(y) \notin Q$; calling S_w the valuation ring of w , we have $S \subseteq S_w$. At the same time, we will have the valuation v defined with the prime ideal P of R , and for an element $x \in R \setminus \{0\}$ we have $D^{(i)}(x) \in P$ if and only if $D^{(i)}(x) \in Q$ since $P = Q \cap R$; thus, $v = w$ on R , hence also $v = w$ on K , and $S \subseteq S_w = R_v$. Furthermore, by 2.1, we have $Q = \mathfrak{M}_w \cap S$, hence also $Q = \mathfrak{M}_v \cap S$, so that $\mathfrak{M}_v \cap S$ is the unique prime ideal of S lying over P .

LEMMA 2.3. *Let A be a D -simple valuation ring. Then, A is a field or is a rank-1 discrete valuation ring.*

Proof. If A is not a field, and $\mathfrak{A} \neq (1)$ is any ideal of A , then $\bigcap_{n=0}^{\infty} \mathfrak{A}^n \neq (1)$ is a D -ideal; thus, A being D -simple, we have $\bigcap_{n=0}^{\infty} \mathfrak{A}^n = (0)$ and A is a rank-1 discrete valuation ring.

THEOREM 2.4. *Let R be a D -simple ring with quotient field K . Let $\mathcal{S} = \{\text{proper prime ideals of } R\}$, and $\mathcal{V} = \{\text{valuation rings of } K \text{ containing } R \text{ to which } D \text{ can be extended}\}$. Define $\varphi: \mathcal{S} \rightarrow \mathcal{V}$ by $\varphi(P) = R_v$ where v is the valuation associated to P by 2.1. Then, φ is a bijection.*

Proof. Let us show first that D is regular on R_v . Let ab^{-1} be any element of R_v with $a, b \in R$, $b \neq 0$, $v(a) \geq v(b)$; then $D(ab^{-1}) = [bD(a) - aD(b)]b^{-2}$. If $v(a) > v(b)$, then $v(D(a)) = v(a) - 1 \geq v(b)$ and $v(D(b)) \geq v(b) - 1$, so that $v(bD(a) - aD(b)) \geq \inf\{v(b) + v(D(a)), v(a) + v(D(b))\} \geq 2v(b)$ and $D(ab^{-1}) \in R_v$. If $v(a) = v(b) = 0$, then $v(bD(a) - aD(b)) \geq 0 = 2v(b)$ and $D(ab^{-1}) \in R_v$. If $v(a) = v(b) = n > 0$, then $v(bD(a)) = v(aD(b)) = 2n - 1$ so that $v(bD(a) - aD(b)) \geq 2n - 1$; furthermore we have $D^{(2n-1)}(bD(a)) = \sum_{i=0}^{2n-1} C_{2n-1}^i D^{(i)}(b) D^{(2n-i)}(a) = \alpha_1 + C_{2n-1}^n D^{(n)}(b) D^{(n)}(a)$ with $\alpha_1 \in P$, and similarly $D^{(2n-1)}(aD(b)) = \alpha_2 + C_{2n-1}^n D^{(n)}(a) D^{(n)}(b)$ with $\alpha_2 \in P$, so that $D^{(2n-1)}(bD(a) - aD(b)) = \alpha_1 - \alpha_2 \in P$; hence $v(bD(a) -$

$aD(b) \geq 2n$ and $D(ab^{-1}) \in R_v$. Thus, D is regular on R_v .

If \mathfrak{M}_v is the maximal ideal of R_v , we have $P = \mathfrak{M}_v \cap R$ by 2.1, thus φ is injective.

Now, let A be a valuation ring of K containing R to which D can be extended. If $A = K$, we clearly have $A = \varphi((0))$. If $A \neq K$, let Q be its maximal ideal. Let $P = Q \cap R$, let v be the valuation associated to P by 2.1, and let R_v be the valuation ring of v . Since P is different from (0) , R_v is different from K ; by 2.2, we have $A \subseteq R_v$; by 1.1 A is D -simple, and hence has rank-1 by 2.3. Thus $A = R_v$, $A = \varphi(Q \cap R)$, and φ is surjective.

