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**ON HOMOGENEOUS ALGEBRAS**

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If  $A$  is an algebra over a field  $K$  let  $\text{Aut}(A)$  denote the group of algebra automorphisms of  $A$ . Then  $A$  is said to be **extremely homogeneous** if  $\text{Aut}(A)$  act transitively on  $A \setminus \{0\}$ . Also  $A$  is said to be **homogeneous** if  $\text{Aut}(A)$  acts transitively on the one-dimensional subspaces of  $A$ . The purpose of this paper is to investigate some of the basic properties of homogeneous algebras. In particular, the alternative homogeneous algebras and the homogeneous algebras of dimension 2 are classified.

All algebras are assumed to be finite dimensional and not necessarily associative.

We now include a brief historical account of this topic. The concept of an extremely homogeneous algebra arose from a particular problem in the structure of certain finite  $p$ -groups as studied by Boen, Rothaus and Thompson [1]. Extremely homogeneous algebras have been investigated by Kostrikin [4]. Homogeneous algebras over finite fields other than  $GF(2)$  have been investigated by Shult [6], [7], and his results completed the work on the related  $p$ -groups. The case of homogeneous algebras over  $GF(2)$  was considered by Gross [3]. Swierczkowski classified all real homogeneous Lie algebras [9] and finally Dykovic classified all real homogeneous algebras [2]. A homogeneous algebra  $A$  is said to be nontrivial if  $A^2 \neq 0$  and  $\dim A > 1$ . The author has shown that there are no nontrivial homogeneous algebras over an algebraically closed field [8].

The paper is divided into five sections: arbitrary homogeneous algebras, alternative homogeneous algebras, power-associative homogeneous algebras, homogeneous quasi-division algebras and finally homogeneous algebras of dimension 2.

**I. Arbitrary homogeneous algebras.** Let  $A$  be an arbitrary algebra over a field  $K$ . Then left multiplication by a fixed element  $a \in A$  induces a linear map on  $A$  which is denoted by  $L_a$ . Similarly right multiplication by  $a$  induces a linear map on  $A$  denoted by  $R_a$ . We do not distinguish between the map  $L_a$  and its matrix representation relative to some fixed basis. By  $\text{End}(A)$  we indicate the vector space of all linear maps on  $A$ . By  $L$  we indicate the subspace of  $\text{End}(A)$  consisting of all  $L_x$  as  $x$  runs through  $A$  and similarly for  $R$ . An algebra  $A$  is said to be nonzero if  $A^2 \neq 0$ .

**THEOREM 1.** *Let  $A$  be a nonzero homogeneous algebra over a*

field  $K$ . Then

- (i)  $\dim L = \dim R = \dim A$
- (ii) If  $a, b \in A \setminus \{0\}$  then  $L_a$  and  $L_b$  are projectively similar and similarly for  $R_a$  and  $R_b$ ,
- (iii)  $\text{Aut}(A)$  acts as a transitive group of collineations on the points of the projective geometry  $P(A)$ .

*Proof.* (1) Let  $a \in A \setminus \{0\}$ . Then if  $aA = 0$  the homogeneity condition implies that  $A^2 = 0$  which is a contradiction. This fact implies that the map  $\phi: x \mapsto L_x$  is a linear isomorphism and so  $\dim L = \dim A$ . Similarly it can be shown that  $\dim R = \dim A$ .

(2) The proof is a simple generalization of a related result found in the introduction of the paper by Boen, Rothaus, and Thompson [1].

(3) This is obvious since the points of  $P(A)$  are exactly the one-dimensional subspaces of  $A$ .

**THEOREM 2.** *Let  $A$  be a nontrivial homogeneous algebra over a field  $K$ . Then*

$$\text{tr } L_x = \text{tr } R_x = 0 \quad \forall x \in A$$

*Proof.* Let  $\dim A = n$ . It is well known that  $\text{tr}: \text{End}(A) \rightarrow K$  is a linear functional and that  $\dim \ker(\text{tr}) = n^2 - 1$ . But then since  $\dim L = \dim A = n > 1$  it follows that  $L \cap \ker(\text{tr}) \neq 0$  and so there must exist at least one nonzero map  $L_a \in L$  such that  $\text{tr } L_a = 0$ . But now the second result of the previous theorem implies that  $\text{tr } L_x = 0$  for all  $x \in A$ . Similarly  $\text{tr } R_x = 0$  for all  $x \in A$ .

