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**THE NONMINIMALITY OF THE DIFFERENTIAL CLOSURE**

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**The differential closure of a given ordinary differential field  $k$  is characterized to within (differential)  $k$ -isomorphism as a differentially closed (differential) extension field  $\hat{k}$  of  $k$  which is  $k$ -isomorphic to a subfield of any differentially closed extension field of  $k$ . It has been conjectured that, in analogy to the cases of the algebraic closure of a field and the real closure of an ordered field, the differential closure of any differential field  $k$  is minimal, that is, not  $k$ -isomorphic to a proper subfield of itself. The conjecture is here shown to be false.**

Let  $k$  be a differential field (ordinary, that is with one specified derivation) of characteristic zero and let  $k\{y\}$  be the differential ring of differential polynomials over  $k$  in the differential indeterminate  $y$ . Recall that the *order* of a nonzero differential polynomial in  $k\{y\}$  is simply the smallest integer  $r \geq -1$  such that the differential polynomial involves none of the derivatives  $y^{(r+1)}, y^{(r+2)}, \dots$ . According to Lenore Blum's definition,  $k$  is *differentially closed* if, for any  $f, g \in k\{y\}$  with  $g$  of smaller order than  $f$ , there is a zero of  $f$  in  $k$  that is not a zero of  $g$ . For any differential field  $k$ , a *differential closure* of  $k$  is a differential extension field  $\hat{k}$  of  $k$  that is differentially closed and that can be  $k$ -embedded in any differentially closed differential extension field of  $k$ . Blum has used the methods of model theory to show the existence of  $\hat{k}$  and to derive a number of its properties [2], appreciably extending and simplifying a theory initiated by Abraham Robinson [5]. The uniqueness of  $\hat{k}$  to within differential  $k$ -isomorphism follows from a recent result of Shelah [7]. The differential closure  $\hat{k}$  of  $k$  is called *minimal* if there is no (differential)  $k$ -isomorphism of  $\hat{k}$  with a proper subfield of itself. One of the unsolved problems of the theory has been to determine whether or not  $\hat{k}$  is always minimal. Sacks has conjectured [6] that  $\hat{k}$  is minimal over  $k$  in the special case  $k = \mathbb{Q}$ . It is proved here, among other things, that this conjecture is false. It was learned after the completion of this paper that this result has also been proved by Kolchin [4] and announced by Shelah [8]. The author is greatly indebted to Lenore Blum for calling his attention to the problem and for numerous conversations on her work.

We begin by recalling some facts outlined in a recent paper of Ax [1]. Let  $k \subset K$  be fields. There is a  $K$ -module  $\Omega_{K/k}^1$ , the space of differential forms of degree one of  $K/k$ , and a  $k$ -linear map  $d: K \rightarrow \Omega_{K/k}^1$  such that  $d(xy) = xdy + ydx$  for all  $x, y \in K$  (and these can be

constructed just by insisting on universality for these properties) which is the usual dual space of the  $K$ -module of  $k$ -derivations of  $K$ , a vector space over  $K$  of dimension  $\text{tr. deg. } K/k$  if the latter is finite and the field characteristic is zero. For any derivation  $D$  of  $K$  such that  $Dk \subset k$ , there is a map  $D^1: \Omega_{K/k}^1 \rightarrow \Omega_{K/k}^1$  (most easily constructed using the universal properties of  $\Omega_{K/k}^1$ ) which is characterized by the following properties: for all  $\omega, \eta \in \Omega_{K/k}^1$  and all  $f \in K$  we have  $D^1(\omega + \eta) = D^1\omega + D^1\eta$ ,  $D^1(f\omega) = (Df)\omega + f(D^1\omega)$ ,  $D^1(df) = d(Df)$ .

The following generalizes a lemma in Ax's paper [1, Lemma 3].

