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STRICTLY LOCAL SOLUTIONS OF DIOPHANTINE EQUATIONS

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For any system f of Diophantine equations, there exist positive integers $C(f)$, $D(f)$ with the following properties: For any nonnegative integer n , for any prime p , if v is the p -adic valuation, and if a vector x of integers satisfies the inequality

$$v(f(x)) > C(f)n + v(D(f))$$

then there is an algebraic p -adic integral solution y to the system f such that

$$v(x - y) > n.$$

This theorem is proved by techniques of algebraic geometry in the more general setting of Noetherian domains of characteristic zero. When f is just a single equation, the method of Birch and McCann gives an effective determination of $C(f)$ and $D(f)$.

Let R be a Noetherian integral domain, K its field of fractions. We will consider *Henselian discrete valuation rings* R_v (see [4]) containing R , where v is the valuation normalized so that $v(R_v)$ is the set of nonnegative integers (plus ∞). If $f = (f_1, \dots, f_r)$ is a system of r polynomials in s variables with coefficients in R , and x is an s -tuple with coordinates in an extension ring of R , we set $f(x) = (f_1(x), \dots, f_r(x))$. We define the valuation of an r -tuple (or s -tuple) to be the minimum of the valuations of its components.

THEOREM. *Assume R has characteristic zero. For each system f of polynomials with coefficients in R , there exists an integer $C(f) \geq 1$ and an element $D(f) \neq 0$ in R with the following property: For any Henselian discrete valuation ring R_v containing R , and any nonnegative integer n , if an s -tuple x with components in R satisfies the inequality*

$$(1) \quad v(f(x)) > C(f)n + v(D(f))$$

then there is a zero y of f in R_v such that

$$v(x - y) > n.$$

In particular, if R is the ring of algebraic integers in a number field, and we take $n = 0$, $S =$ set of primes dividing $D(f)$, then we recover Greenleaf's theorem [3] to the effect that if $p \notin S$, then every

zero of $f \bmod p$ may be refined to an actual zero of f in the p -adic integers — in fact, to an actual zero of f in the *algebraic* p -adic integers. The theorem above strengthens Greenleaf's result by giving information about the exceptional primes $p \in S$ and by providing a precise linear estimate of how close the actual zero y is to the approximate zero x . The hypothesis that R have characteristic zero is required by Greenleaf's counterexample ([3], p. 30).

Proof. Let $fR[X]$ be the ideal in the polynomial ring $R[X_1, \dots, X_s]$ generated by $f_1(X), \dots, f_r(X)$, and let V be the algebraic set in affine s -space over K which is the locus of zeroes of f .

Step 1. We may assume $fR[X]$ is equal to its own radical. For let g be a system of polynomials generating the radical, and suppose the m th power of the radical is contained in $fR[X]$. If $C(g)$, $D(g)$ are invariants for g , set

$$C(f) = mC(g), \quad D(f) = D(g)^m.$$

Then inequality (1) implies that for any polynomial $h \in fR[X]$, say $h = h_1 f_1 + \dots + h_r f_r$, we have

$$\begin{aligned} v(h(x)) &\geq \min_i [v(h_i(x)) + v(f_i(x))] \\ &\geq \min_i v(f_i(x)) = v(f(x)) > C(f)n + v(D(f)). \end{aligned}$$

In particular, for $h = g_j^m$, with g_j in g , we get

$$mv(g_j(x)) > m[C(g)n + v(D(g))] \quad \text{for all } j$$

so that there is a zero y of g in R_v such that

$$v(x - y) > n.$$

Since y is also a zero of f , we have found the invariants for f .

