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**AN INTEGRAL REPRESENTATION FOR STRICTLY  
CONTINUOUS LINEAR OPERATORS**

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# AN INTEGRAL REPRESENTATION FOR STRICTLY CONTINUOUS LINEAR OPERATORS

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Let  $B$  denote the algebra of bounded analytic functions on the open unit disc  $D$  in the complex plane. Let  $(B, \tau)$  denote  $B$  endowed with the topology  $\tau$ , where  $\tau$  is chosen from  $\kappa, \beta$  or  $\sigma$ , respectively, the topology of uniform convergence on compact subsets of  $D$ , the strict topology and the topology of uniform convergence on  $D$ . This note obtains an integral representation of the form  $Tf(z) = \int_{\Gamma} f(w) K(z, w) dw$  where  $\Gamma = \{z : |z| = 1\}$  for the linear operators which are continuous from  $(B, \kappa)$  into  $(B, \sigma)$ . This representation is then used to study the convergence of operators in the full algebra of all continuous linear operators from  $(B, \beta)$  into  $(B, \beta)$ .

**1. Introduction.** Let  $M(D)$  denote the set of bounded complex valued Borel measures on  $D$ . R. C. Buck [5] showed that  $L$  is a continuous linear functional on  $(C(D), \beta)$  if and only if  $Lf = \int_D f d\mu$ ,  $\forall f \in C(D)$  for some  $\mu \in M(D)$ . L. A. Rubel and A. L. Shields [7] showed that for any  $\mu \in M(D)$  there exists a function  $h$  in  $L^1(\Gamma)$  such that  $\int_D f d\mu = \int_{\Gamma} f(x) h(x) dx$ ,  $\forall f \in B$  and conversely, that any  $h \in L^1(\Gamma)$  determines a measure  $\mu \in M(D)$  for which this equality holds. Thus the continuous linear functionals on  $(B, \beta)$  can be represented as integration over  $\Gamma$  with respect to functions in  $L^1(\Gamma)$ .

Letting both  $\tau_1$  and  $\tau_2$  be one of the topologies  $\kappa, \beta$  or  $\sigma$ , let  $[\tau_1 : \tau_2]$  denote the algebra of all continuous linear operators from  $(B, \tau_1)$  into  $(B, \tau_2)$ .

In Theorem 1 it is shown that any linear operator  $T$  in  $[\beta : \beta]$  can be represented in the form

$$Tf(z) = \int_{\Gamma} f(w) K(z, w) dw, \quad \forall f \in B.$$

However, a necessary and sufficient condition on  $K(z, w)$  that such a  $T$  be in  $[\beta : \beta]$  is not known.

The algebra  $[\kappa : \sigma]$  is a dense subalgebra of  $[\beta : \beta]$  in the compact open topology. In Theorem 3 it is shown that a linear operator  $T$  is in  $[\kappa : \sigma]$  if and only if  $Tf(z) = \int_{\Gamma} f(w) K(z, w) dw$  where the kernel

$K(z, w)$  satisfies certain fixed conditions. One can then associate with every linear operator in  $[\beta : \beta]$  an explicit kernel  $K(z, w)$ . In §4, the convergence of linear operators in  $[\beta : \beta]$  is characterized by using the convergence of the sequence of associated kernels. In the last section this convergence criterion is applied to the special type of operators in  $[\beta : \beta]$  called multipliers.

**2. Definitions.** The topology  $\sigma$ , of uniform convergence on  $D$ , is defined by the norm

$$\|f\| = \sup \{|f(z)| : |z| < 1\}.$$

The topology  $\kappa$ , of uniform convergence on compact subsets of  $D$ , can be defined by the family of semi-norms

$$\|f\|_r = \sup \{|f(z)| : |z| < r\}$$

where  $0 < r < 1$ . The strict topology  $\beta$  was introduced by R. C. Buck in [3] as a topology on the set of bounded continuous functions on a space. It is defined by the family of semi-norms

$$|f|_\phi = \|f\phi\|, \phi \in C_0[D],$$

the continuous functions on  $D$  which vanish at infinity. The strict topology was first employed to study  $B$  in [4]. For properties of  $\beta$  and its relation to  $\kappa$  and  $\sigma$  see [3], [4], [5] and [7]. In particular, a sequence of functions  $\{f_n\}$  in  $B$  converges strictly to zero if and only if it is uniformly bounded and converges  $\kappa$  (or pointwise) to zero. Also the  $\beta$  bounded subsets of  $B$  are precisely the  $\sigma$  bounded subsets.

In [2] two appropriate topologies were employed to study  $[\beta : \beta]$ . From  $[\sigma : \sigma]$ , the subalgebra  $[\beta : \beta]$  inherits the usual operator norm topology where

$$\|T\| = \sup \{\|Tf\| : \|f\| \leq 1, f \in B\}.$$

The second topology is that of uniform convergence on bounded subsets of  $B$  which in fact is equivalent on  $[\beta : \beta]$  to the compact open topology.

**DEFINITION.** A net of operators  $\{T_\alpha\}$  in  $[\beta : \beta]$  converges uniformly on bounded subsets (written u.b.) to  $T$  if and only if given any  $\beta$  open set  $G$  in  $B$  with  $0 \in G$  and any  $\beta$  bounded set  $S$  in  $B$ , there exists an  $\alpha'$  such that if  $\alpha > \alpha'$ , then  $(T_\alpha - T)(S) \subseteq G$ .

For properties of  $[\beta : \beta]$  in these two topologies see [2]. In particular the u.b. bounded subsets are precisely the norm bounded subsets and  $[\beta : \beta]$  is a u.b. (and hence norm) closed subalgebra of  $[\sigma : \sigma]$ .

