

# Pacific Journal of Mathematics

**STRONGLY REGULAR GRAPHS AND GROUP DIVISIBLE  
DESIGNS**

MOHAN S. SHRIKHANDE

## STRONGLY REGULAR GRAPHS AND GROUP DIVISIBLE DESIGNS

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**The counting techniques of the author's earlier work on strongly regular graphs are used to prove the converse of a result of R. C. Bose and S. S. Shrikhande on geometric and pseudo-geometric graphs  $(q^2 + 1, q + 1, 1)$ .**

**0. Introduction.** In the present paper, we use the counting techniques of the author's earlier work [5] to prove the converse of a result of R.C. Bose and S.S. Shrikhande [3] on geometric and pseudo-geometric graphs  $(q^2 + 1, q + 1, 1)$ .

Section 1 is devoted to preliminaries on strongly regular graphs and group divisible designs. We also give a brief description of the problem under consideration and a statement of our main result Theorem 1.1. Section 2 contains the proof of Theorem 1.1.

We refer to [3] for the necessary background. Throughout this paper  $I$  will denote an identity matrix and  $J$  a square matrix of all ones. Also  $j$  and  $O$  will denote row vectors of all ones and zeros respectively. Finally,  $|S|$  denotes the cardinality of the set  $S$ .

**1. Preliminary results and the statement of the main result Theorem 1.1.**

A strongly regular graph [1] is a graph on  $v$  vertices, without loops or multiple edges and whose standard  $(0, 1)$  adjacency matrix  $A$  satisfies

$$(1.1) \quad AJ = JA = n_1 J$$

and

$$(1.2) \quad A^2 = n_1 I + \lambda A + \mu(J - I - A).$$

The parameters of a strongly regular graph are then denoted by

$$(1.3) \quad v, n_1, \lambda, \mu.$$

Let  $v = mn$  objects (= treatments) be partitioned into  $m$  disjoint sets  $S_i$  ( $i = 1, 2, \dots, m$ ), each containing  $n$  objects. Let two objects be called adjacent if and only if they belong to the same set  $S_i$ . We then get a strongly regular graph, which is traditionally called a group divisible (G.D.) association scheme. The parameters of a G.D. scheme are given by

$$(1.4) \quad v = mn, n_1 = n - 1, \lambda = n - 2, \mu = 0 \quad (n \geq 2).$$

We observe that for a G.D. scheme, the  $mn \times mn$  adjacency matrix (= association matrix)  $C$  has the form

$$(1.5) \quad C = \text{diag}[J_n - I_n, J_n - I_n, \dots, J_n - I_n].$$

Suppose now that we have a G.D. scheme on  $v = mn$  treatments as above. A G.D. design  $D(v, b, r, k, m, n, \lambda_1, \lambda_2)$  is an arrangement of these  $v$  treatments  $t_1, t_2, \dots, t_v$  into  $b$  distinct subsets  $B_1, B_2, \dots, B_b$  (called blocks) satisfying the following conditions:

- (1)  $|B_i| = k$  ( $i = 1, 2, \dots, b$ )
- (2) Each treatment occurs in exactly  $r$  blocks.
- (3) Two treatments from the same set  $S_i$  appear together in exactly  $\lambda_1$  blocks and two treatments from distinct sets  $S_i$  and  $S_j$  occur together in exactly  $\lambda_2$  blocks.

The parameters of a G.D. design are denoted by

$$(1.6) \quad v, b, r, k, m, n, \lambda_1, \lambda_2.$$

A G.D. design  $D$  is called semi-regular group divisible (S.R.G.D.) if  $r > \lambda_1$  and  $rk = \lambda_2 v$ . Bose and Connor [2] have shown that for a S.R.G.D. design,  $m$  divides  $k$  and each block contains  $k/m$  treatments from each set  $S_i$  ( $i = 1, 2, \dots, m$ ).