**THEOREM 3.** *Let  $A$  be a homogeneous algebra over a field  $K$  and let  $a \in A \setminus \{0\}$ . If  $\langle a \rangle$  denotes the subalgebra of  $A$  generated by  $a$  then  $\langle a \rangle$  is also a homogeneous algebra enjoying the property that it is generated by each of its nonzero elements. Also  $A = \bigcup A_i$  where each  $A_i = \langle a_i \rangle$  for some  $a_i \in A \setminus \{0\}$  and  $A_i \cap A_j = \{0\}$  for  $i \neq j$ .*

*Proof.* Let  $b \in \langle a \rangle$ . Clearly  $\langle b \rangle \subseteq \langle a \rangle$ . But there must exist  $\alpha \in \text{Aut}(A)$  such that  $\alpha(a) = \lambda b$  for some nonzero  $\lambda \in K$  and this implies that  $\langle a \rangle \subseteq \langle b \rangle$  and so  $\langle a \rangle = \langle b \rangle$ . That is  $\langle a \rangle$  is generated by each of its nonzero elements. Now let  $c$  and  $d$  be any nonzero elements in  $\langle a \rangle$ . Again there must exist  $\beta \in \text{Aut}(A)$  such that  $\beta(c) = \lambda d$  for some nonzero  $\lambda \in K$ . But the fact that both  $c$  and  $d$  generate  $\langle a \rangle$  implies that  $\langle a \rangle$  is invariant under  $\beta$  and so the restriction of  $\beta$  to  $\langle a \rangle$  is in  $\text{Aut}(\langle a \rangle)$ . That is,  $\langle a \rangle$  is also a homogeneous algebra. The final statement again follows directly from the fact that  $\langle a \rangle$  is generated by each of its nonzero elements.

The above theorem implies that in some situations it is sufficient to consider the case where a homogeneous algebra  $A$  is generated by each of its nonzero elements.

DEFINITION. Let  $V$  be a vector space over a field  $K$  and suppose  $H$  is a subgroup of  $GL(V)$  where  $GL(V)$  is the general linear group. Then  $C(H)$  is defined as

$$C(H) = \{u \in \text{End}(A) \mid uv = vu \text{ for all } V \in H\}.$$

DEFINITION. Let  $A$  be an algebra over a field  $K$  and suppose  $S, T \in C(\text{Aut}(A))$ . Then  $A(S, T)$  indicates a new algebra which coincides with  $A$  when considered as a vector space over  $K$  but possesses a new multiplication defined by

$$a \circ b = S(a)b + T(b)a \text{ for all } a, b \in A$$

Note that the fact that  $S$  and  $T$  are linear maps on  $A$  ensure that  $\circ: A \times A \rightarrow A$  is a bilinear map. Also the algebras  $A(1, 1)$ ,  $A(1, -1)$  and  $A(0, 1)$  are well known and are usually denoted as  $A^+$ ,  $A^-$  and  $A^{opp}$  respectively.

THEOREM 4. *Let  $A$  be a homogeneous algebra over a field  $K$  and suppose  $S, T \in C(\text{Aut}(A))$ . Then  $A(S, T)$  is also a homogeneous algebra.*

*Proof.* Let  $\sigma \in \text{Aut}(A)$ . Then

$$\begin{aligned} \sigma(a \circ b) &= \sigma(S(a)b + T(b)a) \\ &= \sigma(S(a)b) + \sigma(T(b)a) \\ &= (\sigma S(a))\sigma(b) + (\sigma T(b))\sigma(a) \\ &= (S\sigma(a))\sigma(b) + (T\sigma(b))\sigma(a) \\ &= \sigma(a) \circ \sigma(b) \end{aligned}$$

and so the result is true since  $\text{Aut}(A) \subset \text{Aut}(A(S, T))$

DEFINITION. Let  $A$  be an algebra over a field  $K$ . Then  $A$  is left (right) simple if  $A$  possesses no nonzero proper left (right) ideals. Also  $A$  is simple if  $A$  possesses no nonzero, proper, two-sided ideals and  $A^2 \neq 0$ .