Step 2. Granted that $fR[X]$ is its own radical, we may further assume $fR[X]$ is a prime ideal. Otherwise, it is an intersection of finitely many prime ideals, so by induction on the number of these, we may assume $fR[X]$ is the intersection of two ideals generated by systems g, g' for which invariants $C(g)$, $C(g')$, $D(g)$, $D(g')$ have already been found. We set

$$\begin{aligned} C(f) &= \max(2C(g), 2C(g')) \\ D(f) &= D(g)^2 D(g')^2. \end{aligned}$$

Then for each $g_i \in g$ and $g'_j \in g'$, we have $g_i g'_j \in fR[X]$, so that as before, inequality (i) implies

$$v(g_i(x)) + v(g'_j(x)) \geq v(f(x)) > C(f)n + v(D(f)) .$$

Suppose that for one index j , $v(g'_j(x)) < 1/2 v(f(x))$. Fixing that j and letting i vary, we get $v(g_i(x)) > 1/2 v(f(x))$ for all indices i , so that

$$v(g(x)) > \frac{1}{2} [C(f)n + v(D(f))] .$$

By definition of $C(f)$ and $D(f)$, the term on the right is at least as big as $C(g)n + v(D(g))$, so that there is a zero y of g — a fortiori of f — in R_v such that $v(x - y) > n$. If, on the other hand, $v(g'(v)) \geq 1/2 v(f(x))$, the same argument gives a zero y of g' — a fortiori of f — in R_v such that $v(x - y) > n$.

Step 3. Assuming $fR[X]$ is a prime ideal, we proceed by induction on the dimension m of the irreducible K -variety V . If V is empty, let $D(f)$ be any nonzero constant in $fR[X]$, and let $C(f) = 1$. Then the inequality (1) is never satisfied for any n , v , and x , so the theorem is vacuously true. Assume now that V is nonempty and the theorem established in dimensions less than m . Let J be the Jacobian matrix of f , Δ the system of minors $\Delta_{(i)(j)}$ of order $s - m$ taken from J . Since the characteristic is zero, the locus of common zeros of Δ and f is a proper K -closed subset of V (the singular locus); by inductive hypothesis, there are invariants C' , D' for the system Δ plus f .

If (i) is a collection of $s - m$ indices $\leq r$, $f_{(i)}$ the corresponding system of $s - m$ polynomials taken out of f , let $V_{(i)}$ be the algebraic set of zeros of $f_{(i)}$ and let $W_{(i)}$ be the union of the K -irreducible components of $V_{(i)}$ which have dimension m and are different from V . Let $g_{(i)}$ be a system of generators for the ideal of $W_{(i)}$ in $R[X]$; by inductive hypothesis, there are invariants $C_{(i)}$, $D_{(i)}$ for the system $g_{(i)}$ plus f (since $V \cap W_{(i)}$ is its locus). The results of Zariski (Trans. A.M.S. 62 (1947), pp. 14 and 28-29) tell us that if x is a point of $V_{(i)}$ such that for some (j)

$$\Delta_{(i)(j)} \neq 0$$

then x lies on exactly one component of $V_{(i)}$, that component having dimension m .

We now set

$$C(f) = C' + \max \{C', C_{(k)} \text{ all } (k)\}$$

$$D(f) = (D')^2 \prod_{(k)} D_{(k)}$$

so that $v(D(f)) \geq v(D') + \max \{v(D'), v(D_{(k)}) \text{ all } (k)\}$. Assuming inequality (1), we then have three possibilities:

I. $v(\Delta(x)) > C'n + v(D')$. By inductive hypothesis, there is a singular zero y of f in R_v such that $v(x - y) > n$.

II. For some (i) , $v(g_{(i)}(x)) > C_{(i)}n + v(D_{(i)})$. By inductive hypothesis, there is a zero y of f in R_v (lying on $V \cap W_{(i)}$) such that $v(x - y) > n$.

III. For some (i) and (j) ,

$$v(\Delta_{(i)(j)}(x)) \leq C'n + v(D')$$

and for every (k) , there is a polynomial $\gamma_{(k)}$ in the system $g_{(k)}$ for which

$$v(\gamma_{(k)}(x)) \leq C_{(k)}n + v(D_{(k)}) .$$

By Hensel's Lemma, there is a zero y of the system $f_{(i)}$ in R_v such that

$$v(y - x) > \max \{C'n + v(D'), C_{(k)}n + v(D_{(k)}) \text{ all } k\} .$$

In that case $g_{(k)}(y) \neq 0$, for all (k) , since

$$v(\gamma_{(k)}(y)) = v(\gamma_{(k)}(x)) .$$

Thus $y \notin W_{(k)}$ for any (k) . As we also have

$$\Delta_{(i)(j)}(y) \neq 0$$

y must lie on V , so y is a zero of f .