COROLLARY 2.5. *Let R be a D -simple ring with quotient field K . Let A be a valuation ring of K which contains R , Q its maximal ideal, P its center over R , and v the valuation associated to P by 2.1. Then, the following statements are equivalent:*

- (i) D can be extended to A .
- (ii) For any $a, b \in P$ such that $v(a) \geq v(b)$, then $ab^{-1} \in A$.
- (iii) For any $x \in A$, there exists $a, b \in R$, such that $x = a/b$ and $v(a) \geq v(b)$.

Remember that for an element a of R , $v(a)$ is the number of successive applications of the derivation D necessary to get a out of the center P .

Proof. The condition (ii) is equivalent to $R_v \subseteq A$; the condition (iii) is equivalent to $A \subseteq R_v$. But in both cases A and R_v have the same center on R ; thus, both conditions (ii) and (iii) are equivalent to $A = R_v$, i.e., equivalent to (i).

3. On the inertia field. Let N be a normal algebraic extension of K (possibly infinite), and G its Galois group. Let B be a valuation ring of N , \mathfrak{M}_B its maximal ideal; let π be a place of N corresponding to B and μ its residue field; let v be a valuation of N corresponding to B and \mathcal{A} its value group. Let $A = B \cap K$, \mathcal{A} its residue field and Γ its value group; μ is a normal algebraic extension of \mathcal{A} [1, (14.5)]. The inertia group of B over K is $G^T(B|K) = \{\sigma \in G/\sigma x - x \in \mathfrak{M}_B \forall x \in B\} = \{\sigma \in G/\pi \circ \sigma = \pi\}$; it is a closed subgroup of G [1, (19.2)]; its fixed field $K^T(B|K) = \{y \in N/\sigma y = y \forall \sigma \in G^T(B|K)\}$ is the inertia field of B over K .

In this section, we shall only be concerned with the case of $A = B \cap K$ being a rank-1 discrete valuation ring which contains the rational numbers. Note that B has to be of rank-1 too [1, (13.14)]. We have:

PROPOSITION 3.1. $K^T(B|K)$ is the smallest field L between K and N such that $B \cap L$ is indecomposed in N and such that μ is purely inseparable over the residue field A^L of $B \cap L$.

Proof. See [1, (19.11)].

PROPOSITION 3.2. $K^T(B|K)$ is the unique field L between K and N such that $B \cap L$ is indecomposed in N , $f(B|L) = 1$ and $e(B \cap L|K) = 1$.

Proof. Since A contains the rational numbers, A has characteristic zero, μ is a separable extension of A , and, by 3.1, $K^T(B|K)$ is the smallest field L between K and N such that $B \cap L$ is indecomposed in N and $f(B|L) = 1$. Now, N is also separable over K so that $\Gamma^T = \Gamma$, and $B \cap K^T(B|K)$ is a rank-1 discrete valuation ring; then $B \cap K^T(B|K)$ is defectless in all the finite extensions of $K^T(B|K)$ contained in N [6, Corollary, p. 287], and $K^T(B|K)$ is maximal among the fields L that have the property $f(B|L) = 1$ and $e(B \cap L|K) = 1$.

PROPOSITION 3.3. $K^T(B|K)$ is the biggest field L between K and N such that $e(B \cap L|K) = 1$.

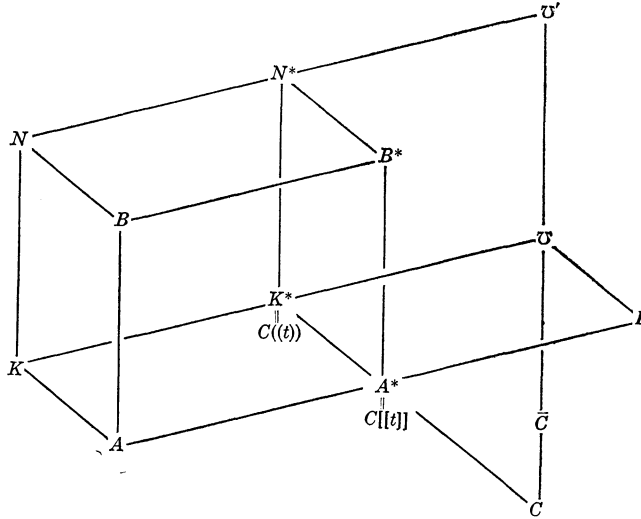
Proof. Let L be a field between K and N such that $e(B \cap L|K) = 1$. Let $L^T(B|L)$ be the inertia field of B over L ; by 3.2, $B \cap L^T(B|L)$ is indecomposed in N , $f(B|L^T(B|L)) = 1$ and $e(B \cap L^T(B|L)|L) = 1$, hence also $e(B \cap L^T(B|L)|K) = 1$ since $e(B \cap L|K) = 1$. Thus, by 3.2, $L^T(B|L) = K^T(B|K)$ and $L \subseteq K^T(B|K)$.

