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**MAPS WITH 0-DIMENSIONAL CRITICAL SET**

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## MAPS WITH 0-DIMENSIONAL CRITICAL SET

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Let  $f: M^n \rightarrow N^p$  be  $C^n$  with  $n - p = 0$  or  $1$ , let  $p \geq 2$ , and let  $R_{p-1}(f)$  be the critical set of  $f$ . If  $\dim(R_{p-1}(f)) \leq 0$ , then (1.1) at each  $x \in M^n$ ,  $f$  is locally topologically equivalent to one of the following maps:

- (a) the projection map  $\rho: R^n \rightarrow R^p$ ,
- (b)  $\sigma: C \rightarrow C$  defined by  $\sigma(z) = z^d$  ( $d = 2, 3, \dots$ ), where  $C$  is the complex plane, or
- (c)  $\tau: C \times C \rightarrow C \times R$  defined by  $\tau(z, w) = (2z \cdot \bar{w}, |w|^2 - |z|^2)$ , where  $\bar{w}$  is the complex conjugate of  $w$ .

Under the additional hypothesis that  $\dim(f(R_{p-1}(f))) \leq p-2$  this result was proved in an earlier paper of the authors. They show here that  $\dim(R_{p-1}(f)) \leq 0$  implies something like  $\dim(f(R_{p-1}(f))) \leq p-2$ .

For general background material, the reader is referred to that earlier paper [5]. The *branch set*  $B_f$  [5, p. 616, (1.5)] is the set of points at which  $f$  fails to be locally topologically equivalent to  $\rho$ . A map  $g: J^{n-m} \times R^m \rightarrow L^{p-m} \times R^m$  is called a *layer map* if for each  $t \in R^m$ ,  $g(J^{n-m} \times \{t\}) \subset L^{p-m} \times \{t\}$ .

1.2. Outline of the proof. We suppose that  $f$  is not an open map, and from some technical differential lemmas of §3 obtain in (3.4) by restriction and change of coordinates a layer map satisfying the hypotheses of (2.1). By that lemma  $\dim(B_f) = p-1$ , so that  $\dim(R_{p-1}(f)) = p-1$ , contradicting the hypothesis of (1.1). Thus  $f$  is open, and from the local structure for open maps given in [7] we conclude in (4.1) that  $\dim(f(B_f)) \leq p-2$ . This is (essentially) the additional hypothesis assumed in [5], and our conclusion results. A global structure theorem is also given (4.5).

2. A topological lemma. In order to read the proof of (2.1) the reader will need to have at hand the definition and certain properties of spoke sets [7, (2.1), (2.2), (2.3)].

LEMMA 2.1. Let  $f: D^2 \times R^{p-1} \rightarrow R \times R^{p-1}$  be a layer map with  $B_f \neq \emptyset$ ,  $f(\partial D^2 \times \{t\})$  a single point not in  $f(B_f)$ , and  $\dim(B_f \cap (D^2 \times \{t\})) = \dim(f(B_f \cap (D^2 \times \{t\}))) \leq 0$  for each  $t \in R^{p-1}$ . Then  $\dim B_f = p-1$ .

*Proof.* The last hypothesis implies that  $\dim f(B_f) \leq p-1$  [9, p.

44, Theorem IV 3], so that  $\dim B_f \leq p - 1$  [9, p. 91, Theorem VI 7]. If  $p = 1$  and  $B_f = \emptyset$ , then  $f$  is open and a contradiction results from [7, (3.1)(b) or (d)]. Thus, for  $p = 1$   $\dim B_f = 0$ , i.e.,  $p - 1$ . Hence we may suppose that  $p \geq 2$ , and will prove that  $\dim B_f \leq p - 1$ .

Let  $I = [0, 1]$ , let  $I^{p-1} \subset I^p$  be  $\{(x_1, x_2, \dots, x_p) : x_p = 0\}$ , let  $r = 0, 1, \dots, p - 1$ , and, for  $a \in I^{p-1}$ , let  $\Gamma_{a,r} = \{x \in I^{p-1} : x_i = a_i \text{ for } i \geq r + 1\}$ . For

$$X \subset \Gamma_{a,r} \text{ and } \alpha > 0,$$

let  $X(r, \alpha) = \{x \in I^{p-1} : (x_1, \dots, x_r, a_{r+1}, \dots, a_{p-1}) \in X \text{ and } |x_i - a_i| < \alpha \text{ for } i \geq r + 1\}$ . Thus  $\Gamma_{a,r}(r, \alpha) = \{x \in I^{p-1} : |x_i - a_i| < \alpha \text{ for } i \geq r + 1\}$ .

