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**KOROVKIN APPROXIMATIONS IN  $L_p$ -SPACES**

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## KOROVKIN APPROXIMATIONS IN $L_p$ -SPACES

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The main result is a characterization of finite Korovkin sets for positive operators in  $l_p$ . It follows that a finite set containing a positive function is a Korovkin set in  $l_p$  if and only if it is a Korovkin set in  $c_0$ . The methods also show:

**PROPOSITION.** Let  $X$  be a compact subset of  $R^n$ . Let  $K$  be a subspace of  $C(X)$  containing the constants. If  $K$  is a Korovkin set in  $C(X)$ , then  $K$  is Korovkin set in  $L_p(X)$ .

Several related results are also given. For example a question of G. G. Lorentz about the restrictions of Korovkin set in  $C(X)$  to a subset  $Y \subseteq X$  is answered.

Let  $\mathcal{L}$  be a class of operators on a Banach space  $E$ . A subset  $K \subseteq E$  is an  $\mathcal{L}$ -Korovkin set if whenever

- (i)  $\{L_i\}$  is a bounded sequence in  $\mathcal{L}$ , and
- (ii)  $L_i k \rightarrow k$  for each  $k \in K$ ;

we have

- (iii)  $L_i f \rightarrow f$  for each  $f$  in  $E$ .

Let  $\mathcal{L}^1$  be the class of norm one operators on  $E$ . If  $E$  is also a lattice, let  $\mathcal{L}^+$  denote the positive operators on  $E$ ; and,  $\mathcal{L}^{1,+} = \mathcal{L}^1 \cap \mathcal{L}^+$ .

After Korovkin showed that  $\{1, x, x^2\}$  is an  $\mathcal{L}^+$ -Korovkin set in  $C[0, 1]$ , interest in this field has been in characterizing the Korovkin subsets of the classic Banach spaces.

Papers by Berens and Lorentz [3], Franchetti [8, 9], Krasnosilskii and Lifsic [13], Lorentz [14], Saskin [18], Scheffold [19], and Wulbert [22] identified the various types of Korovkin sets in  $C(X)$  spaces. Berens and Lorentz [3] have essentially characterized the  $\mathcal{L}^{1,+}$ -Korovkin subsets of  $L_1$  spaces (see §3 of this article, also see [Lorentz, 14] and [Wulbert, 22]), and Dzjadyk [7] has shown that  $\{1, \sin x, \cos x\}$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p[0, 2\pi]$ . (See also [James, 11], and [Zaricka, 24].)

The results here are related to identifying  $\mathcal{L}^+$ -Korovkin subsets of  $L_p$ -spaces. A sufficient condition is presented that encompasses the known (and the suspected)  $\mathcal{L}^+$ -Korovkin sets. For example each  $\mathcal{L}^+$ -Korovkin set in  $C[a, b]$  that contains constants is also an  $\mathcal{L}^+$ -Korovkin set in  $L_p[a, b]$ . The main result given is a characterization of finite  $\mathcal{L}^+$ -Korovkin sets in  $l_p$ . A consequence of this characterization is that the  $l_p$  spaces have the same finite  $\mathcal{L}^+$ -Korovkin sets. That is, if  $K$  is a finite subset of both  $l_r$  and  $l_s$ , and  $K$  contains a

positive sequence, then  $K$  is  $\mathcal{L}^+$ -Korovkin in  $l_p$  if and only if  $K$  is  $\mathcal{L}^+$ -Korovkin in  $l_s$ .

We use the last two sections of the paper to give short direct generalizations of some related Korovkin theorems. For example, a recent result by Bernau and Lacey [5] enables the removal of the last conditions from the characterization of  $\mathcal{L}^{1,+}$ -Korovkin subsets of  $L_p$ -spaces with an easy argument.

G. G. Lorentz [14] proved that if  $X$  is a compact metric space, and  $K$  is  $\mathcal{L}^+$ -Korovkin set in  $C(X)$  containing a constant, then for each closed subset  $Y \subseteq X$ ,  $K|_Y$  is an  $\mathcal{L}^+$ -Korovkin set in  $C(Y)$ . Lorentz asked if the property was true for any compact Hausdorff space  $X$ . A counterexample is given in section two.

NOTATION. If  $X$  is a compact Hausdorff space  $C(X)$  is the space of continuous real functions on  $X$ . For  $x \in X$ ,  $\xi(x)$  is the linear functional on  $C(X)$  given by  $\xi(x)(f) = f(x)$ . If  $K$  is a linear subspace of  $C(X)$ , we say  $x \in cb K$ , the choquet boundary of  $K$ , if the only positive linear functional on  $C(X)$  that agrees with  $\xi(x)$  on  $K$  is  $\xi(x)$  itself. If  $F$  is a subset of a set  $Y$ ,  $\psi_F$  is the characteristic function of  $F$ . We use  $f|_F$  to denote the restriction of a function  $f$  to the domain  $F$ , and for a set of functions  $K$ ,  $K|_F = \{k|_F : k \in K\}$ . The dual of a normed space  $E$  is written  $E^*$ .