COROLLARY 3.4. Let V be a valuation ring contained in N lying over A . Then, the following statements are equivalent:

- (i) $e(V|K) = 1$.
- (ii) There exists a valuation ring E of N lying over V such that $V \subseteq K^T(E|K)$.
- (iii) For every valuation ring E of N lying over V , $V \subseteq K^T(E|K)$.

Now, let (N^*, B^*) be a completion of (N, B) ; by this, we mean that N^* is a B -completion of N [5, (1-7-1), p. 27], and B^* the topological closure of B in N^* ; let (K^*, A^*) be the completion of (K, A) contained in (N^*, B^*) . A being a rank-1 discrete valuation ring, we let t be a generator of the maximal ideal of A . Let \bar{O}' be an algebraic closure of N^* , and \bar{O} the algebraic closure of K^* contained in \bar{O}' . Let C be a field of representatives of A^* and \bar{C} the algebraic closure of C contained in \bar{O} ; by [7, Theorem 27, p. 304], we have $A^* = C[[t]]$ and $K^* = C((t))$. Let F be the unique valuation ring of \bar{O} which lies over A^* [5, (2-1-3), p. 44]. The situation can be resumed by the fol-

lowing diagram:



PROPOSITION 3.5. $K^r(B/K) = \bar{C}((t)) \cap N$ and $B \cap K^r(B/K) = \bar{C}[[t]] \cap N$.

Proof. We shall do it in several steps.

Step 1. $\bar{C}((t)) \cap \bar{O}$ is the inertia field of F over K^* and $\bar{C}[[t]] \cap \bar{O} = F \cap (\bar{C}((t)) \cap \bar{O})$.

Proof. $\bar{C}[[t]] \cap \bar{O}$ is a valuation ring of $\bar{C}((t)) \cap \bar{O}$ which lies over $A^* = C[[t]]$; thus it is indecomposed in \bar{O} and is equal to $F \cap (\bar{C}((t)) \cap \bar{O})$. Let ξ (respectively w -) be a place (respectively a valuation) of \bar{O} corresponding to the valuation ring F ; since $\bar{C} \subseteq \bar{O}$, we have $\xi(C) = \xi(C[[t]]) \subseteq \xi(\bar{C}) \subseteq \xi(\bar{C}[[t]] \cap \bar{O}) \subseteq \xi(F)$; furthermore $\xi(F)$ is algebraic over $\xi(C[[t]])$ by [1, (14.5)], and $\xi(\bar{C}) \cong \bar{C}$ is algebraically closed; thus $\xi(\bar{C}[[t]] \cap \bar{O}) = \xi(F)$. On the other hand we have clearly $w(C[[t]]) = w(\bar{C}[[t]] \cap \bar{O})$. Thus by 3.2, $\bar{C}((t)) \cap \bar{O}$ is the inertia field of F over K^* .

Step 2. Let N_α be a finite normal extension of K contained in N . Let N_α^* be the completion of N_α contained in N^* . Then $\bar{C}((t)) \cap N_\alpha^*$ is the inertia field of $B^* \cap N_\alpha^*$ over K^* and $\bar{C}[[t]] \cap N_\alpha^* = (B^* \cap N_\alpha^*) \cap (\bar{C}((t)) \cap N_\alpha^*)$.

Proof. N_α^* is a finite normal extension of K^* [4, Corollary 4, p. 41]; hence $N_\alpha^* \subseteq \bar{O}$. $B^* \cap N_\alpha^*$ is a valuation ring of N_α^* which lies over A^* ; hence it has to be equal to $F \cap N_\alpha^*$. Now, the inertia field of $F \cap N_\alpha^*$ over K^* is equal to the intersection of the inertia field of F over K^* with N_α^* [1, (19.10)], i.e., is equal to $(\bar{C}((t)) \cap \bar{O}) \cap N_\alpha^* =$

$\bar{C}((t)) \cap N_\alpha^*$. Finally, $\bar{C}[[t]] \cap N_\alpha^*$ is a valuation ring of $\bar{C}((t)) \cap N_\alpha^*$ which lies over A^* , thus it has to lie under $B^* \cap N_\alpha^*$, i.e., we need to have $\bar{C}[[t]] \cap N_\alpha^* = (B^* \cap N_\alpha^*) \cap \bar{C}((t)) \cap N_\alpha^*$.

