

# Pacific Journal of Mathematics

**MULTIPLICATIVITY-PRESERVING ARITHMETIC POWER  
SERIES**

DAVID FRANCIS REARICK

## MULTIPLICATIVITY-PRESERVING ARITHMETIC POWER SERIES

DAVID REARICK

**In the Dirichlet algebra of arithmetic functions let the operator  $A$  be represented by an arithmetic power series  $Af = \sum a(F)f^F$ . A condition on the coefficients  $a(F)$  is derived which is necessary and sufficient for  $Af$  to be multiplicative whenever  $f$  is multiplicative.**

1. **Introduction.** In [2] a *factorization*  $F$  was defined to be a nonnegative integer-valued arithmetic function having  $F(1) = 0$  and  $F(n) \neq 0$  for at most finitely  $n$ . The *index* of  $F$  was defined by  $i(F) = \prod_{j=1}^{\infty} j^{F(j)}$ . If  $f$  is any arithmetic function, we defined  $f^F = \prod_{j=1}^{\infty} [f(j)]^{F(j)}$  with the understanding that  $0^0 = 1$ . If  $a(F)$  is a mapping from factorizations into the real or complex numbers, we wrote

$$(1) \quad Af = \sum a(F)f^F$$

as an abbreviation for the arithmetic function  $Af$  whose value on  $n$  is equal to  $\sum_{i(F)=n} a(F)f^F$ . In [2] a series of the form (1) was called an *arithmetic power series*. Since for each  $n$  the series is terminating, there is never any question of convergence. Such a series defines an operator  $A$  on the Dirichlet algebra of arithmetic functions, and the theory of these operators has been investigated in [1] and [2].

In particular, if  $r$  is a real number, the Dirichlet  $r$ th power of an arithmetic function  $f$  is represented, when  $f(1) = 1$ , by an arithmetic power series  $\sum \binom{r}{F} f^F$ . The symbol  $\binom{r}{F}$  was defined in [2]. It is known [1, Theorem 5] that  $f^r$  is multiplicative whenever  $f$  is, and therefore the series  $\sum \binom{r}{F} f^F$  is an example of a multiplicativity-preserving arithmetic power series. The present paper is devoted to determining a necessary and sufficient condition on the coefficients  $a(F)$  in order that the general series (1) preserve multiplicativity. The method, and the statement of the result (Theorem 1), depend on a certain equivalence relation between factorizations, to be introduced below.

### 2. Equivalent factorizations.

**DEFINITION 1.** If  $F$  and  $F'$  are two factorizations, we say  $F$  is

equivalent to  $F'$ , written  $F \sim F'$ , if  $f^F = f^{F'}$  for every multiplicative arithmetic function  $f$ .

It is obvious that this is an equivalence relation. An example of a pair of nonequal but equivalent factorizations may be constructed by taking  $F(2) = F(3) = F'(6) = 1$ , with all other values being zero. Then  $f^F = f(2)f(3) = f(6) = f^{F'}$  for every multiplicative  $f$ . Two equivalent factorizations  $F$  and  $F'$  necessarily have the same index, for if we choose the particular multiplicative function  $f(n) = n$ , we have  $i(F) = f^F = f^{F'} = i(F')$ .

**DEFINITION 2.** We shall use the letter  $C$  to denote an equivalence class of factorizations. The *index*  $i(C)$  of an equivalence class  $C$  is defined to be the index of the factorizations  $F$  belonging to  $C$ . If  $f$  is multiplicative, we denote by  $f^C$  the common value of  $f^F$  for all  $F \in C$ . If  $F_1 \in C_1$  and  $F_2 \in C_2$ , we define  $C_1 + C_2$  to be the equivalence class containing the factorization  $F_1 + F_2$ .

It is obvious that the definition of  $C_1 + C_2$  is unambiguous.

If the operator (1) is applied to a multiplicative  $f$ , the sum over all factorizations  $F$  of index  $n$  reduces to a sum over all classes  $C$  of index  $n$ , thus:

$$Af(n) = \sum_{i(F)=n} a(F)f^F = \sum_{i(C)=n} f^C \sum_{F \in C} a(F).$$

Therefore, insofar as its action on multiplicative functions is concerned, an arithmetic power series is determined by the *sums* of its coefficients over equivalence classes of factorizations, and it is natural to make the following definition:

**DEFINITION 3.**  $a^*(C) = \sum_{F \in C} a(F).$

Thus, when  $f$  is multiplicative, we may write

$$(2) \quad Af(n) = \sum_{i(C)=n} a^*(C)f^C.$$

The main theorem may now be stated as follows.