THEOREM 5. *If  $A$  is a nonzero homogeneous algebra then  $A$  is left simple and right simple.*

*Proof.* Assume that  $A$  has proper nonzero left ideals. When

$B$  runs through minimal left ideals then the sets  $B \setminus \{0\}$  form a partition of  $A \setminus \{0\}$ . Suppose  $a \in A \setminus \{0\}$  and let  $I(a)$  denote the minimal left ideal which contains  $a$ . Now  $R_a$  map  $A \rightarrow I(a)$  and since  $I(a) \neq A$  it follows that  $R_a$  has a nonzero kernel. That is, there exists  $b \in A \setminus \{0\}$  such that  $ba = 0$ . Let  $c$  be any point in  $A \setminus I(a)$ . Then  $I(c) \cap I(a) = \{0\}$  which implies that  $I(c) \cap I(c + a) = \{0\}$ . But  $b(c + a) = bc$  and so  $bc \in I(c) \cap I(c + a)$  which implies that  $bc = 0$ . Now fix some nonzero  $c \in A \setminus I(a)$  and let  $d$  be any point in  $I(a) \setminus \{0\}$ . Then  $c + d \in A \setminus I(a)$  and so  $b(c + d) = bd = 0$ . Hence  $bA = 0$  which is impossible since  $A$  is a nonzero homogeneous algebra. Hence  $A$  has no proper nonzero left ideals and similarly  $A$  has no proper nonzero right ideals.

II. Alternative homogeneous algebras. The following definition is well known.

DEFINITION. An algebra  $A$  over a field  $K$  is said to be alternative if

$$a^2b = a(ab)$$

$$ab^2 = (ab)b$$

for all  $a, b \in A$ .

THEOREM 6. *There are no nontrivial alternative homogeneous algebras.*

*Proof.* Let  $A$  be a nontrivial alternative homogeneous algebra. Then the previous theorem implies that  $A$  is simple. But it is known that a simple alternative algebra has an identity element 1 (see Corollary 3.11 of Schafer's book [5]). But then  $A$  is certainly not homogeneous since  $\alpha(1) = 1$  for all  $\alpha \in \text{Aut}(A)$ .

Note that the above theorem of course implies that there are no nontrivial associative homogeneous algebras.

III. Power-associative homogeneous algebras.

THEOREM 7. *Let  $A$  be a power-associative nontrivial homogeneous algebra over a field  $K$ . Then either  $a^2 = 0$  for all  $a \in A$  or  $a^2 = a$  for all  $a$  in  $A$  and in the latter case  $A$  is a Jordan algebra and  $K = GF(2)$ .*

*Proof.* Let  $a$  be some fixed element in  $A \setminus \{0\}$ . Then Theorem 3 implies that  $\langle a \rangle$  is an associative homogeneous algebra and so the previous theorem implies that  $\langle a \rangle$  is a trivial homogeneous algebra.

It follows that either  $a^2 = 0$  or  $a^2 = \lambda a$  for some nonzero  $\lambda \in K$ . In the former case the homogeneity condition implies that  $x^2 = 0$  for all  $x \in A$  and so we may assume the latter case. The homogeneity condition implies that  $x^2 = \lambda(x)x$  where  $\lambda(x)$  is a nonzero scalar in  $K$  possibly depending on  $x$ . Since  $\dim A > 1$  we may choose two independent vectors in  $A$ , say  $e_1$  and  $e_2$ . Since  $a^2 = \lambda a$  implies that  $(a/\lambda)^2 = a/\lambda$  we may assume without loss of generality that both  $e_1$  and  $e_2$  are idempotents. It is now necessary to perform several simple calculations. First

$$\begin{aligned}(e_1 + e_2)^2 &= e_1 + e_2 + e_1e_2 + e_2e_1 = \lambda(e_1 + e_2)(e_1 + e_2) \\ (e_1 - e_2)^2 &= e_1 + e_2 - e_1e_2 - e_2e_1 = \lambda(e_1 - e_2)(e_1 - e_2)\end{aligned}$$

Now adding and comparing coefficients gives

$$\begin{aligned}2 &= \lambda(e_1 + e_2) + \lambda(e_1 - e_2) \\ 2 &= \lambda(e_1 + e_2) - \lambda(e_1 - e_2)\end{aligned}$$

or

$$2\lambda(e_1 - e_2) = 0$$

which implies that  $\text{char } K = 2$ .

