POLYNOMIAL CONSTRAINTS FOR FINITENESS OF SEMISIMPLE RINGS

Mohan S. Putcha and Adil Mohamed Yaqub

POLYNOMIAL CONSTRAINTS FOR FINITENESS OF SEMISIMPLE RINGS

Mohan S. Putcha and Adil Yaqub

Suppose R is an associative ring with Jacobson radical J. Suppose that for each sequence $x_1, \cdots, x_n$ in R there exists a polynomial p homogeneous (of bounded degree) in each x_i and a monomial w in the x's, in which some x_i is missing, such that $p = w$. Then R/J is finite. It is also shown that if the above polynomial p is a monomial, then R/J is finite and J is nil of bounded index.

In a recent paper, the authors proved the following theorem: Suppose R is an associative ring with Jacobson radical J. Suppose further, that, for all $x_1, \cdots, x_n$ in R, there exists a word $w(x_1, \cdots, x_n)$, depending on $x_1, \cdots, x_n$, in which at least one x_i (i varies) is missing, and such that

$$(1) \qquad x_1 \cdots x_n = w(x_1, \cdots, x_n) .$$

Then J is a nil ring of bounded index and R/J is finite. In the present paper, we consider the structure of an associative ring R which satisfies, instead of the identity (1) above, an identity of the form

$$(2) \qquad p(x_1, \cdots, x_n) = w(x_1, \cdots, x_n) .$$

In particular, we take a closer look at the structure of R in those cases where (i) $p(x_1, \cdots, x_n)$ is *any fixed* word involving each of the variables $x_1, \cdots, x_n$ at least once, or (ii) $p(x_1, \cdots, x_n)$ is a variable polynomial in $x_1, \cdots, x_n$ with integer coefficients such that each x_i is of the same degree in each term of $p(x_1, \cdots, x_n)$, and where these degrees are bounded. We show, for example, that if $p(x_1, \cdots, x_n)$ is as in (i) above, then the Jacobson radical J of R is nil of bounded index and R/J is finite. Moreover, we show that, if $p(x_1, \cdots, x_n)$ is as in (ii) above, then R/J is still finite. We conclude by giving some examples of the rings under consideration.

In establishing the results of this paper, we use the structure theory of rings, starting with the division ring case, then the primitive ring case, followed by the semisimple ring case

1. **Main results.** Throughout R will denote an associative ring, Z will denote the ring of integers, and n will denote a fixed positive integer >1. We now introduce the following.

DEFINITION 1. Let $Z[x_1, \cdots, x_n]$ be the ring of polynomials in n

noncommuting indeterminates $x_1, \cdots, x_n$ over Z. Let $\mathscr{Y}_n$ be the subset of $Z[x_1, \cdots, x_n]$ consisting of polynomials $p(x_1 \cdots, x_n)$ such that each x_i appears in every term in $p(x_1, \cdots, x_n)$. By a word $w(x_1, \cdots, x_n)$ we mean a product in which each factor is x_i, for some i. Let $X \subseteqq \mathscr{Y}_n$. An associative ring R is called an X-ring if, for all $a_1, \cdots,$ a_n in R, there exists a polynomial $p(x_1, \cdots, x_n)$ in X and a word $w(x_1, \cdots, x_n)$ with some $x_j(j$ varies) missing from $w(x_1, \cdots, x_n)$, such that

$$(3) \qquad \begin{aligned} p(a_1, \cdots, a_n) &= w(a_1, \cdots, a_n), \text{ some } a_j \text{ missing from} \\ w(a_1, \cdots, a_n) \,. \end{aligned}$$

A division ring (respectively, primitive ring, semisimple ring) which is also an X-rind is called an X-division ring (respectively, X-primitive ring, X-semisimple ring).

The following lemma is immediate from the definition of an X-ring.

LEMMA 1. (a) *If $X_1 \subseteqq X_2$, then any X_1-ring is also an X_2-ring.* (b) *Any subring and any homomorphic image of an X-ring is also an X-ring.*

In preparation for the proofs of the main results, we first establish the following lemmas.