Consider statement  $S_r$ : (1) for every  $\varepsilon > 0$  and  $a \in I^{p-1}$ , there are a triangulation  $\mathfrak{X}$  of the  $r$ -cell  $\Gamma_{a,r}$  and  $\alpha > 0$ , and (2) for every closed  $r$ -simplex  $\sigma$  of  $\mathfrak{X}$ , there are spoke sets  $L_{j,\sigma} (j = 0, 1, \dots, q(\sigma))$  satisfying conclusions (i)-(vi) of [7, (2.1) and (2.2)] with  $W$  replaced by  $\text{Cl}[\sigma(r, \alpha)]$  and  $E = B_f \cap (D^2 \times I^{p-1})$ . Moreover, (3) let  $\sigma$  and  $\tau$  be closed  $r$ -simplices of  $\mathfrak{X}$ , and let  $D^2 \times \text{Cl}[(\sigma \cap \tau)(r, \alpha)]$  be denoted by  $T$ . Then, for any  $L_{i,\sigma}$  and  $L_{j,\tau}$ , one of the following statements is true:  $L_{i,\sigma} \cap T = L_{j,\tau} \cap T$ ,  $L_{i,\sigma} \cap T \subset (L_{j,\tau} - \Omega_{j,\tau}) \cap T$ ,  $L_{j,\tau} \cap T \subset (L_{j,\sigma} - \Omega_{j,\sigma}) \cap T$ , or  $L_{i,\sigma} \cap (L_{j,\tau} - \Omega_{j,\tau}) \cap T = \emptyset$ .

Since  $\Gamma_{a,0} = \{a\}$  and  $\{a\}$  is the only 0-simplex of  $T$ , statement  $S_0$  follows immediately from [7, (2.2)]. We will suppose that  $S_r$  is true ( $r < p - 1$ ) and deduce  $S_{r+1}$ .

Let  $\varepsilon > 0$  and  $a \in I^{p-1}$  be given. For  $[u, v] \subset R$  and  $\eta > 0$ , let

$$\Psi(u, v, \eta) = \{x \in I^{p-1} : u < x_{r+1} < v \text{ and } |x_i - a_i| < \eta \text{ for } i > r + 1\}.$$

If  $c \in \Gamma_{a,r+1}$ , then  $\Gamma_{c,r} \subset \Gamma_{a,r+1}$  and  $\Gamma_{c,r}(r, \eta) = \Psi(c_{r+1} - \eta, c_{r+1} + \eta, \eta)$ . For  $c \in \Gamma_{a,r+1}$ , let  $\alpha(c) > 0$ ,  $\mathfrak{X}(c)$ , and  $\{L_{e,j,\sigma}\}$  be as given by  $S_r$  for  $\varepsilon$  (and  $a$  replaced by  $c$ ). There are  $c(i) (i = 1, 2, \dots, m)$  such that  $\{\Gamma_{c(i),r}(r, \alpha(c_i))\}$  covers  $\Gamma_{a,r+1}$ . We may suppose that  $\{c_{r+1}(i)\}$  are in increasing order and the cover is minimal. If the open interval  $(c_{r+1}(i) - \alpha(c(i)), c_{r+1}(i) + \alpha(c(i)))$  is denoted by  $A_i$ , then  $0 \in A_1 - \bigcup_{i \neq 1} A_i$ ,  $1 \in A_m - \bigcup_{i \neq m} A_i$ , and  $A_i \cap A_j \neq \emptyset$  if and only if  $j = i - 1, i$ , or  $i + 1$ . Choose  $b(i) \in \Gamma_{a,r+1}$ ,  $0 < b_{r+1}(i) < 1$ , and  $\gamma > 0$  so that the intervals  $F_i = [b_{r+1}(i) - \gamma, b_{r+1}(i) + \gamma]$  are mutually disjoint and  $F_i \subset A_i \cap A_{i+1} \cap (0, 1) (i = 1, 2, \dots, m - 1)$ .