We now indicate the problem considered in the present paper. Let  $D$  be a S.R.G.D. design with parameters (1.6). Let  $t_1, t_2, \dots, t_v$  and  $B_1, B_2, \dots, B_b$  denote the treatments and blocks of  $D$  respectively. Suppose  $D$  has the additional property that there exist distinct nonnegative integers  $\mu_1$  and  $\mu_2$  satisfying  $|B_i \cap B_j| \in \{\mu_1, \mu_2\}$  ( $i \neq j$ ). We construct the block graph  $B$  of  $D$  as follows. Take the vertices of  $B$  to be the blocks of  $D$ . Define blocks  $B_i, B_j$  ( $i \neq j$ ) to be adjacent if and only if  $|B_i \cap B_j| = \mu_1$ .

Let  $N$  denote the usual  $v \times b$  (0, 1) incidence matrix of  $D$ . Let  $C$  be given by (1.5). Define

$$(1.7) \quad A = \begin{bmatrix} 0 & j_v & O_b \\ j'_v & C & N \\ O'_b & N' & B \end{bmatrix}.$$

We note that  $A$  is a symmetric  $(0, 1)$  matrix of size  $b + v + 1$ , and has zero trace. Therefore  $A$  is the adjacency matrix of a graph. We wish to find necessary and sufficient conditions on the parameters of  $D$ , so that  $A$  is strongly regular.

In [3], the converse situation was investigated. There, one starts with a very specific strongly regular graph, namely a pseudo-geometric graph  $(q^2 + 1, q + 1, 1)$  ( $q \geq 2$ ). (See [1] for a general discussion of geometric and pseudo-geometric graphs  $(r, k, t)$ ). The adjacency matrix  $A$  of this graph can be brought to the form (1.7), where  $C, N, B$  are now  $(0, 1)$  matrices of the appropriate form. If further,  $A$  has the properties  $(P)$  and  $(P^*)$  as in the notation of [3], then it was shown that  $N$  is the incidence matrix of a S.R.G.D. design  $D$  and  $C$  is given by (1.5). Moreover the blocks of  $D$  have two intersection cardinalities  $\mu_1, \mu_2$  and  $B$  is the block graph of  $D$ .

Specifically, the parameters of the S.R.G.D. design  $D$  were shown to be

$$(1.8) \quad \begin{cases} v = q(q^2 + 1), & b = q^4, & r = q^3, & k = q^2 + 1, & m = q^2 + 1, \\ n = q, & \lambda_1 = 0, & \lambda_2 = q^2, & \mu_1 = 1, & \mu_2 = q + 1 \end{cases}$$

In this paper, we shall show that there are only two parametrically possible strongly regular graphs  $A$ , of the form (1.7), which can be obtained from S.R.G.D. designs in the above manner. One of these graphs is pseudo-geometric  $(q^2 + 1, q + 1, 1)$ .

The full content of our main result is the following:

**THEOREM 1.1** *Let  $N$  be the incidence matrix of a S.R.G.D. design  $D$  with parameters  $v = mn, b, r, k, \lambda_1, \lambda_2$  having  $m$  sets of  $n$  treatments each. Suppose any two distinct blocks of  $D$  intersect in  $\mu_1$  or  $\mu_2$  ( $\neq \mu_1$ ) treatments. Let  $C$  be the association matrix of  $D$  and let  $B$  be the adjacency matrix of the blocks of  $D$ .*

Then,

$$A = \begin{bmatrix} 0 & j_v & O_b \\ j'_v & C & N \\ O'_b & N' & B \end{bmatrix}$$

represents a strongly regular graph if and only if the parameters of  $D$  are given by

$$(1) \quad v = q(q^2 + 1), b = q^4, r = q^3, k = q^2 + 1, m = q^2 + 1, \\ n = q, \lambda_1 = 0, \lambda_2 = q, \mu_1 = 1, \mu_2 = q + 1 \quad (q \geq 2)$$

or (2)  $v = 2n, b = n^2, r = n, k = 2, m = 2, n,$   
 $\lambda_1 = 0, \lambda_2 = 1, \mu_1 = 1, \mu_2 = 0 \quad (n \geq 2).$

Moreover, the corresponding strongly regular graphs  $A$  are respectively pseudo-geometric  $(q^2 + 1, q + 1, 1)$  or pseudo-geometric  $(2, n + 1, 1)$ .

