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DERIVATIONS OF C*-ALGEBRAS HAVE SEMI-CONTINUOUS GENERATORS

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For each derivation δ of a C^* -algebra A with $\delta(x^*) = -\delta(x)^*$ there exists a minimal positive element h in the enveloping von Neumann algebra A'' such that $\delta(x) = hx - xh$. It is shown that the generator h belongs to the class of lower semi-continuous elements in A''. From this it follows that if the function $\pi \rightarrow ||\pi \circ \delta||$ is continuous on the spectrum of A then h multiplies A. This immediately implies that each derivation of a simple C^* -algebra is given by a multiplier of the algebra. Another application shows that each derivation of a countably generated monotone sequentially closed C^* -algebra is inner.

A linear operator δ on a C^* -algebra A is called a derivation if $\delta(ab) = \delta(a)b + a\delta(b)$ for all a and b in A. If $\delta^* = -\delta$ (i.e., $\delta(a)^* = -\delta(a^*)$) then $\alpha_t(a) = \exp(it\delta)a$ defines a norm-continuous one-parameter group of *-automorphisms of A. Conversely, each such group can be written as $\exp(it\delta)$ for a suitable derivation δ of A. After a number of partial results, notably by I. Kaplansky and R. V. Kadison, it was proved by S. Sakai that every derivation of a von Neumann algebra A is inner, i.e., $\delta(a) = ha - ah$ for some h in A (see [9, III.9.3. Théorème 1]). Recently W. B. Arveson ([3])—see also [4]—gave a new proof of this result, using the theory of spectral subspaces associated with a one-parameter group of automorphisms. The powerful techniques developed in [3] enabled the first author to show that each derivation of an AW^* -algebra is inner ([12]).

In this paper we use Arveson's technique to show that if δ is a derivation of a C^* -algebra A with $\delta^* = -\delta$ then the minimal positive generator for δ , or rather for its extension to a derivation of the enveloping von Neumann algebra A'' of A, is the limit of an increasing net of self-adjoint operators from \tilde{A} . This shows that the function $\pi \rightarrow ||\pi \circ \delta||$ on the spectrum \hat{A} of A is lower semi-continuous and that it is continuous if and only if the minimal positive generators for δ and $-\delta$ both multiplies A. This last result was first proved in [2] and has as an immediate consequence that every derivation of a simple C^* -algebra is given by a multiplier ([17]). We finally show that every derivation of a countably generated monotone sequentially closed C^* -algebra is inner.

The possibility of using [12] to show that derivations of C^* -algebras have measurable generators was pointed out to us by E. B. Davies.

1. Spectral subspaces and duality. Let α_t be a norm-continuous one-parameter group of isometries of a Banach space X. For each f in $L^i(\mathbf{R})$ let $\pi_{\alpha}(f)$ denote the bounded operator on X given by the Bochner integral

$$\pi_{\alpha}(f)x = \int lpha_t(x)f(t)dt$$
.

With $\hat{f}(s) = \int f(t)e^{ist}dt$ and $-\infty \leq t \leq w \leq \infty$ let $R_{\alpha}(t, w)$ denote the closed subspace of X generated by vectors $\pi_{\alpha}(f)x, x \in X$ such that \hat{f} has compact support in (t, w). The spectral subspace associated with [t, w] is

$$M_{lpha}[t, w] = \bigcap R_{lpha}\left(t - \frac{1}{n}, w + \frac{1}{n}\right).$$

As shown in [3, Proposition 2.2]—see also [12]—we have

$$M_{\alpha}[t, w] = \{x \in X \mid \pi_{\alpha}(f)x = 0 \; \forall f \in I_{0}[t, w]\}$$

where $I_0[t, w]$ denotes the set of functions f in $L^1(\mathbf{R})$ such that \hat{f} has compact support disjoint from [t, w].

The transposed α_t^* and bi-transposed α_t^{**} of α_t gives rise to normcontinuous (and weak *-continuous) groups of isometries of X^* and X^{**} , respectively. We shall relate the spectral subspaces of the three groups using polar sets (denoted by M°).

LEMMA 1.1. If s < t then

$$M_lpha*[\,-\infty\,,\,s]\subset M_lpha[t,\,\infty\,]^{\scriptscriptstyle 0}\subset M_lpha*[\,-\infty\,,\,t]$$
 .