Step 3. $\bar{C}((t)) \cap N_\alpha$ is the inertia field of $B \cap N_\alpha$ over K and $\bar{C}[[t]] \cap N_\alpha = (B \cap N_\alpha) \cap (\bar{C}((t)) \cap N_\alpha)$.

Proof. $B \cap N_\alpha \cap \bar{C}((t)) \subseteq B^* \cap N_\alpha^* \cap \bar{C}((t)) = \bar{C}[[t]] \cap N_\alpha^*$ by Step 2; then, being contained in $\bar{C}((t)) \cap N_\alpha$, $B \cap N_\alpha \cap \bar{C}((t))$ has also to be contained in $\bar{C}[[t]] \cap N_\alpha$; being a rank-1 valuation ring, $B \cap N_\alpha \cap \bar{C}((t))$ has to be equal to $\bar{C}[[t]] \cap N_\alpha$.

Now, if we still call w the valuation of \bar{C} corresponding to F , we have $w(K) \subseteq w(\bar{C}((t)) \cap N_\alpha) \subseteq w(\bar{C}((t)) \cap N_\alpha^*)$; but $w(K^*) = w(\bar{C}((t)) \cap N_\alpha^*)$ by Step 2, and $w(K) = w(K^*)$ because, by [5, (1-7-5), p. 31], the completion is an immediate extension; hence $w(K) = w(\bar{C}((t)) \cap N_\alpha)$, and $\bar{C}((t)) \cap N_\alpha \subseteq K^T(B \cap N_\alpha/K)$ by 3.3. Then, $\bar{C}((t)) \cap N_\alpha = K^T(B \cap N_\alpha/K)$, because if not, the completion L of $K^T(B \cap N_\alpha/K)$ contained in N_α^* would be such that $L \not\subseteq \bar{C}((t)) \cap N_\alpha^*$ and $e(B^* \cap L/K^*) = 1$, which is impossible by 3.3, since $\bar{C}((t)) \cap N_\alpha^*$ is the inertia field of $B^* \cap N_\alpha^*$ over K^* by Step 2.

Step 4. $\bar{C}((t)) \cap N$ is the inertia field of B over K and $\bar{C}[[t]] \cap N = B \cap (\bar{C}((t)) \cap N)$.

Proof. Let $\{N_\alpha; \alpha \in J\}$ be the set of all the finite normal subextensions of N over K . Let us show that $K^T(B|K) = \bigcup_{\alpha \in J} K^T(B \cap N_\alpha|K)$. For any $\alpha \in J$, the homomorphism $\theta_\alpha^T: G^T(B|K) \rightarrow G^T(B \cap N_\alpha|K)$ defined by $\theta_\alpha^T(\rho) = \rho|_{N_\alpha}$ is the restriction of ρ to N_α , is surjective [1, (19.7)]. Let $x \in K^T(B|K)$, N_α a finite normal extension of K containing x and $\sigma \in G^T(B \cap N_\alpha|K)$; since θ_α^T is surjective, there exists $\rho \in G^T(B|K)$ such that $\rho|_{N_\alpha} = \sigma$, so that $\sigma(x) = \rho(x) = x$ and $x \in K^T(B \cap N_\alpha|K)$. Conversely, let $\alpha \in J$, and $x \in K^T(B \cap N_\alpha|K)$; for any $\rho \in G^T(B|K)$ we have $\rho|_{N_\alpha} \in G^T(B \cap N_\alpha|K)$, so that $\rho(x) = \rho|_{N_\alpha}(x) = x$ and $x \in K^T(B|K)$. Hence, $K^T(B|K) = \bigcup_{\alpha \in J} K^T(B \cap N_\alpha|K) = \bigcup_{\alpha \in J} (\bar{C}((t)) \cap N_\alpha) = \bar{C}((t)) \cap (\bigcup_{\alpha \in J} N_\alpha) = \bar{C}((t)) \cap N$, and $B \cap K^T(B|K) = B \cap (\bigcup_{\alpha \in J} K^T(B \cap N_\alpha|K)) = \bigcup_{\alpha \in J} (B \cap K^T(B \cap N_\alpha|K)) = \bigcup_{\alpha \in J} (\bar{C}[[t]] \cap N_\alpha) = \bar{C}[[t]] \cap N$.