**2. Proof of Theorem 1.1.** Let  $D(v, b, r, k, m, n, \lambda_1, \lambda_2)$  be a S.R.G.D. design based on  $m$  sets of  $n$  treatments each. Let  $t_1, t_2, \dots, t_v$  and  $B_1, B_2, \dots, B_b$  denote the treatments and blocks of  $D$ . We assume further that any two distinct blocks of  $D$  intersect in  $\mu_1$  or  $\mu_2$  ( $\neq \mu_1$ ) treatments. Then the parameters of  $D$  can be taken to be

$$(2.1) \quad v = mn, b, r, k, m, n, \lambda_1, \lambda_2, \mu_1, \mu_2.$$

Let  $N, B, C$  and  $A$  be as in the statement of Theorem 1.1. Let  $m_i$  denote the number of blocks intersecting a given block in  $\mu_i$  treatments ( $i = 1, 2$ ). Then clearly

$$(2.2) \quad m_1 + m_2 = b - 1$$

and

$$(2.3) \quad m_1\mu_1 + m_2\mu_2 = k(r - 1).$$

Therefore,  $B$  has constant row sum  $m_1$  given by

$$(2.4) \quad m_1 = \frac{k(r - 1) + \mu_2(1 - b)}{\mu_1 - \mu_2}.$$

Now, since  $D$  is a S.R.G.D. design with incidence matrix  $N$ , we know from [2], that  $NN'$  has eigenvalues  $rk, r - \lambda_1$  and  $rk - \lambda_2 v = 0$ , with multiplicities 1,  $m(n - 1)$  and  $m - 1$  respectively. Hence  $N'N$  has eigenvalues  $rk, r - \lambda_1$  and 0 with multiplicities 1,  $m(n - 1)$  and  $b - m(n - 1) - 1$  respectively. But, we have

$$(2.5) \quad N'N = kI + \mu_1 B + \mu_2(J - I - B).$$

Hence, from Frobenius' theorem on commuting matrices,  $B$  has eigenvalues  $\theta_0, \theta_1, \theta_2$  given by

$$(2.6) \quad \theta_0 = \frac{k(r-1) + \mu_2(1-b)}{\mu_1 - \mu_2} = m_1, \text{ with multiplicity } 1$$

$$(2.7) \quad \theta_1 = \frac{(r - \lambda_1) + (\mu_2 - k)}{\mu_1 - \mu_2}, \quad \text{with multiplicity } m(n-1)$$

$$(2.8) \quad \theta_2 = \frac{(\mu_2 - k)}{(\mu_1 - \mu_2)}, \quad \text{with multiplicity } b - m(n-1) - 1.$$

Thus, from Lemma 5, [4],  $B$  is strongly regular  $(b, m_1, \alpha, \beta)$ , where

$$(2.9) \quad \alpha = m_1 + \theta_1 + \theta_2 + \theta_1\theta_2, \beta = m_1 + \theta_1\theta_2.$$

Let

$$(2.10) \quad A = \begin{bmatrix} 0 & j_v & O_b \\ j'_v & C & N \\ O'_b & N' & B \end{bmatrix}.$$

Suppose  $A$  is strongly regular  $(b + v + 1, n_1, \lambda, \mu)$ . Any row sum of  $A$  is either  $v, n + r$  or  $k + m_1$ . Hence, for regularity we must have

$$(2.11) \quad n_1 = v = n + r = k + m_1.$$

Next, by considering any two treatments or any two blocks which are adjacent or nonadjacent, easy counting arguments in (2.10) give

$$(2.12) \quad \lambda = n - 1 = (n - 1) + \lambda_1 = \mu_1 + \alpha$$

and

$$(2.13) \quad \mu = k = 1 + \lambda_2 = \mu_2 + \beta.$$

From (2.12), we see that  $\lambda_1 = 0$ . This together with the Bose-Connor property mentioned in §1, implies that every block contains exactly one treatment from each set. Hence the parameters (2.1) of  $D$  can be taken as

$$(2.14) \quad v = mn, b = n^2\lambda_2, r = \lambda_2 n, k = m, m, n, \lambda_1 = 0, \lambda_2, \mu_1, \mu_2.$$