Proof. For each f in $I_0[-\infty, t]$ and x in X we have $\pi_{\alpha}(f)x \in R_{\alpha}(t, \infty)$. If therefore $\rho \in R_{\alpha}(t, \infty)^0$ then

$$0=\langle \pi_{lpha}(f)x,\,
ho
angle =\langle x,\,\pi_{lpha}*(f)
ho
angle$$
 ,

since $\pi_{\alpha}*(f)$ is the transposed of $\pi_{\alpha}(f)$. Thus $\pi_{\alpha}*(f)\rho = 0$ so that $\rho \in M_{\alpha}*[-\infty, t]$. It follows that

$$R_{lpha}(t,\,\infty)^{\scriptscriptstyle 0} \,{\subset}\, M_{lpha} \,{st} \, [\,{-}\,\infty$$
 , $t\,]$,

and

$$R_{\alpha}(t, \infty) \subset M_{\alpha}[t, \infty]$$

implies that

$$M_{lpha}[t, \ \infty]^{\scriptscriptstyle 0} \subset R_{lpha}(t, \ \infty)^{\scriptscriptstyle 0}$$
 .

Consequently

$$M_{lpha}[t, \ \infty]^{\scriptscriptstyle 0} \subset M_{lpha} * [-\infty, t]$$
 .

If s < t then s < t - (1/n) for sufficiently large *n*. For each *f* in $L^1(\mathbf{R})$ where \hat{f} has compact support in $(t - (1/n), \infty)$ and *x* in *X* we have

$$\langle \pi_{\alpha}(f)x, \rho
angle = \langle x, \pi_{lpha}*(f)
ho
angle = 0$$

for each ρ in $M_{\alpha} * [-\infty, s]$, since $f \in I_0[-\infty, s]$. Thus $\rho \in R_{\alpha}(t - (1/n), \infty)^0$ and a fortiori $\rho \in M_{\alpha}[t, \infty]^0$. It follows that

$$M_{lpha}*[\,-\infty\,,\,s]\subset M_{lpha}[t,\,\infty\,]^{\circ}$$

and the proof is complete.

REMARK 1.2. The reader may verify that for each x in $M_{\alpha}[t, \infty]$ and ρ in $M_{\alpha}*[-\infty, t]$ one has $\langle \alpha_{s}(x), \rho \rangle = e^{ist} \langle x, \rho \rangle$ for all s. Despite this extraordinary behavior it is not in general true that $M_{\alpha}[t, \infty]^{\circ} =$ $M_{\alpha}*[-\infty, t]$. To see this take any Banach space X and define $\alpha_{t}(x) =$ $e^{it}x$ for all x in X. Then α_{t} is a norm-continuous one-parameter group of isometries of X. Since $\pi_{\alpha}(f)x = \hat{f}(1)x$ it is easily verified that $M_{\alpha}[t, \infty] = X$ for $t \leq 1$ and zero otherwise. Analogously $M_{\alpha}*[-\infty, t] =$ X^{*} for $t \geq 1$ and zero otherwise. Consequently,

$$0=M_{lpha}[1,\,\infty]^{\scriptscriptstyle 0}
eq M_{lpha}*[-\infty,\,1]=X^*\;.$$

PROPOSITION 1.3. If s < t then

$$M_{lpha}**[t, \infty] \subset M_{lpha}[s, \infty]^{\circ\circ} \subset M_{lpha}**[s, \infty]$$
 .

Proof. Taking polar sets in Lemma 1.1 we get

(*)
$$M_{\alpha}*[-\infty, s]^{\circ} \subset M_{\alpha}[s, \infty]^{\circ\circ} \subset M_{\alpha}*[-\infty, w]^{\circ}$$

for w < s. Using Lemma 1.1 with α^*_{-t} and X^* instead of α_t and X we obtain

$$M_lpha**[t,\,\infty]\subset M_lpha*[-\infty,\,s]^\circ$$
 and $M_lpha*[-\infty,\,w]^\circ\subset M_lpha**[w,\,\infty]$,

for s < t. Inserting these inclusions in (*) yield

$$M_{lpha}**[t, \ \infty] \subset M_{lpha}[s, \ \infty]^{\circ\circ} \subset M_{lpha}**[w, \ \infty]$$

for w < s < t. However, by the definition of spectral subspaces

$$M_{lpha}**[s, \infty] = \bigcap_{w < s} M_{lpha}**[w, \infty]$$

and the proposition follows.

