Pacific Journal of Mathematics

DECOMPOSITIONS OF E³ INTO POINTS AND COUNTABLY MANY FLEXIBLE DENDRITES

RICHARD SNAY

Vol. 48, No. 2

April 1973

DECOMPOSITIONS OF E^3 INTO POINTS AND COUNTABLY MANY FLEXIBLE DENDRITES

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Let G be an upper semicontinuous decomposition of E^3 whose only nondegenerate elements are countably many dendrites. It has been asked by Armentrout whether it is sufficient that each dendrite be tame in E^3 in order that the decomposition space $E^3|G$ be homeomorphic to E^3 . In Theorem 3 the sufficiency of the tameness condition is shown as well as the sufficiency of the weaker condition that each dendrite be flexible in E^3 . Theorem 2 states that if A and B are flexible dendrites in E^3 whose intersection is a point, then $A \cup B$ is a flexible dendrite. This result is used to construct flexible dendrites in E^3 which are not tame.

An upper semicontinuous decomposition G of a topological space X is a collection of disjoint subsets of X such that X is the union of elements of G and such that for every $g \in G$ and for every open set U in X containing g, there is an open set V in X such that $g \subset V \subset U$ and V is the union of elements of G. The decomposition space of X associated with G, denoted X/G, is the set G with the topology defined by the condition that a subset W of G is open in X/G if and only if the union of the elements of W is open in X. A dendrite is a locally connected continuum which contains no simple closed curve. A tree is a finite 1-dimensional simplicial complex whose geometric realization is a dendrite. If M is an n-manifold with or without boundary, Int M denotes the set consisting of all points of M which have a neighborhood homeomorphic to E^n , and Bd M denotes $M - \operatorname{Int} M$. If U is a subset of the space X, then Cl U denotes the closure of U in X.

DEFINITION. A dendrite K in E^3 is tame if there is a homeomorphism h of E^3 onto itself such that h(K) is a subset of the xy-plane.

DEFINITION. A dendrite K in E^3 is flexible if given two subcontinua K_1 and K_2 such that $K = K_1 \cup K_2$ and given two open sets U_1 and U_2 in E^3 such that $K_i \subset U_i$ (i = 1, 2), then there is a homeomorphism f of E^3 onto itself such that $f(K) \subset U_2$ and f is the identity on $E^3 - U_1$.

REMARK. Observe that if K is a dendrite in E^3 and if h is a

homeomorphism of E^{3} onto itself, then K is flexible if and only if h(K) is flexible.

LEMMA 1. Let D be a disk contained in the xy-plane P of E^3 . If U is an open set in E^3 containing D and if g is a homeomorphism of D onto itself which is the identity on Bd D, then there is a homeomorphism f of E^3 onto itself such that f equals g on D and f is the identity on $(E^3 - U) \cup (P - D)$.

Proof. Let h be a homeomorphism of E^3 onto itself such that $h(D) = \{(x, y, z) \in E^3 : x^2 + y^2 \leq 1 \text{ and } z = 0\}$. Since h(U) contains h(D), there is a positive number ε such that the suspension S of h(D) with respect to the points $(0, 0, \varepsilon)$ and $(0, 0, -\varepsilon)$ is contained in h(U). Let k be the homeomorphism of E^3 onto itself which equals the suspension of $h \cdot g \cdot h^{-1} \mid h(D)$ on S and which equals the identity elsewhere. Then f equal to $h^{-1} \circ h \circ h$ is the required homeomorphism.

THEOREM 1. If K is a tame dendrite in E^3 , then K is flexible.

