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## **BANACH SPACES WITH A RESTRICTED HAHN-BANACH EXTENSION PROPERTY**

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**We shall study the class of real Banach spaces  $B$  with the following restricted Hahn-Banach extension property: For each Banach space  $C$  with a dense set of cardinality  $\leq$  some fixed cardinal  $\aleph$ , and for each subspace  $A$  of  $C$  and bounded linear map  $T_0: A \rightarrow B$ , there exists an extension  $T: C \rightarrow B$  such that  $\|T\| = \|T_0\|$ . Surprisingly, there exist Banach spaces in this class which are not isometrically isomorphic to  $C(X)$  for a compact Hausdorff  $X$ !**

The combined results of Goodner, Hasumi, Kelley and Nachbin show that those Banach spaces with the Hahn-Banach extension property, that is, those Banach spaces which are injective in the category  $\mathcal{B}_1$  of Banach spaces and linear maps of norm  $\leq 1$ , are precisely the Banach spaces of the form  $C(X)$ , where  $X$  is compact Hausdorff and extremally disconnected [5], [6], [7], [11]. In this paper, we wish to study those Banach spaces which enjoy a restricted Hahn-Banach extension property, where the existence of an extension is only required for spaces which are relatively small.

To be more precise, let  $\aleph$  be an infinite cardinal. We shall say that a Banach space  $C$  is  $\aleph$ -separable if  $C$  has a dense subset of cardinality  $\aleph$ . As usual, the word "separable" standing alone means  $\aleph_0$  separable. We shall call a Banach space  $B$   $\aleph$ -injective if  $B$  has the following restricted Hahn-Banach extension property: Let  $C$  be an  $\aleph$ -separable Banach space, let  $A$  be a subspace of  $C$ , let  $i: A \hookrightarrow C$  be the inclusion map, and let  $T_0: A \rightarrow B$  be a bounded linear map. Then there exists a bounded linear map  $T$  with  $\|T\| = \|T_0\|$ , making the following diagram commute:

$$(1) \quad \begin{array}{ccc} A & \xrightarrow{i} & C \\ & \searrow T_0 & \swarrow T \\ & & B \end{array}$$

We shall study the  $\aleph$ -injective Banach spaces in this paper. We shall only consider real Banach spaces here. We shall characterize the Banach spaces of type  $C(X)$  which are  $\aleph$ -injective. We shall also show that there are a good many other  $\aleph$ -injective Banach spaces! Finally, we shall show that if an  $\aleph$ -injective Banach space also happens to be  $\aleph$ -separable, then it is in fact injective in the full category  $\mathcal{B}_1$ . This contrasts rather sharply with the situation

in the category  $\mathcal{B}$  of Banach spaces and bounded linear maps. Sobczyk showed that  $c_0$  satisfies diagram 1, with  $c_0 = B$  and  $\aleph = \aleph_0$ , except that the extension  $T$  may have a larger norm than  $T_0$ . On the other hand, Phillips showed that there is no continuous linear projection of  $m$  onto  $c_0$ , so  $c_0$  is not injective in the full category  $\mathcal{B}$  (cf. [3, p. 25], [13]).

### $\aleph$ -Injectives of Type $C(X)$ .

First, let us prove a theorem which will enable us to characterize the  $\aleph$ -injective spaces of type  $C(X)$ . To motivate this theorem, the reader should recall the Stone-Nakano theorem, which says, among other things, that a compact Hausdorff space  $X$  is extremally disconnected if and only if  $C(X)$  is a boundedly complete vector lattice under the usual ordering [12]. Thus, the Goodner-Hasumi-Kelley-Nachbin theorem may be rephrased to assert that the injectives in  $\mathcal{B}_i$  are exactly the  $C(X)$ 's which are also boundedly complete vector lattices. It is thus not surprising that a property similar to lattice completeness would play a role in the study of  $\aleph$ -injectives. We shall say that an ordered normed linear space  $B$  satisfies condition  $a_{\aleph}$  if the following is true:

- ( $a_{\aleph}$ ) For each  $\aleph$ -separable subspace  $V$  of  $B$ , the following is true: Given a subset  $\mathcal{F}$  of  $V$  which is bounded above in norm by  $m$  and of cardinality  $\leq \aleph$ , there exists at least one  $b \in B$  such that  $\|b\| \leq m$ ,  $f \leq b$  for all  $f \in \mathcal{F}$ , and if  $v \in V$  and  $f \leq v$  for all  $f \in \mathcal{F}$ , then  $b \leq v$ .