**LEMMA 1.** *Let  $k \subset K$  be fields of characteristic zero,  $D$  a derivation of  $K$  such that  $Dk \subset k$ ,  $C$  the  $D$ -constants of  $k$ ,  $u$  and  $t$  elements of  $K$  that are algebraically dependent over  $C$ . Consider the  $k$ -differential of  $K$  given by  $udt$ . Then  $D^1(udt) = d(uDt)$ .*

For  $D^1(udt) = (Du)dt + udDt$ , while  $d(uDt) = (Dt)du + udDt$ , so we have to show that  $(Du)dt = (Dt)du$ . Let  $U, T$  be indeterminates over  $C$  and let  $F(U, T) \in C[U, T]$  be an irreducible polynomial such that  $F(u, t) = 0$ . If  $u$  is transcendental over  $C$  then  $t$  is algebraic over  $C(u)$  and  $F(u, T)$  is irreducible over  $C(u)$ , so that  $(\partial F / \partial T)(u, t) \neq 0$ . Similarly if  $t$  is transcendental over  $C$  then  $(\partial F / \partial U)(u, t) \neq 0$ . The relation  $(Du)dt = (Dt)du$  follows from the equations

$$\begin{aligned} \frac{\partial F}{\partial U}(u, t)du + \frac{\partial F}{\partial T}(u, t)dt &= 0, \\ \frac{\partial F}{\partial U}(u, t)Du + \frac{\partial F}{\partial T}(u, t)Dt &= 0 \end{aligned}$$

unless  $(\partial F / \partial U)(u, t)$  and  $(\partial F / \partial T)(u, t)$  are both zero, which can happen only if  $u$  and  $t$  are both algebraic over  $C$ , in which case both  $du$  and  $dt$  are zero.

**PROPOSITION 1.** *Let  $k$  be a differential field of characteristic zero,  $C$  its field of constants,  $x$  an indeterminate over  $C$ , and  $f(x)$  a nonzero element of  $C(x)$  such that  $1/f(x)$  has the form*

$$\frac{1}{f(x)} = \sum_{i=1}^n c_i \frac{\partial u_i(x)/\partial x}{u_i(x)} + \frac{\partial v(x)}{\partial x},$$

where  $c_1, \dots, c_n \in C$  and  $u_1(x), \dots, u_n(x), v(x) \in C(x)$ . Let  $x_1, x_2$  be elements of a differential extension field of  $k$  whose constants are all algebraic over  $k$ , each of  $x_1, x_2$  being a solution of the differential equation  $x' = f(x)$ , and suppose that  $x_1, x_2$  are algebraically dependent over  $k$ . Then either  $x_1$  or  $x_2$  is algebraic over  $k$  or  $(v(x_1))' = (v(x_2))'$ .

The field  $K = k(x_1, x_2)$  is a differential extension field of  $k$ , so for  $j = 1, 2$  we may apply the Lemma to  $dx_j/f(x_j) \in \Omega_{K/k}^1$  and  $D = '$  to get

$$D^1\left(\frac{dx_j}{f(x_j)}\right) = d\left(\frac{Dx_j}{f(x_j)}\right) = D(1) = 0.$$

Assuming that neither  $x_1$  nor  $x_2$  is algebraic over  $k$ , each  $dx_j/f(x_j)$  is a nonzero element of the one-dimensional  $K$ -module  $\Omega_{K/k}^1$ , so that we can write  $dx_2/f(x_2) = c dx_1/f(x_1)$ , for some nonzero  $c \in K$ . Hence

$$0 = D^1\left(\frac{dx_2}{f(x_2)}\right) = D^1\left(c \frac{dx_1}{f(x_1)}\right) = (Dc) \frac{dx_1}{f(x_1)} + c D^1\left(\frac{dx_1}{f(x_1)}\right) = (Dc) \frac{dx_1}{f(x_1)},$$

so that  $Dc = 0$ . Thus  $c$  is a constant of  $K$ , hence, by assumption, algebraic over  $k$ . Now for  $j = 1, 2$ ,

$$\frac{dx_j}{f(x_j)} = \sum_{i=1}^n c_i \frac{\frac{\partial u_i}{\partial x}(x_j)}{u_i(x_j)} dx_j + \frac{\partial v}{\partial x}(x_j) dx_j = \sum_{i=1}^n c_i \frac{du_i(x_j)}{u_i(x_j)} + dv(x_j),$$

so that

$$\sum_{i=1}^n c_i \frac{du_i(x_2)}{u_i(x_2)} + dv(x_2) = c \left( \sum_{i=1}^n c_i \frac{du_i(x_1)}{u_i(x_1)} + dv(x_1) \right).$$