**THEOREM 1.** *The arithmetic function  $Af = \sum a(F)f^F$  is multiplicative whenever  $f$  is, if and only if the following pair of conditions holds:*

$$(3) \quad a^*(C_1 + C_2) = a^*(C_1)a^*(C_2)$$

for every pair of equivalence classes  $C_1$  and  $C_2$  having relatively prime indices, and

$$(4) \qquad a^*(0) = 1$$

where 0 is the class containing the zero factorization.

3. Lemmas. Let those positive integers which are prime powers be arranged in increasing order. Let  $x_1, x_2, \dots$  be an arbitrary sequence of complex numbers. We may construct a multiplicative function  $f$  by setting  $f(1) = 1$  and, if  $p^v$  is the  $k$ th prime power, defining

$$(5) \qquad f(p^v) = x_k .$$

The requirement that  $f$  be multiplicative then defines  $f(n)$  for all positive integers  $n$ . Furthermore, every multiplicative  $f$  arises from exactly one particular choice of the sequence  $\{x_k\}$ . (Following the usual convention, we do not consider the identically zero function to be multiplicative.)

These observations establish a one-to-one correspondence between the set of all multiplicative functions and the set of all sequences of variables  $\{x_k\}$ . Under this correspondence we may associate, with each factorization  $F$ , an expression  $f^F$  which is a monomial (with coefficient 1) in certain of the variables  $x_k$ . We note that a given variable  $x_k$  cannot appear in this monomial if it does not correspond, in (5), to a prime power divisor of  $i(F)$ , since, by definition of index  $F(j) = 0$  if  $j$  does not divide  $i(F)$ .

LEMMA 1. *Two factorizations  $F$  and  $F'$  are equivalent if and only if the two corresponding monomials  $f^F$  and  $f^{F'}$  are identical.*

*Proof.* It is familiar from algebra [3, Chapter 4] that if two polynomials always agree in value while each variable  $x_k$  is assigned infinitely many different values, holding the others fixed, then the two polynomials are identical. The converse part of the assertion is trivial.

Lemma 1 shows that equivalence classes of factorizations may be identified with monomials in an arbitrary finite number of variables. Also, it is clear that each equivalence class of prime power index  $p^v$  consists of a single factorization.

LEMMA 2. *Let  $F_1, \dots, F_r$  be nonequivalent factorizations. Suppose that, for every multiplicative  $f$ , the linear combination  $\sum_{j=1}^r b_j f^{F_j}$  is equal to zero. Then each of the coefficients  $b_j$  is zero.*

*Proof.* The linear combination referred to in the lemma is a polynomial in certain of the variables  $x_k$ , and the numbers  $b_j$  are precisely its coefficients, since by Lemma 1 no two of the monomials  $f^{F_j}$  are identical. As in the proof of Lemma 1, each of these coefficients must be zero.

LEMMA 3. *Let  $F, F', G$ , and  $G'$  be factorizations, with  $i(F) = i(F') = m$  and  $i(G) = i(G') = n$ , and assume  $m$  and  $n$  are relatively prime. Suppose  $F + G \sim F' + G'$ . Then  $F \sim F'$  and  $G \sim G'$ .*

*Proof.* As observed earlier, each variable  $x_k$  appearing in the monomial  $f^F$  corresponds, in (5), to a prime power divisor of  $m$ . Similarly,  $f^G$  contains only variables corresponding to prime power divisors of  $n$ . Since  $(m, n) = 1$ , these two sets of variables are disjoint. Applying the same reasoning to  $F'$  and  $G'$ , we see that no variable appearing in either  $f^F$  or  $f^{F'}$  can appear in either  $f^G$  or  $f^{G'}$ , and conversely. By hypothesis we have  $f^F f^G = f^{F+G} = f^{F'+G'} = f^{F'} f^{G'}$  for all multiplicative  $f$ , or equivalently  $f^F/f^{F'} = f^{G'}/f^G$ . Since opposite sides of this identity are rational functions in disjoint sets of independent variables, both sides must be equal to a constant  $B$ . In the identity  $f^F = B f^{F'}$ , putting  $f(k) = 1$  for all  $k$ , we obtain  $B = 1$ . Therefore  $f^F = f^{F'}$  and  $f^G = f^{G'}$ , meaning  $F \sim F'$  and  $G \sim G'$ .