2. Derivations of C^* -algebras. Let A be a C^* -algebra and denote by A'' the enveloping von Neumann algebra of A, isomorphic with the second dual of A (see [7, § 12]). For any set B in $A''_{s,a}$ let B^- denote the norm-closure of B and let B^m denote the set of operators in $A''_{s,a}$ which can be obtained as strong limits of increasing nets from B. The class $((A_{s,a})^m)^-$ consists of the so called lower semicontinuous elements of $A''_{s,a}$. If $A_{s,a}$ is represented as the continuous real affine functions vanishing at 0 on the convex compact set

$$Q = \{ \rho \in A^* \mid || \rho || \le 1, \ \rho \ge 0 \}$$

then $((A_{s.a.})^m)^-$ is precisely the set of lower semi-continuous bounded real affine functions on Q vanishing at zero. Let \tilde{A} denote the C^* algebra obtained by adjoining the unit 1 of A'' to A. Then

$$((A_{s.a.})^m)^- + R 1 = (\widetilde{A}_{s.a.})^m$$
.

If M(A) denotes the C*-algebra in A" of elements x such that $xA \subset A$ and $Ax \subset A$ then

$$M(A)_{s.a.} = (\widetilde{A}_{s.a.})^m \cap (\widetilde{A}_{s.a.})_m$$
.

([15, Theorem 2.5] see also [1]). It is shown in [8, Theorem 5] (see also [15, Corollary 4.7]) that the center of M(A)—the ideal center of A—can be identified with the set of bounded continuous functions on the spectrum \hat{A} of A.

Let δ be a derivation of A such that $\delta^* = -\delta$. Then $\alpha_t = \exp it\delta$ defines a norm-continuous one-parameter group of *-automorphisms of A so that the results from §1 are applicable.

THEOREM 2.1. Let δ be a derivation of a C*-algebra A such that $\delta^* = -\delta$. Then the minimal positive operator h in A" for which $\delta = adh$ is a lower semi-continuous element.

Proof. The bi-transposed $\bar{\delta}$ of δ is an extension of δ to a derivation of A''. With $\bar{p}(t)$ as the left annihilator projection of $M_{\alpha} ** [t, \infty]$ we know from [12, Proposition 3] that the operator-valued Riemann-Stieltjes integral

$$\int_{0}^{11\delta11} t d\bar{p}(t)$$

with respect to the increasing projection-valued map $t \to \overline{p}(t)$ defines a positive operator h in A'' and that h is the minimal positive operator in A'' such that $\overline{\delta} = adh$.

Let p(t) denote the left annihilator projection in A'' of $M_{\alpha}[t, \infty]$. Since the annihilators of a subspace and its weak closure (=bi-polar) coincide we see from Proposition 1.3 that

$$\overline{p}(s) \leq p(s) \leq \overline{p}(t)$$

for s < t. For each positive functional ρ on A'' define g and \overline{g} on $[0, ||\delta||]$ by

$$g(t) = \rho(p(t))$$
 and $\overline{g}(t) = \rho(\overline{p}(t))$.

Since $\overline{g}(s) \leq g(s) \leq \inf \overline{g}(t)$ it follows from well-known properties of Riemann-Stieltjes integrals that

$$\int f(t)d\bar{g}(t) = \int f(t)dg(t)$$

for every continuous function f on $[0, ||\delta||]$.

Thus

$$ho \Bigl(\int_{\mathfrak{0}}^{||\mathfrak{d}||} f(t) d \, ar p(t) \Bigr) =
ho \Bigl(\int_{\mathfrak{0}}^{||\mathfrak{d}||} f(t) d \, p(t) \Bigr)$$
 ,

and since this holds for all ρ on A'' we have

$$\int_0^{||\boldsymbol{\delta}||} f(t) d\bar{p}(t) = \int_0^{||\boldsymbol{\delta}||} f(t) dp(t) \ .$$