Let  $\Omega = \bigcup_{i,j,\sigma} \Omega_{c(i),j,\sigma}$ . Since  $B_f \cap \Omega = \emptyset$  (by  $S_r$  (2) (iii) and (iv)), there is a  $\delta$  with  $0 < \delta < \min(\varepsilon, d(B_f, \Omega))$  ( $d$  is distance). Let  $\alpha(b(i)) > 0$ ,  $\mathfrak{X}(b(i))$ , and  $\{L_{b(i),j,\sigma}\}$  be as given by  $S_r$  for  $\varepsilon$  replaced by  $\delta$  and  $a$  replaced by  $b(i) (i = 1, 2, \dots, m - 1)$ ; let  $\beta = \min\{\alpha(b(i)), \alpha(c(i)), \gamma\}$ . By  $S_r$  (2) (vi) each  $\dim L_{b(i),j} < \delta < d(B_f, \Omega)$  and by  $S_r$  (2) (iv)  $B_f \cap L_{b(i),j} \neq \emptyset$ ; thus (\*) if

$$(D^2 \times \Gamma_{a,r+1}(r, \beta)) \cap L_{b(i),j,\sigma} \cap L_{c(h),k,\tau} \neq \emptyset,$$

then  $(D^2 \times \Gamma_{a,r}(r, \beta)) \cap L_{b(i),j,\sigma} \subset (D^2 \times \Gamma_{a,r}(r, \beta)) \cap (L_{c(h),k,\tau} - \Omega_{c(h),k,\tau})$ .

Let  $d(t) (t = 1, 2, \dots, 2m)$  be the numbers  $0, 1, b_{r+1}(i) - \beta$ , and  $b_{r+1}(i) + \beta (i = 1, \dots, m - 1)$  in increasing order. Then  $\Psi(d(2i - 1), d(2i), \beta)$  (resp.,  $\Psi(d(2i), d(2i + 1), \beta)$ ) is contained in  $\Gamma_{c(i),r}(r, \alpha(c(i)))$  (resp.,  $\Gamma_{b(i),r}(r, \alpha(b(i)))$ ).

For each closed  $r$ -simplex  $\sigma$  of  $\mathfrak{X}(c(i))$  (resp.,  $\mathfrak{X}(b(i))$ ), let  $\Sigma \subset \Gamma_{a,r+1}$  be the closed  $(r + 1)$ -cell defined by  $x \in \Sigma$  if and only if  $(x_1, \dots, x_r, a_{r+1}, \dots, a_{p-1}) \in \sigma, d(2i - 1) \leq x_{r+1} \leq d(2i)$  (resp.,  $d(2i) \leq x_{r+1} \leq d(2i + 1)$ ), and  $x_i = a_i$  for  $i > r + 1$ . There is a triangulation  $\mathfrak{X}$  of  $\Gamma_{a,r+1}$  such that each such  $\Sigma$  is a subpolyhedron [13, Chapter 1, p. 5]. For each closed  $(r + 1)$ -simplex  $\rho$  of  $\mathfrak{X}$ , there is an  $r$ -simplex  $\sigma$  of  $\mathfrak{X}(c(i))$  or  $\mathfrak{X}(b(i))$  with  $\rho \subset \Sigma$ . Define  $L_{j,\rho} = L_{j,\sigma} \cap (D^2 \times \rho(r + 1, \beta)) (j = 1, 2, \dots, q(\rho) = q(\sigma))$ . It follows that  $S_{r+1}$  is satisfied for  $\varepsilon$  and  $a$ , with  $\beta > 0, \mathfrak{X}$ , and  $\{L_{j,\rho}\}$  (conclusion (3) follows from (\*) and  $S_r$  (3)).

Thus  $S_{p-1}$  is true for (say)  $0$  and any  $\varepsilon > 0$ ; note that  $\Gamma_{0,p-1} = I^{p-1}$  itself, and  $\alpha$  does not arise in this case.

Let  $e = 1, 2, \dots$ . Let  $\mathfrak{X}_e$  be the triangulation of  $I^{p-1}$  and let  $\{L_{j,\sigma,e}\}$  be as given in  $S_{p-1}$  for  $\varepsilon = 1/e$ , let  $L_e = \bigcup_{j,\sigma} L_{j,\sigma,e}$ , and let  $\Omega_e = \bigcup_{j,\sigma} \Omega_{j,\sigma,e}$ . Each  $\mathfrak{X}_e$  is rectilinear in  $I^{p-1}$ , so we may suppose that each  $\mathfrak{X}_{e+1}$  is a subdivision of  $\mathfrak{X}_e$ .

Define an equivalence relation  $\sim$  on  $L_e$  by: for every  $a \in I^{p-1}, \sigma$ , and  $j$ , and for every  $u, v \in L_{j,\sigma,e} \cap (D^2 \times \{a\}), u \sim v$ . Let  $Y_e$  be the resulting identification space, and let  $\omega_e: L_e \rightarrow Y_e$  be the identification map. Let  $L_e \cap (D^2 \times \partial I^{p-1})$  be denoted by  $G_e$ , and  $\omega_e(G_e)$  by  $\partial Y_e$ . Then  $\omega_e: (L_e, G_e) \rightarrow (Y_e, \partial Y_e)$  is a homotopy equivalence,  $Y_e$  is a  $(p - 1)$ -dimensional finite polyhedron, viewed as a cell complex [13, Chapter 1, p. 5], its closed  $(p - 1)$ -cells are  $\omega_e(L_{j,\sigma,e})$ , their interiors  $\omega_e(L_{j,\sigma,e} \cap (D^2 \times \text{int } \sigma)) = \gamma_{j,\sigma,e}$  are mutually disjoint for distinct pairs  $(j, \sigma)$ .