LEMMA 2. *Suppose that $X \subseteqq \mathscr{Y}_n$ and D is an X-division ring. Then D is of prime characteristic.*

Proof. Suppose that the characteristic of the X-division ring D is zero. Then D contains the rationals. Suppose that $q_1, \cdots, q_n$ are the first n primes. Then, by hypothesis,

$$(4) \qquad p(q_1, \cdots, q_n) = w(q_1, \cdots, q_n) \,,$$

where *each* term in the polynomial $p(x_1, \cdots, x_n)$ involves *every* x_i, while some x_j is *missing* from the word $w(x_1, \cdots, x_n)$. Thus, q_j divides the left side of (4) but q_j does *not* divide the right side of (4), a contradiction. This contradiction proves the lemma.

LEMMA 3. *Let R be a $\mathscr{Y}_n$-primitive ring. Then R is a complete matrix ring D_q over a division ring D of prime characteristic.*

Proof. Let R be a $\mathscr{Y}_n$-primitive ring. Then, by Jacobson's Density Theorem [3; p. 33], either (i) $R \cong a$ complete matrix ring D_q over a division ring D, or (ii) for every positive integer l, there

exists a subring S of R such that S maps homomorphically onto D_l for some division ring D. We now show that (ii) never holds. For, suppose (ii) is true. Then, by taking $l = n$ in (ii) and by using Lemma 1(b), we readily obtain

$$(5) \qquad\qquad D_n \text{ is a } \mathscr{V}_n\text{-ring .}$$

Now, let

$$(6) \qquad a_i = \begin{bmatrix} 1 & 1 & & & & & \\ & & \ddots & & & 0 & \\ & & & \ddots & 0 & 1 & \\ & & & & & 1 & 1 \\ & & 0 & & & & \ddots \\ & & & & & & & 1 \end{bmatrix}, (i = 1, \cdots, n) ,$$

where a_i is a diagonal $n \times n$ matrix over D with an entry of 0 in the (i, i) position and with entries of 1 elsewhere on the main diagonal. By hypothesis, there exists a polynomial $p(x_1, \cdots, x_n)$ and a word $w(x_1, \cdots, x_n)$ such that

$$(7) \qquad \begin{cases} p(a_1, \cdots, a_n) = w(a_1, \cdots, a_n); \text{ each } a_i \text{ appears in every} \\ \text{term in } p(a_1, \cdots, a_n); \text{ some } a_j \text{ is missing from } w(a_1, \\ \cdots, a_n) \text{ .} \end{cases}$$

Keeping in mind (6), and comparing the entries in the (j, j) positions of the two matrices in the equation in (7), we obtain $0 = 1$, a contradiction. This contradiction shows that (ii) above *never* holds, and hence (i) above holds always. We have thus shown that

$$(8) \qquad \begin{cases} \text{The ground } \mathscr{V}_n\text{-primitive ring } R \text{ is a complete matrix} \\ \text{ring } D_q \text{ over a division ring } D. \end{cases}$$

Moreover, D, as a subring of $R(= D_q)$, is a $\mathscr{V}_n$-division ring (see Lemma 1(b)), and hence, by Lemma 2, D is of prime characteristic. This proves the lemma.

LEMMA 4. *The direct sum of n rings R_i each of which has a unit-element is not a $\mathscr{V}_n$-ring.*

Proof. Suppose that

$$(9) \qquad R \cong R_1 + \cdots + R_n; \text{ each } R_i \text{ has a unit-element .}$$

Let

$$a_i = (1, 1, \cdots, 1, 0, 1, 1, \cdots, 1); 0 \text{ is in the } i\text{th position .}$$

An argument similar to the one given in the proof of Lemma 3

shows that we obtain a contradiction if we assume that R is a $\mathscr{V}_n$-ring. This proves the lemma.