In particular

$$h=\int_0^{||s||}t\,dp(t)\;.$$

For fixed t let Λ denote the net (under inclusion) of finite subsets of $M_{\alpha}[t, \infty]$, and for λ in Λ let $|\lambda|$ denote the cardinality of λ . Then the net in A_{+} with elements

$$x_{\lambda} = \left(|\lambda|^{-1} + \sum_{x \in \lambda} xx^*
ight)^{-1} \sum_{x \in \lambda} xx^*$$

increases to a projection q(t) in $(A_{s,a})^m$. Since

$$q(t) \ge (|\lambda|^{-1} + xx^*)^{-1}xx^*$$

for each x in λ we see that q(t) majorizes the range projection of each x in $M_{\alpha}[t, \infty]$. Thus if H is the universal Hilbert space on which A'' acts we conclude that q(t) is the projection on the closure of $M_{\alpha}[t, \infty]H$. It follows that q(t) = 1 - p(t). Put

$$h_n = n^{-1} \sum_{k=1}^n q(k n^{-1} \, || \, \delta \, ||)$$
 .

Then $h_n \in (A_{s.a.})^m$ and $0 \leq h - h_n \leq n^{-1}$; so that $h \in ((A_{s.a.})^m)^-$ which is precisely what we wanted.

PROPOSITION 2.2. For each derivation δ of a C*-algebra A the function $\pi \rightarrow || \pi \circ \delta ||$ is lower semi-continuous on \hat{A} .

Proof. Given π_0 in \hat{A} let t be the limit of $||\pi \circ \delta||$ when π ranges over the neighborhood system of π_0 . We shall prove that $||\pi_0 \circ \delta|| \leq t$. Choose a net $\{\pi_i\}$ in \hat{A} converging to π_0 such that $||\pi_i \circ \delta|| < t + \varepsilon$ for all i. Then

$$\bigcap \ker \pi_i \subset \ker \pi_0$$
.

If therefore ρ denotes the representation $\Sigma^{\oplus}\pi_i$ then $\pi_0(A)$ is a quotient of $\rho(A)$ so that $||\pi_0 \circ \delta|| \leq ||\rho \circ \delta||$. But

$$\|
ho \circ \delta \| = \sup \| \pi_i \circ \delta \| \leq t + \varepsilon$$

and consequently $|| \pi_0 \circ \delta || \leq t + \epsilon$. Since $\epsilon > 0$ is arbitrary the proposition follows.

REMARK 2.3. If $\delta^* = -\delta$ and h is the minimal positive generator for δ then since each representation π of A is quasi-equivalent to a representation of the form $x \to zx$ for some central projection z in A" we have

$$|| \, \pi \circ \delta \, || = || \, ar{\delta} \mid A^{\prime \prime} z \, || = || \, h z \, || = || \, \pi(h) \, || \; .$$

Note that since $h \in ((A_{sa})^m)^-$ the function $\pi \to ||\pi(h)||$ is lower semicontinuous on \hat{A} by [15, Theorem 4.6] in accordance with Proposition 2.2.

The next result is proved in [2] by an entirely different method.

THEOREM 2.4. For each derivation δ of a C*-algebra A such that $\delta^* = -\delta$, the function $\pi \to || \pi \circ \delta ||$ is continuous on \hat{A} if and only if the minimal positive generators for δ and $-\delta$ both belong to M(A).

Proof. Without loss of generality assume that $||\delta|| = 1$, and let h and k be the minimal positive generators for δ and $-\delta$, respectively. Since 1 - k is a positive generator for δ we have $h \leq 1 - k$. Moreover, (1 - k) - h belongs to the center of A''. Put a = h + k. We claim that $||\pi(h)|| = ||\pi(a)||$ for each irreducible representation π of A. For if $||\pi(h)|| + \varepsilon \leq ||\pi(a)||$ for some $\varepsilon > 0$ then since $\pi(a)$ is a multiple of the identity we get

$$\pi(a) - \pi(k) = \pi(h) \leq \pi(a) - \varepsilon$$

so that $\varepsilon \leq \pi(k)$. But this is impossible as $\pi(k)$ is the minimal positive generator for $-\pi \circ \delta$.