With the index  $\xi$  of [7, (2.1)]  $\sum_{j,\sigma} \xi(L_{j,\sigma,e}) \cdot \gamma_{j,\sigma,e}$  is a  $(p - 1)$ -chain  $\beta_e$  of  $(Y_e, \partial Y_e)$ . From the index formula [7, (2.3)] and from (2) (v) and (3) in  $S_{p-1}$  (note that  $\text{Cl}[(\sigma \cap \tau)(\alpha)]$  is merely  $\sigma \cap \tau$  in this case), it follows that  $\beta_e$  is a cycle of  $(Y_e, \partial Y_e)$ . Since  $\xi(D^2 \times \{s\}) = 1$ , it follows again from the index formula that  $\sum_j \xi(L_{j,\sigma}) = 1$  for each  $\sigma$ , so that  $\beta_e \neq 0$ . Since  $\dim Y_e = p - 1, \beta_e$  defines a nonzero element of  $H_{p-1}(Y_e, \partial Y_e; Z) \approx H_{p-1}(L_e, G_e, Z) (Z \text{ the ring of integers})$ . Let  $\eta_e = \omega_e^{-1}(\{\beta_e\}) \in H_{p-1}(L_e, G_e; Z)$ .

Since  $\Omega_e \cap B_f = \emptyset$  (by  $S_{p-1}$  (2) (iv)), there exists  $\delta(e)$  with  $0 < \delta(e) < d(\Omega_e, B_f) (e = 1, 2, \dots)$ , and there is a subsequence  $\{e(k)\}$  such that  $e(1) = 1$  and  $1/e(k + 1) < \min \{\delta(e(i)): i \leq k\} (k = 1, 2, \dots)$ . For every  $L_{j,\sigma,e(k+1)}$ , there are a unique  $\tau \in T_{e(k)}$  with  $\sigma \subset \tau$  and  $x \in B_f \cap L_{j,\sigma,e(k+1)}$  by  $S_{p-1}$  (2) (iv). For a unique  $i, x \in L_{i,\tau,e(k)}$  by  $S_{p-2}$  (2) (iv) and (V), and from the size of  $1/e(k + 1)$  and  $S_{p-1}$  (2) (vi), (†)  $L_{j,\sigma,e(k+1)} \subset$

$L_{i,\tau,e(k)}$ . Let  $\lambda_{k+1}: (L_{e(k+1)}, G_{e(k+1)}) \rightarrow (L_{e(k)}, G_{e(k)})$  be inclusion. From (†) and the index formula [7, (2.3)] it follows that  $\lambda_{k+1}^*(\eta_{e(k+1)}) = \eta_{e(k)} (\neq 0)$ . Thus the inverse limit of  $\{\eta_{e(k)}\}$  is nonzero, so that the Čech homology group  $H_{p-1}(\bigcap_e L_e, \bigcap_e G_e; Z) \neq 0$  by the Continuity Theorem. Hence

$$\dim \left( \bigcap_e L_e \right) \geq p - 1$$

[9, p. 152, Theorem VIII 4], and since  $\bigcap_e L_e \subset B_f(S_{p-1}(2))$  (iv) and (vi),  $\dim B_f \geq p - 1$ .

3. Differential lemmas. The following two lemmas are generalizations of lemmas that have been used repeatedly, and these generalizations will also be used elsewhere.

LEMMA 3.1. *Let  $f: M^n \rightarrow N^p$  be  $C^m$ , let  $K^q$  be a  $C^m$   $q$ -manifold ( $m = 1, 2, \dots$ ; or  $m = \infty$ ; or  $m = \omega$ ;  $q = 0, 1, \dots, p - 1$ ), let  $\rho$  be a  $C^m$  diffeomorphism of a region in  $N^p$  onto  $K^q \times R^{p-q}$ , and let  $\Omega$  be a nonempty compact subset of  $f^{-1}(\rho^{-1}(K^q \times \{0\}))$ . If  $f|_\Omega$  is transverse regular on  $\rho^{-1}(K^q \times \{0\})$ , then there are  $\varepsilon > 0$ , a  $C^m(n - p + q)$ -manifold  $L$ , and a  $C^m$  diffeomorphism  $\sigma$  of  $L \times S(0, \varepsilon)$  onto a neighborhood of  $\Omega$  in  $M^n$  such that  $\rho \circ f \circ \sigma$  is a layer map.*

This is proved in [6, (4.1)] and is a generalization of [8, p. 80, (3.5)] and [3, p. 376, (2.7)]. The condition that “ $f|_\Omega$  is transverse regular” means that  $f$  is transverse regular at  $x$  for each  $x \in \Omega$ .