As usual,  $c$  denotes the space of convergent sequences with the sup norm,

$$c_0 = \{x(i) \in c : \lim x(i) = 0\}, \quad \text{and} \\ l_p = \{x(i) \in c_0 : \|x\|_p = \sqrt[p]{\sum |x(i)|^p} < \infty\}.$$

The norm on  $l_p$  is assumed to be  $\|\cdot\|_p$  as given above. We will frequently view these sequence spaces as spaces of continuous functions on the one point compactification of the integers.

Let  $\mathcal{L}$  be a class of linear operators on a normed space  $E$ . Let  $K$  be a subset of  $E$ . A member  $f \in E$  is in the  $\mathcal{L}$ -shadow of  $K$  if  $L_n f \rightarrow f$  for each bound sequence  $\{L_n\} \subseteq \mathcal{L}$  such that  $L_n k \rightarrow k$  for each  $k \in K$ . Hence  $K$  is an  $\mathcal{L}$ -Korovkin set if the  $\mathcal{L}$ -shadow of  $K$  is  $E$ . Since the  $\mathcal{L}$ -shadow of  $K$  is the same as the  $\mathcal{L}$ -shadow of the span of  $K$  we will often assume that  $K$  is already a linear subspace of  $E$ .

1.  $\mathcal{L}^+$ -Korovkin sets in  $L_p$ -spaces. The main result of this section is the characterization of finite  $\mathcal{L}^+$ -Korovkin subsets of  $l_p$ -spaces. The condition is sufficient in general, and provides an accessible class of  $\mathcal{L}^+$ -Korovkin sets in  $L_p$ -spaces.

We also show that an  $\mathcal{L}^+$ -Korovkin set of an  $\mathcal{L}_p$ -space contains

three functions. The interest in this fact comes from the surprising observation that that  $\{1, x\}$  is  $\mathcal{L}^{1,+}$ -Korovkin in  $L_p[0, 1]$  (see §3).

Let  $K$  be a linear subspace of a normed linear lattice  $E$ . Let  $f \in E$ . Two sets of vectors  $\{u_i\}_{i=1}^n$   $\{l_i\}_{i=1}^m$  is an  $\varepsilon$ -trap for  $f$  if there is a vector  $e$  such that:

1.  $-e + \bigvee_{i=1}^m l_i \leq f \leq e + \bigwedge_{i=1}^n u_i$ ,
2.  $\bigwedge_{i=1}^n u_i - \bigvee_{i=1}^m l_i + 2e \leq \varepsilon$ , and
3.  $\|e\| < \varepsilon$ .

DEFINITION.  $K$  traps  $f$  if for each  $\varepsilon > 0$ ,  $K$  contains an  $\varepsilon$ -trap for  $f$ .

PROPOSITION 1.1. If  $K$  traps  $f$ , then  $f$  is in the  $\mathcal{L}^+$ -shadow of  $K$ .

*Proof.* Let  $L_i$  be a sequence of positive operators such that  $L_i k \rightarrow k$  for all  $k$  in  $K$  and  $\|L_i\| < B$ . Then for  $k$  sufficiently large,

$$\left\| \bigwedge_{i=1}^n L_k(u_i) - \bigwedge_{i=1}^n u_i \right\| < \varepsilon, \text{ and } \left\| \bigvee_{i=1}^m L_k(l_i) - \bigvee_{i=1}^m l_i \right\| < \varepsilon.$$

We also have,

$$\begin{aligned} -L_k(e) + \bigvee_{i=1}^m L_k(l_i) &\leq -L_k(e) + L_k\left(\bigvee_{i=1}^m l_i\right) \\ &\leq L_k(f) \\ &\leq L_k(e) + L_k\left(\bigwedge_{i=1}^n u_i\right) \\ &\leq L_k(e) + \bigwedge_{i=1}^n L_k(u_i). \end{aligned}$$

Since,

$$\left\| \bigwedge_{i=1}^n L_k(u_i) - \bigvee_{i=1}^m L_k(l_i) + 2L_k(e) \right\| \leq \varepsilon B,$$

we have,

$$\begin{aligned} \|L_k f - f\| &\leq \left\| L_k f - L_k(e) - \bigwedge_{i=1}^n L_k(u_i) \right\| \\ &\quad + \|L_k e\| + \left\| \bigwedge_{i=1}^n L_k(u_i) - \bigwedge_{i=1}^n u_i \right\| \\ &\quad + \left\| \bigwedge_{i=1}^n u_i - f \right\| \\ &\leq 2\varepsilon(B + 1). \end{aligned}$$

We need the following known result. [Alfsen, 1, Cor. 1.5.10].

Let  $X$  be a compact Hausdorff space. Let  $K$  be a linear subspace of  $C(X)$  that contains the constants and separates the points of  $X$ .