It should be observed [1] that the continuity classes  $[\kappa : \sigma]$ ,  $[\beta : \sigma]$ ,  $[\beta : \beta]$  and  $[\sigma : \sigma]$  are in fact algebras, they are related by the proper inclusions  $[\kappa : \sigma] \subset [\beta : \sigma] \subset [\beta : \beta] \subset [\sigma : \sigma]$ , and  $[\kappa : \sigma]$  is dense in  $[\beta : \beta]$  in the u.b. topology, but in the norm topology  $[\kappa : \sigma]$  is dense in only  $[\beta : \sigma]$ . In the study of the u.b. denseness of  $[\kappa : \sigma]$  in  $[\beta : \beta]$ , the operators  $T_r$  play a significant role. Given an operator  $T$  in  $[\beta : \beta]$ , the operator  $[T]_r$  (sometimes written  $T_r$ ) is defined by  $T_r f(z) = T(f_r)(z)$  where  $f_r(z) = f(rz)$ ,  $f \in B$ , and  $0 < r < 1$ . An operator  $T_r$  is in  $[\kappa : \sigma]$  and it is known [2] that  $\{T_r\}$  converges u.b. to  $T$  as  $r \uparrow 1$ .

Finally, a result of P. Hessler (see [1] or [6]) shows that a linear operator  $T$  is in  $[\beta : \tau]$  if and only if whenever a sequence  $\{f_n\}$  in  $B$  converges strictly to zero, it follows that  $\{Tf_n\}$  converges  $\tau$  to zero, where  $\tau$  is  $\kappa$ ,  $\beta$  or  $\sigma$ .

**3. An integral representation.** Let  $z$  be a fixed point in  $D$ . Then given a linear operator  $T$  in  $[\beta : \beta]$ , the linear functional  $L$  defined on  $B$  by  $Lf = Tf(z)$  is a continuous linear functional on  $(B, \beta)$ . Therefore,  $Tf(z) = Lf = \int_{\Gamma} f(w)K_z(w)dw$  for some function  $K_z(w)$  in  $L^1(\Gamma)$ . It is difficult to determine the relationship between the various functions  $K_z$  that is necessary and sufficient to ensure that  $Tf(z) = \int_{\Gamma} f(w)K(z, w)dw$  will represent an operator in  $[\beta : \beta]$ . The following gives a necessary condition and a different sufficient condition.

**THEOREM 1.** *For any linear operator  $T$  in  $[\beta : \beta]$ ,*

$$Tf(z) = \int_{\Gamma} f(w)K(z, w)dw, \quad \forall f \in B$$

*where  $K(z', w) = K_z$  is in  $L^1(\Gamma)$  for each  $z'$  in  $D$  and the  $L^1$  norms of all the functions  $K_z$  are uniformly bounded.*

If  $K(z, w)$  satisfies the above necessary conditions and  $K(z, w)$  is analytic in  $D$  for each fixed  $w$  in  $\Gamma$  and bounded on  $D \times \Gamma$ , then any  $T$  so defined is in  $[\beta : \beta]$ .

*Proof.* Let  $T$  be in  $[\beta : \beta]$ . Then, as before, let  $L_z(f) = Tf(z)$  for  $z$  fixed in  $D$ . Since  $L_z(f) = \int_{\Gamma} f(w)K_z(w)dw$ , we have  $\|L_z\| = \|K_z\|_{L^1}$

and  $|L_z(f)| = |Tf(z)| \leq \|T\| \|f\|$ , where  $\|K_z\|_{L^1}$  denotes the usual  $L^1$  norm of  $K_z$  on  $\Gamma$ . Hence  $\|K_z\|_{L^1} = \|L_z\| \leq \|T\|$  for each  $z$  in  $D$ .

For the converse, define  $Tf(z) = \int_{\Gamma} f(w) K_z(w) dw = \int_{\Gamma} f(w) K(z, w) dw$ . Then  $Tf(z)$  is continuous in  $D$  since

$$\begin{aligned} Tf(z_1) - Tf(z) &= \int_{\Gamma} f(w) [K(z_1, w) - K(z, w)] dw \\ &= \int_{\Gamma} f(w) (z_1 - z) (2\pi i)^{-1} \int_{\gamma} K(s, w) [(s - z_1)(s - z)]^{-1} ds dw \end{aligned}$$

where  $\gamma$  is a circle in  $D$  with center  $z_1$  and containing  $z$  in its interior and hence

$$|Tf(z_1) - Tf(z)| \leq \|f\| |z_1 - z| \sup |(s - z_1)(s - z)|^{-1} \|K\|_R$$

where  $\|K\|_R$  is the sup of  $|K(z, w)|$  taken over  $R = D \times \Gamma$ . Thus  $|Tf(z_1) - Tf(z)|$  tends to zero as  $z$  approaches  $z_1$ . Then for any triangle

$$\Delta \text{ in } D, \int_{\Delta} Tf(z) = \int_{\Delta} \int_{\Gamma} f(w) K(z, w) dw = \int_{\Gamma} \int_{\Delta} f(w) K(z, w) dz = 0.$$

By Morera's theorem,  $Tf(z)$  is analytic in  $D$ .

Now  $Tf(z)$  is a bounded function since

$$|Tf(z)| \leq \int_{\Gamma} |f(w) K(z, w)| |dw| \leq 2\pi \|K_z\|_{L^1} \|f\| \leq M \|f\|$$

for all  $z$  in  $D$ . If  $\{f_n\}$  converges strictly to zero, then  $Tf_n(z) = L_z(f_n)$  converges to zero. Hence  $\{Tf_n\}$  converges pointwise to zero and is uniformly bounded, which implies  $\{Tf_n\}$  converges strictly to zero.