From the well-known fact that a linear combination with constant coefficients of normal differentials of third kind can be exact only if it is zero (cf. [1, Prop. 2], which generalizes the usual residue considerations) we deduce

$$\sum_{i=1}^n c_i \frac{du_i(x_2)}{u_i(x_2)} = \sum_{i=1}^n c_i \frac{du_i(x_1)}{u_i(x_1)}, \quad dv(x_2) = c dv(x_1).$$

Thus

$$\begin{aligned} (v(x_2))' &= \frac{\partial v}{\partial x}(x_2) x_2' = \frac{\partial v}{\partial x}(x_2) f(x_2) = \frac{dv(x_2)}{dx_2/f(x_2)} = \frac{cdv(x_1)}{c(dx_1/f(x_1))} \\ &= \frac{dv(x_1)}{dx_1/f(x_1)} = (v(x_1))'. \end{aligned}$$

Note that if  $C$  is algebraically closed, then any element of  $C(x)$  can be written in the form prescribed for  $1/f(x)$  in Proposition 1, as is seen by looking at partial fractions with respect to  $C[x]$ . Note also that since  $(v(x_j))' = (\partial v/\partial x)(x_j) x_j' = (\partial v/\partial x)(x_j) f(x_j)$ ,  $j = 1, 2$ , the conclusion of Proposition 1 can be written

$$\left(\frac{\partial v}{\partial x}(x_1)\right)^{-1} \sum_{i=1}^n c_i \frac{\frac{\partial u_i}{\partial x}(x_1)}{u_i(x_1)} = \left(\frac{\partial v}{\partial x}(x_2)\right)^{-1} \sum_{i=1}^n c_i \frac{\frac{\partial u_i}{\partial x}(x_2)}{u_i(x_2)}.$$

REMARK. The condition in Proposition 1 that  $x_1$  and  $x_2$  be elements of a differential extension field of  $k$  whose constants are algebraic over  $k$  will certainly be satisfied if all the constants of  $k(x_1, x_2)$  are algebraic over  $C$ , and this latter condition will automatically hold for most  $f(x)$  of interest, in virtue of Lemma 2 and Proposition 2 below. For the same reason, the condition on constants in the following Corollary is superfluous. But we do not need this information for the nonminimality proof.

COROLLARY. *Let  $k$  be a differential field of characteristic zero, and suppose that  $x_1, x_2$  are elements of a differential extension field of  $k$  whose constants are all algebraic over  $k$ , both  $x_1$  and  $x_2$  being solutions of the differential equation  $x' = f(x)$ , where  $f(x)$  is either  $x/(x+1)$  or  $x^3 - x^2$ . Then if  $x_1$  and  $x_2$  are algebraically dependent over  $k$ , either  $x_1$  or  $x_2$  is algebraic over  $k$ , or  $x_1 = x_2$ .*

First note that Proposition 1 is applicable since  $1/f(x)$  is of the correct form, namely either

$$\frac{x+1}{x} = \frac{1}{x} + 1 = \frac{\partial x/\partial x}{x} + \frac{\partial x}{\partial x}$$

or

$$\frac{1}{x^3 - x^2} = \frac{1}{x-1} - \frac{1}{x} - \frac{1}{x^2} = \frac{\frac{\partial}{\partial x}\left(\frac{x-1}{x}\right)}{(x-1)/x} + \frac{\partial}{\partial x}\left(\frac{1}{x}\right).$$

For  $j = 1, 2$ , in the case  $f(x) = x/(x+1)$  we have  $(v(x_j))' = x_j' = x_j/(x_j+1)$ , while in the case  $f(x) = x^3 - x^2$  we have  $(v(x_j))' = (1/x_j)' = -x_j'/x_j^2 = 1 - x_j$ , so the Corollary follows directly from the Proposition.