Proof. Since flexibility is invariant under homeomorphisms of E^3 onto itself, we may assume that K is a subset of the *xy*-plane P in E^3 . Let K_1 and K_2 be subcontinua of K such that $K = K_1 \cup K_2$ and let U_1 and U_2 be open sets in E^3 such that $K_i \subset U_i$ (i = 1, 2). Let

$$\varepsilon = \min \{ \text{dist} (K_1, E^3 - U_1), \text{ dist} (K_2, E^3 - U_2) \}$$

and let T be a triangulation of P of mesh less than ε such that the O-skeleton on T misses K. Since K does not separate P, there is a polyhedral disk D in P such that $K \subset \text{Int } D$, D misses the O-skeleton of T, and Bd D is in general position with the 1-skeleton of T in P. Hence if s is a closed 2-simplex of T, then the components of $s \cap D$ consist of finitely many disjoint polyhedral disks. Let $\{D_i\}_{i=1}^n$ be the set of disks in P such that for each $i(1 \leq i \leq n)$ there is a closed 2-simplex s in T such that D_i is a component of $s \cap D$ and $D_i \cap K \neq \emptyset$. Hence $\{D_i\}_{i=1}^n$ is a set of polyhedral disks in P such that:

(1) diam $D_i < \varepsilon$ $(1 \leq i \leq n)$,

(2) if
$$D_i \cap D_j \neq \emptyset$$
, then $D_i \cap D_j$ is an arc for $i \neq j$, and

(3) the nerve of $\{D_i\}_{i=1}^n$ is a tree.

By conditions (2) and (3) we have that the union of all elements of $\{D_i\}_{i=1}^n$ which meet K_2 is a disk E and that the union of all elements of $\{D_i\}_{i=1}^n$ which are not subdisks of E consists of disjoint disks F_1, \dots, F_m such that for each i $(1 \leq i \leq m)$ $F_i \cap E = \operatorname{Bd} F_i \cap \operatorname{Bd} E$ is an arc J_i . It follows that $K \cap \operatorname{Bd} F_i \subset J_i$. By condition (1) and our choice of ε we have $E \subset U_2$ and $F_i \subset U_1$ $(1 \leq i \leq m)$. Since $(K \cap \operatorname{Bd} F_i) \subset J_i \subset E \subset U_2$, there is a homeomorphism g_i of F_i onto itself which is the identity on $\operatorname{Bd} F_i$ such that $g_i(K \cap F_i) \subset U_2$. The homeomorphism g_i is obtained as follows. Choose arcs A_i and B_i in F_i such that:

 $(\ {\rm a}\)\quad A_i\cap \, {\rm Bd}\ F_i=B_i\cap \, {\rm Bd}\ F_i= \, {\rm Bd}\ J_i= \, {\rm Bd}\ A_i= \, {\rm Bd}\ B_i,$

(b) the disk on F_i bounded by $A_i \cup J_i$ contains $K \cap F_i$, and

(c) the disk on F_i bounded by $B_i \cup J_i$ is contained in U_2 .

Now let h_i be an embedding of $A_i \cup Bd F_i$ into F_i which is the inclusion on Bd F_i and which takes A_i onto B_i . The homeomorphism g_i is an extension of h_i to all of F_i .

Now let V_1, \dots, V_m be disjoint open sets in U_1 such that $F_i \subset V_i$ $(1 \leq i \leq m)$. By Lemma 1 there is a homeomorphism f_i of E^3 onto itself such that f_i equals g_i on F_i and f_i is the identity on $(E^3 - V_i) \cup$ $(P - F_i)$. If f equals $f_m \circ f_{m-1} \circ \cdots \circ f_1$, then $f(K) \subset U_2$ and f is the identity on $E^3 - U_1$. Hence K is flexible.

LEMMA 2. Let K be a flexible dendrite in E^3 . If N, C_1, C_2, \dots, C_n are subcontinua of K and U, V_1, V_2, \dots, V_n are open sets in E^3 such that:

(1) $K=N\cup (igcup_{i=1}^n C_i),$

(2) $N \subset U$ and $C_i \subset V_i$ $(1 \leq i \leq n)$, and

 $(3) \quad V_i \cap V_j = \emptyset \text{ for } i \neq j,$

then there is a homeomorphism f of E^{3} onto itself such that $f(K) \subset U$ and f is the identity on $E^{3} - (\bigcup_{i=1}^{n} V_{i})$.

