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**STRONGLY REGULAR GRAPHS AND GROUP DIVISIBLE
DESIGNS**

MOHAN S. SHRIKHANDE

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The counting techniques of the author's earlier work on strongly regular graphs are used to prove the converse of a result of R. C. Bose and S. S. Shrikhande on geometric and pseudo-geometric graphs $(q^2 + 1, q + 1, 1)$.

0. Introduction. In the present paper, we use the counting techniques of the author's earlier work [5] to prove the converse of a result of R.C. Bose and S.S. Shrikhande [3] on geometric and pseudo-geometric graphs $(q^2 + 1, q + 1, 1)$.

Section 1 is devoted to preliminaries on strongly regular graphs and group divisible designs. We also give a brief description of the problem under consideration and a statement of our main result Theorem 1.1. Section 2 contains the proof of Theorem 1.1.

We refer to [3] for the necessary background. Throughout this paper I will denote an identity matrix and J a square matrix of all ones. Also j and O will denote row vectors of all ones and zeros respectively. Finally, $|S|$ denotes the cardinality of the set S .

1. Preliminary results and the statement of the main result Theorem 1.1.

A strongly regular graph [1] is a graph on v vertices, without loops or multiple edges and whose standard $(0, 1)$ adjacency matrix A satisfies

$$(1.1) \quad AJ = JA = n_1J$$

and

$$(1.2) \quad A^2 = n_1I + \lambda A + \mu(J - I - A).$$

The parameters of a strongly regular graph are then denoted by

$$(1.3) \quad v, n_1, \lambda, \mu.$$

Let $v = mn$ objects (= treatments) be partitioned into m disjoint sets S_i ($i = 1, 2, \dots, m$), each containing n objects. Let two objects be called adjacent if and only if they belong to the same set S_i . We then get a strongly regular graph, which is traditionally called a group divisible (G.D.) association scheme. The parameters of a G.D. scheme are given by

$$(1.4) \quad v = mn, n_1 = n - 1, \lambda = n - 2, \mu = 0 \quad (n \geq 2).$$

We observe that for a G.D. scheme, the $mn \times mn$ adjacency matrix (= association matrix) C has the form

$$(1.5) \quad C = \text{diag}[J_n - I_n, J_n - I_n, \dots, J_n - I_n].$$

Suppose now that we have a G.D. scheme on $v = mn$ treatments as above. A G.D. design $D(v, b, r, k, m, n, \lambda_1, \lambda_2)$ is an arrangement of these v treatments t_1, t_2, \dots, t_v into b distinct subsets B_1, B_2, \dots, B_b (called blocks) satisfying the following conditions:

- (1) $|B_i| = k$ ($i = 1, 2, \dots, b$)
- (2) Each treatment occurs in exactly r blocks.
- (3) Two treatments from the same set S_i appear together in exactly λ_1 blocks and two treatments from distinct sets S_i and S_j occur together in exactly λ_2 blocks.

The parameters of a G.D. design are denoted by

$$(1.6) \quad v, b, r, k, m, n, \lambda_1, \lambda_2.$$

A G.D. design D is called semi-regular group divisible (S.R.G.D.) if $r > \lambda_1$ and $rk = \lambda_2 v$. Bose and Connor [2] have shown that for a S.R.G.D. design, m divides k and each block contains k/m treatments from each set S_i ($i = 1, 2, \dots, m$).

We now indicate the problem considered in the present paper. Let D be a S.R.G.D. design with parameters (1.6). Let t_1, t_2, \dots, t_v and B_1, B_2, \dots, B_b denote the treatments and blocks of D respectively. Suppose D has the additional property that there exist distinct nonnegative integers μ_1 and μ_2 satisfying $|B_i \cap B_j| \in \{\mu_1, \mu_2\}$ ($i \neq j$). We construct the block graph B of D as follows. Take the vertices of B to be the blocks of D . Define blocks B_i, B_j ($i \neq j$) to be adjacent if and only if $|B_i \cap B_j| = \mu_1$.