Now let  $C$  be a differential field of constants. We shall show that its differential closure  $\hat{C}$  is not minimal over  $C$ . Let  $x$  be an indeterminate over  $C$ ,  $f(x)$  a nonzero element of  $C(x)$ . For any  $x_1, x_2, \dots, x_n$  in  $\hat{C}$ , the differential equation  $y' = f(y)$  has at least one solution in  $\hat{C}$  not annulling  $(y - x_1)(y - x_2) \cdots (y - x_n)$ . Hence the differential equation  $y' = f(y)$  has an infinity of solutions in  $\hat{C}$ . Since there are only a finite number of constant solutions of  $y' = f(y)$ , namely the zeros of  $f(y)$ , we can find distinct nonconstant elements  $x_1, x_2, \dots$  of  $\hat{C}$  such that  $x_i' = f(x_i)$  for all  $i = 1, 2, \dots$ . We claim that in either of the special cases  $f(x) = x/(x+1)$  or  $f(x) = x^3 - x^2$ , the set  $\{x_1, x_2, \dots\}$  is a set of indiscernibles over  $C$  (or, in the terminology of Sacks [4], a set of *conjugates over  $C$* ) and this fact will prove the nonminimality of  $\hat{C}$  over  $C$  [6, p. 633]. What has to be shown is that for any  $n = 1, 2, \dots$  and any distinct positive integers  $i_1, \dots, i_n$ , the differential isomorphism class of  $(x_{i_1}, \dots, x_{i_n})$  over  $C$  is

independent of the choice of  $i_1, \dots, i_n$ . Since  $x'_i = f(x_i)$ ,  $i = 1, 2, \dots$ , it suffices to prove that the algebraic isomorphism class of  $(x_{i_1}, \dots, x_{i_n})$  over  $C$  is independent of the choice of  $i_1, \dots, i_n$ , which will certainly be true if  $x_{i_1}, \dots, x_{i_n}$  are always algebraically independent over  $C$ . Hence we are reduced to proving that  $x_1, x_2, \dots$  are algebraically independent over  $C$ . As a preliminary, note that the constants of  $C(x_1, x_2, \dots)$  are among the constants of  $\hat{C}$ , which are precisely the algebraic closure  $\bar{C}$  of  $C$ , an easy consequence of Blum's theory [2]. We now assume that for a certain  $n = 1, 2, \dots$ , the elements  $x_1, x_2, \dots, x_n$  are algebraically dependent over  $C$ , and we have to derive a contradiction. Taking  $n$  minimal and changing our notation, if necessary, we may assume that no proper subset of  $\{x_1, \dots, x_n\}$  is algebraically dependent over  $C$ . If  $n > 1$ , then  $x_{n-1}$  and  $x_n$  are algebraically dependent over the differential field  $C(x_1, \dots, x_{n-2})$  and are distinct solutions of the differential equation  $x' = f(x)$ , so the previous Corollary implies that either  $x_{n-1}$  or  $x_n$  is algebraic over  $C(x_1, \dots, x_{n-2})$ , a contradiction of the minimality of  $n$ , while if  $n = 1$  we have  $x_1$  algebraic over  $C$ , therefore a constant, again a contradiction. This proves that  $x_1, x_2, \dots$  are algebraically independent over  $C$ , and hence that  $\hat{C}$  is not minimal over  $C$ .

It is of interest to generalize somewhat the argument of the preceding paragraph. Let  $k$  be any differential field of characteristic zero and let  $x_1, x_2, \dots, x_n$  be distinct elements of a differential extension field of  $k$ , none algebraic over  $k$ , such that for each  $i = 1, \dots, n$  we have  $x'_i = f(x_i)$ , where  $f(x)$  is either  $x/(x+1)$  or  $x^3 - x^2$ . Then  $x_1, \dots, x_n$  are algebraically independent over  $k$  and the constant subfields of  $k(x_1, \dots, x_n)$  and of  $k$  are the same. To see this, we use the argument of the preceding paragraph, supplemented by Lemma 2 and Proposition 2 below. The Remark following Proposition 1 enables us to follow the above proof literally to get  $x_1, \dots, x_n$  algebraically independent over  $k$ , after which the equality of the constant subfields of  $k(x_1, \dots, x_n)$  and of  $k$  is a direct consequence of Proposition 2.