Note that additional conditions are imposed on  $K(z, w)$  in the converse only to ensure that  $Tf(z)$  is analytic. Any  $K(z, w)$  which satisfies the necessary conditions and makes  $Tf$  analytic will yield a  $T$  in  $[\beta : \beta]$ . It is certainly not necessary that  $K(z, w)$  be analytic in  $z$  because for any function  $h$  in  $L^1(\Gamma)$ ,  $Tf(z) = \int_{\Gamma} f(w) h(w) dw = \int_{\Gamma} f(w) K(z, w) dw$  is strictly continuous and  $K(z, w) = h(w)$  need only be defined a.e..

We consider now the case when  $C$  is some rectifiable curve inside  $D$  and  $Tf(z) = \int_C f(w) K(z, w) dw$  with  $K(z, w)$  in  $L^1(C)$  for any  $z$ . As

in the previous theorem, if  $T$  is in  $[\beta : \beta]$ , then the functions  $K_z(w)$  are uniformly bounded in  $L^1(C)$  norm. Hence  $T$  is in  $[\kappa : \sigma]$ , because if  $\{f_n\}$  converges  $\kappa$  to zero, then  $\{f_n\}$  converges uniformly to zero on  $C$  and  $|Tf_n(z)| \leq (\text{length of } C) \|f_n\|_C \|K_z\|_{L^1(C)}$ .

Now we obtain a representation formula for the operators in  $[\kappa : \sigma]$ . Given an operator  $T$  in  $[\kappa : \sigma]$ , there is an  $M$  and an  $r < 1$  such that  $\|Tf\| \leq M \|f\|_r$  for all  $f$  in  $B$ . Letting  $f(z) = z^k$ , we obtain  $\|T(z^k)\| \leq M \|z^k\|_r = M(r)^k$ . Hence  $\|T(z^k)\|^{1/k} \leq rM^{1/k}$  and  $\limsup \|T(z^k)\|^{1/k} \leq r$ .

**THEOREM 2.** *If  $T$  is in  $[\kappa : \sigma]$ , then there exists a function  $K(z, w)$  analytic for  $|z| < 1$  and  $\infty > |w| > r_0$  for some  $r_0 < 1$  and such that if  $1 > r_1 > r_0$ , then there exists an  $M$  such that  $|K(z, w)| \leq M$  for all  $|z| < 1$ ,  $|w| \geq r_1$  and such that*

$$Tf(z) = \int_{|w|=r_1} f(w) K(z, w) dw, \quad \forall f \in B.$$

Conversely, using this representation formula, any such  $K(z, w)$  yields an operator  $T$  in  $[\kappa : \sigma]$ .

*Proof.* Explicitly the analyticity condition on the function  $K(z, w)$  is that for  $w$  fixed with  $|w| > r_0$ ,  $K(z, w)$  is an analytic function of  $z$  for  $z$  in  $D$ , and for  $z$  fixed in  $D$ ,  $K(z, w)$  is an analytic function of  $w$  in  $\{w : |w| > r_0\}$ .

Now let  $K(z, w)$  satisfy the conditions of the theorem and put  $Tf(z) = (2\pi i)^{-1} \int_{|w|=r_1} f(w) K(z, w) dw$ . Then  $Tf(z)$  is analytic for  $|z| < 1$  just as in Theorem 1. Since  $|Tf(z)| \leq Mr_1 \cdot \|f\|_{|w|=r_1}$ , it follows that  $Tf$  is in  $B$ . Also  $T$  is in  $[\kappa : \sigma]$  since  $\{f_n\}$  converging  $\kappa$  to zero implies  $\{f_n\}$  converges to zero uniformly on  $|w| = r_1$ .

Now assume that  $T$  is in  $[\kappa : \sigma]$  and let  $K(z, w) = \sum_{k=0}^{\infty} (u_k(z)/w^{k+1})$  where  $T(z^k) = u_k$ . For fixed  $z$  in  $D$ ,  $\limsup |u_k(z)|^{1/k} \leq \limsup \|u_k\|^{1/k} = r_0$  for some real number  $r_0 < 1$ . Hence  $\sup_{z \in D} \limsup |u_k(z)|^{1/k} \leq r_0$ . Hence  $K(z, w)$  is analytic for  $|w| > r_0$  for any fixed  $z$  in  $D$ . Let  $r_1$  be such that  $1 > r_1 > r_0$ . For large  $k$ ,  $\|u_k\| \leq (r_0 + \epsilon)^k$  with  $r_0 + \epsilon < r_1 < 1$  and hence for  $|w| = r_1$ ,  $|z| < 1$ ,  $|K(z, w)| \leq \sum_{k=0}^{\infty} ((r_0 + \epsilon)^k / |w|^{k+1}) = 1/r_1 \sum_{k=0}^{\infty} ((r_0 + \epsilon)/r_1)^k < \infty$ . Now  $K(z, w)$  is analytic in  $D$  for fixed  $|w_0|$  with  $|w_0| > r_0$  because  $\sum_{k=0}^{\infty} (u_k(z)/w_0^{k+1})$  converges uniformly in  $D$  to  $K(z, w_0)$ .

Now put  $Sf(z) = (2\pi i)^{-1} \int_{|w|=r_1} f(w) K(z, w) dw$ . Since  $K(z, w)$  satisfies the conditions of the sufficiency part of the theorem,  $S$  is in

$[\kappa : \sigma]$ . If  $f(z) = z^n$ , then

$$Sf(z) = (2\pi i)^{-1} \int_{|w|=r_1} w^n \sum_{k=0}^{\infty} (u_k(z)/w^{k+1}) dw = u_n(z) = T(z^n).$$

Hence  $S = T$  because they are both in  $[\beta : \beta]$  and they agree on the polynomials, a  $\beta$  dense subset of  $B$ .