LEMMA 4. *Let  $F_1, \dots, F_r$  be nonequivalent factorizations of index  $m$ . Let  $G_1, \dots, G_s$  be nonequivalent factorizations of index  $n$ . Assume  $(m, n) = 1$ . Suppose that, for every multiplicative  $f$ , the linear combination  $\sum_{j=1}^r \sum_{k=1}^s b_{jk} f^{F_j+G_k}$  is equal to zero. Then each of the coefficients  $b_{jk}$  is zero.*

*Proof.* By Lemma 3 the factorizations  $F_j + G_k$  are all nonequivalent, and the result then follows from Lemma 2.

LEMMA 5. *Let  $F$  be a factorization of index  $mn$ , where  $(m, n) = 1$ . Then there exist factorizations  $F_1$  and  $F_2$ , of indices  $m$  and  $n$  respectively, such that  $F \sim F_1 + F_2$ . Furthermore, if  $F'_1$  and  $F'_2$  also satisfy these conditions, then  $F_1 \sim F'_1$  and  $F_2 \sim F'_2$ . In other words, if  $(m, n) = 1$ , then each equivalence class of index  $mn$  is the sum of a unique pair of classes of indices  $m$  and  $n$  respectively.*

*Proof.* The uniqueness part follows immediately from Lemma 3. As regards the existence of  $F_1$  and  $F_2$ , we claim that the pair defined as follows will satisfy the requirements:

$$\begin{aligned}
 F_1(k) &= 0 && \text{if } k = 1 \\
 &= \sum_{(j,m)=k} F(j) && \text{if } k > 1 \\
 F_2(k) &= 0 && \text{if } k = 1 \\
 &= \sum_{(j,n)=k} F(j) && \text{if } k > 1 .
 \end{aligned}$$

To check this, choose any multiplicative  $f$ . Then

$$\begin{aligned}
 f^{F_1+F_2} &= f^{F_1}f^{F_2} = \prod_{k=1}^{\infty} [f(k)]^{F_1(k)} \prod_{k=1}^{\infty} [f(k)]^{F_2(k)} \\
 &= \prod_{j=1}^{\infty} [f((j, m))]^{F(j)} \prod_{j=1}^{\infty} [f((j, n))]^{F(j)} \\
 &= \prod_{j=1}^{\infty} [f((j, m))f((j, n))]^{F(j)} \\
 &= \prod_{j=1}^{\infty} [f((j, m)j, n)]^{F(j)} \\
 &= \prod_{j=1}^{\infty} [f((j, mn))]^{F(j)} = \prod_{j=1}^{\infty} [f(j)]^{F(j)} = f^F ,
 \end{aligned}$$

where in the last step we use the fact that  $F(j) = 0$  if  $j$  does not divide  $mn$ . Therefore  $F \sim F_1 + F_2$ . To find the indices of  $F_1$  and  $F_2$ , we first observe that  $i(F_1)i(F_2) = i(F_1 + F_2) = i(F) = mn$ . Also, if we choose for  $f$  the identity function  $f(k) = k$ , we have  $i(F_1) = f^{F_1} = \prod_{j=1}^{\infty} (j, m)^{F(j)}$ , and each factor in the product is relatively prime to  $n$ , so  $i(F_1)$  is relatively prime to  $n$ . Similarly,  $i(F_2)$  is relatively prime to  $m$ . Therefore  $i(F_1) = m$  and  $i(F_2) = n$ .

4. **Proof of Theorem 1.** First assume conditions (3) and (4) hold. Choose any multiplicative  $f$ , and let  $m$  and  $n$  be relatively prime. We are to show that  $Af(mn) = Af(m)Af(n)$  and  $Af(1) = 1$ . By Lemma 5, each equivalence class  $C$  of index  $mn$  is the sum of a unique pair of classes  $C_1 + C_2$  where  $i(C_1) = m$  and  $i(C_2) = n$ . Remembering (2), we may evaluate  $Af(mn)$  as follows:

$$\begin{aligned}
 Af(mn) &= \sum_{i(C)=mn} a^*(C)f^C = \sum_{i(C_1)=m} \sum_{i(C_2)=n} a^*(C_1 + C_2)f^{C_1+C_2} \\
 &= \sum_{i(C_1)=m} a^*(C_1)f^{C_1} \sum_{i(C_2)=n} a^*(C_2)f^{C_2} = Af(m)Af(n) .
 \end{aligned}$$

Also,  $Af(1) = a^*(0)f^0 = 1$ .