For convenience let  $\mu = \lambda(e_1 + e_2)$ . Then from above

$$e_1e_2 + e_2e_1 = (\mu + 1)(e_1 + e_2).$$

Now consider

$$\begin{aligned}(e_1 + e_2)^2 &= e_1 + \mu^2e_2 + \mu(e_1e_2 + e_2e_1) \\ &= (\mu^2 + \mu + 1)e_1 + \mu e_2\end{aligned}$$

from which it follows that  $\mu^2 + \mu + 1 = 1$  which implies with  $\text{char } K = 2$  that  $\mu = 1$  and so

$$e_1e_2 + e_2e_1 = 0.$$

Now let  $\delta$  be any nonzero scalar in  $K$ . Then

$$(e_1 + \delta e_2)^2 = e_1 + \delta^2e_2 + \delta(e_1e_2 + e_2e_1) = e_1 + \delta^2e_2$$

which implies that  $\delta^2 = \delta$  and so  $\delta = 1$  and indeed  $K = GF(2)$ . Hence  $x^2 = x$  for all  $x \in A$ . But then

$$(x + y)^2 = x + y + xy + yx = x + y$$

and so  $xy = yx$  for all  $x, y \in A$  and thus  $A$  is a commutative algebra. The second identity for a Jordan algebra is trivially satisfied and so  $A$  is a Jordan algebra over  $GF(2)$ .

It is interesting to note that Dykovic has shown that all non-trivial real homogeneous algebras are of the first type [2] and Gross has shown that some, but not all, of the known homogeneous algebras over  $GF(2)$  are of the second type [3].

#### IV. Homogeneous quasi-division algebras.

DEFINITION. An algebra  $A$  over a field  $K$  is said to be a quasi-division algebra if the nonzero elements of  $A$  form a quasi-group under multiplication.

One of the reasons for devoting a separate section to homogeneous quasi-division algebras is that Shult [6] and Gross [3] have shown that all nontrivial finite homogeneous algebras are in fact quasi-division algebras.

THEOREM 8. *Let  $A$  be a nontrivial homogeneous quasi-division algebra with the property that  $A$  is generated by each of its nonzero elements. Then*

(i)  *$\text{Aut}(A)$  is sharply transitive on the one-dimensional subspaces of  $A$*

(ii) *If  $a$  is any element in  $A \setminus \{0\}$  then  $L_a$  has precisely one eigenvalue denoted by  $\lambda_a \in K$  and the corresponding eigenspace is one-dimensional*

(iii) *Finally  $\lambda_a = \lambda_b$  if and only if there exists some  $\alpha \in \text{Aut}(A)$  such that  $\alpha(a) = b$ .*

*Proof.* (1) It is sufficient to show that no automorphism of  $A$ , except the identity  $\text{Id}$ , can have an eigenvalue in  $K$ . Let  $\alpha \in \text{Aut}(A)$  and suppose that  $\alpha$  has eigenvalue  $\lambda \in K$ . Then there exists  $a \in A \setminus \{0\}$  such that

$$\alpha(a) = \lambda a.$$

Since  $A$  is not associative by Theorem 6 we define inductively

$$a^n = L_a^{n-1}(a) \quad n = 2, 3, 4, \dots$$

But now

$$\alpha(a^n) = \lambda^n a^n \quad n = 1, 2, 3, \dots$$

and so there must exist positive integers  $m, n$  with  $m > n$  such that  $\lambda^m = \lambda^n$  since  $\alpha$  can only have a finite number of eigenvalues. Letting  $k = m - n$  we have

$$\alpha(a^k) = \lambda^k a^k = a^k \neq 0$$

and so  $\alpha = \text{Id}$  since from the hypothesis we are assuming that  $a^k$  generates  $A$ .