We are now in a position to prove the following

THEOREM 1. *Let $X \subsetneq \mathscr{V}_n$. Then every X-semisimple ring is finite if and only if every X-division ring of prime characteristic is finite.*

Proof. The "only if" part of the theorem being obvious, we now proceed to prove the "if" part. Thus, suppose that

(10)   Every X-division ring of prime characteristic is finite.

Suppose, further, that R is an X-semisimple ring which is *not* finite. We shall show that this leads to a contradiction. Since R is semisimple, there exist ideals $I_\alpha (\alpha \in \Omega)$ of R such that [3; p. 14]

$$\bigcap_{\alpha \in \Omega} I_\alpha = (0); \text{ each } R/I_\alpha \text{ is primitive} .$$

Now, by Lemma 1 and Lemma 3, it readily follows that R/I_α is a complete matrix ring D_q over a division ring D of prime characteristic. Since D is a subring of $R/I_\alpha(= D_q)$, it follows, by Lemma 1(b), that D is an X-division ring of prime characteristic, and hence D is finite, by (10). Therefore,

(11) $R/I_\alpha(= D_q)$ is finite .

Now, choose $\alpha_1 \in R$, and having chosen $\alpha_1, \cdots, \alpha_k$ so that

(12) $$\sum_{i=1}^{k} {}^{\cdot} R/I_{\alpha_i} \cong R/ \bigcap_{i=1}^{k} I_{\alpha_i} ,$$

choose $\alpha_{k+1} \in \Omega$ such that $\bigcap_{i=1}^{k} I_{\alpha_i} \nsubseteq I_{\alpha_{k+1}}$. That such α_{k+1} can always be so chosen is proved as follows: suppose no such α_{k+1} exists. Then $(0) = \bigcap_{\alpha \in \Omega} I_\alpha = \bigcap_{i=1}^{k} I_{\alpha_i}$, and hence (see (12))

$$R \cong R/ \bigcap_{i=1}^{k} I_{\alpha_i} \cong \sum_{i=1}^{k} {}^{\cdot} R/I_{\alpha_i} .$$

Thus, using (11), we see that R is finite, a contradiction. This contradiction shows that there exists $\alpha_{k+1} \in \Omega$ such that $\bigcap_{i=1}^{k} I_{\alpha_i} \nsubseteq I_{\alpha_{k+1}}$. Now, as we have seen in (11), $R/I_{\alpha_{k+1}}$ is simple. Since, moreover, $\bigcap_{i=1}^{k} I_{\alpha_i} \nsubseteq I_{\alpha_{k+1}}$, we have $\bigcap_{i=1}^{k} I_{\alpha_i} + I_{\alpha_{k+1}} = R$. Hence, by applying the second isomorphism theorem, we readily verify that

$$R/ \bigcap_{i=1}^{k+1} I_{\alpha_i} \cong R/ \bigcap_{i=1}^{k} I_{\alpha_i} + R/I_{\alpha_{k+1}} \cong \sum_{i=1}^{k+1} {}^{\cdot} R/I_{\alpha_i} ,$$

by (12).   In particular, we have

$$\sum_{i=1}^{n}\,^{\cdot} R/I_{\alpha_i} \cong R/\bigcap_{i=1}^{n} R/I_{\alpha_i} \,.$$

Hence, using Lemma 1(b), $\sum_{i=1}^{n} R/I_{\alpha_i}$ is an X-ring (and thus a $\mathscr{V}_n$-ring) also.   This, however, contradicts Lemma 4 (see (11)).   This contradiction shows that R is finite, and the theorem is proved.