Now that there is a representation for  $T$  in  $[\kappa : \sigma]$  on a curve inside the disk, the curve can be pushed to the boundary.

**THEOREM 3.** *A linear operator  $T$  is in  $[\kappa : \sigma]$  if and only if*

$$Tf(z) = \int_{\Gamma} f(w)K(z, w)dw, \quad \forall f \in B$$

where  $K(z, w)$  is analytic for  $|w| > r_0$ ,  $|z| < 1$  for some  $r_0 < 1$  and if  $1 > r_1 > r_0$ , then there exists an  $M$  such that  $|K(z, w)| \leq M$  for  $|z| < 1$  and  $|w| \geq r_1$ .

*Proof.* Let  $K(z, w)$  be given and put  $Sf(z) = (2\pi i)^{-1} \int_{|w|=r_1} f(w)K(z, w)dw$ . Then by Theorem 2,  $S$  is in  $[\kappa : \beta]$ . Since  $K(z, w)$  is analytic for  $|w| > r_0$ , it can be represented as  $\sum_{k=0}^{\infty} g_k(z)/w^{k+1}$  where  $g_k(z)$ ,  $k = 0, 1, \dots$  is the sequence of coefficients in the series expansion of  $K(z, w)$ . Now  $K(z, w)$  is bounded on  $D \times \Gamma$  and analytic for  $|z| < 1$  for any fixed  $w_0$  with  $|w_0| = 1$ . Hence  $K(z, w)$  satisfies the sufficiency conditions of Theorem 1. Let  $Tf(z) = \int_{\Gamma} f(w)K(z, w)dw$ . Then by Theorem 1,  $T$  is in  $[\beta : \beta]$ . But

$$\begin{aligned} S(z^n) &= \sum_{k=0}^{\infty} g_k(z)(2\pi i)^{-1} \int_{|w|=r_1} w^n / w^{k+1} dw \\ &= g_n(z) \end{aligned}$$

and

$$\begin{aligned} T(z^n) &= (2\pi i)^{-1} \int_{\Gamma} w^n \sum_{k=0}^{\infty} (g_k(z)/w^{k+1}) dw \\ &= \sum_{k=0}^{\infty} g_k(z)(2\pi i)^{-1} \int_{\Gamma} w^n / w^{k+1} dw \\ &= g_n(z). \end{aligned}$$

Since  $S$  and  $T$  agree on the polynomials and both are in  $[\beta : \beta]$ , they are equal. Hence  $T$  is in  $[\kappa : \sigma]$ .

Let  $T$  be in  $[\kappa : \sigma]$  and put  $K(z, w) = \sum_{k=0}^{\infty} (u_k(z)/w^{k+1})$  where  $T(z^k) = u_k(z)$ . Then by Theorem 2,  $K(z, w)$  satisfies the conditions of Theorem 3 and hence of Theorem 1. Let  $Sf(z) = (2\pi i)^{-1} \int_{\Gamma} f(w) K(z, w) dw$ . As above it follows that  $S = T$ .

Recall that if  $T$  is an operator in  $[\beta : \beta]$ , then the operators  $T_r$  for  $0 < r < 1$  are in  $[\kappa : \sigma]$  and  $\{T_r\}$  converges uniformly on bounded subsets to  $T$ . This gives a limit representation for an operator in  $[\beta : \beta]$ . Are there any non-limiting representations of any operators in  $[\beta : \beta]$  other than those in  $[\kappa : \sigma]$ ?

COROLLARY. *Let  $T$  be in  $[\beta : \beta]$ . Then*

$$Tf(z) = \lim_{r \uparrow 1} \int_{|w|=(1/2)(1+1/r)} f_r(w) K(z, w) dw, \quad \forall f \in B$$

where  $K(z, w) = (2\pi i)^{-1} \sum_{k=0}^{\infty} (T(z^k)/w^{k+1}) dw$ .

*Proof.* Since  $T_r$  is in  $[\kappa : \sigma]$ ,

$$\begin{aligned} T_r f(z) &= (2\pi i)^{-1} \int_{|w|=(1+r)/2} f(w) \sum_{k=0}^{\infty} (T_r(z^k)/w^{k+1}) dw \\ &= (2\pi i)^{-1} \int_{|w|=(1+r)/2} f(w) \sum_{k=0}^{\infty} (T(z^k) r^k / w^{k+1}) dw \\ &= (2\pi i)^{-1} \int_{|t|=(1+1/r)/2} f_r(t) \sum_{k=0}^{\infty} (T(z^k)/t^{k+1}) dt, \end{aligned}$$

by letting  $w = rt$ .

Now we use the integral representation for operators in  $[\kappa : \sigma]$  to show that  $[\kappa : \sigma] = \{T_r : T \in [\beta : \beta]\}$ . This characterization of  $[\kappa : \sigma]$  is a useful tool in the study of  $[\kappa : \sigma]$  (see [2]).

THEOREM 4.  $[\kappa : \sigma] = \{T_r : T \in [\beta : \beta], 0 < r < 1\}$ .