The proof of Lemma 2 is omitted as it is obtained directly with an induction argument.

THEOREM 2. If A and B are flexible dendrites in E^s such that $A \cap B = \{p\}$, then $A \cup B$ is a flexible dendrite.

Proof. It is clear that $A \cup B$ is a dendrite. To show that $A \cup B$ is flexible let K_1 and K_2 be subcontinua of $A \cup B$ such that $A \cup B = K_1 \cup K_2$ and let U_1 and U_2 be open sets in E^3 such that $K_i \subset U_i$ (i = 1, 2). We consider separately the cases when $p \notin K_2$ and when $p \in K_2$.

Case 1. If $p \notin K_2$, then $K_2 \subset A$ or $K_2 \subset B$. Let us say that $K_2 \subset A$. Hence $B \subset K_1$. Using the flexibility of A for the subcontinua $K_1 \cap A$ and $K_2 \cap A$ and for the open sets U_1 and U_2 , let g be a homeomorphism of E^3 onto itself such that $g(A) \subset U_2$ and g is the identity on $E^3 - U_1$. Here we used the fact that $K_i \cup A$ (i = 1, 2) is a dendrite and thus unicoherent to say that $K_i \cap A$ is a subcontinuum of A. Let N be a subcontinuum of B such that N is a neighborhood of pin B and $N \subset g^{-1}(U_2)$. Let C_1, \dots, C_n be the components of Cl (B - N), and let V_1, \dots, V_n be disjoint open sets in $U_1 - A$ such that $C_i \subset V_i$ $(1 \leq i \leq n)$. By Lemma 2, for the flexible dendrite *B*, for the subcontinua *N*, C_1, C_2, \dots, C_n , and for the open sets $g^{-1}(U_2), V_1, V_2, \dots, V_n$, there is a homeomorphism *h* of E^3 onto itself such that $h(B) \subset g^{-1}(U_2)$ and *h* is the identity on $E^3 - (\bigcup_{i=1}^n V_i)$. If *f* equals $g \circ h$, then $f(A \cup B) \subset U_2$ U_2 and *f* is the identity on $E^3 - U_1$.

Case 2. If $p \in K_2$, then let N be a subcontinuum of $A \cup B$ such that N is a neighborhood of K_2 in $A \cup B$ and $N \subset U_2$. Let C_1, \dots, C_n be the components of $\operatorname{Cl}((A \cup B) - N)$. We assume that the set $\{C_i\}_{i=1}^n$ is so numbered that for each i $(1 \leq i \leq m)$ $C_i \subset A - B$ and for each i $(m + 1 \leq i \leq n)$ $C_i \subset B - A$. Let V_1, \dots, V_m be disjoint open sets in $U_1 - B$ such that $C_i \subset V_i$ $(1 \leq i \leq m)$. By Lemma 2 for the flexible dendrite A, for the subcontinua $N \cap A, C_1, C_2, \dots, C_m$, and for the open sets $U_2, V_1, V_2, \dots, V_m$, there is a homeomorphism g of E^3 onto itself such that $g(A) \subset U_2$ and g is the identity on $E^3 - (\bigcup_{i=1}^m V_i)$. Let V_{m+1}, \dots, V_n be disjoint open sets in $U_1 - g(A)$ such that $C_i \subset V_i$ $(m + 1 \leq i \leq n)$. By Lemma 2 for the flexible B, for the subcontinua $N \cap B, C_{m+1}, C_{m+2}, \dots, C_n$, and for the open sets $U_2, V_{m+1}, V_{m+2}, \dots, V_n$, there is a homeomorphism h of E^3 onto itself such that $h(B) \subset U_2$ and h is the identity on $E^3 - (\bigcup_{i=m+1}^n V_i)$. If f equals $h \circ g$, then $f(A \cup B) \subset U_2$ and f is the identity on $E^3 - U_1$.

