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STRONGLY UNIQUE BEST APPROXIMATES TO A FUNCTION ON A SET, AND A FINITE SUBSET THEREOF

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Let X be a compact Hausdorff space and let C(X) denote the space of continuous real valued functions defined on X, normed by the supremum norm $||f|| = \max_{x \in x} |f(x)|$. Let M be a finite dimensional subspace of C(X). This note examines the problem of whether every best (unique best, strongly unique best) approximate to f on X is also a best (respectively: unique best, strongly unique best) approximate to f on some finite subset of X. Appropriate converse results are also considered.

The Kolmogorov criterion for best approximates shows that $\pi \in M$ is a best approximate to f on X if and only if it is a best approximate to f on a finite subset of

$$E_{\pi} = \{x \in X: |f(x) - \pi(x)| = ||f - \pi||\}$$
.

Example 1 shows that the corresponding result does not hold for unique best approximates. It can easily be shown that when π is a strongly unique best approximate to f in C[a, b] from a Haar subspace then there is a finite subset A of [a, b] such that π is a strongly unique best approximate to f on A. In Theorem 2 the latter result is extended to an arbitrary finite dimensional subspace M of C(X) and in Theorem 3 a converse is proven in this general setting.

The second algorithm of Remez [11] is an important method for the computation of the best approximate to a function f in C[a, b]from a finite dimensional Haar subspace. This algorithm depends on the fact that a best approximate to f on [a, b] is a best approximate to f on some finite subset of [a, b]. (One can think of the algorithm as a search for this subset.) In fact, the proof of the convergence of the algorithm given by E. W. Cheney [3] indicates that the algorithm depends more precisely on the facts that the best approximate π to f on [a, b] is strongly unique and that π is also a strongly unique best approximate to f on some finite subset of [a, b].

It would also be natural to consider in $L^{p}[a, b]$ for $1 \leq p < \infty$ the relationship between strongly unique best approximates on [a, b]and on finite subsets of [a, b]. However, D. E. Wulbert ([15], [16]) has shown that strong unicity does not occur (nontrivially) in any smooth space and $L^{p}[a, b]$ for $1 \leq p < \infty$ is smooth. In the last section a different proof of Wulbert's result is given because the method of the proof enables one to study strong unicity in L^1 . It should be observed (see Example 3) that even though there are no finite dimensional subspaces of $L^1[a, b]$ containing a unique best approximate to every f in $L^1[a, b]$, a given f in $L^1[a, b]$ may have a strongly unique best approximate.

The result mentioned above on the relationship between the best approximates to f on X and the best approximates to f on a finite subset of X can be found in [8], [13], and [18].

The results of this note hold with obvious modifications for the complex case.

2. DEFINITIONS. An element π in M is a best approximate to f in C(X) if $||f - m|| \ge ||f - \pi||$ for all m in $M; \pi$ is a unique best approximate if the inequality is strict for all m in $M, m \ne \pi$; and π is a strongly unique best approximate to f if there exists a real number r > 0 such that $||f - m|| \ge ||f - \pi|| + r||\pi - m||$ for all m in M.

Let M have dimension n. The subspace M is called a Haar (Chebyshev) subspace if no nonzero function in M has more than n-1 zeros in X. If X is the finite interval [a, b], then M is called a weak Chebyshev subspace if no nonzero function in M has more than n-1 sign changes on [a, b]. (For properties of Haar and weak Chebyshev systems, see e.g. [4], [5], [6], and [17].) In particular it is known that if M is a Haar subspace of C[a, b] then π is a best approximate to f on a closed set X in [a, b] (where X contains at least n+1 points) if and only if there exists an equioscillation set for $f - \pi$, i.e., a subset A of X containing n + 1 points $x_1 < x_2 < \cdots < x_{n+1}$ such that $f(x_{i+1}) - \pi(x_{i+1}) = -[f(x_i) - \pi(x_i)], i = 1, 2, \cdots, n$ and $|f(x_i) - \pi(x_i)| = ||f - \pi||, i = 1, 2, \cdots, n + 1$.

One of the principal tools of the investigation is the following strong Kolmogorov criterion [2] characterizing strongly unique best approximates.