Next, consider a treatment  $t_i$  and a block  $B_j$  such that  $t_i \in B_j$ . Denoting  $N = (n_{ij}), B = (b_{ij}), C = (c_{ij})$ , we have from (2.10),

$$\lambda = |\{l: c_{il} = 1 = n_{lj}, 1 \leq l \leq v\}| + |\{l: n_{il} = 1 = b_{jl}, 1 \leq l \leq b\}|.$$

Using the Bose-Connor property, we get

$$(2.16) \quad \lambda = |\{B_l : l \neq j, t_i \in B_l \text{ and } |B_l \cap B_j| = \mu_1\}|.$$

Let  $B_j = \{t_i, y_1, y_2, \dots, y_{k-1}\}$ ,  $B_l = \{t_i, x_1, x_2, \dots, x_{k-1}\}$  (say). Since  $\lambda_1 = 0$ , each pair  $(t_i, y_p)$ ,  $1 \leq p \leq k - 1$  occurs  $\lambda_2$  times in the blocks of  $D$ . Counting the distribution of these pairs in two ways, we get

$$(2.17) \quad \lambda = \frac{(k-1)(\lambda_2 - 1) - (\mu_2 - 1)(r-1)}{\mu_1 - \mu_2}.$$

Next, consider a treatment  $t_i$  and a block  $B_j$  such that  $t_i \notin B_j$ . Then using the Bose-Connor property, a similar type of counting yields

$$(2.18) \quad \mu = \frac{(k-1)\lambda_2 + (\mu_1 - \mu_2) - r\mu_2}{\mu_1 - \mu_2}.$$

Then, (2.12), (2.17) and (2.13), (2.18) imply that

$$(2.19) \quad (n-1)(\mu_1 - \mu_2) = (k-1)(\lambda_2 - 1) - (\mu_2 - 1)(r-1)$$

and

$$(2.20) \quad (k-1)(\mu_1 - \mu_2) = (k-1)\lambda_2 - r\mu_2.$$

Then, (2.19) and (2.20) give

$$(2.21) \quad \mu_1 + r - k = (n - k + 1)(\mu_1 - \mu_2)$$

and

$$(2.22) \quad \mu_2 + r - k = (n - k)(\mu_1 - \mu_2).$$

Next, using (2.13), (2.12), (2.11) and (2.9), we obtain

$$(2.23) \quad (\mu_1 + r - k)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0$$

and

$$(2.24) \quad (\mu_2 + r - k)\{(\mu_1 - \mu_2)^2 + \mu_2 - k\} + (\mu_1 - \mu_2)^2(n - k) = 0.$$

Thus,

$$(2.25) \quad (\mu_1 - \mu_2)(n - k + 1)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0.$$

and

$$(2.26) \quad (\mu_1 - \mu_2)(n - k)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0.$$

Since  $\mu_1 \neq \mu_2$ , this gives

$$(2.27) \quad (\mu_1 - \mu_2)^2 + \mu_1 - k = 0.$$

Putting  $\mu_1 - \mu_2 = g$  in (2.27) gives

$$(2.28) \quad \mu_1 = k - g^2$$

$$(2.29) \quad \mu_2 = k - g^2 - g.$$

Substituting these values in (2.22) we get

$$(2.30) \quad (n + g)\lambda_2 = (n + g)g.$$

Hence, either

$$\lambda_2 = g \quad (> 0) \quad \text{case (a)}$$

or

$$n = -g \quad (\geq 2) \quad \text{case (b)}$$



If case (a) holds, then  $k = m = 1 + \lambda_2 = 1 + g$  and  $\mu_1 = g + 1 - g^2$ ,  $\mu_2 = 1 - g^2$ . But  $\mu_1 \neq \mu_2$  and  $\mu_1 \geq 0$ ,  $\mu_2 \geq 0$  then imply that  $D$  has parameters

$$(2.31) \quad \begin{cases} v = mn = 2n, & b = n^2, & r = n, & k = 2, & m = 2, & n \\ \lambda_1 = 0, & \lambda_2 = 1, & \mu_1 = 1, & \mu_2 = 0. \end{cases}$$