We call a field F *periodic* if for every x in F, we have $x^m = x^n$ for some positive integers m, n, $m \neq n$.   A periodic field which is also an X-ring is called an X-*periodic field*.   We now prove the following

THEOREM 2.   *Let* $X \subseteq \mathscr{V}_n$.   *Suppose that there exists a fixed integer* N *such that, for all polynomials* $p(x_1, \cdots, x_n)$ *in* X, *the degree in* $x_1, \cdots, x_n$ *of every term in* $p(x_1, \cdots, x_n)$ *is less than* N. *Then, every* X-*semisimple ring is finite if and only if every* X-*periodic field is finite.*

Proof.   The "only if" part of the theorem being obvious, we now proceed to prove the "if" part.   Thus, suppose that R is an X-semisimple ring.   Now, in view of Theorem 1, it suffices to show that

(13) $\left\{\begin{array}{l}\text{Every } X\text{-division ring } D \text{ of prime characteristic is} \\ \text{a periodic field.}\end{array}\right.$

Thus, suppose that D is an X-division ring of prime characteristic p, and suppose $a \in D$.   We first show that

(14) a is algebraic over $GF(p)$.

Clearly, we may assume that $a \neq 0$.   Now, suppose that

(15) $q_1, \cdots, q_n$ are fixed distinct primes; each $q_i > N$, and

(16) $h_i = (q_1 \cdots q_n)/q_i;\ (i = 1, \cdots, n)$.

Then

(17) q_j divides h_i if and only if $i \neq j$.

Let $b_i = a^{h_i}$.   Then since D is an X-ring there exists a polynomial $p(x_1, \cdots, x_n) \in X$, and a word $w(x_1, \cdots, x_n)$ with some x_k missing such that

(18) $p(b_1, \cdots, b_n) = w(b_1, \cdots, b_n)$.

Since x_k is missing from $w(x_1, \cdots, x_n)$ we have by (17),

(19) $w(b_1, \cdots, b_n) = a^t; q_k \mid t$.

Now let d_{ir} be the degree of x_i in the rth term of $p(x_1, \cdots, x_n)$. Then each $d_{ir} > 0$ and

(20) $p(b_1, \cdots, b_n) = \sum\limits_r m_r a^{c_r}$ where $c_r = \sum\limits_{i=1}^{n} d_{ir} h_i$ and m_r are

          some integers.

By hypothesis each $d_{ir} < N$ and thus, by (15), $q_k > d_{ir}$. We therefore have, by (17), that $q_k \nmid c_r$ for every r. In particular, by (19), $c_r \neq t$ for any r. We now have, by a combination of (18), (19) and (20),

(21) $\sum\limits_r m_r a^{c_r} = a^t$, $c_r \neq t$ for all r .

Hence a is algebraic over $GF(p)$ and (14) is proved.

Now, consider the field $(GF(p))(a)$. Since, by (14), a is algebraic over $GF(p)$, it is easily seen that $(GF(p))(a)$ is a finite field, and hence

$$a^m = a^n; m, n \text{ positive integers}; m \neq n; (a \in D) .$$

Thus, by Jacobson's Theorem [3], D is a periodic field. The theorem now follows from Theorem 1.

In preparation for the proof of the next theorem, we now introduce the following notations and lemmas.

Suppose a and b are positive integers, $a > b$, which are relatively prime, and suppose

(22) $V_n = a^n - b^n$, $(a > b \geq 1; (a, b) = 1)$.

Let $n_1, n_2, \cdots, n_k$ be all the distinct positive divisors of n which are less than n. Then V_n is divisible by $V_{n_1}, V_{n_2}, \cdots, V_{n_k}$. A divisor of V_n which is relatively prime to all of the $V_{n_i}(i = 1, \cdots, k)$ is called a *primitive divisor* of V_n. For example, 5 is a primitive divisor of $2^4 - 1^4$.

The following lemma was proved by Birkhoff and Vandiver [1];

LEMMA 5. *Let n be a positive integer, $n \neq 2$, and let V_n be as in (22). Then V_n has at least one primitive divisor other than unity, with the single exception $V_n = 2^6 - 1^6$.*

Next we introduce the following

NOTATION. Z^+ will denote the set of all positive integers. Let $s \in Z^+$. Then,

$$D(s) = \{m \mid m \in Z^+, m \text{ divides } s\} ;$$
$$P(s) = \{m \mid m \in D(s), m \text{ is prime}\} .$$

If S is any nonempty subset of Z^+, then

$$D(S) = \bigcup_{s \in S} D(s) \quad \text{and} \quad P(S) = \bigcup_{s \in S} P(s) .$$

The following lemma is an immediate consequence of Lemma 5.