THEOREM. Let M be finite dimensional. There exists a real number r > 0 such that

$$||f - m|| \ge ||f - \pi|| + r||\pi - m||\forall m \in M$$

if and only if

$$\max_{x \in E_{\pi}} [f(x) - \pi(x)]m(x) > 0 \quad \forall m \in M, \quad m \not\equiv 0.$$

In proofs we assume without loss of generality that the best approximate to f is 0.

3. Results. The relationship between a strongly unique best approximate to a given f on [a, b] and on a finite subset A of [a, b] is especially simple when M is a Haar subspace. Recall that when M is a Haar subspace of C[a, b] every f in C(X), where X is a compact subset of [a, b], has a strongly unique best approximate from M [9]. Hence by the strong Kolmogorov criterion we have the following result:

THEOREM 1. Let π be a best approximate from the Haar subspace M of C[a, b] to a given f in C[a, b]. Then for every equioscillation set $A \subseteq E_{\pi}$,

$$\max_{x \in A} [f(x) - \pi(x)]m(x) > 0 \quad \forall m \in M, \ m \not\equiv 0 \ .$$

If we only assume that π is a strongly unique best approximate from a weak Chebyshev subspace, then the conclusion of the previous theorem does not hold. For example, in $C[0, 4\pi]$ let $f(x) = \sin x$ and let M be the linear span of

$$g(x) = egin{cases} 3\pi/2 - x & 0 \leq x \leq 3\pi/2 \ 0 & 3\pi/2 \leq x \leq 5\pi/2 \ 5\pi/2 - x & 5\pi/2 \leq x \leq 4\pi \ . \end{cases}$$

Then 0 is strongly unique to f since $\max_{x \in E_0} f(x)m(x) > 0$, $\forall m \in M$, $m \neq 0$, but $\max_{x \in A} f(x)(-g(x)) = 0$ where $A = \{5\pi/2, 7\pi/2\}$ is an equioscillation set for f = 0.

However, we now show that when π is a strongly unique best approximate from an arbitrary subspace M in C(X), it follows that there does exist some finite subset A of E_{π} such that π is a strongly unique best approximate to f on A.

THEOREM 2. Let π be a strongly unique best approximate from a subspace M of C(X) to an element f in C(X). Then there exists a finite subset A of E_{π} with $\leq 2n$ points such that

$$\max_{x \in A} [f(x) - \pi(x)]m(x) > 0 \quad \forall m \in M, \ m/A \not\equiv 0 \;.$$

Proof. Let M be the span of $\{g_1, \dots, g_n\}$. Let $\hat{E}_0 = \{(f(x)g_1(x), \dots, f(x)g_n(x)): x \in E_0\}$. Then it follows ([2], Theorem 6) that 0 is in the interior of the convex hull of \hat{E}_0 . Hence (see e.g. Theorem 3.13 in [14]) 0 is in the interior of the convex hull of \hat{A} , where \hat{A} is a finite subset of \hat{E}_0 consisting of $\leq 2n$ points. It follows ([2], Theorem 6) that 0 is a strongly unique best approximate to f on A. By the strong Kolmogorov criterion $\max_{x \in A} f(x)m(x) > 0$ for all m in M with $m/A \neq 0$.

It is not known in general whether it is possible to find a finite set A satisfying the conditions of the previous theorem such that if m is in M and m/A = 0, then $m \equiv 0$. However, if E_{π} is finite then by setting $A = E_{\pi}$ one can add to the conclusion of Theorem 2 that $m/E_{\pi} = 0$ implies $m \equiv 0$. This follows from the strong Kolmogorov criterion. Also if E_{π} is not finite but it is known that any nonzero function in M has at most N - 1 zeros for some integer N (for example N = n when M is a Haar set), then one can just add to the set A of the previous theorem enough points of E_{π} so that A has N or more points.

It would be of interest to determine whether the 2n of the theorem is in general best possible.

If π is a unique best approximate to f on X, then it does not follow that π is a unique best approximate to f on E_{π} . This can be seen in the next example which will also be used later.