As a result of Cases 1 and 2, we conclude that $A \cup B$ is flexible.

REMARK. The union of two tame arcs in E^3 whose intersection is a point need not be a tame dendrite [1, Example 1.4]. Hence there are flexible dendrites in E^3 which are not tame.

LEMMA 3. If N is a tree, then the vertexes of N can be numbered v_1, \dots, v_n such that for each $i \ (1 \leq i \leq n-1)$, there is a unique integer s(i) satisfying $i < s(i) \leq n$ and there is a 1-simplex between v_i and $v_{s(i)}$.

Proof. The proof is by induction on the number of vertexes of N. Any numbering works if N has two vertexes. Assume the lemma is true if N has n-1 ($n \ge 3$) vertexes, and consider the case when N has n vertexes. Let w be a vertex of N which is the face of exactly one 1-simplex s in N. We form a new tree N' by removing w and the interior of s from N. By the induction hypothesis we can number the vertexes u_1, \dots, u_{n-1} of N' such that for each i ($1 \le i \le n-2$), there is a unique integer s(i) satisfying $i < s(i) \le n-1$ and there is a 1-simplex between u_i and $u_{s(i)}$. Now in N let $v_i = w$ and let $v_i = u_{i-1}$ ($2 \le i \le n$). This numbering satisfies the condition.

LEMMA 4. Let A be a dendrite and let ε be a positive real number. Then A is the finite union of continua A_1, \dots, A_n of diameter less then ε such that for each i $(1 \leq i \leq n-1)$, there is a unique integer s(i) satisfying $i < s(i) \leq n$ and $A_i \cap A_{s(i)} \neq \emptyset$.

Proof. The dendrite A can be written as the finite union of continua A_1, \dots, A_n of diameter less than ε such that each pair intersects in at most a point and each triplet has empty intersection [3, p. 302]. It follows that the nerve N of $\{A_i\}_{i=1}^n$ is a tree. Using Lemma 3 we see that the set $\{A_i\}_{i=1}^n$ can be renumbered such that for each i $(1 \leq i \leq n-1)$, there is a unique integer s(i) satisfying $i < s(i) \leq n$ and $A_i \cap A_{s(i)} \neq \emptyset$.

THEOREM 3. If G is an upper semicontinuous decomposition of E^3 whose only nondegenerate elements are countably many flexible dendrites, then E^3/G is homeomorphic to E^3 .

Proof. Using the technique of Bing as in [2, Theorem 3], it suffices to show that if G is an upper semicontinuous decomposition of E^3 , ε is a positive real number, A is an element of G which is a flexible dendrite, and U is an open set containing A, then there is a homeomorphism f of E^3 onto itself such that f is the identity on $E^3 - U$, diam $f(A) < \varepsilon$, and for each element g of G, either diam $f(g) < \varepsilon$ or $f(g) \subset N(g, \varepsilon)$ where $N(g, \varepsilon) = \{x \in E^3: \text{dist}(x, g) < \varepsilon\}$.

By Lemma 4 the dendrite A is the finite union of continua $A(1)_1, \dots, A(1)_n$ of diameter less than ε such that for each $i \ (1 \leq i \leq n-1)$, there is a unique integer s(i) satisfying $i < s(i) \leq n$ and $A(1)_i \cap A(1)_{s(i)} \neq \emptyset$. We may assume that n > 1, otherwise f equals to the identity on E^3 would be the required homeomorphism. For each $i \ (1 \leq i \leq n)$ let $U(1)_i$ be an open set in E^3 such that $A(1)_i \subset U(1)_i \subset U$, diam $U(1)_i < \varepsilon$, and $\operatorname{Cl} U(1)_i \cap \operatorname{Cl} U(1)_j = \emptyset$ if and only if $A(1)_i \cap A(1)_j = \emptyset$. Since A is flexible, for the subcontinua $A(1)_i$ and $\bigcup_{i=2}^n A(1)_i$ and for the open sets $U(1)_1$ and $\bigcup_{i=2}^n U(1)_i$, there is a homeomorphism f_1 of E^3 onto itself such that $f_1(A) \subset \bigcup_{i=2}^n U(1)_i$ and f_i is the identity on $E^3 - U(1)_1$. Once given $\{A(j)_i\}_{i=j}^n, \{U(j)_i\}_{i=j}^n, \text{ and } f_j$ for fixed $j \ (1 \leq j \leq n-2)$, define for each $i \ (j+1 \leq i \leq n)$