LEMMA 6.   *Let* $p \in Z^+$, $p > 1$, *and let* $\{k_i \mid i \in Z^+\}$ *be a strictly increasing sequence of positive integers such that* k_i *divides* k_{i+1} *for each* i. *Let*

$$S = \{p^{k_i} - 1 \mid i \in Z^+\} .$$

Then $P(S)$ *is infinite.*

We are now in a position to prove the following

THEOREM 3 (Principal Theorem).   *Let* $X \subseteq \mathscr{V}_n$. *Suppose that there exists a fixed integer* N *such that, for all polynomials* $p(x_1, \cdots, x_n)$ *in* X, *the degree in* $x_1, \cdots, x_n$ *of every term in* $p(x_1, \cdots, x_n)$ *is less than* N. *Suppose, further, that for all polynomials* $p(x_1, \cdots, x_n)$ *in* X, *each* x_i *is of the same degree in each term in* $p(x_1, \cdots, x_n)$. *Then every* X-*semisimple ring is finite.*

Proof.   In view of Theorem 2, it suffices to show that every X-periodic field F is finite. Suppose not; that is, suppose that F is an infinite X-periodic field. Then F is of prime characteristic p, since F is periodic. Moreover, the subfield $\langle x \rangle$ generated by a single element x in F is finite, and hence

$$(23) \qquad x^{p^k} = x \text{ for some positive integer } k = k(x) .$$

Now, for each $j \in Z^+$, define

$$(24) \qquad F_j = \{x \mid x \in F, \, x^{p^{j!}} = x\} .$$

Then, in view of (23) and (24), we have (since if $x \in F$ satisfies (23), then $x \in F_k$)

$$(25) \quad \begin{aligned} &F_1 \subseteq F_2 \subseteq F_3 \subseteq \cdots; \text{ each } F_i \text{ is a finite subfield of } F ; \\ &\bigcup_{i \in Z^+} F_i = F . \end{aligned}$$

Now, since F is infinite, we can find a subsequence of (25) such that

$$(26) \qquad F_{i_1} \underset{\neq}{\subseteq} F_{i_2} \underset{\neq}{\subseteq} F_{i_3} \underset{\neq}{\subseteq} \cdots , \text{ and again } \bigcup_{k \in Z^+} F_{i_k} = F .$$

Moreover, the order of $F_{i_\sigma} = p^{k_\sigma} (\sigma \in Z^+)$. Next, let

$$S = \{p^{k_\sigma} - 1 \mid \sigma \in Z^+\} .$$

Then, as is well known, $k_\sigma | k_{\sigma+1}$ for each $\sigma \in Z^+$, and $k_\sigma < k_{\sigma+1}$, by (26). Henc by Lemma 6, $P(S)$ is infinite, and there, therefore, exist n distinct primes $q_1, \cdots, q_n$ in $P(S)$, such that

$$(27) \qquad\qquad q_i > N + p , \qquad\qquad (i = 1, \cdots, n) .$$

Thus, there exists $m_j \in Z^+$ such that

$$q_j \in P(p^{k m_j} - 1) , \qquad\qquad (j = 1, \cdots, n) .$$

Now, since the nonzero elements of the field $F_{i m_j}$ form a multiplicative group of order $p^{k m_j} - 1$, and since the prime $q_j | p^{k m_j} - 1$, it follows, by Cauchy's theorem, that there exists $a_j \in F_{i m_j} (\subseteq F)$ such that

$$(28) \qquad\qquad \text{order of } a_j = q_j; a_j \neq 0 \qquad (j = 1, \cdots, n) .$$