**LEMMA 2.** *Let  $K$  be a differential field, algebraic over its differential subfield  $k$ . Then the constants of  $K$  are algebraic over the subfield of constants of  $k$ .*

For let  $c$  be a constant of  $K$ , let  $n = [k(c):k]$ , and pick  $a_1, \dots, a_n \in k$  such that  $c^n + a_1 c^{n-1} + \dots + a_n = 0$ . Differentiation gives  $a'_1 c^{n-1} + \dots + a'_n = 0$ , from which we deduce that each  $a'_i = 0$ , so each  $a_i$  is a constant of  $k$ .

**LEMMA 3.** *Let  $k \subset K$  be differential fields of characteristic zero,*

$C \subset \mathcal{C}$  their respective subfields of constants, and suppose that  $k$  is algebraically closed in  $K$  and that  $K$  is a finite field extension of  $k$  of transcendence degree one. Then if  $C \neq \mathcal{C}$ ,  $C$  is algebraically closed in  $\mathcal{C}$  and  $\mathcal{C}$  is a finite field extension of  $C$  of transcendence degree one of genus at most that of  $K/k$ .

Start the proof by noting that since  $C = k \cap \mathcal{C}$  and  $k$  is algebraically closed in  $K$ , we have  $C$  algebraically closed in  $\mathcal{C}$ . Suppose that  $C \neq \mathcal{C}$  and let  $t \in \mathcal{C}$ ,  $t \notin C$ . Then  $t$  is transcendental over  $C$ , and indeed over  $k$ . If also  $u \in \mathcal{C}$ , then  $t$  and  $u$  are algebraically dependent over  $k$ , so there exists an irreducible  $f(T, U) \in k[T, U]$ ,  $T$  and  $U$  being indeterminates over  $k$ , such that  $f(t, u) = 0$ . The minimal polynomial of  $u$  over  $k(t)$  is  $f(t, U)$ , up to a factor in  $k(t)$ , and  $f(T, U)$  is unique, up to a factor in  $k$ , with the degree in  $U$  of  $f(T, U)$  at most  $[K: k(t)]$ . Let  $f(T, U) = \sum_{i,j} a_{ij} t^i u^j$ , with each  $a_{ij} \in k$ , and with at least one of the  $a_{ij}$ 's equal to 1. Applying the derivation  $D$  of  $K$ , we get  $\sum_{i,j} (Da_{ij}) t^i u^j = 0$ . Now  $\sum_{i,j} (Da_{ij}) T^i U^j$  must equal a multiple of  $f(T, U)$ , necessarily by an element of  $k$ , and this element of  $k$  must be 0 since one of the  $a_{ij}$ 's is 1. Thus  $Da_{ij} = 0$  for all  $i, j$ , so that each  $a_{ij} \in k \cap \mathcal{C} = C$ . Therefore  $u$  is algebraic over  $C(t)$ , of degree at most  $[K: k(t)]$ . Therefore  $\mathcal{C}$  is algebraic over  $C(t)$ , with  $[\mathcal{C}: C(t)] \leq [K: k(t)]$ . It remains to prove the genus statement, and here we give two proofs, each relying on well-known facts about ground field extensions of algebraic function fields that may be found in [3]. First, if  $\omega = fdg$  is a differential of first kind of  $\mathcal{C}/C$ , with  $f, g \in \mathcal{C}$ , then  $\omega$  can also be considered a differential of  $K/k$ ; in fact we have a natural injection of differentials  $\Omega_{\mathcal{C}/C}^1 \rightarrow \Omega_{K/k}^1$ . For any  $k$ -place  $P$  of  $K$ , if  $f, g$  are finite at  $P$  then  $\omega$ , considered as a differential of  $K/k$ , is also finite at  $P$ . If either  $f$  or  $g$  is not finite at  $P$ , then  $P$  induces a  $C$ -place  $p$  of  $\mathcal{C}$ , and since  $\omega$  is finite at  $p$  we can write  $\omega = f_1 dg_1$ , with  $f_1, g_1 \in \mathcal{C}$  both finite at  $p$ , so that again  $\omega$  is finite at  $P$ . Therefore  $\omega$ , considered as a differential of  $K/k$ , is of the first kind. Let  $\omega_1, \dots, \omega_g$  be a  $C$ -basis for the space of differentials of first kind of  $\mathcal{C}/C$  ( $g = \text{genus of } \mathcal{C}/C$ ). If  $\omega_1, \dots, \omega_g$ , considered as differentials of  $K/k$ , are linearly dependent over  $k$ , then there exist  $a_1, \dots, a_g \in k$ , not all zero, such that  $a_1 \omega_1 + \dots + a_g \omega_g = 0$ . Suppose that we have such  $a_1, \dots, a_g$ , with a minimal number of nonzero  $a_i$ 's, one of which is 1. Since each  $\omega_i/\omega_1 \in \mathcal{C}$ , applying  $D$  to  $a_1(\omega_1/\omega_1) + \dots + a_g(\omega_g/\omega_1) = 0$  we get  $(Da_1)(\omega_1/\omega_1) + \dots + (Da_g)(\omega_g/\omega_1) = 0$ . At least one  $Da_i$  is 0, so that each  $Da_i = 0$ , so each  $a_i \in \mathcal{C}$ . Thus  $a_i \in \mathcal{C} \cap k = C$ , contradicting the linear independence of  $\omega_1, \dots, \omega_g$  over  $C$ . Therefore  $\omega_1, \dots, \omega_g$  are  $k$ -linearly independent differentials of first kind of  $K/k$ , so that the genus of  $K/k$  is at least  $g$ . For the second proof of the genus statement, consider what happens