$$A(j+1)_i = egin{cases} f_j(A(j)_i) = A(j)_i & ext{ if } i
eq s(j) \ f_j(A(j)_j \cup A(j)_{s(j)}) & ext{ if } i = s(j) \ . \end{cases}$$

Also for each i $(j + 1 \le i \le n)$, let $U(j + 1)_i$ be an open set in E^3 such that:

(1) $A(j+1)_i \subset U(j+1)_i \subset U(j)_i$, and

(2) $\bigcup_{g \in G} \{g_j : g_j \text{ meets } U(j+1)_i\} \subset \bigcup_{k=j+1}^n U(j)_k$, where g_j denotes $f_j \circ \cdots \circ f_1(g)$.

Condition (2) can be satisfied since $f_j \circ \cdots \circ f_1(A)$ which equals $\bigcup_{k=j+1}^n A(j+1)_k$ is an element of the upper semicontinuous decomposition $G_j = \{f_j \circ \cdots \circ f_1(g) \colon g \in G\}$ and a subset of the open set $\bigcup_{k=j+1}^n U(j)_k$.

Using the flexibility of $f_j \circ \cdots \circ f_1(A)$ for the subcontinua $A(j+1)_{j+1}$ and $\bigcup_{i=j+2}^n A(j+1)_i$ and the open sets $U(j+1)_{j+1}$ and $\bigcup_{i=j+2}^n U(j+1)_i$ obtain a homeomorphism f_{j+1} of E^3 onto itself such that

$$f_{j+1}(f_j \circ \cdots \circ f_1(A)) \subset \bigcup_{i=j+2}^n U(j+1)_i$$

and f_{j+1} is the identity on $E^3 - U(j+1)_{j+1}$. Let f equal $f_{n-1} \circ \cdots \circ f_1$. We wish to show that f is the required homeomorphism.

It is clear that f is the identity on $E^3 - U$ and diam $f(A) < \varepsilon$. Hence we show that if $g \in G$, then diam $f(g) < \varepsilon$ or $f(g) \subset N(g, \varepsilon)$. Since f_1 is the identity on $E^3 - U(1)_1$, f_1 moves no point of E^3 more than diam $U(1)_1 < \varepsilon$. Hence $f_1(g) \subset N(g, \varepsilon)$. Suppose now we have proven for fixed k ($2 \leq k \leq n-1$) that diam $g_{k-1} < \varepsilon$ or $g_{k-1} \subset N(g, \varepsilon)$ where g_{k-1} denotes $f_{k-1} \circ \cdots \circ f_1(g)$. We show that diam $g_k < \varepsilon$ or $g_k \subset N(g, \varepsilon)$. If g_{k-1} does not meet $U(k)_k$, then g_k equals g_{k-1} . Thus diam $g_k < \varepsilon$ or $g_k \subset N(g, \varepsilon)$. If g_{k-1} meets $U(k)_k$, then $g_{k-1} \subset \bigcup_{j=k} U(k-1)_j$ by condition (2). We consider two cases.

Case 1. If $g_{k-1} \subset U(k-1)_k$, then since f_k is the identity on $E^3 - U(k)_k$ and $U(k)_k \subset U(k-1)_k$, we have $g_k \subset U(k-1)_k$. Thus diam $g_k < \varepsilon$.