EXAMPLE 1. Let M be the subspace of $C[0, 3\pi]$ spanned by $g_i(x) = 1$ and

$$g_{_2}(x) = egin{cases} \pi & 0 \leq x \leq \pi \ 0 & \pi \leq x \leq 5\pi/2 \ 5\pi/2 - x & 5\pi/2 \leq x \leq 3\pi \ . \end{cases}$$

Let $f(x) = \sin x$. Then M is a weak Chebyshev system, but it is not a Haar set on $[0, 3\pi]$. Because f(x) has a horizontal tangent at $x = 5\pi/2$, the function $-g_2(x)$ is not as good an approximate to f(x) as 0 is. Clearly then, 0 is a unique best approximate to f on $[0, 3\pi]$. Now $E_0 = \{\pi/2, 3\pi/2, 5\pi/2\}$. Since M has dimension 2, E_0 is an equioscillation set for f - 0 on $[0, 3\pi]$. Now 0 is not a unique best approximate on $E_0 = A$ since $g_2(x)$ is also a best approximate. Also observe that 0 is not a strongly unique best approximate to f on $[0, 3\pi]$ since $\max_{x \in E_0} f(x)[-g_2(x)] = 0$.

In fact even more holds. Let

$$g_{\scriptscriptstyle 8}(x) = egin{cases} x & -\pi/2 & 0 \leq x \leq \pi/2 \ 0 & \pi/2 \leq x \leq \pi \ x - \pi & \pi \leq x \leq 3\pi/2 \ 2(7\pi/4 - x) & 3\pi/2 \leq x \leq 7\pi/4 \ x - 7\pi/4 & 7\pi/4 \leq x \leq 3\pi \ . \end{cases}$$

Then let M be the subspace of $C[0, 3\pi]$ spanned by $g_2(x)$ and $g_3(x)$, and let $f(x) = \sin x$. Then by consideration of the values of any $m \in M$ at points $\pi/2$, $3\pi/2$, and $5\pi/2$, it is easy to verify that zero is a unique best approximate to f on $[0, 3\pi]$ and $E_0 = {\pi/2, 3\pi/2, 5\pi/2}$. Moreover on each subset A of E_0 , there is a function $g \in M$ such that $g/A \neq 0$ and g is a best approximate to f on A. Thus zero is not a unique best approximate to f on any finite subset A of E_0 .

The next proposition summarizes the results for an arbitrary subspace M of C(X). For the result on best approximates see [8], [13], and [18].

PROPOSITION. If π is a best (strongly unique best) approximate to f on X, then there exists a finite subset A of X with less than or equal to n + 1 (resp. 2n) points such that π is a best (strongly unique best) approximate on A.

REMARK. The Kolmogorov and strong Kolmogorov criteria and Example 1 also yield the relationship between the best approximate to f on X and on all of E_{π} . As expected, π is a best (strongly unique best) approximate to f on X if and only if it has the same property on E_{π} . This does not hold for a unique best approximate.

4. Converse results. The Kolmogorov criterion shows part (i) of the next theorem.

THEOREM 3. (i) If π is a best approximate to f on a finite subset of E_{π} , then π is a best approximate to f on X.

(ii) If π is a unique (strongly unique) best approximate to f on a finite subset A of E_{π} , then π is a unique (strongly unique) best approximate to f on X, except possibly for those m in M with $m/A \equiv 0$.

In fact more than this holds. The following result says that if π is a unique best approximate to f on a finite subset A of X, then π is also a strongly unique best approximate to f on A.

THEOREM 4. Let π be a unique best approximate to f on a finite subset A of X. Assume $f(x) - \pi(x) \neq 0$ on A. Then

$$\max_{x \in A} [f(x) - \pi(x)]m(x) > 0 \qquad \forall m \not\equiv 0 \quad on \quad A.$$

Proof. (We show that if $\max_{x \in A} f(x)q(x) \leq 0$ for some $q \in M$, then there exists a real number $\lambda > 0$ such that $-\lambda q$ is a best approximate to f on A.) Let $A' = \{x \in A : f(x)q(x) < 0\}$. Let $\lambda > 0$ be such that both the following hold:

(1) $\lambda \max_{x \in A} |q(x)| < ||f||,$

(2) $\lambda q^2(x) + 2f(x)q(x) < 0$ for all x in A'.