LEMMA 3.2. *Let  $q = 1, 2, \dots$ , let  $f: M^n \rightarrow N^p$  be a  $C^r$  map with  $\max(n - q + 1, 1) \leq r \leq \infty$ , let  $\Omega \subset M^n$  be compact, and let  $Y \subset N^p$  be closed, with  $\dim Y \geq q$ . Then for some  $m$  ( $m = 0, 1, \dots, p - q$ ) there is a  $C^r$  embedding  $\lambda$  of  $S^m \times R^{p-m}$  in  $N^p$  such that  $f|_\Omega$  is transverse regular on  $\lambda(S^m \times \{t\})$  and  $\lambda(S^m \times \{t\}) \cap Y \neq \emptyset$  for each  $t \in R^{p-m}$ .*

If  $\Omega$  is omitted, “ $f|_\Omega$  is transverse regular” is replaced by “ $f$  is transverse regular”, and  $f$  is assumed proper, this is [8, p. 80, (3.7)]. The proof is an immediate generalization of that proof. (Although we do not need it in this paper, the same comments apply to [8, p. 82, (3.8)], except that  $J$  need not be compact.)

DEFINITION 3.3. Let  $K^n$  and  $L^p$  be  $C^r$ -manifolds with nonempty boundary, and let  $f: K^n \rightarrow L^p$  be a  $C^r$  ( $r \geq 1$ ) proper map with  $f^{-1}(\partial L^p) = \partial K^n$  and  $f(R_{p-1}(f)) \subset \text{int } L^p$ . Let  $D(K^n)$  and  $D(L^p)$  be the doubles  $K^n$  and  $L^p$ , respectively [10, p. 52, (5.10) and p. 62, (6.3)]. We now define a  $C^r$  map  $g: D(K^n) \rightarrow D(L^p)$ , called a *double of  $f$* , such that the restriction of  $g$  to each half is  $C^r$  equivalent to  $f$  [5, p. 616,

(1.3)].

Let  $K_i = K \times i$ , let  $L_i = L \times i$ , and let  $f_i: K_i \rightarrow L_i$  be defined by  $f_i(x, i) = (f(x), i)$  ( $i = 0, 1$ ). Let  $J_0 = [0, 1)$  and  $J_1 = (-1, 0]$ . There is an open neighborhood  $U$  of  $\partial L$  in  $L$  disjoint from  $f(R_{p-1}(f))$  and  $C^r$  diffeomorphisms  $\psi_i: U_i = U \times i \rightarrow \partial L_i \times J_i$  [10, p. 51, (5.9)]. Let  $\alpha_i: f_i^{-1}(U_i) \rightarrow U_i$  and  $\beta_i: \partial K_i \rightarrow \partial L_i$  be the restrictions of  $f_i$ .

There exist manifolds  $V_i = V_i^n$  with  $\partial V_i = \emptyset$  and  $f^{-1}(U_i) \subset V_i$  and  $W_i = W_i^p$  with  $\partial W_i = \emptyset$  and  $U_i \subset W_i$ , and a  $C^r$  extension  $\gamma_i: V_i \rightarrow W_i$  of  $\alpha_i$ . By restricting  $\gamma_i$  we may suppose that it is proper. Now  $\gamma_i$  is the projection map of a  $C^r$  bundle (e.g. from (3.1) with  $K$  a single point), so that  $\alpha_i$  and  $\beta_i$  are also. Thus there are diffeomorphisms  $\phi_i: f_i^{-1}(U_i) \rightarrow \partial K_i \times J_i$  such that  $\psi_i \circ \alpha_i = (\beta_i \times \iota) \circ \phi_i$  (where  $\iota$  is the identity map on  $J_i$ ) [11, p. 53, (11.4)].