*Proof.* We have to show that if  $T$  is in  $[\kappa : \sigma]$ , then there exists an operator  $S$  in  $[\kappa : \sigma]$  and an  $s < 1$  such that  $T = S_s$ . Since  $T$  is in  $[\kappa : \sigma]$ ,  $Tf(z) = (2\pi i)^{-1} \int_{|w|=r_1} f(w) K(z, w) dw$  where  $K(z, w) = \sum_{k=0}^{\infty} (T(z^k)/w^{k+1})$  is analytic for  $|w| > r_0$  and  $1 > r_1 > r_0$ . Let  $K_1(z, w) =$

$s \sum_{k=0}^{\infty} (T(z^k)/(sw)^{k+1})$  where  $s < 1$  and  $r_0 < r_0/s < 1$ . Define  $S$  by  $Sf(z) = (2\pi i)^{-1} \int_{|w|=s_1} f(w) K_1(z, w) dw$  where  $1 > s_1 > r_0/s$ . Then  $S$  is in  $[\kappa : \sigma]$  since  $K_1(z, w)$  is analytic for  $|z| < 1$ ,  $|w| > r_0/s$  and for  $|z| < 1$ ,  $|w| = s_1$ ,  $|K_1(z, w)| \leq s \sum_{k=0}^{\infty} (T(z^k)/(sw)^{k+1}) = s |K(z, sw)| < M$  since  $r_0 < s_1 s = s |w|$ . Let  $f(z) = z^n$ . Then  $S_s f(z) = S f_s(z) = (2\pi i)^{-1} \int_{|w|=s_1} (sw)^n s \sum_{k=0}^{\infty} (T(z^k)/(sw)^{k+1}) dw = T(f)$  and hence  $T = S_s$ .

**4. Convergence in  $[\beta : \beta]$ .** In the Corollary to Theorem 3 of the last section it was shown that to any operator  $T$  in  $[\beta : \beta]$  there corresponds a kernel  $K(z, w)$  by which  $T$  is determined. Two operators  $T_1$  and  $T_2$  in  $[\beta : \beta]$  should be close (e.g.  $\|T_1 - T_2\|$  small) if the corresponding kernels  $K_1$  and  $K_2$  are close (e.g.  $\|K_1 - K_2\|_R$  small for some region  $R$ ).

However in relating  $\|K_1 - K_2\|_R$  to  $\|T_1 - T_2\|$  it seems that a suitable region  $R$  can not be determined. For example if  $T_1 = 0$  and  $T_2 = I$ , the zero and identity operators respectively, then the kernel  $K_2(z, w)$  corresponding to  $I$  is  $\sum_{k=0}^{\infty} (z^k/w^{k+1})$  and

$$\|K_1 - K_2\|_R = \sup \left\{ \left| \sum_{k=0}^{\infty} (z^k/w^{k+1}) \right| : |z| < 1, |w| > 1 \right\} = \infty,$$

where  $R = \{(z, w) : |z| < 1, |w| > 1\}$ . On any region properly contained in  $R$ , uniform convergence of a sequence of functions  $\{K_n\}$  is related to u.b. and not norm convergence of the corresponding operators  $\{T_n\}$ . One might be able to use  $\|K_1 - K_2\|_R$  where  $R = \{(z, w) : |z| < 1, |w| > 1\}$  if one considered only operators bounded away from  $I$  in norm.

Obviously if  $\{T_n\}$  and  $T$  are in  $[\beta : \beta]$  and the sequence of corresponding kernels  $\{K_n\}$  converges to  $K$  uniformly on  $\{(z, w) : |z| < 1, |w| > 1\}$ , then  $\{T_n\}$  converges to  $T$  in norm.

We will characterize the u.b. sequential convergence of operators in  $[\beta : \beta]$  in terms of the corresponding kernels. Although the u.b. topology in  $[\beta : \beta]$  is determined by the convergence of nets, the u.b. topology restricted to a norm (equivalently u.b.) bounded subset of  $[\beta : \beta]$  is determined by sequential convergence [2].

The first step is to describe the u.b. convergence of a sequence of operators in  $[\beta : \beta]$  in terms of their associated operators in  $[\kappa : \sigma]$ .

Let  $C$  denote the algebra of functions in  $B$  which are uniformly continuous on  $D$ . Recall that  $[T_n]_f = T_n(f_r)$  and observe that  $T_r = TI$ , where  $I$  is the identity operator.

**THEOREM 5.** *Let  $\{T_n\}$ ,  $n = 1, 2, \dots$ , and  $T$  be linear operators in  $[\beta : \beta]$ . Then  $\{T_n\}$  converges uniformly on bounded subsets to  $T$  if and*

only if  $[T_n]_r$  converges uniformly on bounded subsets to  $T_r$  for every  $0 < r < 1$  and there exists an  $M$  such that  $\|T_n\| \leq M$ ,  $n = 1, 2, \dots$ .

*Proof.* Let  $\{T_n\}$  converge u.b. to  $T$ . Then  $T_n f$  converges strictly to  $Tf$  for every fixed  $f$  in  $C$ . Hence for fixed  $f$  in  $C$ ,  $\{T_n f\}$  is uniformly bounded in norm, because strictly convergent sequences are bounded. By the uniform boundedness principle, the set  $\{\|T_n\|\}$  is uniformly bounded, where  $\|T_n\| = \sup\{\|T_n f\| : f \in C, \|f\| \leq 1\}$ . It follows [2] that this is the norm of  $T_n$  as an operator on all of  $B$ .

Now fix  $0 < r < 1$  and let  $S$  be a bounded set and  $G$  an open set in  $(B, \beta)$ . Then  $([T_n]_r - T_r)(S) = (T_n - T)(I_r)(S) = (T_n - T)S_r \subseteq G$  for  $n > N$  for some  $N$ , because  $S_r = \{f_r : f \in S\}$  is a bounded set and  $T_n$  converges u.b. to  $T$ .