when we extend the ground field  $C$  of the function field  $\mathcal{C}/C$  from  $C$  to  $k$ . Since  $C$  is algebraically closed in  $k$ ,  $\mathcal{C} \otimes_C k$  is an integral domain, isomorphic to  $\mathcal{C}[k] \subset K$ , and so the ground field extension, which preserves the genus of  $\mathcal{C}/C$ , gives us  $\mathcal{C}(k)/k$ . Since  $\mathcal{C}(k)$  is a subfield of  $K$  that contains  $k$ , its genus is at most that of  $K/k$ . This completes the second proof.

**PROPOSITION 2.** *Let  $k$  be a differential field of characteristic zero, with derivation  $D$  and constants  $C$ . Let  $k(x)$  be a pure transcendental extension field of  $k$ , let  $f(x)$  be a nonzero element of  $k(x)$ , and make  $k(x)$  a differential extension field of  $k$  by setting  $Dx = f(x)$ . Suppose that  $1/f(x)$  is of neither of the forms*

$$(\text{element of } C) \frac{\partial u(x)/\partial x}{u(x)} \quad \text{nor} \quad \frac{\partial v(x)}{\partial x},$$

*for  $u(x), v(x) \in C(x)$ . Then every constant of  $k(x)$  is in  $C$ .*

To prove this, first assume that  $C$  is algebraically closed. Suppose that not all constants of  $k(x)$  are in  $C$ . By Lemma 3, the subfield of constants of  $k(x)$  is an algebraic function field of one variable over  $C$  of genus zero, hence, since  $C$  is algebraically closed, of the form  $C(t)$ , for some  $t \in k(x)$ ,  $t \notin k$ . Now consider the nonzero differentials  $dt$  and  $dx/f(x)$  of  $k(x)/k$ . We can write  $dx/f(x) = \alpha dt$ , for some  $\alpha \in k(x)$ . Applying the operator  $D^1$  on  $\Omega_{k(x)/k}$ , we get  $D^1(dx/f(x)) = D^1(\alpha dt) = (D\alpha)dt + \alpha dD^1t = (D\alpha)dt$ . By Lemma 1,  $D^1(dx/f(x)) = d(Dx/f(x)) = d(1) = 0$ , so  $D\alpha = 0$ , so that  $\alpha \in C(t)$ . That is,  $dx/f(x) = \alpha dt$ , with  $\alpha \in C(t)$ . Now write  $dx/f(x)$  in the form