Now, since F is an X-ring, there exists a polynomial $p(x_1, \cdots, x_n)$ in X and a word $w(x_1, \cdots, x_n)$ such that

$$(29) \quad \begin{cases} p(a_1, \cdots, a_n) = w(a_1, \cdots, a_n); \text{ each } x_i \text{ appears in every} \\ \text{term in } p(x_1, \cdots, x_n); \text{ some } x_j \text{ is missing from } w(x_1, \cdots, x_n) ; \\ \text{all coefficients in } p(x_1, \cdots, x_n) \text{ are integers} . \end{cases}$$

Moreover, recalling that F is commutative, and using the hypothesis regarding the degrees of the x_i's in the various terms of $p(x_1, \cdots, x_n)$, we see that

$$(30) \quad \begin{cases} p(a_1, \cdots, a_n) = m w_1(a_1, \cdots, a_n); \ m \text{ an integer } ; \\ w_1(a_1, \cdots, a_n) \text{ a word involving } \textit{every } a_i . \end{cases}$$

Furthermore, $m \neq 0$, since $w(a_1, \cdots, a_n) \neq 0$ (see (29), (30) and recall that each $a_i \neq 0$). Hence, by Fermat's Little Theorem (recall that F is of prime characteristic p), we have

$$(31) \qquad\qquad m^{p-1} = 1 .$$

Now, let c_j be the degree of x_j in the word $w_1(x_1, \cdots, x_n)$. Then, by hypothesis,

$$(32) \qquad\qquad c_j < N; \qquad\qquad (j = 1, \cdots, n) .$$

Let

$$(33) \qquad\qquad M = \frac{q_1 \cdots q_n}{q_j} \cdot (p - 1) .$$

Then, by (29), (30),

$$(34) \qquad (m w_1(a_1, \cdots, a_n))^M = (w(a_1, \cdots, a_n))^M .$$

Hence by (31), (28), (33), and the fact that a_j is missing from the

word $w(a_1, \cdots, a_n)$, the above equality reduces to

$$(a_j^{e_j})^M = 1 \, .$$

Therefore (see (28)), q_j divides $c_j M$. This is absurd, however, since q_j does *not* divide c_j (recall that $q_j > N > c_j$; see (27), (32)), and q_j does *not* divide M (recall that $q_j > p$, by (27); also see (33)). This contradiction proves the theorem.

Next, we prove the following

THEOREM 4. *Let X be a collection of words in $\mathscr{V}_n$ each of which is of degree $< N$ in $x_1, \cdots, x_n$. If R is an X-ring with Jacobson radical J, then J is a nil ring of bounded index, and R/J is finite.*

Proof. Clearly, X satisfies the hypotheses of Theorem 3, and hence the X-semisimple ring R/J is finite. Now, to prove that J is nil, let $a \in J$, and suppose that

(35) $q_1, \cdots, q_n$ are distinct primes, each $q_i > N$.

Let

(36) $$h_i = \frac{q_1 \cdots q_n}{q_i} \, ; \qquad\qquad (i = 1, \cdots, n) \, ,$$

and let

(37) $$b_i = a^{h_i} \, ; \qquad\qquad (i = 1, \cdots, n) \, .$$

Then, since R is an X-ring, there exist words $w_1(x_1, \cdots, x_n)$ and $w(x_1, \cdots, x_n)$ such that

(38) $$\begin{cases} w_1(b_1, \cdots, b_n) = w(b_1, \cdots, b_n); \ w_1(x_1, \cdots, x_n) \in X \, ; \\ \text{some } x_j \text{ is missing from } w(x_1, \cdots, x_n). \end{cases}$$

Now, let

(39)   degree of x_i in $w_1(x_1, \cdots, x_n) = c_i$; $(i = 1, \cdots, n)$.