Note 1. In the last part of the above argument we used a version of Hensel's Lemma which is a strengthening of Lemma 2, p. 63 of [2]. It says that if R_v is a Henselian discrete valuation ring with maximal ideal \mathfrak{m} , F a system of r polynomials in s variables with coefficients in R_v , $r \leq s$, J its Jacobian matrix, $x \in R_v^s$, $a \in R_v$ so that

$$F(x) \equiv 0 \pmod{ae^2\mathfrak{m}}$$

where $e = D(x)$, D being a minor of order r taken from J , then there exists $y \in R_v^s$ such that $F(y) = 0$ and

$$y \equiv x \pmod{ae\mathfrak{m}} .$$

(Since $h = v(a)$ is an arbitrary integer, we have applied this lemma by taking $F = f_{(i)}$ and

$$h = \max \{C'n + D', C_{(k)}n + D_{(k)} \text{ all } k\} - v(\Delta_{(i)(j)}(x))$$

in part III above.) The idea for proving this stronger Hensel's Lemma is the same as in [2], pp. 63-64, reducing to the case $r = s$, applying Taylor's formula to $F(aeX)$, obtaining $F(aeX) = aeJ(0)H(X)$, and if $y' \in \mathfrak{m}^s$ is zero of H as in Lemma 1 of [2], then $y = aey'$ is the zero we seek.

Note 2. Birch and McCann [1] proved the special case of the theorem where R is a *unique factorization domain*, and f is a single polynomial (in several variables). Their method has the advantage of providing an *effective* (but impractical) method of calculating $D(f)$ when f is a single polynomial. If f involves s variables, they use the notation $D_s(f)$ because their invariant is constructed by induction on s . They omit the definition of $C_s(f) = C(f)$, which can be given inductively on s as follows: If $s = 1$, $C_1(f) = d(f)$, where $d(f)$ is the degree of f . If $s > 1$, denote by f_i the polynomial f regarded as having coefficients in $R[X_i]$ and involving the other $s - 1$ variables. Then

$$C_s(f) = \max_{1 \leq i \leq s} \{C_{s-1}(f_i) + d(D_{s-1}f_i)\}$$

with $d(D_{s-1}f_i)$ being the degree in X_i of $D_{s-1}f_i \in R[X_i]$.

The proof by Birch and McCann then goes by induction on s . However, there is an error in the inductive step (their equation $D_{n-1}(\phi) = g_1(a_1)$ does not always hold, as is shown by the polynomial $f(X_1, X_2) = X_2^2 - X_1^2$, with $a_1 = 0$, where $g_1(a_1) = 0$ while $D_1(\phi) = 1$). This error can be rectified by proving the following result and its corollary, since the inequality in the corollary is all they really need for their argument.

SPECIALIZATION THEOREM. *Let R be a unique factorization domain of characteristic zero. Given $f \in R[X_0, X_1, \dots, X_s]$ and $a_0 \in R$. Denote by a bar the specialization obtained by substituting a_0 for X_0 . Let \bar{f}_0 be f regarded as a polynomial in the variables X_1, \dots, X_s with coefficients in $R[X_0]$. Let $D_s f_0 \in R[X_0]$ and $D_s \bar{f}_0 \in R$ be the invariants defined by Birch-McCann. If*

$$\overline{D_s f_0} \neq 0$$

then $\overline{D_s f_0}$ is divisible by $D_s \bar{f}_0$ and they have the same irreducible factors.