For the converse let  $G = \{g : |g|_\psi < 3\epsilon\}$  be an open set and  $S$  a bounded set in  $(B, \beta)$ . Let  $G_1 = \{g : |g|_\psi < \epsilon\}$ . For  $f$  in  $S$ ,

$$\begin{aligned} |([T_n]_r - T_n)f|_\psi &= \|\psi([T_n]_r - T_n)f\| \\ &= \|\psi T_n(I_r - I)f\| \\ &\leq M \|\psi(I_r - I)f\| \\ &= M |(I_r - I)f|_\psi \\ &< \epsilon \end{aligned}$$

for  $r \geq r_0$  for some  $r_0 < 1$  because  $I_r$  converges u.b. to  $I$ . Hence for  $r \geq r_0$ ,  $([T_n]_r - T_n)S \subseteq G_1$ .

Since  $T_r$  converges u.b. to  $T$ , there is an  $r_1$  such that  $1 > r \geq r_1$  implies  $(T - T_r)S \subseteq G_1$ . Fix  $t$  larger than  $r_0$  and  $r_1$  and let  $N$  be such that  $n > N$  implies  $(T_t - [T_n]_t)S \subseteq G_1$ . Then for  $n > N$ ,

$$\begin{aligned} (T - T_n)S &= (T - T_t)S + (T_t - [T_n]_t)S + ([T_n]_t - T_n)S \\ &\subseteq G_1 + G_1 + G_1 \\ &\subseteq G. \end{aligned}$$

**LEMMA 6.** Let  $\{T_n\}$ ,  $n = 1, 2, \dots$ , and  $T$  be in  $[\beta : \beta]$ . Then  $[T_n]_r$  converges uniformly on bounded subsets to  $T_r$  for every  $0 < r < 1$  if and only if the corresponding kernels  $K_n(z, w)$  converge to  $K(z, w)$  uniformly on compact subsets of  $D \times \{w : |w| > 1\}$  and given  $\rho > 1$ , there exists an  $M_\rho$  such that  $|K_n(z, w)| \leq M_\rho$  for all  $n$  and  $|z| < 1$ ,  $|w| \geq \rho > 1$ .

*Proof.* Let  $[T_n]_r$  converge u.b. to  $T_r$ . Fix  $r < 1$  and  $s < 1$ . Then it will be shown that  $\{K_n(z, w)\}$  converges to  $K(z, w)$  uniformly on  $R = \{(z, w) : |z| \leq s \text{ and } |w| \geq \rho > 1/r\}$ .

The operators  $[T_n]_r$  and  $T_r$  are maps from  $C$  into  $B$ . As in the previous Theorem it follows that  $\|[T_n]_r\|$  is uniformly bounded for  $n = 1, 2, \dots$ .

Given  $\epsilon > 0$  and  $s$  let  $\psi$  in  $C_0[D]$  be 1 on  $\{z : |z| < s\}$ . There is an  $N$  such that for  $n > N$  and for all  $f$  in the bounded set  $\{f : \|f\| \leq 1\}$ ,  $\|([T_n]_r - T_r)f\|_s \leq \|([T_n]_r - T_r)f\|_\psi < \epsilon$  since  $[T_n]_r$  converges u.b. to  $T_r$ . Thus for  $\|f\| \leq 1$ ,

$$\begin{aligned} \|([T_n]_r - T_r)f\| &\leq \|\psi([T_n]_r - T_r)f\| \\ &= \|([T_n]_r - T_r)f\|_\psi \\ &< \epsilon. \end{aligned}$$

For  $j = 0, 1, \dots$ , let  $f_j(w) = w^j$ ,  $u_j = T(f_j)$  and  $u_{j,n} = T_n(f_j)$ . Then  $[T_n]_r f_j(z) - T_r f_j(z) = r^j u_{j,n}(z) - r^j u_j(z)$ . Hence  $\|r^j [u_{j,n}(z) - u_j(z)]\|_s < \epsilon$  for  $n > N$  for all  $j = 0, 1, \dots$ . For  $j = 1, 2, \dots, J$ , we have  $\|u_{j,n}(z) - u_j(z)\|_s < \epsilon/r^j$  for  $n > N$  since  $r^j \geq r^J$ .

Now  $K_n(z, w) - K(z, w) = \sum_{k=0}^{\infty} (u_{k,n}(z) - u_k(z)) r^k / w^{k+1} r^k$ . For  $n > N$ ,  $\|r^k [u_{k,n}(z) - u_k(z)]\|_s < \epsilon < 1$ . Since  $rp > 1$  there is a  $J$  so large that  $\sum_{k=J+1}^{\infty} (1/rp)^k < \epsilon/2$ . Then

$$\|K_n(z, w) - K(z, w)\|_R \leq \left\| \sum_{k=0}^J (u_{k,n}(z) - u_k(z)) / w^{k+1} \right\|_R + \epsilon/2$$

since  $1/|wr| \leq 1/pr$ . Also for  $n > N$ ,  $\|u_{k,n}(z) - u_k(z)\|_s < \epsilon/2$  for  $k = 1, 2, \dots, J$ . Therefore  $\|K_n - K\|_R < \epsilon$ .

It remains to be shown that given  $\rho > 1$ , there exists a constant  $M_\rho$  such that  $|K_n(z, w)| \leq M_\rho$  for all  $|z| < 1$  and  $|w| > \rho > 1$ . Given  $\rho > 1$ , fix  $0 < r < 1$  with  $rp > 1$ . Since  $[T_n]_r$  converges u.b. to  $T_r$  it follows from Theorem 6 that there exists an  $M$  with  $\|[T_n]_r\| \leq M$  for all  $n = 1, 2, \dots$ . Now

$$\begin{aligned} K_n(z, w) &= \sum_{k=0}^{\infty} T_n(z^k) / w^{k+1} \\ &= r \sum_{k=0}^{\infty} r^k T_n(z^k) / r^{k+1} w^{k+1} \\ &= r \sum_{k=0}^{\infty} [T_n]_r(z^k) / (wr)^{k+1} \end{aligned}$$

and therefore for  $|z| < 1$  and  $|w| \geq \rho$ ,

$$\begin{aligned} |K_n(z, w)| &\leq rM \sum_{k=0}^{\infty} 1/|wr|^{k+1} \\ &\leq Mr \sum_{k=0}^{\infty} (1/(\rho r))^{k+1} \end{aligned}$$

where the last expression is  $M_\rho$ .