$$\frac{dx}{f(x)} = \sum_{i=1}^n c_i \frac{du_i(x)}{u_i(x)} + dv(x),$$

with  $c_1, \dots, c_n \in C$  and  $u_1(x), \dots, u_n(x), v(x) \in C(x)$ , which can be done immediately by looking at the partial fraction expansion of  $1/f(x)$  with respect to  $C[x]$ . Using the logarithmic derivative identities

$$\frac{d(ab)}{ab} = \frac{da}{a} + \frac{db}{b}, \quad \frac{da^\nu}{a^\nu} = \nu \frac{da}{a},$$

we can, if necessary, modify  $n, c_1, \dots, c_n, u_1(x), \dots, u_n(x)$  so that  $c_1, \dots, c_n$  are linearly independent over the rational numbers  $\mathbb{Q}$ . Looking at the partial fraction decomposition of  $\alpha$  with respect to  $C[t]$ , we get an expression

$$\alpha dt = \sum_{i=1}^m \gamma_i \frac{dw_i}{w_i} + dy,$$



where  $\gamma_1, \dots, \gamma_m \in C$  and  $w_1, \dots, w_m, y \in C(t)$ . Extend  $c_1, \dots, c_n$  to a basis  $c_1, \dots, c_n, c_{n+1}, c_{n+2}, \dots, c_N$  of the  $\mathbf{Q}$ -vector space  $\mathbf{Q}c_1 + \dots + \mathbf{Q}c_n + \mathbf{Q}\gamma_1 + \dots + \mathbf{Q}\gamma_m$ . Using the logarithmic derivative identities, we can modify  $m, \gamma_1, \dots, \gamma_m, w_1, \dots, w_m$ , so that the same expression for  $\alpha dt$  holds with  $m = N$ , and  $\gamma_1 = c_1/M, \dots, \gamma_N = c_N/M$  for some positive integer  $M$ . The above expression for  $dx/f(x)$  remains true if we replace  $n$  by  $N$ , taking  $u_{n+1}(x) = u_{n+2}(x) = \dots = 1$ . Hence we may assume that in the displayed expressions for  $dx/f(x)$  and  $\alpha dt$  we have  $m = n$ ,  $c_1, \dots, c_n$  linearly independent over  $\mathbf{Q}$ , and  $M\gamma_1 = c_1, \dots, M\gamma_n = c_n$ , for some positive integer  $M$ . From the equation  $dx/f(x) = \alpha dt$  we now infer

$$\sum_{i=1}^n c_i \frac{d((u_i(x))^M/w_i)}{(u_i(x))^M/w_i} + Md(v(x) - y) = 0.$$

At this point we again apply, in more precise form than was necessary for the proof of Proposition 1, the argument about when a linear combination of normal differential forms of third kind is exact [1, Prop. 2] to deduce that each  $d((u_i(x))^M/w_i)$  and  $d(v(x) - y)$  are zero. (This conclusion can be directly verified in the present case by expressing each  $(u_i(x))^M/w_i$  as a power product of irreducible elements of  $k[x]$  and  $v(x) - y$  in terms of partial fractions.) Therefore  $(u_1(x))^M/w_1, \dots, (u_n(x))^M/w_n, v(x) - y \in k$ , so that also  $D((u_1(x))^M/w_1), \dots, D((u_n(x))^M/w_n), D(v(x) - y) \in k$ . Since  $w_1, \dots, w_n, y$  are constants, we deduce that