By the Dauns-Hofmann Theorem the central, positive element a

in $((A_{s.a.})^m)^-$ belongs to M(A) if and only if the function $\pi \to ||\pi(a)||$ $(=||\pi \circ \delta||)$ is continuous on \hat{A} (see [15, Corollary 4.7]). If both h and k belongs to M(A) then of course $a \in M(A)$. But if $a \in M(A)$ then in particular $a \in (\tilde{A}_{s.a.})_m$ and since $-(\tilde{A}_{s.a.})^m = (\tilde{A}_{s.a.})_m$

$$h = a - k \in (\widetilde{A}_{s.a.})_m .$$

Thus by Theorem 2.1

$$h \in (\widetilde{A}_{s.a.})^m \cap (\widetilde{A}_{s.a.})_m = M(A)_{s.a.}$$
 .

This completes the proof.

COROLLARY 2.5. (Sakai [17]). Every derivation of a simple C^* -algebra is given by a multiplier of the algebra.

Proof. Each nonzero representation of A is an isometry so that the function $\pi \to || \pi \circ \delta ||$ is constant, hence continuous.

3. Derivations of sequentially closed C^* -algebras. A monotone sequentially closed C^* -algebra B is a C^* -algebra in which every normbounded increasing sequence of self-adjoint elements has a least upper bound in the algebra. Basically these algebras are the non-commutative algebraic counterpart of abstract measure spaces, a point of view which has been successfully exploited in [5]. A monotone sequentially closed C^* -algebra which admits a faithful σ -normal representation on a Hilbert space (sometimes known as a Baire* algebra) is a reasonable non-commutative analogue of the Baire functions on a locally compact space. These algebras are studied in [6], [11], [13], [14], and [16].

We say that the monotone sequentially closed C^* -algebra B is countably generated if it contains a sequence $\{b_n\}$ such that the smallest monotone sequentially closed C^* -subalgebra of B containing $\{b_n\}$ is equal to B. In this case B has a unit—the supremum of all range projections of the b_n 's.

THEOREM 3.1. Every derivation of a countably generated monotone sequentially closed C^* -algebra is inner.

Proof. We may assume that $\delta^* = -\delta$. Let A be the separable C^* -subalgebra of B generated by elements of the form $\delta^m(b_n), m \ge 0$, where $\{b_n\}$ is a generating sequence for B containing 1. Then $\delta(A) \subset A$ so that $\delta_1 = \delta \mid A$ is a derivation of A. By Theorem 2.1 $\delta_1 = ad h$ for some h in $(A_+)^m$ (since $1 \in A$ the subset $(A_{s.a.})^m$ is norm-closed and $((A_{s.a.})^m)_+ = (A_+)^m$). The separability of A implies that Q is metrizable so that we can find a sequence $\{h_k\}$ in A_+ with $h_k \nearrow h$.

Let $\{u_n\}$ be a countable group of unitaries in A which generate

A as a C^* -algebra. Note that

$$u_n^*hu_n-h=u_n^*\delta_1(u_n)\in A$$
.

For fixed n_0 put $X = \sum_{n < n_0}^{\oplus} A$. Then the sequence in X with elements

$$x_k = \sum_{n \leq n_0} (u_n^* h_k u_n - h_k - u_n^* \delta_1(u_n))$$

converges weakly to zero in X^{**} . Thus for every $\varepsilon > 0$ and k_0 there exists $\{x_k \mid k_0 \leq k \leq k_1\}$ such that

$$igcap_k \left\{
ho \in X_1^st \mid \mid
ho(x_k) \mid \geqq arepsilon
ight\} = arnothing$$
 .

It follows that $||\Sigma\lambda_k x_k|| < \varepsilon$ for some convex combination of the x_k 's. Using this we can inductively find a sequence $\{a_m\}$ in A_+ such that

(i) Each a_m is a convex combination of elements from $\{h_k\}$.

(ii) The elements h_k occuring in the combination of a_{m+1} all have higher index than those occuring in a_m .

(iii)
$$||u_n^*a_mu_n - a_m - u_n^*\delta_1(u_n)|| \leq \frac{1}{m}$$
 for $n \leq m$.