4. Extensions of the derivation in the algebraic closure of the quotient field.

LEMMA 4.1. Let A be a ring, I a finitely generated ideal of A such that $\bigcap_{n=0}^\infty I^n = (0)$, A^* the I -adic completion of A . Let $D: A \rightarrow A^*$ be a map such that $D(x+y) = D(x) + D(y)$ and $D(xy) = xD(y) + yD(x)$. Then,

- (i) D can be extended to a derivation D' on A^* by $D'(\lim_n x_n) = \lim_n D(x_n)$, where $\{x_n\}_{n \geq 0}$ is a Cauchy sequence in A .
- (ii) D' is the only derivation of A^* that extends D .

Proof. (i) Let $\{x_n\}_{n \geq 0}$ be a Cauchy sequence in A ; for any positive integer m , there exists q such that $r, s > q \Rightarrow x_r - x_s \in I^m$; $x_r - x_s \in I^m \Rightarrow x_r - x_s = \sum_i u_{i1} \cdots u_{im}$ with $u_{ij} \in I$, hence $Dx_r - Dx_s = D(x_r - x_s) = \sum_i \sum_{j=1}^m u_{ij} \cdots u_{i(j-1)} D(u_{i1}) u_{i(j+1)} \cdots u_{im} \in (IA^*)^{m-1}$; then as I is finitely generated, the topology of A^* is the (IA^*) -adic topology [7, Corollary 1, p. 257], and $\{Dx_n\}_{n \geq 0}$ is a Cauchy sequence in A^* ; set $D'(\lim_n x_n) = \lim_n D(x_n)$. Defined that way, D' is a function of A^* for if $\{z_n\}_{n \geq 0}$ is another Cauchy sequence such that $\lim_n x_n = \lim_n z_n$, then for any positive integer m , there exists q such that $n > q \Rightarrow (x_n - z_n) \in I^m$, so that $D(x_n) - D(z_n) = D(x_n - z_n) \in (IA^*)^{m-1}$, and $\lim_n D(x_n) = \lim_n D(z_n)$. Furthermore, D' is a derivation of A^* for if $\{x_n\}_{n \geq 0}$ and $\{z_n\}_{n \geq 0}$ are two Cauchy sequences of A , then $\lim_n D(x_n + z_n) = \lim_n D(x_n) + \lim_n D(z_n)$ and $\lim_n D(x_n \cdot z_n) = \lim_n x_n \cdot \lim_n D(z_n) + \lim_n D(x_n) \cdot \lim_n z_n$ since, for every n , we have $D(x_n + z_n) = D(x_n) + D(z_n)$ and $D(x_n \cdot z_n) = x_n \cdot D(z_n) + D(x_n) \cdot z_n$. Finally, for any $y \in A$, we clearly have $D'(y) = D(y)$.

(ii) Let D'' be a derivation of A^* which extends D . Let y be any element of A^* , and $\{x_n\}_{n \geq 0}$ a Cauchy sequence in A such that $y = \lim_n x_n$; then, for any positive integer m , there exists q such that $n > q \Rightarrow y - y_n \in (IA^*)^m$, so that $D''(y) - D(y_n) = D''(y) - D''(y_n) = D''(y - y_n) \in (IA^*)^{m-1}$, and $D''(y) = \lim_n D(y_n) = D'(y)$.

REMARK. In the case of D being a derivation of A , the procedure used in the preceding lemma allows to extend D to a derivation D' of A^* even if I is not finitely generated. To get the uniqueness property however, we again need I to be finitely generated.

THEOREM 4.2. *Let A be a rank-1 discrete valuation ring containing the rational numbers with quotient field K ; let Ω be an algebraic closure of K and D a derivation of A . Let B be a valuation ring of Ω lying over A ; let V be a valuation ring contained in Ω , lying over A and unramified over K . Then,*

- (i) $(K^T(B|K), B \cap K^T(B|K))$ is a D -regular extension of (K, A) contained in (Ω, B) .
- (ii) $(N, B \cap N)$ is D -regular for any field N between K and $K^T(B|K)$.
- (iii) D is regular on V .