(2) Let  $a$  and  $b$  be any two nonzero elements of  $A$ . Since  $A$  is a quasi-division algebra the equation

$$xb = b$$

must have a solution, say  $c$  and the homogeneity condition implies that there exists  $\alpha \in \text{Aut}(A)$  such that

$$\alpha(c) = \lambda a \quad \text{for some } \lambda \in K \setminus \{0\}.$$

But then

$$a\alpha(b) = 1/\lambda\alpha(b)$$

and so  $L_a$  has at least one eigenvalue.

Now suppose there exist nonzero elements  $b, c \in A$  such that

$$ab = \lambda b$$

$$ac = \mu c$$

where  $\lambda, \mu \in K$ . If  $\{b, c\}$  is an independent set then there must exist  $\alpha \in \text{Aut}(A)$  such that

$$\alpha(c) = \delta b \quad \text{for some } \delta \in K.$$

But then

$$\alpha(a)b = \mu b$$

and thus

$$(\lambda\alpha(a) - \mu a)b = 0$$

which implies that  $\alpha = \text{Id}$  by the previous part of this theorem. Thus  $L_a$  has precisely one eigenvector (up to a scalar multiple) which completes the proof of the second statement.

(3) Finally if  $\alpha \in \text{Aut}(A)$  then  $ax = \lambda_a x$  for some  $\lambda \in A \setminus \{0\}$  implies that

$$\alpha(a)\alpha(x) = \lambda_a \alpha(x)$$

and so

$$\lambda_{\alpha(a)} = \lambda_a$$

also if  $\lambda_a = \lambda_b$  then there exists  $x, y \in A \setminus \{0\}$  such that

$$ax = \lambda_a x$$

$$by = \lambda_b y = \lambda_a y.$$



Now choose  $\beta \in \text{Aut}(A)$  such that  $\beta(x) = \mu y$  for some  $\mu \in K \setminus \{0\}$  and applying  $\beta$  we obtain

$$\beta(a)y = \lambda_a y = by$$

and so it follows that  $\beta(a) = b$  as required.

IV. On homogeneous algebras of dimension 2. We now investigate arbitrary homogeneous algebras of dimension 2.

THEOREM 9. *Let  $A$  be a nonzero, 2-dimensional, homogeneous algebra over a field  $K$ . Then  $K = GF(2)$  and  $A$  has a basis  $\{a, b\}$  so that  $A$  is isomorphic to one of the following algebras.*

	$a$	$b$		$a$	$b$
$a$	$a$	$a + b$		$b$	$a$
$b$	$a + b$	$b$		$b$	$a + b$

*Proof.* Let  $a \in A \setminus \{0\}$ . Then there are exactly three possibilities which will be considered separately

- (i)  $a^2 = 0$
- (ii)  $a^2 = \lambda a$  for some nonzero  $\lambda \in K$
- (iii)  $\{a, a^2\}$  is a basis of  $A$

(1) If  $a^2 = 0$  then the homogeneity condition implies that  $x^2 = 0$  for all  $x \in A$  and the linearized form of this identity implies that  $A$  is anticommutative. Extend  $a$  to a basis of  $A$ , say  $\{a, b\}$ . Using the fact that  $\text{tr } L_a = 0$  and  $L_a \neq 0$  it follows that  $ab = \lambda a$  for some nonzero  $\lambda \in K$ . But now  $ab = \lambda a$  and  $b^2 = 0$  imply that  $\text{tr } L_b = -\lambda \neq 0$  which is impossible. Hence this case does not occur.

(2) If  $a^2 = \lambda a$  where  $\lambda \neq 0$  then the homogeneity condition implies that  $A$  is power-associative and so Theorem 7 implies that  $K = GF(2)$ . Again extend  $a$  to a basis of  $A$ , say  $\{a, b\}$ . Using the fact that  $\text{tr } L_a = \text{tr } L_b = 0$  and  $L_a \neq 1$  and  $L_b \neq 1$  it follows that  $A$  must be of the form

	$a$	$b$
$a$	$a$	$a + b$
$b$	$a + b$	$b$

By direct computations it can be shown that  $\text{Aut}(A) = GL(2, 2)$  and so  $\text{Aut}(A)$  is in fact triply transitive on  $A \setminus \{0\}$ .