COROLLARY. *For any valuation v nonnegative on R ,*

$$v(D_s \bar{f}_0) \leq v(\overline{D_s f_0}).$$

2. Proof of the specialization theorem and the main theorem for the invariant of Birch-McCann. Recall how $D_s(f)$ is defined: For any polynomial g in one variable, $A(g)$ is the leading coefficient of g , $d(g)$ is its degree, and

$$rg = g/(g, g')$$

where (g, g') is the greatest common divisor of g and its derivative g' . Thus rg is the primitive polynomial having the same roots as g but all taken with multiplicity one. $\Delta(g)$ is the discriminant of g ; if g has the linear factorization

$$g(X) = A(g) \prod_{i=1}^d (X - \alpha_i)$$

then

$$\Delta(g) = A^{2(d-1)} \prod_{i < j} (\alpha_i - \alpha_j)^2.$$

Suppose f is a polynomial in s variables X_1, \dots, X_s and g_i is a polynomial in X_i only. Let $d(g_i) = d_i$, and let α_{ij} , with $1 \leq j \leq d_i$, be the roots of g_i counted with their multiplicities. Then the *eliminant* $E(Z) = E(f; g_1, \dots, g_s)(Z)$ is the polynomial in Z of degree $d(E) = \prod d_i$ given by

$$E(Z) = \prod_i A(g_i)^{d(E)d(f)/d_i} \prod_{(j)} \{Z - f(\alpha_{1j_1}, \dots, \alpha_{sj_s})\}.$$

Inductively, $D_s(f)$ is then defined as follows: If $s = 1$, $D_1(f) = A(f)^{(d-1)d^2} \Delta(rf)^d$. If $s > 1$, set $g_i = D_{s-1}(f_i)$, where f_i has been defined before as f regarded as a polynomial in the $s-1$ variables other than X_i ; let E be $E(f; g_1, \dots, g_s)$. Then

$$D_s(f) = \begin{cases} \prod_i D_1(g_i) \{A(E)^{d(E)} E(0)\}^{d(g_i)} & \text{if } E(0) \neq 0 \\ \prod_i D_1(g_i) D_1(E)^{d(g_i)} & \text{if } E(0) = 0. \end{cases}$$

We will prove the Specialization Theorem by induction on s .

Case $s = 1$. Let $f_0(X_1) = A(f_0)X_1^d + \dots$, and let $(rf_0)(X_1) = A(rf_0)X_1^{\delta} + \dots$, so that $\delta \leq d$ and $A(rf_0)$ divides $A(f_0)$. Since by hypothesis $\overline{D_1 f_0} \neq 0$, we have $\overline{A(f_0)} \neq 0$, so $\overline{A(f_0)} = A(\overline{f_0})$ and $\overline{f_0}$ has the same degree d in X_1 . Also $\overline{\Delta(rf_0)} = \Delta(\overline{rf_0}) \neq 0$, so $\overline{rf_0}$ has the same degree δ and only simple roots, but may not be primitive. Let c be the greatest common divisor of the coefficients of $\overline{rf_0}$; then $\overline{rf_0} = c(\overline{rf_0})$. Now $\Delta(\overline{rf_0})$ is homogeneous of degree $2(\delta-1)$ in the coefficients of $\overline{rf_0}$. Thus

$$\overline{D_1 f_0} = A(\overline{f_0})^{(d-1)d^2} \Delta(c(\overline{rf_0}))^d = c^{2d(\delta-1)} D_1 \overline{f_0}.$$

The theorem then follows from the fact that c divides $A(\overline{rf_0})$ which divides $A(\overline{f_0})$ which divides $D_1 \overline{f_0}$.

To carry out the induction, we will need to strengthen our result for $s = 1$ with the following lemma.

LEMMA 1. *Let g, h be polynomials in one variable Y which satisfy*

$$g = c_1^{k_1} \cdots c_s^{k_s} h$$

with each c_i dividing h , and $k_i \geq 1$. Then $D_1 g$ and $D_1 h$ satisfy the same type of relationship:

$$D_1 g = C_1^{m_1} \cdots C_t^{m_t} D_1 h$$

with each C_i dividing $D_1 h$.