We may define the ( $C^r$  structures on the) doubles  $D(K^n)$  and  $D(L^p)$  using the maps  $\phi_i$  and  $\psi_i$  (identify  $(x, 0)$  in  $\partial K_0$  with  $(y, 1)$  in  $\partial K_1$  if  $\phi_0(x, 0)$  and  $\phi_1(y, 1)$  have the same first coordinate), and let  $\lambda_i: K_i \rightarrow D(K^n)$  and  $\mu_i: L_i \rightarrow D(L^p)$  be the natural ( $C^r$ ) embeddings. Define  $g$  by  $g(x) = f_i(x)$  for  $x \in K_i$ . Clearly  $g$  is  $C^r$  except possibly on  $\partial K$ . If  $U' = U_0 \cup U_1$  and  $\psi: U' \rightarrow \partial L \times (-1, 1)$  and  $\phi: g^{-1}(U') \rightarrow \partial K \times (-1, 1)$  are defined by the  $\psi_i$  and  $\phi_i$ , respectively, then  $\psi \circ g|g^{-1}(U') = (\beta \times \iota) \circ \phi$  (where  $\iota$  is the identity map on  $(-1, 1)$  and  $\beta = \beta_1 = \beta_2$ ), so that  $g$  is  $C^r$  everywhere.

LEMMA 3.4. *Let  $f: M^n \rightarrow N^p$  be a  $C^n$  map with  $n - p = 0$  or  $1$ ,  $\dim B_f \leq p - 2$ , and  $\dim(B_f \cap f^{-1}(y)) \leq 0$  for each  $y \in N^p$ . Then  $f$  is open.*

*Proof.* In case  $n = p$ ,  $f$  is light and the conclusion is given by [2, p. 94, (2.3)], so we may suppose that  $n = p + 1$ . Suppose that  $f$  is not open. Let  $E_f$  be the set of points at which  $f$  fails to be open, and let  $x \in E_f$ . According to [5, p. 622, (2.6)] there is a connected (not necessarily compact) manifold  $K^{p+1} \subset M^{p+1}$  with boundary such that  $x \in \text{int } K^{p+1} (= K^{p+1} - \partial K^{p+1})$  and the closure  $\bar{K}^{p+1}$  of  $K^{p+1}$  in  $M^{p+1}$  is compact; there is an open  $p$ -cell  $D^p \subset N^p$  with  $f(K^{p+1}) \subset D^p$ ; and the restriction map  $g: K^{p+1} \rightarrow D^p$  is proper with  $B_g \cap \partial K^{p+1} = \emptyset$ . Let  $\psi = g|_{\text{int } K^{p+1}}$ , and let  $\Omega \subset \text{int } K^{p+1}$  be the compact set  $E_\psi$ . Since  $f$  is not open,  $\dim \psi(E_\psi) \geq p - 1$  [5, p. 623, (3.4)], and by (3.2) there is a  $C^{p+1}$  embedding  $\lambda: S^m \times R^{p-m} \rightarrow D^p$  such that  $\psi|_\Omega$  is transverse regular on  $\lambda(S^m \times \{t\})$  and  $\lambda(S^m \times \{t\}) \cap \psi(E_\psi) \neq \emptyset$  for each  $t \in R^{p-m}$  and  $m = 0$  or  $1$ . From (3.1)  $m \neq 0$  and, for some  $\varepsilon > 0$ , the restriction of  $\psi$  to some neighborhood of  $E_\psi$  is  $C^{p+1}$  equivalent to the  $C^{p+1}$  layer map  $\alpha: Q^2 \times R^{p-1} \rightarrow S^1 \times R^{p-1}$  with  $E_\alpha \cap (Q^2 \times \{t\}) \neq \emptyset$  for every  $t \in R^{p-1}$ .

Since  $B_\alpha \subset R_{p-1}(\alpha)$  (the Rank Theorem [5, p. 617, (1.6)],  $\dim(\alpha(B_\alpha) \cap$

$(S^1 \times \{t\}) \leq 0$  for each  $t \in R^{p-1}$  (by Sard's theorem); and since

$$\dim(B_\alpha \cap \alpha^{-1}(u, t)) \leq 0$$

for each  $(u, t) \in S^1 \times R^{p-1}$  by hypothesis,  $\dim(B_\alpha \cap (Q^2 \times \{t\})) \leq 0$  [9, p. 91, Theorem VI 7].

Let  $(q, s) \in E_\alpha \subset B_\alpha$  (we may suppose that  $s = 0$ ), and let  $T \subset Q^2 \times R^{p-1}$  be a closed  $(p+1)$ -cell neighborhood of  $(q, 0)$ . Since  $\{(q, 0)\}$  is the component of  $\alpha^{-1}(\alpha(q, 0))$  containing  $(q, 0)$  [5, p. 622, (3.2)], there is an interval  $I \subset S^1$  with  $\alpha_0(q) \in \text{int } I$  and  $\delta > 0$  such that the component  $F$  of  $\alpha^{-1}(I \times S(s, \delta))$  is contained in  $\text{int } T$ . We may suppose that the endpoints of  $I$  are regular values of  $\alpha_0$ , and thus, for  $\delta$  sufficiently small, of  $\alpha_t$  for every  $t \in S(0, \delta)$ . Thus  $F$  is an  $n$ -manifold with boundary, and each  $F_t = F \cap (Q^2 \times \{t\})$  is compact. Let  $G$  be the double of  $F$ , and let  $\beta: G \rightarrow S^1 \times S(0, \delta)$  be the double of the proper map  $\alpha|_F: F \rightarrow I \times S(0, \delta)$  (3.3).