Notice that $H(\lambda) = \max_{x \in A'} \lambda q^2(x) + f(x)q(x)$ is a continuous function of λ with H(0) < 0. Since $A' \subseteq A$ is finite such a λ can be chosen.

Now if $x \in A'$, then letting $||f||_A = \max_{x \in A} |f(x)|$ we have

$$(f(x) + \lambda q(x))^2 = (f(x))^2 + \lambda (\lambda q^2(x) + 2f(x)q(x)) < (f(x))^2 \leq ||f||_A^2$$

If $x \in A - A'$ and q(x) = 0, then $|f(x) + \lambda q(x)| = |f(x)| \le ||f||_A$; whereas, if $q(x) \ne 0$, then f(x) = 0 and

$$|f(x) + \lambda q(x)| = \lambda |q(x)| < ||f||_A.$$

Thus $|f(x) + \lambda q(x)| \leq ||f||_A$ for any x in A.

COROLLARY. If π is a unique best approximate to f on a finite subset A of E and m/A = 0 implies $m \equiv 0$, then π is a strongly unique best approximate to f on X.

It follows that if $||f - m||_A \ge ||f - \pi||_A + r||\pi - m||_A$ and m/A = 0 implies $m \equiv 0$, then $||f - m||_X \ge ||f - \pi||_X + r'||\pi - m||_X$. It would be of interest to determine the relationship between r and r' here and also in the situation under discussion in Theorem 2.

REMARK. When M is a weak Chebyshev set in C[a, b] one expects to obtain better results than for a general subspace M, but this does not occur here. Indeed, if π is a unique best approximate to f on [a, b], A is a set of equioscillation points and m/A = 0implies $m \equiv 0$, then it need not follow that π is a strongly unique best approximate to f on [a, b] as seen in Example 1. Of course if one also assumes that π is a unique best approximate to f on A, then the above theorem guarantees that π is a strongly unique best approximate to f on [a, b]. It should be observed that the proof given in [4] of the de La Vallée Poussin theorem when M is a Haar set also proves the result when M is only a weak Chebyshev set.

5. Strong unicity in L^p , $1 \le p < \infty$. Let W be a normed linear space with dual space W^* . Let M denote a subspace (not necessarily finite dimensional) of W. As shown in [2], the existence of a subspace M of W which gives strongly unique best approximates to elements of W depends on the character of W^* . To be more specific, let $\langle M, f \rangle$ denote the subspace of W spanned by M and f and let $\langle M, f \rangle^*$ be the dual space of $\langle M, f \rangle$. Also let

$$\mathscr{L}_{\pi} = \{L \in \langle M, f \rangle^* \colon L(f - \pi) = ||f - \pi|| \text{ and } ||L|| = 1\}$$
,

and

$$K_{\pi} = \{z \in \langle M, f \rangle \colon Lz \leq ||f - \pi|| \forall L \in \mathscr{L}_{\pi}\}.$$

Then ([2]) π is a strongly unique best approximate to f if and only if $K_{\pi} \cap M$ is bounded. If π is a best approximate to f, then ([2]) Haar's result ([4]) in an abstract setting implies that there is at least one element $L_{\pi} \in \mathscr{L}_{\pi}$ defined by $L_{\pi}(m + af) = a ||f - \pi||$. Any element m in M is trivially its own strongly unique best approximate.

THEOREM 5. (Wulbert). Let W be a smooth normed linear space. If M is a proper subspace of W and $f \in W - M$, then the best approximate to f from M is not strongly unique.

Proof. Since W is smooth, \mathscr{L}_0 contains a unique linear functional which is L_0 . Thus, $M \subseteq K_0$ and $M \cap K_0$ is not bounded. Hence 0 is not a strongly unique best approximate.

Let μ be a σ -finite positive measure on a σ -algebra Σ of subsets of a set T. As usual let $L^p(T, \Sigma, \mu), 1 \leq p < \infty$, (briefly L^p) denote the space of functions f on T such that $||f||_p = (\int |f|^p d\mu)^{1/p} < \infty$. Let 1/p + 1/q = 1. Then L^p is smooth for 1 . Of course, $any finite dimensional subspace of <math>L^p$, 1 does contain a $unique best approximate to every element in <math>L^p$. It follows that if M is a subspace of $L^p, 1 , then there is no <math>f \in L^p - M$ with a strongly unique best approximate.