(3) Suppose that  $\{a, a^2\}$  is a basis of  $A$ . First pass from  $A$  to  $A^-$ . By Theorem 4,  $A^-$  is also a homogeneous algebra and clearly  $A^-$  is of type (1) as defined above and so  $A^-$  must be a zero algebra

which implies that  $A$  is commutative. If  $aa^2 = 0$  then  $\text{tr } L_{a^2} = 0$  and  $L_{a^2} \neq 0$  implies that  $L_a$  is nilpotent but  $L_{a+a^2}$  is invertible and so  $A$  is a quasi-division algebra generated by each of its nonzero elements and so we may apply Theorem 8. Assume  $aa^2 = \mu a$ .

Let  $b$  be any fixed nonzero element of  $A$ . The equation  $xb = b$  must have a solution and without loss of generality we may assume that  $x = a$ . Hence the only eigenvalue of  $L_a$  is 1 and it follows that  $\mu = 1$  and  $\text{char } K = 2$ . Also  $a^2a^2 = va + a^2$  for some nonzero  $v \in K$ . Now since  $L_a$  and  $L_{a^2}$  both have eigenvalue 1 it follows from Theorem 8 that there must exist  $\alpha \in \text{Aut}(A)$  such that  $\alpha(a) = a^2$ . But then

$$\begin{aligned}\alpha(a^2) &= \alpha(a)\alpha(a) = a^2a^2 = va + a^2 \\ \alpha(a^2a^2) &= \alpha(va + a^2) = va^2 + va + a^2 \\ &= \alpha(a^2)\alpha(a^2) = (va + a^2)(va + a^2) = v^2a^2 + va + a^2.\end{aligned}$$

It follows that  $v = 1$  and so the multiplication table of  $A$  is of the form

	$a$	$b$
$a$	$a^2$	$a$
$b$	$a$	$a + a$

If  $K = GF(2)$  it is easily shown that  $A$  is in fact a homogeneous algebra. If  $K = GF(4)$  it can be shown that  $\det(L_a + \lambda L_{a^2}) = 1 + \lambda + \lambda^2 = 0$  for some  $\lambda \in GF(4)$  and so  $A$  is not homogeneous since it is not a quasi-division algebra. Now assume that  $K \neq GF(2)$  and  $K \neq GF(4)$ . Then there must exist  $\lambda_0 \in K$  such that  $\lambda_0$  is not a root of the polynomial  $x^2 + x + 1$  or of the polynomial  $x^4 + x^3 + x^2 + 1$ . Since  $A$  is homogeneous there must exist  $\alpha \in \text{Aut}(A)$  such that

$$\alpha(a) = \lambda(a + \lambda_0 a^2) \quad \text{for some nonzero } \lambda \in K.$$

But then

$$\begin{aligned}\alpha(aa^2) &= \lambda^3(1 + \lambda_0 + \lambda_0^2)a + \lambda^3\lambda_0(1 + \lambda_0 + \lambda_0^2)a^2 \\ &= \alpha(a) = \lambda a + \lambda\lambda_0 a^2\end{aligned}$$

and so

$$(1) \quad \lambda^2 = \frac{1}{1 + \lambda_0 + \lambda_0^2}.$$

Also

$$\begin{aligned}\alpha(a^2a^2) &= \lambda^4(1 + \lambda_0^4)a + \lambda^4a^2 \\ &= \alpha(a) + \alpha(a^2) \\ &= (\lambda + \lambda^2\lambda_0^2)a + [\lambda\lambda_0 + \lambda^2(1 + \lambda_0^2)]a^2\end{aligned}$$

which implies using (1) that

$$(2) \quad \lambda^2 = \frac{1 + \lambda_0^2 + \lambda_0^4}{1 + \lambda_0^4 + \lambda_0^6}$$

and together (1) and (2) imply that

$$\lambda_0^4 + \lambda_0^3 + \lambda_0^2 + 1 = 0$$

which contradicts our choice of  $\lambda_0$ . Hence  $A$  is a homogeneous algebra if and only if  $K = GF(2)$ .

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