$$(Du_1(x))/u_1(x), \dots, (Du_n(x))/u_n(x), Dv(x) \in k.$$

But  $u_1(x), \dots, u_n(x), v(x)$  are in the differential field  $C(x)$ , so that  $(Du_1(x))/u_1(x), \dots, (Du_n(x))/u_n(x), Dv(x) \in k \cap C(x) = C$ . Now for any  $\phi(x) \in C(x)$  we have  $D\phi(x) = (\partial\phi(x)/\partial x)Dx = (\partial\phi(x)/\partial x)f(x)$ . At least one of the quantities  $u_1(x), \dots, u_n(x), v(x)$  is not in  $k$ , for otherwise  $dx = 0$ , so at least one of

$$\frac{\partial u_1(x)/\partial x}{u_1(x)}f(x), \dots, \frac{\partial u_n(x)/\partial x}{u_n(x)}f(x), \frac{\partial v(x)}{\partial x}f(x)$$

is a nonzero element of  $C$ , implying that  $1/f(x)$  is of one of the excluded forms. It remains to prove the Proposition when  $C$  is not algebraically closed. Suppose that there are constants of  $k(x)$  that are not in  $C$ . The differential field structures on  $k$  and  $k(x)$  extend uniquely to differential field structures on  $k(\bar{C})$  and  $(k(\bar{C}))(x)$ ,  $\bar{C}$  being the algebraic closure of  $C$ , and we get constants of  $(k(\bar{C}))(x)$  that are not in the subfield of constants  $\bar{C}$  of  $k(\bar{C})$ , since  $k(x) \cap \bar{C} = C$ . Hence  $1/f(x)$  is of the form  $a(\partial u/\partial x)/u$  for some  $a \in \bar{C}$ ,  $u \in \bar{C}(x)$ , or of the form  $1/f(x) = \partial v/\partial x$ , for some  $v \in \bar{C}(x)$ . Suppose first that  $1/f(x) =$

$a(\partial u/\partial x)/u$ , with  $a$  and  $u$  as above. Take  $u$ , as we may, to be a quotient of monic elements of  $\bar{C}[x]$ . We shall be done if we show that  $a \in C$ ,  $u \in C(x)$ . For any  $\sigma \in \text{Aut}(\bar{C}(x)/C(x)) \approx \text{Aut}(\bar{C}/C)$  we get  $1/f(x) = a^\sigma(\partial u^\sigma/\partial x)/u^\sigma$ , so that  $a(\partial u/\partial x)/u = a^\sigma(\partial u^\sigma/\partial x)/u^\sigma$ , or

$$(\partial(u^\sigma/u)/\partial x)/(u^\sigma/u) = a/a^\sigma \in \bar{C}.$$

Writing  $u^\sigma/u$  as a power product of distinct monic linear elements of  $\bar{C}[x]$ , we see that we get a nonconstant function on the left of the equation for  $a/a^\sigma$  unless  $u^\sigma/u = 1$ . Hence  $u^\sigma = u$ . Since this is true for all  $\sigma \in \text{Aut}(\bar{C}/C)$ , we get  $u \in C(x)$ , hence also  $a \in C(x) \cap \bar{C} = C$ , showing  $1/f(x)$  to be of the desired form. Suppose, finally, that we have  $1/f(x) = \partial v/\partial x$ , for some  $v \in \bar{C}(x)$ . We may take  $v$  such that its partial fraction expansion with respect to  $\bar{C}[x]$  has constant term zero. We wish to show  $v \in C(x)$ . For any  $\sigma \in \text{Aut}(\bar{C}/C)$  we get  $1/f(x) = (\partial v/\partial x)^\sigma = \partial v^\sigma/\partial x$ , so that  $\partial v^\sigma/\partial x = \partial v/\partial x$ . Hence  $v^\sigma = v$ , and since this is true for all  $\sigma \in \text{Aut}(\bar{C}/C)$  we get  $v \in C(x)$ , as desired.

Clearly neither of the two special values for  $f(x)$  of which we have made so much use, namely  $x/(x+1)$  and  $x^3 - x^2$ , is of the special form indicated in Proposition 2.

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