LEMMA 1.2. If  $f \in C(X)$  and  $x \in cbK$  then

$$f(x) = \inf \{k(x) : k \in K, k \geq f\}.$$

COROLLARY 1.3. Let  $X$  and  $K$  be as above. Let  $\mu$  be a positive finite, regular Borel measure on  $X$ . If the support of  $\mu$  is contained in  $cb K$ , then  $K$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p(X, \mu)$ ,  $1 \leq p < \infty$ .

*Proof.* From the lemma and Dini's theorem  $K$  traps every continuous function. Since the  $\mathcal{L}^+$ -shadow of  $K$  is closed, and the continuous functions are dense in  $L_p(X, \mu)$ , the corollary is proved.

COROLLARY 1.4. Let  $X$ ,  $K$ , and  $\mu$  be as above. If  $cb K = X$  then  $K$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p(X, \mu)$ . In particular if  $X$  is metrizable and  $K$  is  $\mathcal{L}^+$ -Korovkin in  $C(X)$ , then  $K$  is  $\mathcal{L}^+$ -Korovkin in  $L_p(X, \mu)$ .

*Proof.* If  $X$  is metrizable the Choquet boundary of an  $\mathcal{L}^+$ -Korovkin set is  $X$  [14]. (Also see §2.)

EXAMPLE 1.5. (a) (Dzjadlyk)  $\{1, \sin x, \cos x\}$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p[0, 2\pi]$ .

(b)  $\{1, x, x^2\}$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p[0, 1]$ .

(c)  $\{1, x, y, x^2, y^2\}$  is an  $\mathcal{L}^+$ -Korovkin set in  $L_p([0, 1] \times [0, 1])$ .

In the above corollaries the  $\varepsilon$ -traps constructed are exact in the sense that  $e \equiv 0$ . Unfortunately such  $\varepsilon$ -traps cannot generally be constructed.

PROPOSITION 1.6. If  $K$  is a finite dimensional subspace of an infinite dimensional  $L_p$  space, then there is an  $f \in L_p$  which cannot be bounded above by any  $k \in K$ .

*Proof.* Let  $k_1, \dots, k_n$  be a basis for  $K$ , and let  $w = \sum^n |k_i|$ .

If  $k \geq f$  then there is a multiple of  $w$  which also bounds  $f$ .

If  $w$  has a finite range a.e., then the infinite dimensionality of  $L_p$  can be used to construct an  $f \in L_p$  which cannot be bounded by  $w$ . Otherwise looking at level sets we can find a countable family of disjoint measurable sets  $A(n)$  such that

$$0 < \int_{A(n)} w^p \leq \left(\frac{1}{n^3}\right)^p.$$

Let

$$f(x) = \begin{cases} nw(x) & \text{on } A(n) \\ 0 & \text{otherwise} \end{cases}$$

then  $f \in L_p$  and cannot be bounded by  $w$ .

DEFINITION. For the remainder of this section let  $V$  be either  $c_0$  or  $l_p$  for some  $1 \leq p < \infty$ .

With a series of lemmas we will prove a characterization theorem for finite dimensional  $\mathcal{L}^+$ -Korovkin sets in  $V$ .

DEFINITION.  $K \subseteq V$  contains *essentially positive members* if for every  $\varepsilon > 0$ , and every integer  $x$  there is a  $k \in K$  for which

$$(1) \quad k(x) \geq 1, \quad \text{and}$$

$$(2) \quad \|k \wedge 0\| < \varepsilon.$$

(for example—if  $K$  contains a strictly positive function,  $K$  contains essentially positive members.)

THEOREM 1.7. *Let  $K$  be a finite dimensional subspace of  $V$  then:*

(1)  *$K$  is an  $\mathcal{L}^+$ -Korovkin set, and*

(2)  *$K$  contains essentially positive members*

*if and only if*

(3)  *$K$  traps every member of  $V$ .*

Proposition 1.1 proved that (3) implies (1), and it is trivial that (3) implies (2).

Let  $K$  be a linear subspace of  $V$ .

Let

$$T = \{f \in V: K \text{ traps } f\}.$$

LEMMA 1.8.  *$T$  is a closed linear space.*

*Proof.* Clearly  $K$  traps  $f$ , implies  $K$  traps  $\alpha f$ , for all  $\alpha \in \mathbf{R}$ .

Suppose  $k$  traps  $f$  and  $g$ .

Since it is always true that

$$x \wedge y + z = (x + z) \wedge (y + z),$$

it follows that

$$\bigwedge_{i=1}^n \bigwedge_{j=1}^s (u_i + v_j) = \bigwedge_{i=1}^n u_i + \bigwedge_{j=1}^s v_j.$$

Therefore if  $\{u_i\}_i^n$ ,  $\{l_i\}_{i=1}^m$  and  $\{v_j\}_{j=1}^s$ ,  $\{h_j\}_{j=1}^t$  are  $\varepsilon$ -traps for  $f$  and  $g$ , then

$$\begin{aligned} &\{u_i + v_j: i = 1, \dots, n, j = 1, \dots, s\} \\ &\{l_i + h_j: i = 1, \dots, m, \dots, t\} \end{aligned}$$

is a  $2\varepsilon$ -trap for  $f + g$ .