The concept of an interpolating subspace was introduced in [1], where it was shown that if M is an interpolating subspace then Malways contains a strongly unique best approximate to every element $f \in W$. Theorem 6 shows that [1] if W is a smooth normed linear space, then W contains no interpolating subspace. However, there are subspaces which are not interpolating, but from which every element has a strongly unique best approximate.

EXAMPLE 2. In l' let M be the subspace spanned by $(1, 0, 0, \cdots)$ and $(0, 1, 0, \cdots)$. Then [1] M is not an interpolating subspace. Given $f \in l'$, let π in M be given by $(f(1), f(2), 0, \cdots)$. Then for $m \in M$,

$$egin{aligned} \|f-m\| &= |f(1)-m(1)| + |f(2)-m(2)| + \sum\limits_{i>2} |f(i)-m(i)| \ &\geq \sum\limits_{i>2} |f(i)| + r\{|\pi(1)-m(1)| + |\pi(2)-m(2)|\} \end{aligned}$$

where one can choose r = 1 to be the strong unicity constant.

The space L^1 contains a finite dimensional subspace M which contains a strongly unique best approximate to every element $f \in$ $L^1 - M$ if and only if (T, Σ, μ) contains an atom ([1], [10]). To obtain further information about strong unicity in L^1 , let $f \in L^1$, ||f|| = 1and $f \notin M$. Assume without loss of generality that 0 is a best approximate to f and let $\mathscr{L}_0 = \{L \in \langle M, f \rangle^* : Lf = 1 = ||L||\}$. For a given $L \in \mathscr{L}_0$, there exists by the Riesz Representation Theorem a function $h \in L^{\infty}$ such that

$$Lg=\int_{T}hgd\mu \; orall g\in L^{\scriptscriptstyle 1} \; \; ext{ and } \; \; ||\,L\,||=||\,h\,||_{\scriptscriptstyle \infty} \; .$$

Thus for a given $L \in \mathscr{L}_0$ we have

(1)
$$1 = \int hf d\mu \leq \int |h| |f| d\mu \leq ||h||_{\infty} ||f||_{1} = 1.$$

The condition for equality in Hölders inequality implies that $|h||f| = ||h||_{\infty} |f| = |f|$ a.e. Also (1) shows that hf = |h||f| a.e. Thus \mathscr{L}_0 can be identified with

$$\{h \in L^{\infty}: |f|(|h|-1) = 0 \text{ a.e. and } (hf)(1-\operatorname{sgn} h \operatorname{sgn} f) = 0 \text{ a.e.} \}$$
.

This characterization of \mathscr{L}_0 can be used to study strong unicity in L^1 . For example if $\mu\{x: f(x) = 0\} = 0$, then |h| = 1 a.e., sgn h sgn f = 1 a.e. and therefore h is uniquely determined a.e. Since \mathscr{L}_0 contains a unique element it follows as before that 0 is not a strongly unique best approximate to f. We have shown the following:

THEOREM 6. Let f in $L^{1}(T, \Sigma, \mu)$ have a strongly unique best approximate π from a subspace M. Then $\mu\{x: f(x) - \pi(x) = 0\} > 0$.

It should be pointed out that it is possible for an element $f \in L^1$ to have a strongly unique best approximate from a subspace M even when (T, Σ, μ) does not have an atom. It is not known whether a result like Theorem 2 exists for $L^1[a, b]$.

EXAMPLE 3. Let M be the constant functions, a subspace of $L^{1}[-2, 2]$. Let

$$f(x) = egin{cases} x+1 & -2 \leq x \leq -1 \ 0 & -1 \leq x \leq 1 \ x-1 & 1 \leq x \leq 2 \ . \end{cases}$$

Then one can verify that

$$\||f-c||_{\scriptscriptstyle 1} = egin{cases} (|c|+1)^2 & 1 \geqq |c| \geqq 0 \ . \ 4|c| & |c| > 1 \ . \end{cases}$$

Thus 0 is a best approximate to f and also

$$||f - c||_1 \ge ||f||_1 + 1/2 ||c||_1$$
.

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