Then, by (38), (37), (39), we obtain

(40) $$a^{c_1 h_1 + \cdots + c_n h_n} = a^t \, .$$

Moreover, since x_j is *missing* from the word $w(x_1, \cdots, x_n)$, it is easily seen (see (36)) that

(41) $$q_j \text{ divides } t \, .$$

On the other hand, since $q_j > N$, by (35), and $c_j < N$ (since $w_1(x_1, \cdots, x_n) \in X$), q_j does *not* divide c_j. Also, by (36), q_j does *not* divide

h_j, and hence the *prime* q_j does *not* divide c_jh_j. However, by (36), q_j divides h_i for each $i \neq j$. Therefore,

$$(42) \qquad q_j \text{ does } not \text{ divide } c_1h_1 + \cdots + c_nh_n \, .$$

Comparing (41), (42), we see that

$$(43) \qquad c_1h_1 + \cdots + c_nh_n \neq t \, .$$

Now, let $c_1h_1 + \cdots + c_nh_n = l$, and let

$$(44) \qquad M = Nh_1 + \cdots + Nh_n; \, (M > l, \text{ since each } c_i < N) \, .$$

Then, by (40), (43), (44), it is easily seen that

$$(45) \qquad a^M = a^s, \text{ for some positive integer } s; s \neq M \, .$$

Now, if in (45), $s < M$, by iterating in (45), we can eventually make $s > M$. We have thus shown that

$$(46) \qquad a^M = a^s; s > M > 0; M \text{ fixed} \, .$$

Equation (46) readily implies that a suitable power of a is an idempotent element in J (recall that a is in J), and hence by (46), $a^M = 0$, (M fixed). Thus J is nil of bounded index, and the theorem is proved.

In view of Theorem 4, it follows that J is locally nilpotent [2; p. 28].

The following corollary is an immediate consequence of Theorem 4 as well as Theorem 3.

COROLLARY 1. *Let X consist of a single fixed word involving each of the variables $x_1, \cdots, x_n$. Then every X-semisimple ring is finite.*

If, further, we let X consist of the single fixed word $x_1 \cdots x_n$, we obtain, as a further corollary of Theorem 4, the following result which has already been proved by the authors [5]:

COROLLARY 2. *Let R be an associative ring with Jacobson radical J and with the property that, for all $x_1, \cdots, x_n$ in R, there exists a word $w(x_1, \cdots, x_n)$ depending on $x_1, \cdots, x_n$, in which at least one x_i (i varies) is missing, and such that $x_1 \cdots x_n = w(x_1, \cdots, x_n)$. Then J is a nil ring of bounded index and R/J is finite.*

2. **Examples and remarks.** In the following examples, we show that the class of X-rings subsumes all finite rings and all nilpotent rings. We also give an example of an X-ring which is neither finite

nor nilpotent.

EXAMPLE 1. Let R be any finite ring with exactly m elements. Let $x_1, \cdots, x_{m+1}$ be any elements of R. Then $x_i = x_j$ for some $i > j$, and hence

$$x_1 \cdots x_{m+1} = x_1 \cdots x_j \cdots x_{i-1} x_j x_{i+1} \cdots x_{m+1}$$
$$= w(x_1, \cdots, x_{i-1}, x_{i+1}, \cdots, x_{m+1}) \, .$$

Thus R is an X-ring, where $X = \{x_1 \cdots x_{m+1}\}$.

EXAMPLE 2. Let R be any nilpotent ring, say $R^m = (0)$. Then, for all elements $x_1, \cdots, x_{m+1}$ of R, we have

$$x_1 \cdots x_{m+1} = 0 = x_1 \cdots x_m \, .$$

Thus R is an X-ring, where $X = \{x_1 \cdots x_{m+1}\}$.