It is also easy to see that  $T$  is closed.

LEMMA 1.9. *Let  $K$  be an  $\mathcal{L}^+$ -Korovkin subspace of  $V$ . If  $p \in V^*$  is nonnegative and  $p(k) = (i)$  for some integer  $i$  and all  $k$  in  $K$  then  $p = \xi(i)$ .*

*Proof.* Suppose  $p$  is as above. Let

$$(Pf)(j) = \begin{cases} f(j) & j \neq i \\ p(f) & j = i \end{cases}.$$

Then  $P$  carries  $k$  onto  $k$  for all  $k \in K$ . Hence  $P$  is the identity and  $p = \xi(i)$ .

In particular  $K$  separates the integers.

LEMMA 1.10. *Let  $K$  be a subspace of  $V$  for which  $cbK = \{1, 2, 3, \dots\}$ . For each integer  $i$  there is a  $k \in K$  for which  $k(i) < k(j)$  for all  $j \neq i$ , and  $k(i) < 0$ .*

*Proof.* Let  $K'$  be the span of  $K$  and 1 in  $(c)$ . From Lemma 1.2 there is an  $\alpha \in \mathbf{R}$  and a  $k$  in  $K$  such that

$$(1) \quad k(j) + \alpha \geq 0 \quad \text{for } j \neq i$$

$$(2) \quad k(i) + \alpha < -1.$$

Since  $\lim_{j \rightarrow \infty} k(j) = 0$ ,  $\alpha \geq 0$ . Hence this  $k$  has the desired properties,

LEMMA 1.11. *Let  $K$  be a finite dimensional  $\mathcal{L}^+$ -Korovkin set in  $V$ . Let  $w(i)$  be a strictly positive sequence such that  $wk \in (c_0)$  for all  $k$  in  $K$ . Then each integer  $i$  is in  $cb(wK)$ .*

*Proof.* Let  $p$  be a nonnegative sequence in  $l_1$ , such that  $p(wk) = w(i)k(i)$  for each  $k \in K$ . Let  $g \in V$ . Using Caratheodory's theorem, the Hahn-Banach theorem, and the characterization of the extreme points of the unit ball of  $(c)^*$ , there is a finite set of integers  $\{x_j\}_{j=1}^n$  and nonnegative numbers  $\{\lambda_j\}_{j=1}^n$  such that,

$$p(f) = \lambda_0 f(\infty) + \sum_{j=1}^n \lambda_j f(x_j) \quad \text{for all } f \in wK \oplus g \oplus 1$$

where  $\infty$  denotes the point at infinity.

Let

$$q(t) = \begin{cases} \lambda_j w(x_j)/w(x_i): & \text{for } t = x_j, j = 1, \dots, n \\ 0 & : \text{otherwise} \end{cases}$$

Now Lemma 1.9 applies to  $q$ , and  $p(g) = q(g) = g(i)$ . Since  $g$  was arbitrary the lemma is proved.

LEMMA 1.12. *Let  $K$  be a finite dimensional subspace of  $V$ . There is a sequence  $p$  such that*

$$(1) \quad p > 0, \quad (2) \quad pK \subseteq c_0, \quad \text{and} \quad (3) \quad \frac{1}{p}\varepsilon V.$$

*Proof.* Let  $k_1, \dots, k_n$  be a basis for  $K$ . Let

$$w(x) = \sum_{i=1}^n |k_i(x)|.$$

It suffices to consider the case in which  $w$  has no zeros. It follows that  $k(x)/w(x)$  is bounded for each  $k \in K$ . Thus if there is a  $q \in c_0$  such that  $w/q \in V$ , then

$$p = q / \sum_{i=1}^n |k_i|$$

is the desired function.

To find such a  $q$  when  $V$  is an  $l_p$  space, let  $N(\varepsilon)$  be the smallest integer such that

$$\sum_{j > N(\varepsilon)} w(j)^p \leq \varepsilon, \quad \text{and let}$$

$$q(j) = \left(\frac{1}{n}\right)^{1/p} \quad \text{for} \quad N\left(\frac{1}{n^3}\right) \leq j < N\left(\frac{1}{(n+1)^3}\right).$$

If  $V = c_0$ , let  $N(\varepsilon)$  be the smallest integer such that

$$\sup_{j > N(\varepsilon)} \{|w(j)|\} < \varepsilon,$$

then let

$$q(j) = \frac{1}{n} \quad \text{for} \quad N\left(\frac{1}{n^2}\right) \leq j < N\left(\frac{1}{(n+1)^2}\right).$$