By condition (i) $a_m \leq ||h||$ for all m and by condition (ii) the sequence $\{a_m\}$ is increasing. Let a denote the least upper bound of $\{a_m\}$ in B. Then $u_n^*au_n$ is the least upper bound for $\{u_n^*a_mu_n\}$. Since $\{u_n^*a_mu_n - a_m\}$ is norm-convergent to $u_n^*\delta_1(u_n)$ we conclude from [10, Lemma 2.2] that

$$u_n^*au_n - a = u_n^*\delta_1(u_n)$$

for all u_n (the additional hypothesis in [10, Lemma 2.2] that B is (unrestrictedly) monotone complete is not needed for the proof). Thus $\delta_1(u_n) = au_n - u_n a$ for all u_n . The elements in B on which the two derivations δ and ad a coincide form a C^* -algebra containing A. Since $\delta(A) \subset A$ we see that the elements in B on which δ^n and $(ad a)^n$ coincide for every n form a C^* -algebra B_0 containing A. If $\{c_n\}$ is an increasing sequence of self-adjoint elements in B_0 with least upper bound c in Bthen

$$\exp{(it\delta)}c_n = \exp{(ita)}c_n \exp{(-ita)}$$

for every n and all real t. Since * automorphisms are order-preserving this implies that

$$\exp(it\delta)c = \exp(ita)c\exp(-ita)$$
.

Successive differentiations show that $\delta^n(c) = (ad a)^n(c)$; hence $c \in B_0$. It follows that B_0 is monotone sequentially closed and therefore $B_0 = B$. This completes the proof. COROLLARY 3.2. If δ is a derivation of a countably generated monotone sequentially closed C*-algebra B such that $\delta^* = -\delta$ then there is a minimal positive generator a in B for δ characterized by

 $||az|| = ||\delta|Bz||$

for every central projection z in B.

Proof. Since B is countably generated every projection in B has a central cover in B, so that B is well supplied with central projections. With the notation as in the proof of Theorem 3.1 note that each central projection z in B determines a representation π of A given by $\pi(b) = bz$. Since h is the minimal positive generator for δ_1 this implies that $|| \pi(h) || = || \pi \circ \delta_1 ||$. Now $0 \leq a_m \leq h$ so that

 $||a_m z|| = ||\pi(a_m)|| \le ||\pi(h)||$.

Since az is the least upper bound in B of $\{a_m z\}$ we conclude that $0 \leq az \leq ||\pi(h)||$, hence $||az|| \leq ||\pi(h)||$. Finally

$$||\pi\circ\delta_1||=||\delta|Az||\leq ||\delta|Bz||$$

so that $||az|| \leq ||\delta| Bz||$. The reverse inequality is obvious and it follows as in the proof of [12, Proposition 3] that *a* is uniquely characterized by these norm conditions and that it is the minimal positive generator for δ in *B*.

REMARK 3.3. For a nonseparable Hilbert space H let S(H) denote the set of operators in B(H) with separable range. Then S(H) is a monotone sequentially closed C^* -algebra but since S(H) is an ideal in B(H) it is easy to find outer derivations for S(H). Thus the condition of being countably generated can not be deleted from Theorem 3.1.

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Pacific Journal of Mathematics Vol. 53, No. 2 April, 1974