Proof. Let $e = \text{degree } h$, $\gamma_i = \text{degree } c_i$, so that $\text{degree } g = \varepsilon = e + \sum_i k_i \gamma_i$, and

$$A(g) = A(c_1)^{k_1} \cdots A(c_s)^{k_s} A(h).$$

Since each c_i divides h , g , and h have the same irreducible factors, so that $rg = rh$. Hence

$$D_1 g = A(g)^{(\varepsilon-1)\varepsilon^2} \Delta(rg)^\varepsilon = \left(\prod_i A(c_i)^{k_i} \right)^{(\varepsilon-1)\varepsilon^2} A(h)^{(\varepsilon-1)\varepsilon^2} \Delta(rh)^\varepsilon.$$

Now $D_1 h = A(h)^{(\varepsilon-1)\varepsilon^2} \Delta(rh)^\varepsilon$, and if we write $(\varepsilon-1)\varepsilon^2 = (e-1)e^2 + m$ we get

$$D_1 g = \left(\prod_i A(c_i)^{k_i} \right)^{(\varepsilon-1)\varepsilon^2} A(h)^m \Delta(rh)^{\varepsilon-e} D_1 h.$$

Since $A(c_i)$, $A(h)$, $\Delta(rh)$ each divide $D_1 h$, the lemma is proved.

The inductive step: By definition,

$$D_s f_0 = \sum_{i=1}^s D_1(g_i) M^{d(g_i)}$$

$$D_s \bar{f}_0 = \sum_{i=1}^s D_1(g_i^*) M^{*d(g_i^*)}$$

where $g_i = D_{s-1} f_{0i}$, f_{0i} being f_0 regarded as a polynomial in the variables X_j with $j \neq i$, $j \geq 1$ (so that the coefficients of f_{0i} are polynomials in X_0 and X_i); $g_i^* = D_{s-1}(\bar{f}_0)_i$ is defined similarly. Also,

$$M = \begin{cases} A(E)^{d(E)} E(0) & \text{if } E(0) \neq 0 \\ D_1(E) & \text{if } E(0) = 0 \end{cases}$$

where $E = E(f_0; g_1, \dots, g_s)$; and

$$M^* = \begin{cases} A(E^*)^{d(E^*)} E^*(0) & \text{if } E^*(0) \neq 0 \\ D_1(E^*) & \text{if } E^*(0) = 0 \end{cases}$$

where $E^* = E(\bar{f}_0; g_1^*, \dots, g_s^*)$. Our hypothesis is $\overline{D_s f_0} \neq 0$, so that $\overline{D_1(g_i)} \neq 0$ for all i and $\bar{M} \neq 0$.

Since $\bar{g}_i \neq 0$ (because $\overline{A(g_i)}$, which is a factor of $\overline{D_1 g_i}$, is not zero), and $\bar{f}_{0i} = (\bar{f}_0)_i$, the inductive hypothesis provides us with $c_i \in R[X_i]$ such that

$$\overline{g_i} = c_i g_i^*$$

with each irreducible factor of c_i being a factor of g_i^* . By Lemma 1,

$$D_1 \overline{g_i} = C_i D_1 g_i^*$$

with each irreducible factor of C_i dividing $D_1 g_i^*$. The step $n = 1$ already proved yields

$$\overline{D_1 g_i} = B_i D_1 \overline{g_i}$$

with each irreducible factor of B_i dividing $D_1 \overline{g_i}$. Combining gives

$$\overline{D_1 g_i} = B_i C_i D_1 g_i^*$$

so that $\overline{D_1 g_i}$ and $D_1 g_i^*$ have the same irreducible factors.

The condition $\overline{A(g_i)} \neq 0$ implies $d(g_i) = d(\overline{g_i})$, and since g_i^* divides $\overline{g_i}$, $d(\overline{g_i}) \geq d(g_i^*)$. As

$$\overline{D_s f_0} = \prod_{i=1}^s \overline{D_1 g_i} \overline{M}^{d(g_i)}$$

the theorem will be proved if we can show M^* divides \overline{M} and they have the same irreducible factors.