LEMMA 1.13. *Let  $K$  be a finite dimensional  $\mathcal{L}^+$ -Korovkin subspace of  $V$ .*

(a) *For each integer  $i$  and each  $\varepsilon > 0$  there is a  $k \in K$  such that*

$$(1) \quad k(i) = -1, \quad \text{and}$$

$$(2) \quad \|k \wedge 0\| < 1 + \varepsilon.$$

(b) *If in addition each member of  $K$  is also in  $l_q$  then the norm in (2) can be taken to be the  $l_q$  norm.*

*Proof.* For Lemma 1.12 there is a positive sequence  $p$  such that



$pK \subseteq c_0$  and  $1/p \in V$  ( $1/p \in l_q$ , resp.). We may also assume that  $\|1/p\| = 1$  ( $\|1/p\|_q = 1$  resp.). Let

$$w(j) = \begin{cases} p(j)/\varepsilon & j \neq i \\ 1 & j = i \end{cases}$$

By Lemma 1.10 and Lemma 1.11 there is a  $k \in K$  such that

$$-1 = (wk)(i) < (wk)(j) \quad (j \neq i).$$

Thus

$$k(i) = -1, \quad \text{and} \quad k(j) \geq 1/w(j).$$

**LEMMA 1.14.** *Let  $K$  be a subspace of  $V$  that contains essentially positive function and which satisfies the conclusion of Lemma 13(a), then for each  $i$ ,  $K$  traps  $\psi_{\{i\}}$ .*

*Proof.* Let  $0 < \varepsilon < 1/2$ . The lower sequence  $\{l_i\}$  for the definition of an  $\varepsilon$ -trap for  $\psi_{\{i\}}$  is guaranteed by hypothesis.

Since  $K$  contains essentially positive functions for each integer  $j$  there is a  $k_j \in K$  such that

$$(1) \quad k_j(i) = 1, \quad \text{and}$$

$$(2) \quad \|k_j \wedge 0\| < \varepsilon/2^{j+1}.$$

Let  $m_j \in K$  be a function (guaranteed by hypothesis) such that

$$(3) \quad m_j(j) = -k_j(j) \wedge 0, \quad \text{and}$$

$$(4) \quad \|m_j \wedge 0\| < (\varepsilon/2^{j+1} - m_j(j)).$$

For  $j \neq i$  let,

$$u_j = (k_j + m_j)/[(k_j + m_j)(i)],$$

then there is an  $n$  for which  $\{u_j\}_{j=1, j \neq i}^n$  forms the upper sequence in the definition of an  $\varepsilon$ -trap for  $\psi_{\{i\}}$ .

*Proof of Theorem 1.7.* The theorem is now immediate from Lemma 1.14, Lemma 1.13 and Lemma 1.8.

**THEOREM 1.15** *Let  $K$  be a finite dimensional subspace of  $l_p$  that contains a strictly positive function. Then  $K$  is  $\mathcal{L}^+$ -Korovkin if and only if it is an  $\mathcal{L}^+$ -Korovkin subspace of  $c_0$ .*

*Proof.* The necessity is immediate from Theorem 1.7. The sufficiency follows from Lemma 1.13(b), Lemma 1.14 and Lemma 1.8.

EXAMPLE 1.16. Let  $X = \{1/i\}_{i=1}^{\infty} \cup \{0\}$ , and let  $K'$  be a finite dimensional subspace of  $C(X)$  that contains the constants and such that  $\{1/i\}_{i=1}^{\infty} \subseteq cbK$ . Let  $w \in l_p$ .

For  $k \in K'$  let

$$(Tk)(i) = w(i)k\left(\frac{1}{i}\right).$$

Then  $Tk \in l_p$ . Let  $K = \{Tk: k \in K'\}$ . Then in view of Lemma 1.2,  $K$  satisfies the conclusion of Lemma 1.13(a) (even with  $\varepsilon = 0$ ). Hence Lemma 1.14 implies that  $K$  is an  $\mathcal{L}^+$ -Korovkin set in  $l_p$ . For example, this shows that  $K = \{1/i^2, 1/i^3, 1/i^4\}$  is  $\mathcal{L}^+$ -Korovkin in each  $l_p$ , by letting  $w(i) = i^2$  and  $K' = \{1, x, x^2\}$ .

PROPOSITION 1.17. If  $L_p(X, \Sigma, \mu)$  contains a two-dimensional  $\mathcal{L}^+$ -Korovkin set, then  $L_p(X, \Sigma, \mu)$  is two dimensional.

*Proof.* We again use several lemmas. For these let  $K$  be a two-dimensional subspace of  $L_p = L_p(X, \Sigma, \mu)$ .