Let N denote the usual $v \times b$ (0, 1) incidence matrix of D . Let C be given by (1.5). Define

$$(1.7) \quad A = \begin{bmatrix} 0 & j_v & O_b \\ j'_v & C & N \\ O'_b & N' & B \end{bmatrix}.$$

We note that A is a symmetric $(0, 1)$ matrix of size $b + v + 1$, and has zero trace. Therefore A is the adjacency matrix of a graph. We wish to find necessary and sufficient conditions on the parameters of D , so that A is strongly regular.

In [3], the converse situation was investigated. There, one starts with a very specific strongly regular graph, namely a pseudo-geometric graph $(q^2 + 1, q + 1, 1)$ ($q \geq 2$). (See [1] for a general discussion of geometric and pseudo-geometric graphs (r, k, t)). The adjacency matrix A of this graph can be brought to the form (1.7), where C, N, B are now $(0, 1)$ matrices of the appropriate form. If further, A has the properties (P) and (P^*) as in the notation of [3], then it was shown that N is the incidence matrix of a S.R.G.D. design D and C is given by (1.5). Moreover the blocks of D have two intersection cardinalities μ_1, μ_2 and B is the block graph of D .

Specifically, the parameters of the S.R.G.D. design D were shown to be

$$(1.8) \quad \begin{cases} v = q(q^2 + 1), & b = q^4, & r = q^3, & k = q^2 + 1, & m = q^2 + 1, \\ n = q, & \lambda_1 = 0, & \lambda_2 = q^2, & \mu_1 = 1, & \mu_2 = q + 1 \end{cases}$$

In this paper, we shall show that there are only two parametrically possible strongly regular graphs A , of the form (1.7), which can be obtained from S.R.G.D. designs in the above manner. One of these graphs is pseudo-geometric $(q^2 + 1, q + 1, 1)$.

The full content of our main result is the following:

THEOREM 1.1 *Let N be the incidence matrix of a S.R.G.D. design D with parameters $v = mn, b, r, k, \lambda_1, \lambda_2$ having m sets of n treatments each. Suppose any two distinct blocks of D intersect in μ_1 or μ_2 ($\neq \mu_1$) treatments. Let C be the association matrix of D and let B be the adjacency matrix of the blocks of D .*

Then,

$$A = \begin{bmatrix} 0 & j_v & O_b \\ j'_v & C & N \\ O'_b & N' & B \end{bmatrix}$$

represents a strongly regular graph if and only if the parameters of D are given by

$$(1) \quad v = q(q^2 + 1), b = q^4, r = q^3, k = q^2 + 1, m = q^2 + 1, \\ n = q, \lambda_1 = 0, \lambda_2 = q, \mu_1 = 1, \mu_2 = q + 1 \quad (q \geq 2)$$

or (2) $v = 2n, b = n^2, r = n, k = 2, m = 2, n,$
 $\lambda_1 = 0, \lambda_2 = 1, \mu_1 = 1, \mu_2 = 0 \quad (n \geq 2).$

Moreover, the corresponding strongly regular graphs A are respectively pseudo-geometric $(q^2 + 1, q + 1, 1)$ or pseudo-geometric $(2, n + 1, 1)$.

2. Proof of Theorem 1.1. Let $D(v, b, r, k, m, n, \lambda_1, \lambda_2)$ be a S.R.G.D. design based on m sets of n treatments each. Let t_1, t_2, \dots, t_v and B_1, B_2, \dots, B_b denote the treatments and blocks of D . We assume further that any two distinct blocks of D intersect in μ_1 or μ_2 ($\neq \mu_1$) treatments. Then the parameters of D can be taken to be

$$(2.1) \quad v = mn, b, r, k, m, n, \lambda_1, \lambda_2, \mu_1, \mu_2.$$

Let N, B, C and A be as in the statement of Theorem 1.1. Let m_i denote the number of blocks intersecting a given block in μ_i treatments ($i = 1, 2$). Then clearly