Proof. (i) Let (Ω^*, B^*) be a completion of (Ω, B) and (K^*, A^*)

the completion of (K, A) contained in (Ω^*, B^*) ; let \mathcal{O}' be an algebraic closure of Ω^* and \mathcal{O} the algebraic closure of K^* contained in \mathcal{O}' . Let t be a generator of the maximal ideal of A ; let C be a field of representatives of A^* , and \bar{C} the algebraic closure of C in \mathcal{O} ; of course we have $A^* = C[[t]]$ and $K^* = C((t))$ [7, Corollary, p. 307]. By 4.1, let D' be the unique derivation of A^* which is an extension of D , and, as usual, call again D' its extension to \mathcal{O} . For an element y of \bar{C} , we have $D'(y) \in \bar{C}[[t]]$; indeed, if $X^n + c_1X^{n-1} + \dots + c_n \in C[X]$ is the minimal polynomial of y over C , differentiating the equation $y^n + c_1y^{n-1} + \dots + c_n = 0$, we get $(ny^{n-1} + c_1(n-1)y^{n-2} + \dots + c_{n-1})D'(y) + (D(c_1)y^{n-1} + \dots + D(c_n)) = 0$; the first factor of the first term is an element of \bar{C} , different from zero since y is separable over C ; the second term is an element of $\bar{C}[[t]]$; thus $D'(y) \in \bar{C}[[t]]$. We also have $D'(t) \in \bar{C}[[t]]$, so that the restriction D'' of D' to $\bar{C}[t]$ is a function with values in $\bar{C}[[t]]$ which satisfies the properties $D''(x+z) = D''(x) + D''(z)$ and $D''(xz) = xD''(z) + zD''(x)$; furthermore, $\bar{C}[[t]]$ is the (t) -adic completion of $\bar{C}[t]$; thus, by 4.1, D'' can be extended to a derivation of $\bar{C}[[t]]$, which we call D'' again, by $D''(\sum_{i=0}^{\infty} d_i t^i) = \sum_{i=0}^{\infty} D''(d_i t^i) = \sum_{i=0}^{\infty} D'(d_i t^i)$. As $C[[t]]$ is the completion of $C[t]$ for the (t) -adic topology, by 4.1 also, we know that for an element $\sum_{i=0}^{\infty} c_i t^i$ of $C[[t]]$ we must have $D'(\sum_{i=0}^{\infty} c_i t^i) = \sum_{i=0}^{\infty} D'(c_i t^i)$, so that $D' = D''$ on $A^* = C[[t]]$; thus $D = D''$ on A , hence also on K . But we can even see that $D = D''$ on $\bar{C}((t)) \cap \Omega$; indeed, if $X^m + k_1X^{m-1} + \dots + k_m \in K[X]$ is the minimal polynomial over K of an element z of $\bar{C}((t)) \cap \Omega$, we have $z^m + k_1z^{m-1} + \dots + k_m = 0$, thus $D(z) = [D(k_1)z^{m-1} + \dots + D(k_m)] \times [mz^{m-1} + \dots + k_{m-1}]^{-1} = [D''(k_1)z^{m-1} + \dots + D''(k_m)][mz^{m-1} + \dots + k_{m-1}]^{-1} = D''(z)$. Then, since D is regular on Ω , since D'' is regular on $\bar{C}[[t]]$, and since $D = D''$ on $\bar{C}((t)) \cap \Omega$, we get that $(\bar{C}((t)) \cap \Omega, \bar{C}[[t]] \cap \Omega)$ is D -regular; but by 3.5 we know that $\bar{C}((t)) \cap \Omega = K^r(B|K)$ and $\bar{C}[[t]] \cap \Omega = B \cap K^r(B|K)$; thus $(K^r(B|K), B \cap K^r(B|K))$ is D -regular.

(ii) Let N be any field between K and $K^r(B|K)$. D is regular on N and is regular on $B \cap K^r(B|K)$; thus D is regular on $(B \cap K^r(B|K)) \cap N = B \cap N$.

(iii) Let B' be a valuation ring of Ω lying over V ; by 3.4 we have $V \subseteq K^r(B'|K)$, so that D is regular on V .