Choose an open 2-cell  $U$  with  $q \in U$  and  $U \times \{0\} \subset \text{int } F_0 \subset G_0$ , and choose  $\eta, 0 < \eta < \delta$ , with  $U \times S(0, \eta) \subset \text{int } F \subset G$ . There exists  $\xi, 0 < \xi < \eta$ , and an interval  $J \subset \text{int } I \subset S^1$  such that  $\beta_0(q) \in \text{int } J$ , the component  $X$  of  $\beta^{-1}(J \times S(0, \xi))$  containing  $(q, 0)$  is contained in  $U \times S(0, \xi)$ , and the end points of  $J$  are regular values of  $\beta_t$  for each  $t \in S(0, \xi)$ . Thus  $X \cap (U \times \{0\})$ , call it  $A^2$ , is a 2-disk with holes, and  $\alpha_0(\partial A^2) \subset \partial J$ .

We now apply [1, p. 196, (3.4)] to  $\beta, K_0 = S^1 \times \{0\}, \Gamma_1 = J \times \{0\}, K_1 = \partial \Gamma_1$ , and  $\rho$  the identity map. There exists  $\zeta, 0 < \zeta < \xi$ , and a  $C^{p+1}$  (layer) diffeomorphism  $\omega$  of  $\beta^{-1}(S^1 \times \{0\}) \times S(0, \zeta)$  onto  $\beta^{-1}(S^1 \times S(0, \zeta))$  with  $\omega(A^2 \times S(0, \zeta)) = X$ . Let  $D$  be the closed 2-cell with  $A^2 \subset D \subset U$  and  $\partial D \subset \partial A^2$ , and let  $\gamma: D \times S(0, \zeta) \rightarrow \text{int } I \times S(0, \zeta)$  be the restriction of  $\beta \circ \omega$ . Now  $(0, q) \in E_\gamma \subset B_\gamma$  and by (2.1)  $\dim B_\gamma = p-1$ , so that  $\dim B_f \geq p-1$ , and a contradiction results.

#### 4. Conclusions.

**PROPOSITION 4.1.** *Let  $f: M^{p+1} \rightarrow N^p$  be  $C^{p+1}$  with  $B_f \neq \emptyset$ ,  $\dim B_f \leq p-2$ , and  $\dim(f^{-1}(y) \cap B_f) \leq 0$  for each  $y \in N^p$ . Then  $\dim B_f = p-3$  and there is a closed set  $Y \subset B_f$  such that  $\dim Y < p-3$  and, for every  $x \in B_f - Y$ ,  $f$  at  $x$  is locally topologically equivalent to*

$$\tau \times \text{id}: R^4 \times R^{p-3} \longrightarrow R^3 \times R^{p-3}.$$

According to the Rank Theorem [5, p. 617, (1.6)]  $B_f \subset R_{p-1}(f)$  and the following corollary results.

**COROLLARY 4.2.** *Let  $f: M^{p+1} \rightarrow N^p$  be  $C^{p+1}$  with critical set  $R_{p-1}(f)$ , let  $\dim R_{p-1}(f) \leq p-2$ , and let  $\dim(f^{-1}(y) \cap R_{p-1}(f)) \leq 0$  for each  $y \in N^p$ . Then there is a closed set  $Y \subset M^{p+1}$  such that  $\dim Y < p-3$*

and, for each  $x \in M^{p+1} - Y$ ,  $f$  at  $x$  is locally topologically equivalent to either the projection map  $\rho: R^{p+1} \rightarrow R^p$  or to

$$\tau \times \text{id}: R^4 \times R^{p-3} \longrightarrow R^3 \times R^{p-3} .$$

*Proof of (4.1).* By (3.4)  $f$  is open, and  $p \geq 2$  since  $B_f \neq \emptyset$  and  $\dim B_f \leq p - 2$ . According to [7, (4.1) and (1.1)], if  $f: M^{p+1} \rightarrow N^p$  is a  $C^3$  open map with  $\dim(B_f \cap f^{-1}(y)) \leq 0$  for each  $y \in N^p$ , then there is a closed set  $X \subset M^{p+1}$  such that  $\dim f(X) \leq p - 2$  and, for every  $x \in M^{p+1} - X$ , there is a natural number  $d(x)$  with  $f$  at  $x$  locally topologically equivalent to the map

$$\phi_{d(x)}: C \times R^{p-1} \longrightarrow R \times R^{p-1}$$

defined by  $\phi_{d(x)}(z, t) = (\mathcal{R}(z^{d(x)}), t)$  ( $\mathcal{R}(z^{d(x)})$  is the real part of the complex number).