Also, the parameters of  $A$  are then

$$(2.32) \quad b + v + 1 = (n + 1)^2, \quad n_1 = 2n, \quad \lambda = n - 1, \quad \mu = 2 \quad (n \geq 2).$$

Thus  $A$  is pseudo-geometric  $(2, n + 1, 1)$ .

Finally if case (b) holds, put

$$(2.33) \quad n = -g = q \quad (\text{say}).$$

Then (2.20), together with  $\lambda_2 \neq 0$ ,  $n \geq 2$  implies that  $D$  has parameters

$$(2.34) \quad \begin{cases} v = q(q^2 + 1), & b = q^4, & r = q^3, & k = q^2 + 1, & m = q^2 + 1, \\ n = q, & \lambda_1 = 0, & \lambda_2 = q^2, & \mu_1 = 1, & \mu_2 = q + 1, & (q \geq 2). \end{cases}$$

And in this case, it is easily seen that  $A$  has parameters

$$(2.35) \quad \begin{cases} b + v + 1 = (q + 1)(q^3 + 1), & n_1 = q(q^2 + 1), \\ \lambda = q - 1, & \mu = q^2 + 1. \end{cases}$$

Thus, in this case  $A$  is pseudo-geometric  $(q^2 + 1, q + 1, 1)$ .

We have therefore established that if  $A$  is strongly regular, then  $D$  has parameters given by (2.31) or (2.34). Moreover  $A$  is then pseudo-geometric  $(2, n + 1, 1)$  or  $(q^2 + 1, q + 1, 1)$  respectively.

Conversely it can be easily shown that if  $D$  has parameters given by (2.31) or (2.34), then  $A$  is strongly regular and is pseudo-geometric  $(2, n + 1, 1)$  or  $(q^2 + 1, q + 1, 1)$  respectively.

This completes the proof of Theorem 1.1.

**REMARKS.** (i) The existence of S.R.G.D. designs  $D$  with parameters of case (1) in Theorem 1.1 and partial geometries  $(q^2 + 1, q + 1, 1)$  is known for  $q$  a prime or prime power (See [1] and [3]).

(ii) The design  $D$  with parameters of case (2) in Theorem 1.1 is

known for any integer  $n$  and is constructed as follows: Arrange  $n^2$  treatments in an  $n \times n$  array as

$$L = \begin{bmatrix} 1 & 2 & \dots & n \\ n+1 & n+2 & \dots & 2n \\ \vdots & \vdots & \ddots & \ddots \\ n^2-n+1 & n^2-n+2 & \dots & n^2 \end{bmatrix}$$

Write down  $2n$  blocks corresponding to the rows and columns of  $L$ . We get a design  $E$  where the blocks are the columns in

$$\begin{array}{c} \underbrace{\hspace{10em}}_{S_1} \qquad \qquad \underbrace{\hspace{10em}}_{S_2} \\ \begin{array}{cccc|cccc} 1 & n+1 & \dots & n^2-n+1 & 1 & 2 & \dots & n \\ 2 & n+2 & \dots & n^2-n+2 & n+1 & n+2 & \dots & 2n \\ \vdots & \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ n & 2n & \dots & n^2 & n^2-n+1 & n^2-n+2 & \dots & n^2 \end{array} \end{array}$$

The required design  $D$  is the dual of  $E$ . It is easily seen that in this case the line graph  $L_2(n+1)$  of the complete bipartite graph  $K(n+1, n+1)$  has the same parameters as the graph  $A$ .

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