For the converse, fix  $0 < r < 1$  and let  $\gamma = (1 + 1/r)/2$ . Now

$$\begin{aligned} \|([T_n]_r - T_r)f\| &= \left\| \int_{|w|=\gamma} f_r(w)(K_n(z, w) - K(z, w))dw \right\| \\ &\leq \|K_n(z, w) - K(z, w)\|_R \|f\| \end{aligned}$$

where  $R = \{(z, w) : |z| < s, |w| = (1 + 1/r)/2\}$ . This last expression tends to zero as  $n \rightarrow \infty$ . Hence if  $S$  is a bounded set,  $([T_n]_r - T_r)S$  converges  $\kappa$  to zero.

Let  $f$  in  $B$  satisfy  $\|f\| \leq 1$ . Then

$$\begin{aligned} \|[T_n]_r f(z)\| &= \left\| \int_{|w|=\gamma} f_r(w)K_n(z, w)dw \right\| \\ &\leq \|K_n(z, w)\|_R \|f\| \\ &\leq M \end{aligned}$$

by assumption on the kernels  $K_n$  where  $R = \{(z, w) : |z| < 1, |w| = (1 + 1/r)/2\}$ . Hence  $\|[T_n]_r\| \leq M$  for all  $n$ .

Let  $S$  be a bounded set in  $(B, \beta)$  and let  $G = \{g : |g|_\psi < \epsilon, \psi \neq 0\}$  be an open set in  $(B, \beta)$ . We have  $\|([T_n]_r - T_r)\| \leq 2M$ . Let  $r'$  be such that for  $|z| > r', |\psi(z)| < \epsilon/2M$ . Then

$$\epsilon > \|([T_n]_r - T_r)f\psi\|_{r' < |z| < 1}.$$

For  $|z| \leq r'$ , choose  $N$  such that  $n > N$  implies

$$\|([T_n]_r - T_r)f\|_{r'} < \epsilon \|\psi\|^{-1} \text{ for all } f \text{ in } S.$$

Then  $\|([T_n]_r - T_r)f\psi\|_{r'} < \epsilon$  and  $([T_n]_r - T_r)f \in G$  for  $n > N$  and all  $f$  in  $S$ .

Theorem 5 and Lemma 6 taken together characterize u.b. convergence on bounded sets in  $[\beta : \beta]$  in terms of the kernel functions  $K(z, w)$ .

**THEOREM 7.** *Let  $\{T_n\}$ ,  $n = 1, 2, \dots$  and  $T$  be in  $[\beta : \beta]$ . Then  $\{T_n\}$  converges u.b. to  $T$  if and only if the corresponding kernels  $\{K_n(z, w)\}$  converge uniformly on compact subsets of  $D \times \{w : |w| > 1\}$  to  $K(z, w)$  and for any  $\rho > 1$ , there exists a number  $M_\rho$  such that  $|K_n(z, w)| \leq M_\rho$  for  $|z| < 1$  and  $|w| \geq \rho > 1$ .*

**COROLLARY.** *Let  $S$  be a norm (equivalently u.b.) bounded subset of  $[\beta : \beta]$ . Let  $\{T_n\}$ ,  $n = 1, 2, \dots$ , and  $T$  be in  $S$  with corresponding*

kernels  $\{K_n(z, w)\}$  and  $K(z, w)$ . Then  $\{T_n\}$  converges u.b. to  $T$  if and only if  $\{K_n(z, w)\}$  converges uniformly on compact subsets of  $D \times \{w : |w| > 1\}$  to  $K(z, w)$ .

*Proof.* The condition that  $\{K_n(z, w)\}$  converges  $\kappa$  to  $K(z, w)$  on  $D \times \{w : |w| > 1\}$  is necessary by the above theorem. Let  $T$  in  $S$  imply  $\|T\| \leq M$ . Then it follows that  $|K_n(z, w)| \leq M_\rho$  for  $|z| < 1$  and  $|w| > \rho$  and the condition is also sufficient.

On the locally compact Hausdorff space  $D \times \{w : |w| > 1\}$ , a sequence  $\{K_n(z, w)\}$  converges strictly to a function  $K(z, w)$ , [5], if and only if  $\{K_n(z, w)\}$  converges uniformly on compact subsets of  $D \times \{w : |w| > 1\}$  to  $K(z, w)$  and  $|K_n(z, w)|$  is uniformly bounded on  $D \times \{w : |w| > 1\}$ . The next corollary follows immediately from the previous theorem, but it is not known if the converse holds. See Theorem 8 for a similar result.

**COROLLARY.** Let  $\{T_n\}$ ,  $n = 1, 2, \dots$  and  $T$  be in  $[\beta : \beta]$  with corresponding kernels  $\{K_n(z, w)\}$  and  $K(z, w)$ . If  $\{K_n(z, w)\}$  converges strictly to  $K(z, w)$  on  $D \times \{w : |w| > 1\}$ , then  $\{T_n\}$  converges u.b. to  $T$ .

**5. Convergence of multipliers.** The characterization of u.b. convergence in the last section is applied to the multiplier operators.