$$(2.2) \quad m_1 + m_2 = b - 1$$

and

$$(2.3) \quad m_1\mu_1 + m_2\mu_2 = k(r - 1).$$

Therefore, B has constant row sum m_1 given by

$$(2.4) \quad m_1 = \frac{k(r - 1) + \mu_2(1 - b)}{\mu_1 - \mu_2}.$$

Now, since D is a S.R.G.D. design with incidence matrix N , we know from [2], that NN' has eigenvalues $rk, r - \lambda_1$ and $rk - \lambda_2v = 0$, with multiplicities 1, $m(n - 1)$ and $m - 1$ respectively. Hence $N'N$ has eigenvalues $rk, r - \lambda_1$ and 0 with multiplicities 1, $m(n - 1)$ and $b - m(n - 1) - 1$ respectively. But, we have

$$(2.5) \quad N'N = kI + \mu_1 B + \mu_2(J - I - B).$$

Hence, from Frobenius' theorem on commuting matrices, B has eigenvalues $\theta_0, \theta_1, \theta_2$ given by

$$(2.6) \quad \theta_0 = \frac{k(r-1) + \mu_2(1-b)}{\mu_1 - \mu_2} = m_1, \text{ with multiplicity } 1$$

$$(2.7) \quad \theta_1 = \frac{(r - \lambda_1) + (\mu_2 - k)}{\mu_1 - \mu_2}, \quad \text{with multiplicity } m(n-1)$$

$$(2.8) \quad \theta_2 = \frac{(\mu_2 - k)}{(\mu_1 - \mu_2)}, \quad \text{with multiplicity } b - m(n-1) - 1.$$

Thus, from Lemma 5, [4], B is strongly regular (b, m_1, α, β) , where

$$(2.9) \quad \alpha = m_1 + \theta_1 + \theta_2 + \theta_1\theta_2, \beta = m_1 + \theta_1\theta_2.$$

Let

$$(2.10) \quad A = \begin{bmatrix} 0 & J_v & O_b \\ J'_v & C & N \\ O'_b & N' & B \end{bmatrix}.$$

Suppose A is strongly regular $(b + v + 1, n_1, \lambda, \mu)$. Any row sum of A is either $v, n + r$ or $k + m_1$. Hence, for regularity we must have

$$(2.11) \quad n_1 = v = n + r = k + m_1.$$

Next, by considering any two treatments or any two blocks which are adjacent or nonadjacent, easy counting arguments in (2.10) give

$$(2.12) \quad \lambda = n - 1 = (n - 1) + \lambda_1 = \mu_1 + \alpha$$

and

$$(2.13) \quad \mu = k = 1 + \lambda_2 = \mu_2 + \beta.$$

From (2.12), we see that $\lambda_1 = 0$. This together with the Bose-Connor property mentioned in §1, implies that every block contains exactly one treatment from each set. Hence the parameters (2.1) of D can be taken as

$$(2.14) \quad v = mn, b = n^2\lambda_2, r = \lambda_2 n, k = m, m, n, \lambda_1 = 0, \lambda_2, \mu_1, \mu_2.$$

Next, consider a treatment t_i and a block B_j such that $t_i \in B_j$. Denoting $N = (n_{ij})$, $B = (b_{ij})$, $C = (c_{ij})$, we have from (2.10),

$$\lambda = \{ | \{ l : c_{il} = 1 = n_{ij}, 1 \leq l \leq v \} | \} + \{ | \{ l : n_{il} = 1 = b_{jl}, 1 \leq l \leq b \} | \}.$$

Using the Bose-Connor property, we get

$$(2.16) \quad \lambda = \{ | \{ B_l : l \neq j, t_i \in B_l \text{ and } |B_l \cap B_j| = \mu_1 \} | \}.$$

Let $B_j = \{t_i, y_1, y_2, \dots, y_{k-1}\}$, $B_l = \{t_i, x_1, x_2, \dots, x_{k-1}\}$ (say). Since $\lambda_1 = 0$, each pair (t_i, y_p) , $1 \leq p \leq k - 1$ occurs λ_2 times in the blocks of D . Counting the distribution of these pairs in two ways, we get

$$(2.17) \quad \lambda = \frac{(k-1)(\lambda_2 - 1) - (\mu_2 - 1)(r-1)}{\mu_1 - \mu_2}.$$