LEMMA 1.18. If there exists positive functionals  $\phi_1$  and  $\phi_2$  on  $L_p$  and a set  $Y$  of positive measure such that:

1. if  $k \in K$ ,  $\phi_1(k) \geq 0$ , and  $\phi_2(k) \geq 0$  then  $k \geq 0$  on  $Y$
2. for each pair of real numbers  $r_1, r_2$  there is a  $k \in K$  such that  $\phi_i(k) = r_i$  and
3.  $\dim L_p|_Y \geq 3$ ,

then  $K$  is not  $\mathcal{L}^+$ -Korovkin.

*Proof.* For  $f$  in  $L_p$  let  $Lf$  be the unique member  $k$  of  $K$  such that

$$\phi_i(f) = \phi_i(k) \quad i = 1, 2.$$

Now simply let

$$Pf(x) = \begin{cases} f(x) & x \notin Y \\ (Lf)(x) & x \in Y. \end{cases}$$

Then  $P$  is a nontrivial positive operator which acts as the identity on  $K$ .

LEMMA 1.19. Let  $g$  be a measurable positive function that is bounded and bounded away from zero. Let

$$K' = \{gk: k \in K\}$$

then  $K$  is  $\mathcal{L}^+$ -Korovkin if and only if  $K'$  is  $\mathcal{L}^+$ -Korovkin.

*Proof.* It suffices to show that if  $K$  is  $\mathcal{L}^+$ -Korovkin then  $K'$  is also. Let  $L_n$  be a bounded sequence of positive operators, such that

$$L_n(k') \longrightarrow k' \quad \text{for each } k' \in K'.$$

Let

$$P_n f = g^{-1} L_n(gf).$$

Since

$$\begin{aligned} P_n k &\longrightarrow k \quad \text{for all } k \in K, \\ P_n(g^{-1}f) &\longrightarrow g^{-1}f \quad \text{for all } f \in L_p. \end{aligned}$$

Hence

$$L_n f \longrightarrow f \quad \text{for all } f \in L_p.$$

LEMMA 1.20. Let  $F \subseteq X$  be a set of positive measure which is not an atom. If  $K$  is  $\mathcal{L}^+$ -Korovkin then  $\dim K|_F = 2$ .

*Proof.* Again one easily constructs a nontrivial positive operator that is the identity on  $K$ .

LEMMA 1.21. A two-dimensional subspace  $H$  of  $\mathbf{R}^3$  that does not contain a positive vector, has a nonnegative annihilator.

*Proof.* Let  $a = (a_1 a_2 a_3)$  be an annihilator of  $H$ . If  $H$  does not have a nonnegative annihilator we may assume that  $a_1 > 0 > a_2$ . Let  $h = (h_1, h_2, h_3)$  be a member in  $H$  such that  $h_3 = 0$ . Then  $a(h) = 0$  implies  $\operatorname{sgn} h_1 = \operatorname{sgn} h_2$ . Since  $H$  also contains some vector whose third coordinate is positive,  $H$  contains a vector with all positive coordinates.

LEMMA 1.22. If  $K$  is  $\mathcal{L}^+$ -Korovkin then there is an  $F \subseteq X$  and a  $k \in K$  such that

1.  $\dim L_p|_F \geq 3$ , and
2.  $k$  is bounded, positive and bounded away from zero on  $F$ .

*Proof.* If  $X$  is not purely atomic the lemma follows from Lemma 1.20. If  $X$  is purely atomic the lemma follows from Lemmas 1.20 and 1.21, since if  $p$  is a nonnegative annihilator of  $K$ ,  $Pf = f + p(f)\psi F$  is a positive operator for any set  $F$  of finite measure.

*Proof of the proposition.* Suppose  $K$  is  $\mathcal{L}^+$ -Korovkin. From Lemmas 1.19 and 1.22 we may assume that there is a set  $F \subseteq X$

such that  $\dim(L_p|_F) \geq 3$ , that  $K$  is spanned by functions  $k_1$ , and  $k_2$ , and that  $k_1$  is identically 1 on  $F$ . From Lemma 1.20 we can find subsets  $F_1, F_2$  and  $F_3$  of positive finite measure such that

$$\max k_2|_{F_1} < \min k_2|_{F_3} \leq \max k_2|_{F_3} < \min k_2|_{F_2} \quad \text{a.e.}$$

Furthermore if  $F$  is not purely atomic we may assume that  $\dim L_p|_{F_3} \geq 3$ . Hence letting  $\phi_i f = \int_{F_i} f$  ( $i = 1, 2$ ), and  $Y = F_3$  contradicts Lemma 1.18. If  $F$  is purely atomic we may assume that each  $F_i$  is an atom, and then letting  $\phi_i f = f(F_i)$  and  $Y = \bigcup_{i=1}^3 \{F_i\}$  would also contradict Lemma 1.18.