\overline{M} is the specialization of M and is given by the same formula as M with the specialization \overline{E} of E taking the place of E . Now the function E , like Δ , commutes with specialization, so we have

$$\overline{E} = E(\overline{f_0}; \overline{g_1}, \dots, \overline{g_s}) = E(f_0; c_1 g_1^*, \dots, c_s g_s^*).$$

Notice also that if $E(0) \neq 0$ so $M = A(E)^{d(E)} E(0)$, $\overline{M} \neq 0$ implies $\overline{A(E)} \neq 0$, so $\overline{A(E)} = A(\overline{E})$, and $\overline{E(0)} \neq 0$, so $\overline{E(0)} \neq 0$. On the other hand, if $E(0) = 0$, then $M = D_1(E)$, and $\overline{M} \neq 0$ implies again $\overline{A(E)} \neq 0$, so again $\overline{A(E)} = A(\overline{E})$ and $d(E) = d(\overline{E})$.

The problem reduces to examining the relation between $\overline{E} = E(\overline{f_0}; c_1 g_1^*, \dots, c_s g_s^*)$ and $E^* = E(\overline{f_0}; g_1^*, \dots, g_s^*)$ given that every root of c_i is a root of g_i^* .

Note first that $A(E^*) = \prod_i A(g_i^*)^{\delta_i d(\overline{f_0})}$, where $\delta_i = \prod_{j \neq i} d(g_j^*)$. If $\varepsilon_i = \prod_{j \neq i} (d(g_j^*) + d(c_j))$, then write $\varepsilon_i = \delta_i + \gamma_i$, so that

$$A(\overline{E}) = A(E^*) \prod_i A(c_i)^{\varepsilon_i d(\overline{f_0})} A(g_i^*)^{\gamma_i d(\overline{f_0})}.$$

Since every irreducible factor of c_i is an irreducible factor of g_i^* , every irreducible factor of $A(c_i)$ is an irreducible factor of $A(g_i^*)$, so the above expression shows that $A(\overline{E})$ and $A(E^*)$ have the same irreducible factors.

Thus in the case where $M = A(E)^{d(E)} E(0)$, we are reduced to proving that $\overline{E(0)}$ is divisible by $E^*(0)$ and they have the same irreducible factors. This will follow from the formula

$$E(f; gh, g_2, \dots, g_s) = E(f; g, g_2, \dots, g_s)E(f; h, g_2, \dots, g_s)$$

whose proof is an easy exercise. From this formula we see that the constant term of $E(f; g_1, g_2, \dots, g_s)$ is just a product of the constant terms of the various $E(f; p_1, p_2, \dots, p_s)$, where p_i runs through the irreducible factors of g_i for each $i = 1, \dots, s$. Hence $\bar{E}(0)$ is divisible by $E^*(0)$ with the same irreducible factors.

Consider finally the case where $M = D_1(E)$. Since \bar{E} is divisible by E^* with the same irreducible factors, it follows from Lemma 1 that $D_1(\bar{E})$ is divisible by $D_1(E^*)$ with the same irreducible factors. The proof for the case $s = 1$ showed that $\overline{D_1(E)}$ is divisible by $D_1(\bar{E})$ with the same irreducible factors.

Thus in both cases \bar{M} is divisible by M^* with the same irreducible factors.

Having demonstrated the Specialization Theorem, we can now prove that the Birch-McCann invariant $D_s(f)$ and the other invariant $C_s(f)$ defined inductively by

$$C_1(f) = d(f) \quad \text{if } s = 1$$

$$C_s(f) = \max_{1 \leq i \leq s} \{C_{s-1}(f_i) + d(D_{s-1}f_i)\}$$

satisfy our main theorem, if R is a unique factorization domain.

Proof. For $s = 1$ this is Birch-McCann's Theorem with $\underline{\mathbb{Z}}$ and \mathfrak{o}_p replaced by R and R_v . The proof goes over word-for-word because v has a unique extension to the algebraic closure of the field of fractions of R_v (as follows from Nagata, *Local Rings*, statement (30.5), p. 105). Notice also that in this case ($s = 1$), the zero $y = b$ is *unique*.