To prove the converse, assume the operator  $A$  preserves multiplicativity. Choose  $m$  and  $n$  relatively prime, and let  $f$  be any multiplicative function. Proceeding as in the last computation above, we have

$$\begin{aligned}
 0 &= Af(mn) - Af(m)Af(n) \\
 &= \sum_{i(C_1)=m} \sum_{i(C_2)=n} f^{C_1+C_2} [a^*(C_1 + C_2) - a^*(C_1)a^*(C_2)] .
 \end{aligned}$$

This double sum is a linear combination of the type considered in Lemma 4, and therefore, by the result of that lemma, the expression in square brackets is equal to zero for all  $C_1$  and  $C_2$  in the sum. That is, equation (3) is satisfied. Also, (4) is satisfied because  $1 = Af(1) = a^*(0)f0 = a^*(0)$ . This completes the proof of Theorem 1.

5. **Further consequences.** We wish to show how to construct all solutions  $a^*(C)$  of (3) which also satisfy (4) (and which we shall refer to as *nontrivial* solutions of (3)). Given a nontrivial solution  $a^*(C)$  of (3), we can recover (nonuniquely) by Definition 3 the coefficients  $a(F)$  of an arithmetic power series (1) which preserves multiplicativity, and the class of such series will then be completely characterized.

LEMMA 6. *Let  $C$  be an equivalence class whose index is greater than 1 and has prime factorization  $i(C) = p_1^{r_1}, \dots, p_r^{r_r}$ . Then there are unique classes  $C_1, \dots, C_r$ , of indices  $p_1^{r_1}, \dots, p_r^{r_r}$  respectively, such that  $C = C_1 + \dots + C_r$ .*

*Proof.* Apply Lemma 5 repeatedly to the  $r$  maximal prime power divisors  $p_1^{r_1}, \dots, p_r^{r_r}$  of  $i(C)$ .

LEMMA 7.  *$a^*(C)$  is a nontrivial solution of (3) if and only if  $a^*(0) = 1$  and*

$$(6) \quad a^*(C) = \prod_{k=1}^r a^*(C_k)$$

*whenever  $i(C) > 1$ , where the classes  $C_1, \dots, C_r$  are related to  $C$  as in Lemma 6.*

*Proof.* Equation (6) is obtained from (3) by applying the latter repeatedly to the maximal prime power divisors of  $i(C)$ . Conversely, (3) is obtained from (6) by applying (6) to the prime decomposition of  $mn$ , separating the maximal prime power divisors of  $m$  from those of  $n$ .

Lemma 7 gives us a process for constructing all nontrivial solutions of (3). The method is analogous to that used at the beginning of § 3 to construct all multiplicative functions, namely:

THEOREM 2. *The nontrivial solutions  $a^*(C)$  of (3) are exactly those which take the value 1 on the zero class and are defined arbitrarily on classes of prime power index, the definition then being extended to all  $C$  by the product formula (6).*

Finally, we shall determine the number of equivalence classes of index  $n$ . Let this number be denoted by  $E(n)$ . It follows from Lemma 5 that  $E(n)$ , as an arithmetic function, is multiplicative. Therefore, it suffices to evaluate this function on prime powers  $p^\nu$ . Since each class of index  $p^\nu$  contains only one factorization,  $E(p^\nu)$  is equal to the number of factorizations of index  $p^\nu$ , and this is evidently just the number of unrestricted partitions of  $\nu$ . These observations yield the following explicit formula for  $E(n)$ :

**THEOREM 3.**

$$E(1) = 1$$

$$E(n) = \prod_{p^\nu | n} p(\nu) \quad \text{if } n > 1,$$

where  $p(\nu)$  is the partition function, and the product is extended over all maximal prime power divisors  $p^\nu$  of  $n$ .

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