**2. Korovkin sets in  $C(X)$ .** Let  $X$  be metrizable, and let  $K$  be a subspace of  $C(X)$  that contains the constants. G. G. Lorentz [14] showed that  $K$  is  $\mathcal{L}^+$ -Korovkin in  $C(X)$  if and only if  $cbK = X$ . It follows that if  $Y$  is a closed subset of  $X$  then  $K|_Y$  is  $\mathcal{L}^+$ -Korovkin in  $C(Y)$ . Answering a question by Lorentz, we will give examples of a compact Hausdorff space  $X$ , and an  $\mathcal{L}^+$ -Korovkin sets  $K \subseteq C(X)$  whose restrictions to closed subsets of  $X$  fail to be Korovkin. The examples also extend a result by E. Sheffold [19].

**DEFINITION.**  $K \subseteq C(X)$  is  $\mathcal{L}$ -Korovkin for nets if every bounded net of operators in  $\mathcal{L}$  that converges strongly to the identity on  $K$ , also converges strongly to the identity on  $C(X)$ .

**LEMMA 2.1.** Let  $X$  be a compact Hausdorff space,  $K$  is  $\mathcal{L}^+$ -Korovkin for nets if and only if  $cb K = X$ .

*Proof.* This is a minor variant of known results. The sufficiency can be obtained from the method of proof of Lemma 1 in [Wulbert, 22]. The necessity follows from the following known construction [Lorentz, 14]. Let  $\{U_\alpha\}$  be a neighborhood base for a point  $x \in X$ . Suppose  $\mu$  is a positive measure in  $C(X)^*$  such that

$$k(x) = \int k d\mu \quad \text{for all } k \in K.$$

Let  $g_\alpha$  be a continuous function that is 1 at  $x$  and vanishes off  $U_\alpha$ . Let

$$L_\alpha(f) = (1 - g_\alpha)f + \left(\int f d\mu\right)g.$$

Then

$$L_\alpha(k) \longrightarrow k \quad \text{for all } k$$

but also

$$(L_\alpha f)(x) \longrightarrow \int f d_\mu.$$

The following is also a variant of the proof in [Wulbert, 22].

LEMMA 2.2. *Let  $\{L_n\}$  be a bounded sequence of positive operators on  $C(X)$  such that  $L_n k \rightarrow k$  for all  $k \in K \subseteq C(X)$ . If  $Y$  is a countably compact subset of  $cbK$ , then for each  $f \in C(X)$ ,  $L_n f$  converges uniformly to  $f$  on  $Y$ .*

COROLLARY 2.3. *Let  $X$  be an open countably compact dense subset of a compact Hausdorff space  $Y$ . Assume that  $Y - X$  contains two points, and let*

$$K = \{f \in C(Y) : f \text{ constant on } Y - X\}.$$

*Then  $K$  is  $\mathcal{L}^+$ -Korovkin, but not  $\mathcal{L}^+$ -Korovkin for nets.*

EXAMPLES 2.4. (1) Let  $X$  be locally compact and countably compact. Let  $Y = \beta X$  be the Stone-Ćech compactification of  $X$ . If  $Y - X$  contains two points then  $X$  and  $Y$  satisfy the conditions of the corollary.

(2) Let  $W$  be the space of ordinals less than the first uncountably ordinal. Let  $X = W \times W$ , then  $X$  and  $Y = \beta X$  satisfy the properties of part (1) above.

(3) Let  $Y$  be an  $F$ -space. Let  $G$  be a finite subset of  $Y$  containing two points, and let  $X = Y - G$ . Then  $X$  and  $Y$  satisfy the conditions of the corollary. (See [Gillman and Jerison, 10, p. 215].)

(4) In  $N$  denotes the integers then  $\beta N - N$  is an  $F$ -space.

EXAMPLE 2.5. Let  $X, Y$  and  $K$  be as in the corollary then  $K$  is  $\mathcal{L}^+$ -Korovkin in  $C(Y)$ , but  $k|_{Y-K}$  is not  $\mathcal{L}^+$ -Korovkin in  $C(Y - X)$ .

REMARK 2.5. Let  $X$  and  $Y$  be as in the corollary and let  $J$  be the ideal of continuous functions vanishing on  $Y - X$ . Let  $y \in Y - X$ . Since the operator  $P$  given by

$$(Pf)(x) = f(x) + f(y)$$

is a positive mapping that acts as the identity on  $J$ ,  $J$  is not an  $\mathcal{L}^+$ -Korovkin set in  $C(Y)$ . However it only requires minor modification to show that  $J$  is an  $\mathcal{L}^1$ -Korovkin set, although it is not  $\mathcal{L}^1$ -Korovkin for nets.

E. Sheffold [19] gave the first example of a set that was an

$\mathcal{L}^1$ -Korovkin set but not  $\mathcal{L}^1$ -Korovkin for nets. Using a different method Sheffold showed that if  $Y$  is an  $F$ -space, and  $J$  is the ideal of all continuous functions vanishing at a single point, then  $J$  has the above properties.