Kenneth Abernethy, On characterizing certain classses of first countable spaces by open mappings	319
Ross A. Beaumont and Donald Lawver, <i>Strongly semisimple abelian groups</i>	327
Gerald A. Beer, <i>The index of convexity and parallel bodies</i>	337
Victor P. Camillo and Kent Ralph Fuller, <i>On Loewy length of rings</i>	347
Stephen LaVern Campbell, <i>Linear operators for which T*T and TT* commute.</i>	547
	355
Charles Kam-Tai Chui and Philip Wesley Smith, <i>Characterization of a function by</i>	555
certain infinite series it generates	363
	373
Allan L. Edelson, <i>Conjugations on stably almost complex manifolds</i>	
Patrick John Fleury, Hollow modules and local endomorphism rings	379
Jack Tilden Goodykoontz, Jr., <i>Connectedness im kleinen and local connectedness in</i>	207
2^X and $C(X)$	387
Robert Edward Jamison, II, <i>Functional representation of algebraic intervals</i>	399
Athanassios G. Kartsatos, Nonzero solutions to boundary value problems for	
nonlinear systems	425
Soon-Kyu Kim, Dennis McGavran and Jingyal Pak, Torus group actions on simply	
connected manifolds	435
David Anthony Klarner and R. Rado, Arithmetic properties of certain recursively	
defined sets	445
Ray Alden Kunze, On the Frobenius reciprocity theorem for square-integrable	
representations	465
John Lagnese, Existence, uniqueness and limiting behavior of solutions of a class of	
differential equations in Banach space	473
Teck Cheong Lim, A fixed point theorem for families on nonexpansive mappings	487
Lewis Lum, A quasi order characterization of smooth continua	495
	175
Andy R. Magid, <i>Principal homogeneous spaces and Galois extensions</i>	501
Andy R. Magid, <i>Principal homogeneous spaces and Galois extensions</i> Charles Alan McCarthy, <i>The norm of a certain derivation</i>	
Charles Alan McCarthy, <i>The norm of a certain derivation</i>	501
Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> $S^2 \times S^1$ <i>by elementary</i>	501
Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> $S^2 \times S^1$ <i>by elementary surgery along a knot</i>	501 515
Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> $S^2 \times S^1$ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>	501 515 519 525
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i> Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> 	501 515 519 525 539
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i> Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> 	501 515 519 525
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i> Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have</i> 	501 515 519 525 539 555
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i> Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i> 	501 515 519 525 539 555 563
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i> Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i> Duane O'Neill, <i>On conjugation cobordism</i>. 	501 515 519 525 539 555
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i>	501 515 519 525 539 555 563 573
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i>. Duane O'Neill, <i>On conjugation cobordism</i> Chull Park and S. R. Paranjape, <i>Probabilities of Wiener paths crossing differentiable curves</i>. 	501 515 519 525 539 555 563 573 579
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i>	501 515 519 525 539 555 563 573
 Charles Alan McCarthy, <i>The norm of a certain derivation</i>	501 515 519 525 539 555 563 573 579 585
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i>. Duane O'Neill, <i>On conjugation cobordism</i>. Chull Park and S. R. Paranjape, <i>Probabilities of Wiener paths crossing differentiable curves</i> Edward Ralph Rozema, <i>Almost Chebyshev subspaces of</i> L¹(μ; <i>E</i>). Lesley Millman Sibner and Robert Jules Sibner, <i>A note on the Aliyah-Bott fixed point formula</i>. 	501 515 519 525 539 555 563 573 579
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i>. Duane O'Neill, <i>On conjugation cobordism</i>. Chull Park and S. R. Paranjape, <i>Probabilities of Wiener paths crossing differentiable curves</i>. Edward Ralph Rozema, <i>Almost Chebyshev subspaces of</i> L¹(μ; E). Lesley Millman Sibner and Robert Jules Sibner, <i>A note on the A tyah-Bott fixed point formula</i>. Betty Salzberg Stark, <i>Irreducible subgroups of orthogonal groups generated by</i> 	501 515 519 525 539 555 563 573 573 579 585 605
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i> Dorte Olesen, <i>Derivations of AW*-algebras are inner</i> Dorte Olesen and Gert Kjærgaard Pedersen, <i>Derivations of C*-algebras have semi-continuous generators</i>. Duane O'Neill, <i>On conjugation cobordism</i>. Chull Park and S. R. Paranjape, <i>Probabilities of Wiener paths crossing differentiable curves</i>. Edward Ralph Rozema, <i>Almost Chebyshev subspaces of</i> L¹(μ; <i>E</i>). Lesley Millman Sibner and Robert Jules Sibner, <i>A note on the Atjvah-Bott fixed point formula</i>. Betty Salzberg Stark, <i>Irreducible subgroups of orthogonal groups generated by groups of root type</i> 1. 	501 515 519 525 539 555 563 573 579 585
 Charles Alan McCarthy, <i>The norm of a certain derivation</i>	501 515 519 525 539 555 563 573 573 579 585 605 611
 Charles Alan McCarthy, <i>The norm of a certain derivation</i>	501 515 519 525 539 555 563 573 579 585 605 611 627
 Charles Alan McCarthy, <i>The norm of a certain derivation</i> Louise Elizabeth Moser, <i>On the impossibility of obtaining</i> S² × S¹ by elementary surgery along a knot Gordon L. Nipp, <i>Quaternion orders associated with ternary lattices</i>. Anthony G. O'Farrell, <i>Equiconvergence of derivations</i>	501 515 519 525 539 555 563 573 573 579 585 605 611
 Charles Alan McCarthy, <i>The norm of a certain derivation</i>	501 515 519 525 539 555 563 573 579 585 605 611 627