For $s > 1$, we proceed by induction on s . Take $f \in R[X_0, X_1, \dots, X_s]$, $a \in R^{s+1}$, and let $\bar{f}_0(X_1, \dots, X_s) = f(a_0, X_1, \dots, X_s)$, and similarly denote throughout by a bar the result of substituting a_0 for X_0 . Now $D_s f_0 \in R[X_0]$ so can be written $g_0(X_0)$. Suppose

$$v(f(a)) > C_s(\bar{f}_0)n + v(D_s \bar{f}_0).$$

Then the inductive hypothesis gives us a zero $b \in R_v^s$ of \bar{f}_0 such that $v(a_i - b_i) > n$ for $i = 1, \dots, s$; hence (a_0, b_1, \dots, b_s) is the required zero for f . Otherwise

$$v(f(a)) \leq C_s(\bar{f}_0)n + v(D_s \bar{f}_0).$$

In this inequality we propose to replace $C_s(\bar{f}_0)$ by $C_s(f_0)$ and $D_s \bar{f}_0$ by $\overline{D_s f_0} = g_0(a_0)$. If $g_0(a_0) = 0$, we get infinity on the right side. So

suppose $g_0(a_0) \neq 0$. Then by the corollary to the Specialization Theorem, $v(D_s \bar{f}_0) \leq v(\bar{D}_s \bar{f}_0) = v(g_0(a_0))$. We need

Addendum to Specialization Theorem. Under the same hypotheses, $C_s(\bar{f}_0) \leq C_s(f_0)$.

Proof by induction on s : For $s = 1$, C_1 is just the degree in the variable X_1 , which stays the same or decreases under specialization. Assume the result for $s - 1$. Then $C_{s-1}(\bar{f}_{0i}) \leq C_{s-1}(f_{0i})$ for all $i = 1, \dots, s$. In the notation of the proof of the Specialization Theorem, $\overline{D_{s-1} f_{0i}} = \bar{g}_i = c_i g_i^* = c_i D_{s-1} \bar{f}_{0i}$, so that

$$d(D_{s-1} \bar{f}_{0i}) \leq d(\bar{g}_i) = d(g_i) = d(D_{s-1} f_{0i}).$$

So by definition of C_s , $C_s(\bar{f}_0) \leq C_s(f_0)$, proving the addendum.

We have thus obtained, arguing with respect to any other variable X_i as we have for X_0 , the inequality

$$(2) \quad v(f(a)) \leq C_s(f_i)n + v(g_i(a_i))$$

for all $i = 0, 1, \dots, s$. Combining with our hypothesis (1) on $v(f(a))$, with $a = x$, we obtain

$$(3) \quad [C_{s+1}(f) - C_s(f_i)]n + v(D_{s+1}f) < v(g_i(a_i))$$

for all $i = 0, 1, \dots, s$, where by definition of $C_{s+1}(f)$, the coefficient of n in the left side is nonnegative, hence

$$(4) \quad v(D_{s+1}f) < v(g_i(a_i))$$

for all $i = 0, 1, \dots, s$.

Arguing exactly as in Birch-McCann, we next show that inequality (4) implies that for every root $\alpha = (\alpha_0, \dots, \alpha_s)$ of (g_0, \dots, g_s) such that $v(a - \alpha) > v(M)$ — and there exist such roots by (4) and the theorem for 1 variable applied $s + 1$ times — we must have $f(\alpha) = 0$. Thus $E(0) = 0$, and hence $M = D_1(E)$.

By definition of C_{s+1} , the coefficient of n in inequality (3) is at least equal to $d(g_i)$, and by definition of D_{s+1} , we have $v(D_{s+1}f) \geq v(D_i g_i)$ for all i . So we can apply the theorem for one variable to obtain a unique zero α_i of g_i such that $v(a_i - \alpha_i) > n$, for each $i = 0, 1, \dots, s$.

Applying the definition of D_{s+1} again and using inequality (4), we obtain

$$d(g_i)v(M) + v(D_i g_i) < v(g_i(a_i))$$

for all i , hence by the theorem for one variable again there is a

unique zero β_i of g_i in R_v such that $v(a_i - \beta_i) > v(M)$ for each i . Define

$$\gamma_i = \begin{cases} \alpha_i & \text{if } n \geq v(M) \\ \beta_i & \text{if } n \leq v(M) . \end{cases}$$

Then, as remarked before, we must have $f(\gamma) = 0$, which proves the theorem.

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