**DEFINITION.** A multiplier on  $B$  is a linear operator  $T$  such that there exists a sequence  $\{c_n\}$  with the property that  $T(\sum_{n=0}^{\infty} a_n z^n) = \sum_{n=0}^{\infty} a_n c_n z^n$  for every function  $\sum_{n=0}^{\infty} a_n z^n$  in  $B$ . It is known [1] that an operator  $T$  is a multiplier from  $B$  into  $B$  if and only if the sequence  $\{c_n\}$  is one side of the sequence of Fourier-Stieltjes coefficients of a bounded complex valued regular Borel measure  $\mu$  on  $\Gamma$  and also  $\|\mu\| = \|T\|$ . Also if  $T$  is a multiplier from  $B$  into  $B$ , then  $T$  is in  $[\kappa : \kappa]$ , a subalgebra of  $[\beta : \beta]$ . Let  $\hat{\mu}(k)$  denote the  $k$ th Fourier-Stieltjes coefficient of the measure  $\mu$ .

Clearly, if  $\{T_n\}$ ,  $n = 1, 2, \dots$  and  $T$  are multipliers in  $[\beta : \beta]$ , and  $\{T_n\}$  converges in norm to  $T$  then  $\lim_{n \rightarrow \infty} \hat{\mu}_n(k) = \hat{\mu}(k)$  uniformly in  $k$ , where  $\mu_n$  and  $\mu$  are the measures associated with  $T_n$  and  $T$  respectively. In other words, the sequence of functions  $\{\hat{\mu}_n\}$  defined on  $P$ , the nonnegative integers, converges uniformly to  $\hat{\mu}$  on  $P$ . One expects then that for u.b. convergence the functions  $\{\hat{\mu}_n\}$  will converge strictly to  $\hat{\mu}$  on  $P$ . On the locally compact Hausdorff space  $P$ , a sequence of functions  $\{\hat{\mu}_n\}$  converges strictly to a function  $\hat{\mu}$  if and only if  $\{\hat{\mu}_n\}$  is uniformly bounded and  $\{\hat{\mu}_n\}$  converges uniformly on compact subsets to  $\hat{\mu}$  [5], i.e., pointwise on  $P$ .

**THEOREM 8.** *Let  $\{T_n\}$ ,  $n = 0, 1, \dots$ , and  $T$  be multipliers from  $B$  into  $B$  with associated measures  $\{\mu_n\}$  and  $\mu$ . Then  $\{T_n\}$  converges u.b. to  $T$  if and only if  $\{\hat{\mu}_n\}$  converges strictly to  $\hat{\mu}$ .*

*Proof.* For necessity we must show that there exists an  $M$  such that  $|\hat{\mu}_n(k)| \leq M$  for all  $n, k = 0, 1, \dots$ , and  $\lim_{n \rightarrow \infty} \hat{\mu}_n(k) = \hat{\mu}(k)$ ,  $k = 0, 1, \dots$ . Since  $\{T_n\}$  converges u.b. to  $T$  there is an  $M$  such that  $\|T_n\| \leq M$ ,  $n = 0, 1, \dots$ . Since  $\|T_n\| = \|\mu_n\|$ ,  $|\hat{\mu}_n(k)| \leq \|\mu_n\| \leq M$ . Let  $\hat{\mu}_n(k) = c_{n,k}$ . Now since  $\{T_n(z^k)\}$  converges strictly to  $T(z^k)$ , we have  $\{c_{n,k}z^k\}$  converges strictly to  $c_kz^k$  as  $n \rightarrow \infty$ . Hence  $\lim_{n \rightarrow \infty} c_{n,k} = c_k$ .

For the sufficiency part of the proof, let  $|z| \leq s < 1$  and  $|w| \geq \rho > 1$ . Then

$$\begin{aligned} |K_n(z, w) - K(z, w)| &= \left| \sum_{k=0}^{\infty} (c_{n,k} - c_k) z^k / w^{k+1} \right| \\ &\leq \sum_{k=0}^{\infty} |c_{n,k} - c_k| (s/\rho)^k. \end{aligned}$$

Let  $k'$  be such that  $\sum_{k=k'}^{\infty} (s/\rho)^k < \epsilon/4M$  and let  $N$  be so large that  $n > N$  implies  $|c_{n,k} - c_k| < \epsilon\rho/2(s - \rho)$  for  $k = 0, 1, \dots, k'$ . Then for  $|z| < s$  and  $|w| \geq \rho$ ,  $|K_n(z, w) - K(z, w)| < \epsilon$ . Also  $|\sum_{k=0}^{\infty} (c_{n,k}z^k/w^{k+1})| \leq M\rho(\rho - 1)^{-1}$  for all  $|z| < 1$  and  $|w| \geq \rho$ .

The multipliers from  $B$  into  $B$  which are in the algebra  $[\beta : \sigma]$  correspond to the absolutely continuous measures on  $\Gamma$  [1]. Let  $\phi_n$  in  $L^1(\Gamma)$  correspond to the multiplier  $T_n$ .

**COROLLARY.** *Let  $\{T_n\}$ ,  $n = 1, 2, \dots$  and  $T$  be multipliers in  $[\beta : \sigma]$ . Then  $\{T_n\}$  converges uniformly on bounded subsets to  $T$  if and only if  $\|\phi_n\|_{L^1} \leq M$  and  $\lim_{n \rightarrow \infty} \hat{\phi}_n(k) = \hat{\phi}(k)$ .*

**COROLLARY.** *Let  $\{T_n\}$ ,  $n = 1, 2, \dots$  and  $T$  be multipliers in  $[\beta : \sigma]$ . If  $\{\phi_n\}$  converges to  $\phi$  in  $L^1$ , then  $\{T_n\}$  converges u.b. to  $T$ .*

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