THEOREM 4.3. *Let A be a D -simple valuation ring with quotient field K ; let Ω be an algebraic closure of K , and B a valuation ring of Ω lying over A . Then, $(K^r(B|K), B \cap K^r(B|K))$ is the biggest D -regular extension of (K, A) contained in (Ω, B) .*

Proof. Being D -simple, A contains the rational numbers; thus, by 4.2, we know that $(K^r(B|K), B \cap K^r(B|K))$ is D -regular. Now let (L, E) be a D -regular extension of (K, A) contained in (Ω, B) ; of

course E is rank-1, and thus B lies over E ; also E is D -simple by 1.1. If t is a generator of the maximal ideal of A , then t is also a generator of the maximal ideal \mathfrak{M}_E of E ; indeed, otherwise we would have $t \in \mathfrak{M}_E^2$, hence also $D(t) \in \mathfrak{M}_E$ which cannot be since $D(t)$ is a unit in A . Thus, the index of ramification of E over K is equal to 1, and by 3.3 $(L, E) \subseteq (K^T(B|K), B \cap K^T(B|K))$.

COROLLARY 4.4. *Let R be a D -simple ring with quotient field K ; let Ω be an algebraic closure of K . Let V be a valuation ring which contains R and is contained in Ω ; let $e(V|K)$ be its ramification index over K . Then, the following statements are equivalent:*

- (i) D is regular on V .
- (ii) $e(V|K) = 1$ and D is regular on $V \cap K$.

Proof. If D is regular on V , then D is regular on $V \cap K$ since D is also regular on K . Furthermore, $V \cap K$ contains R which is D -simple; thus, by 1.1, $V \cap K$ is D -simple and, as already noticed in the proof of 4.3, this implies that $e(V|K) = 1$. Conversely, if D is regular on $V \cap K$ and if $e(V|K) = 1$ we know that D is regular on V by 4.2.

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Vol. 46, No. 1

November, 1973

Allan Francis Abrahamse, <i>Uniform integrability of derivatives on σ-lattices</i>	1
Ronald Alter and K. K. Kubota, <i>The diophantine equation $x^2 + D = p^n$</i>	11
Grahame Bennett, <i>Some inclusion theorems for sequence spaces</i>	17
William Cutler, <i>On extending isotopies</i>	31
Robert Jay Daverman, <i>Factored codimension one cells in Euclidean n-space</i>	37
Patrick Barry Eberlein and Barrett O'Neill, <i>Visibility manifolds</i>	45
M. Edelstein, <i>Concerning dentability</i>	111
Edward Graham Evans, Jr., <i>Krull-Schmidt and cancellation over local rings</i>	115
C. D. Feustel, <i>A generalization of Kneser's conjecture</i>	123
Avner Friedman, <i>Uniqueness for the Cauchy problem for degenerate parabolic equations</i>	131
David Golber, <i>The cohomological description of a torus action</i>	149
Alain Goulet de Rugy, <i>Un théorème du genre "Andô-Edwards" pour les Fréchet ordonnés normaux</i>	155
Louise Hay, <i>The class of recursively enumerable subsets of a recursively enumerable set</i>	167
John Paul Helm, Albert Ronald da Silva Meyer and Paul Ruel Young, <i>On orders of translations and enumerations</i>	185
Julien O. Hennefeld, <i>A decomposition for $B(X)^*$ and unique Hahn-Banach extensions</i>	197
Gordon G. Johnson, <i>Moment sequences in Hilbert space</i>	201
Thomas Rollin Kramer, <i>A note on countably subparacompact spaces</i>	209
Yves A. Lequain, <i>Differential simplicity and extensions of a derivation</i>	215
Peter Lorimer, <i>A property of the groups $\text{Aut PU}(3, q^2)$</i>	225
Yasou Matsugu, <i>The Levi problem for a product manifold</i>	231
John M.F. O'Connell, <i>Real parts of uniform algebras</i>	235
William Lindall Paschke, <i>A factorable Banach algebra without bounded approximate unit</i>	249
Ronald Joel Rudman, <i>On the fundamental unit of a purely cubic field</i>	253
Tsuan Wu Ting, <i>Torsional rigidities in the elastic-plastic torsion of simply connected cylindrical bars</i>	257
Philip C. Tonne, <i>Matrix representations for linear transformations on analytic sequences</i>	269
Jung-Hsien Tsai, <i>On E-compact spaces and generalizations of perfect mappings</i>	275
Alfons Van Daele, <i>The upper envelope of invariant functionals majorized by an invariant weight</i>	283
Giulio Varsi, <i>The multidimensional content of the frustum of the simplex</i>	303