EXAMPLE 3. Let R_0 be an infinite field of characteristic 2, and let

$$R = \left\{ \begin{pmatrix} a & u \\ 0 & 0 \end{pmatrix} \mid a \in GF(2), \; u \in R_0 \right\} \, .$$

Let x_1, x_2, x_3 be any elements of R. Then, as is readily verified,

$$x_1 x_2 x_3 = x_2 x_3 \quad \text{if} \quad x_1 = \begin{pmatrix} 1 & u \\ 0 & 0 \end{pmatrix};$$

$$x_1 x_2 x_3 = x_1 x_2 \quad \text{if} \quad x_1 = \begin{pmatrix} 0 & u \\ 0 & 0 \end{pmatrix} \, .$$

Hence R is *an* X-ring, where $X = \{x_1 x_2 x_3\}$. Observe that R is neither finite nor nilpotent. In fact, R is *not* isomorphic to any finite direct sum of finite or nilpotent rings.

Returning to our Principal Theorem (Theorem 3), we have the following

REMARK. In the proof of Theorem 3, we showed that every X-periodic field is finite. We claim that the group-theoretic analogue of this result is false. To see this, consider the group $Z(p^\infty)$, which consists of the set of all p^nth roots of unity, where p is a fixed prime and $n = 0, 1, 2, \cdots$ [4, p. 4]. Suppose that $x_1, x_2 \in Z(p^\infty)$. Then, for some integer n, $x_1, x_2 \in Z(p^n)$, where $Z(p^n)$ is the group of all p^nth roots of unity. Let σ be a generator of $Z(p^n)$. Then

$$x_1 = \sigma^r, \; x_2 = \sigma^s; \; 1 \leqq r \leqq p^n, \; 1 \leqq s \leqq p^n \, .$$

Now let

$$r = r_0 p^i, \, s = s_0 p^j; \, (r_0, \, p) = 1, \, (s_0, \, p) = 1 \, ,$$

and suppose, without loss of generality, that $i \leq j$. Since $(r/p^i, p) = 1$, there exists a solution x to

$$(r/p^i)x \equiv s/p^j \bmod p^n \, ,$$

and hence $rxp^{j-i} \equiv s \bmod p^n$. Thus, $r + s \equiv r(1 + xp^{j-i}) \bmod p^n$, and hence

$$\sigma^{r+s} = (\sigma^r)^{1+xp^{j-i}} \, ,$$

since $\sigma^{p^n} = 1$. Therefore $x_1 x_2 = (x_1)^{1+xp^{j-i}}$. Note that $Z(p^\infty)$ is an *infinite* group.

We leave as an open question whether or not Corollary 1 is true when X consists of a single fixed *polynomial* in which each term involves every variable $x_1, \cdots, x_n$. In view of Theorem 2, this question reduces to whether or not an X-periodic field is finite in this case.

REFERENCES

1. G. D. Birkhoff and H. S. Vandiver, *On the integral divisors of $a^n - b^n$*, Annals of Math., **5** (1903), 173-180.
2. I. N. Herstein, *Theory of rings*, Math. Lecture Notes, University of Chicago, Chicago, Ill. 1961.
3. N. Jacobson, *Structure of rings*, rev. ed., Amer. Math. Soc. Colloq. Publ., vol. **37**, Amer. Math. Soc., Providence, R. I. 1964.
4. I. Kaplansky, *Infinite abelian groups*, Univ. of Michigan Press, Ann Arbor, Mich., 1954.
5. M. S. Putcha and A. Yaqub, *Rings satisfying monomial constraints*, Proc. Amer. Math. Soc., **39** (1) (1973), 10-18.

UNIVERSITY OF CALIFORNIA, BERKELEY
AND
UNIVERSITY OF CALIFORNIA, SANTA BARBARA

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

RICHARD ARENS (Managing Editor)
University of California
Los Angeles, California 90024

J. DUGUNDJI
Department of Mathematics
University of Southern California
Los Angeles, California 90007

R. A. BEAUMONT
University of Washington
Seattle, Washington 98105

D. GILBARG AND J. MILGRAM
Stanford University
Stanford, California 94305

ASSOCIATE EDITORS

E. F. BECKENBACH   B. H. NEUMANN   F. WOLF   K. YOSHIDA

SUPPORTING INSTITUTIONS

Pacific Journal of Mathematics
Vol. 57, No. 2   February, 1975