Next, consider a treatment t_i and a block B_j such that $t_i \notin B_j$. Then using the Bose-Connor property, a similar type of counting yields

$$(2.18) \quad \mu = \frac{(k-1)\lambda_2 + (\mu_1 - \mu_2) - r\mu_2}{\mu_1 - \mu_2}.$$

Then, (2.12), (2.17) and (2.13), (2.18) imply that

$$(2.19) \quad (n-1)(\mu_1 - \mu_2) = (k-1)(\lambda_2 - 1) - (\mu_2 - 1)(r-1)$$

and

$$(2.20) \quad (k-1)(\mu_1 - \mu_2) = (k-1)\lambda_2 - r\mu_2.$$

Then, (2.19) and (2.20) give

$$(2.21) \quad \mu_1 + r - k = (n - k + 1)(\mu_1 - \mu_2)$$

and

$$(2.22) \quad \mu_2 + r - k = (n - k)(\mu_1 - \mu_2).$$

Next, using (2.13), (2.12), (2.11) and (2.9), we obtain

$$(2.23) \quad (\mu_1 + r - k)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0$$

and

$$(2.24) \quad (\mu_2 + r - k)\{(\mu_1 - \mu_2)^2 + \mu_2 - k\} + (\mu_1 - \mu_2)^2(n - k) = 0.$$

Thus,

$$(2.25) \quad (\mu_1 - \mu_2)(n - k + 1)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0.$$

and

$$(2.26) \quad (\mu_1 - \mu_2)(n - k)\{(\mu_1 - \mu_2)^2 + \mu_1 - k\} = 0.$$

Since $\mu_1 \neq \mu_2$, this gives

$$(2.27) \quad (\mu_1 - \mu_2)^2 + \mu_1 - k = 0.$$

Putting $\mu_1 - \mu_2 = g$ in (2.27) gives

$$(2.28) \quad \mu_1 = k - g^2$$

$$(2.29) \quad \mu_2 = k - g^2 - g.$$

Substituting these values in (2.22) we get

$$(2.30) \quad (n + g)\lambda_2 = (n + g)g.$$

Hence, either

$$\lambda_2 = g \quad (> 0) \quad \text{case (a)}$$

or

$$n = -g \quad (\geq 2) \quad \text{case (b)}$$

If case (a) holds, then $k = m = 1 + \lambda_2 = 1 + g$ and $\mu_1 = g + 1 - g^2$, $\mu_2 = 1 - g^2$. But $\mu_1 \neq \mu_2$ and $\mu_1 \geq 0$, $\mu_2 \geq 0$ then imply that D has parameters

$$(2.31) \quad \begin{cases} v = mn = 2n, & b = n^2, & r = n, & k = 2, & m = 2, & n \\ \lambda_1 = 0, & \lambda_2 = 1, & \mu_1 = 1, & \mu_2 = 0. \end{cases}$$

Also, the parameters of A are then

$$(2.32) \quad b + v + 1 = (n + 1)^2, \quad n_1 = 2n, \quad \lambda = n - 1, \quad \mu = 2 \quad (n \geq 2).$$

Thus A is pseudo-geometric $(2, n + 1, 1)$.

Finally if case (b) holds, put

$$(2.33) \quad n = -g = q \quad (\text{say}).$$

Then (2.20), together with $\lambda_2 \neq 0$, $n \geq 2$ implies that D has parameters

$$(2.34) \quad \begin{cases} v = q(q^2 + 1), & b = q^4, & r = q^3, & k = q^2 + 1, & m = q^2 + 1, \\ n = q, & \lambda_1 = 0, & \lambda_2 = q^2, & \mu_1 = 1, & \mu_2 = q + 1, & (q \geq 2). \end{cases}$$

And in this case, it is easily seen that A has parameters

$$(2.35) \quad \begin{cases} b + v + 1 = (q + 1)(q^3 + 1), & n_1 = q(q^2 + 1), \\ \lambda = q - 1, & \mu = q^2 + 1. \end{cases}$$

Thus, in this case A is pseudo-geometric $(q^2 + 1, q + 1, 1)$.