Since  $\dim B_f \leq p - 2$  by hypothesis,  $B_f \subset X$ , so that  $\dim f(B_f) \leq p - 2$ . Thus  $f$  satisfies the hypothesis of [5, p. 626, (4.7)]. (For  $n = p + 1$  that proposition is identical with the present one except that the hypothesis  $\dim B_f \leq p - 2$  is replaced by  $\dim f(B_f) \leq p - 2$ .)

**COROLLARY 4.3.** *If  $f: M^{p+1} \rightarrow N^p$  is a  $C^{p+1}$  map with  $\dim B_f = 0$  and  $p \geq 2$ , then  $p = 3$  and at each  $x \in B_f$ ,  $f$  is locally topologically equivalent to  $\tau$ .*

4.4. *Proof of (1.1).* From the Rank Theorem [5, p. 617, (1.6)]  $B_f \subset R_{p-1}(f)$ , and the conclusion for  $n - p = 1$  results from (4.3). For  $n = p \geq 3$   $\dim(R_{p-1}(f)) \leq 0$  implies  $B_f = \emptyset$  [2, p. 94, (2.2)]; for  $n = p = 2$ ,  $f$  is light open [2, p. 94, (2.3)], and so has the desired structure (e.g. by [2, p. 90, (1.10)]).

Let  $G$  be a compact, connected Lie group, and let  $M$  be a closed, connected, oriented  $G$ -manifold with orbit space a manifold. The action is called *almost free* if it is free except for the fixed point set  $F$ , and  $F$  is discrete nonempty set. In [4] Church and Lamotke classified such actions globally, up to equivariant homeomorphism (they also treated the smooth case): invariants are the oriented homeomorphism type of the orbit space and the number (which is even) of fixed points. This classification gives significance to the following corollary of (1.1), a global classification of maps with 0-dimensional critical set.

**COROLLARY 4.5.** *Let  $M^{p+1}$  and  $N^p$  be closed, connected, oriented manifolds, and let  $f: M^{p+1} \rightarrow N^p$  be a  $C^{p+1}$  map with critical set  $R_{p-1}(f)$  of dimension at most 0. Then there is a unique factorization  $f = h \circ g$ , where  $g: M^{p+1} \rightarrow K^p$  is the orbit map of a topological  $S^1$  free or almost free action on  $M^{p+1}$  (and thus is classified by [4]),*

and  $h: K^p \rightarrow N^p$  is an  $r$ -to-1 covering map ( $r = 1, 2, \dots$ ).

*Proof.* By (1.1) either the branch set  $B_f = \emptyset$ , or  $p = 3$  and at each point of  $B_f$   $f$  is locally topologically equivalent to  $\tau$ , i.e., to the cone map of the Hopf fibration  $\psi: S^3 \rightarrow S^2$  [5, p. 618, (1.10)]. According to [12, p. 64, (2.5)] there is a natural number  $k$  such that  $f^{-1}(y)$  has exactly  $k$  components for each  $y \in N^p - f(B_f)$ , and at most  $k$  components for each  $y \in f(B_f)$ . From the local structure,  $f^{-1}(y)$  has exactly  $k$  components for every  $y \in N^p$ , and thus according to [12, p. 63, (2.1)] there is a (unique) factorization  $f = h \circ g$ , where  $g: M^{p+1} \rightarrow K^p$  is a  $C^{p+1}$  monotone map and  $h: K^p \rightarrow N^p$  is an  $r$ -to-1 covering map.

In case  $B_f = \emptyset$ ,  $B_g = \emptyset$  also, so that  $g$  is a bundle map [5, p. 618, (1.9)] with fiber  $S^1$ . The structure group can be reduced to  $S^1 = SO(2)$  [12, pp. 64-65], and thus  $g$  is the orbit map of a free  $S^1$  action. In case  $B_f \neq \emptyset$ , the map  $\alpha: M^{p+1} - B_g \rightarrow K^p - g(B_g)$  defined by restriction of  $g$  is also a free  $S^1$  action; since  $B_g$  is discrete,  $g$  itself is the orbit map of an almost free action.

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