Case 2. If g_{k-1} meets $(\bigcup_{j=k}^{n} U(k-1)_{j}) - U(k-1)_{k}$, then g_{k-1} meets the boundary B of $U(k-1)_{k}$ as a subset of $\bigcup_{j=k}^{n} U(k-1)_{j}$. Let $y \in B \cap g_{k-1}$. We wish to show that $y \in g$. For each i $(1 \leq i \leq k-1)$, since there is only one integer s(i) such that $i < s(i) \leq n$ and $A(i)_{i} \cap A(i)_{s(i)} \neq \emptyset$, either $\operatorname{Cl} U(i)_{i} \cap \operatorname{Cl} U(k-1)_{k} = \emptyset$ or

$$\operatorname{Cl} U(i)_i \cap \left(\bigcup_{j=k+1}^n \operatorname{Cl} U(k-1)_j \right) =$$
.

Hence for each i $(1 \leq i \leq k-1)$, Cl $U(i)_i \cap B = \emptyset$, and thus f_i is the identity on B. Hence $y \in g$. We now show that $g_k \subset N(g, \varepsilon)$ by proving if $x \in g_{k-1}$, then dist $(f_k(x), g) < \varepsilon$. If $x \in U(k-1)_k$, then $f_k(x) \in U(k-1)_k$. Hence

dist
$$(f_k(x), g) \leq \text{dist} (f_k(x), y) \leq \text{diam} (\operatorname{Cl} U(k-1)_k) < \varepsilon$$
.

If $x \notin U(k-1)_k$, then $f_k(x) = x$, and we must consider the cases when diam $g_{k-1} < \varepsilon$ and when $g_{k-1} \subset N(g, \varepsilon)$ separately. If diam $g_{k-1} < \varepsilon$, then

dist
$$(f_k(x), g) \leq \text{dist}(x, y) \leq \text{diam } g_{k-1} < \varepsilon$$
.

If $g_{k-1} \subset N(g, \varepsilon)$, then

dist $(f_k(x), g) = \text{dist}(x, g) < \varepsilon$.

Hence we have shown that $g_k \subset N(g, \varepsilon)$.

As a result of Cases 1 and 2, we can conclude by induction that if $g \in G$, then diam $f(g) < \varepsilon$ or $f(g) \subset N(g, \varepsilon)$. Thus f is the required homeomorphism.

DEFINITION. A continuum K in E^3 is cellular if there is a sequence of 3-cells $\{C_i\}_{i=1}^{\infty}$ in E^3 such that $K = \bigcap_{i=1}^{\infty} C_i$ and $C_{i+1} \subset \operatorname{Int} C_i$ for $i = 1, 2, \cdots$.

COROLLARY. If K is a flexible dendrite in E^3 , then K is cellular.

Proof. Let G be an upper semicontinuous decomposition of E^{3} into continua with only countably many nondegenerate elements. By Theorem 2 of [4] if E^{3}/G is homeomorphic to E^{3} , then each element of G is cellular.

REMARK. For an example of a cellular dendrite which is not flexible consider the cellular arc A of Example 1.2 in [1]. This arc has only one wild point, an endpoint. To see that this arc is not flexible, consider another arc B in $E^3 - A$ such that A and B are equivalently embedded in E^3 under a space homeomorphism of E^3 . Let J be a tame arc in E^3 which joins the locally tame endpoint of A to the locally tame endpoint of B to form an arc $K = A \cup J \cup B$. If A is flexible, then by Theorem 2 the arc K is flexible. Hence Kis cellular. However, a cellular arc in E^3 cannot have isolated wild points for its endpoints [5, Theorem 10]. Thus A is not flexible.

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Received July 14, 1972 and in revised form December 11, 1972. This work is contained in the author's doctoral dissertation submitted to Indiana University, and was supported by NSF Traineeship GZ 2000. The author wishes to thank his advisor, Professor Arlo Schurle, for his assistance throughout the work.

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Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), 270, 3-chome Totsuka-cho. Shinjuku-ku, Tokyo 160, Japan

* C. R. DePrima California Institute of Technology, Pasadena, CA 91109, will replace J. Dugundji until August 1974.

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