R. M. Minkova [15] has proved a Korovkin type theorem involving convergence of the higher order derivatives for functions in  $C^r[0, 1]$ . Indeed let  $X$  be an open-bounded subset of  $\mathbf{R}^n$ . Let  $Y$  be the closure of  $X$  and let  $C^r(X)$  be the continuous real-valued functions on  $Y$ , with  $r$  bounded, continuous (Frechet) derivatives on  $X$ . Let the norm on  $C^r(X)$  be the sum of the uniform norms of the derivatives

$$\|f\| = \|f\|_\infty + \|f'\|_\infty + \cdots + \|f^{(r)}\|_\infty.$$

An operator  $T$  on  $C(X)$  is  $r$ -smooth if  $T(C^r(X)) \subseteq C^r(X)$  and  $T$  is continuous on  $C^r(X)$ .

**PROPOSITION 2.6.** *Let  $K$  be a subspace of  $C(X)$  that contains the constants and for which  $cb K$  is dense in  $X$ . Let  $\{T_i\}$  be a bounded sequence of positive  $r$ -smooth operators on  $C(X)$  such that*

- (1)  $\{T_i\}$  is uniformly bounded as operators on  $C^r(X)$ , and
- (2)  $T_i k \rightarrow k$  for all  $k \in K$ ,

then

- (3)  $T_i f^{(j)} \rightarrow f^{(j)}$  uniformly for each  $f \in C^r(X)$ , and for each  $j = 0, 1, 2, \dots, r-1$ .

*Proof.* This easily follows by induction from Ascoli's theorem since in this setting  $(T_i f)(x) \rightarrow f(x)$  for all  $x \in cb K$  (Lemma 2.2).

Minkova used a delicate estimate of Landau to bound the derivative of a function with bounds for the function and its second derivative, and proved the case of the above proposition obtained when  $X$  is a compact interval of the line, and  $K$  is an  $\mathcal{L}^+$ -Korovkin set.

**3.  $\mathcal{L}^{1,+}$ -Korovkin sets in  $L_p$ .** Let  $(X, \Sigma, \mu)$  be a finite measure space, and let  $K$  be a subspace of  $L_1(X, \Sigma, \mu)$  that contains the constants. Let  $E$  be the closed linear sublattice generated by  $K$ . Since the conditional expectation operator is a contractive projection of  $L_1$  onto  $E$ , the  $\mathcal{L}^{1,+}$ -shadow of  $K$  is contained in  $E$ . Berens and Lorentz [3] have in fact shown that  $E$  is the  $\mathcal{L}^{1,+}$ -shadow of  $K$ . Bernau and Lacey [5] have announced that every closed sublattice of an  $L_p$ -space is the range of a contractive projection. Hence the restrictions in the Berens-Lorentz theorem can be removed.

**THEOREM 3.1.** *Let  $K$  be a subset of  $L_p$ . The  $\mathcal{L}^{1,+}$ -shadow of*

$K$  is the closed linear sublattice of  $L_p$  generated by  $K$ .

*Proof.* Let  $S$  be the  $\mathcal{L}^{1,+}$ -shadow of  $K$ . It is obvious that  $S$  is closed. To show  $S$  is a lattice it suffices to show that  $f \vee g \in S$  when both  $f \in S$  and  $g \in S$ . Let  $L_i$  be a sequence of positive contractive on  $L_p$  such that  $L_i k \rightarrow k$  for all  $k \in K$ . Since  $f \vee g$  dominates both  $f$  and  $g$

$$L_i(f \vee g) \geq L_i(f) \vee L_i(g).$$

We also know that  $\|f \vee g\| \geq \|L_i(f \vee g)\|$  and that

$$L_i(f) \vee L_i(g) \longrightarrow f \vee g.$$

Hence if  $f \vee g \geq 0$ ,  $\lim L_i(f \vee g) = f \vee g$ . Indeed, if we are working in  $L_1$ , this limit is found by inspecting the integral  $\|L_i(f \vee g) - f \vee g\|$ . Otherwise the statement follows from the uniform convexity of  $L_p$ . Therefore if  $f$  and  $g$  are arbitrary members of  $S$ ,  $|f| \vee |g| \in S$ , and

$$f \vee g + |f| \vee |g| = (f + |f| \vee |g|) \vee (g + |f| \vee |g|) \in S,$$

thus  $f \vee g \in S$ .

The  $\mathcal{L}^{1,+}$ -shadow of  $K$ , therefore, contains the closed lattice generated by  $K$ . The converse statement is immediate from the result of Bernau and Lacey mentioned before the theorem.

REMARK 3.2. Let  $X$  be a compact metric space, and let  $K$  be a subspace of  $C(X)$  containing the constants. The lattice characterization of the  $\mathcal{L}^{1,+}$ -shadow of  $K$  does not apply. In particular the space spanned by 1 and  $x$  is not an  $\mathcal{L}^{1,+}$ -Korovkin set. However, it does follow from the proof of Lemma 2.1, and Lemma 1.2. that if  $K$  is a Korovkin set then, the closed sublattice generated by  $K$  is all of  $C(X)$ .

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