We have therefore established that if A is strongly regular, then D has parameters given by (2.31) or (2.34). Moreover A is then pseudo-geometric $(2, n + 1, 1)$ or $(q^2 + 1, q + 1, 1)$ respectively.

Conversely it can be easily shown that if D has parameters given by (2.31) or (2.34), then A is strongly regular and is pseudo-geometric $(2, n + 1, 1)$ or $(q^2 + 1, q + 1, 1)$ respectively.

This completes the proof of Theorem 1.1.

REMARKS. (i) The existence of S.R.G.D. designs D with parameters of case (1) in Theorem 1.1 and partial geometries $(q^2 + 1, q + 1, 1)$ is known for q a prime or prime power (See [1] and [3]).

(ii) The design D with parameters of case (2) in Theorem 1.1 is

known for any integer n and is constructed as follows: Arrange n^2 treatments in an $n \times n$ array as

$$L = \begin{bmatrix} 1 & 2 & \dots & n \\ n+1 & n+2 & \dots & 2n \\ \vdots & \vdots & \ddots & \ddots \\ n^2-n+1 & n^2-n+2 & \dots & n^2 \end{bmatrix}$$

Write down $2n$ blocks corresponding to the rows and columns of L . We get a design E where the blocks are the columns in

$$\begin{array}{c} \underbrace{\hspace{10em}}_{S_1} \qquad \qquad \qquad \underbrace{\hspace{10em}}_{S_2} \\ \begin{array}{cccc|cccc} 1 & n+1 & \dots & n^2-n+1 & 1 & 2 & \dots & n \\ 2 & n+2 & \dots & n^2-n+2 & n+1 & n+2 & \dots & 2n \\ \vdots & \vdots & \dots & \vdots & \vdots & \vdots & \dots & \vdots \\ n & 2n & \dots & n^2 & n^2-n+1 & n^2-n+2 & \dots & n^2 \end{array} \end{array}$$

The required design D is the dual of E . It is easily seen that in this case the line graph $L_2(n+1)$ of the complete bipartite graph $K(n+1, n+1)$ has the same parameters as the graph A .

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John Edward Coury, <i>Walsh series with coefficients tending monotonically to zero</i>	1
Patrick Michael Fitzpatrick and Walter Volodymyr Petryshyn, <i>Fixed point theorems for multivalued noncompact acyclic mappings</i>	17
Irving Leonard Glicksberg, <i>More on Phragmén-Lindelöf for function algebras</i>	25
Adilson Goncalves, <i>Structural constants. II</i>	39
Richard P. Gosselin, <i>Closure theorems for affine transformation groups</i>	53
Ralph Peter Grimaldi, <i>Baer and UT-modules over domains</i>	59
Edward Grossman, <i>On the prime ideal divisors of $(a^n - b^n)$</i>	73
A. Hedayat and Ester Seiden, <i>On the theory and application of sum composition of Latin squares and orthogonal Latin squares</i>	85
Gerald L. Itzkowitz, <i>Continuous measures, Baire category, and uniform continuity in topological groups</i>	115
Francis Masat, <i>Right simple congruences on a semigroup</i>	127
Robert Harvey Oehmke, <i>Right congruences and semisimplicity for Rees matrix semigroups</i>	143
Qazi Ibadur Rahman and Jan Stankiewicz, <i>Differential inequalities and local valency</i>	165
William John Reed, <i>Random points in a simplex</i>	183
Mohan S. Shrikhande, <i>Strongly regular graphs and group divisible designs</i>	199
Zahava Shmuely, <i>The structure of Galois connections</i>	209
Robert C. Shock, <i>Dual generalizations of the Artinian and Noetherian conditions</i>	227
Arne Stray, <i>Approximation and interpolation for some spaces of analytic functions in the unit disc</i>	237
Eldon Jon Vought, <i>Monotone decompositions into trees of Hausdorff continua irreducible about a finite subset</i>	253
James Wirth, <i>The mapping cylinder axiom for WCHP fibrations</i>	263
Gordon S. Woodward, <i>Invariant means and ergodic sets in Fourier analysis</i>	281