**THEOREM 1.** *Let  $X$  be a compact Hausdorff space and let  $B$  be a closed subspace of  $C(X)$ . Then  $B$  is  $\aleph$ -injective if  $B$  satisfies property  $a_{\aleph}$ .*

*Conversely, suppose  $B$  is  $\aleph$ -injective, and suppose  $B$  contains a subset  $Q$  with the following properties.  $Q$  consists of nonnegative functions none of which are identically 0,  $Q$  contains a dense set of cardinality  $\leq \aleph$ , and the set of points at which the function in  $Q$  attain their suprema is dense in  $X$ . Then  $B$  satisfies condition  $a_{\aleph}$ .*

*Proof.* First suppose that  $B$  satisfies condition  $a_{\aleph}$ . The proof that  $B$  is  $\aleph$ -injective follows Goodner's idea of replacing real valued sublinear functionals with  $C(X)$  valued sublinear functionals in Banach's original proof of the Hahn-Banach theorem [3, pp. 135-137], [5]. Let  $A$  be a subspace of  $C$ , let  $C$  be  $\aleph$ -separable, and let  $T_0: A \rightarrow B$  be a bounded linear map. Let  $p: C \rightarrow B$  be defined as follows: Let  $\mathcal{N}$  be a dense subset of cardinality  $\leq \aleph$  of the unit ball of  $A$ . We know that the set  $T_0(\mathcal{N})$  has cardinality  $\leq \aleph$  and

is bounded above in norm by  $\|T_0\|$ . Clearly  $T_0(A)$  is  $\aleph$ -separable. By condition  $a_{\aleph}$ , there exists  $u \in B$  which bounds  $T_0(\mathcal{N}) \cup \{0\}$  from above, and which satisfies  $\|u\| \leq \|T_0\|$ . Because  $\mathcal{N}$  is dense in the unit ball of  $A$ , we have  $T_0(c) \leq \|c\|u$  for all  $c \in C$ . Let  $p(c) = \|c\|u$ . Then  $p$  is a sublinear map, and  $T_0$  is dominated by  $p$ . Furthermore, if  $S$  is any linear map from a subspace of  $C$  to  $B$  which is dominated by  $p$ , then  $S$  is continuous. In fact,  $S(c) \leq \|c\|u$  and  $-S(c) = S(-c) \leq \|c\|u$ , so  $\|S\| \leq \|T_0\|$ .

Now suppose  $A'$  is a proper subspace of  $C$  containing  $A$ , and suppose  $T'$  is an extension of  $T_0$  to  $A'$  which is dominated by  $p$ . Let  $\mathcal{N}$  be a dense subset of the unit ball of  $A'$  of cardinality  $\leq \aleph$ . Let  $z \in C \sim A'$ . As in Banach's proof of the Hahn-Banach theorem, we obtain for each  $(x, y) \in A' \times A'$ ,  $-p(-y - z) - T'(y) \leq p(x + z) - T'(x)$ . Let  $V =$  the linear hull of  $\{-p(-y - z) - T'(y): y \in A'\} \cup \{p(x + z) - T'(x): x \in A'\}$ . The continuity of  $p$  and  $T'$  together with the  $\aleph$ -separability of  $A'$  implies that  $V$  is also  $\aleph$ -separable. We would like to apply condition  $a_{\aleph}$  to a set  $\mathcal{F} = \{-p(-y - z) - T'(y): y \in \text{some dense set in } A'\}$  to obtain the existence of  $c \in B$ , such that  $-p(-y - z) - T'(y) \leq c \leq p(x + z) - T'(x)$  for all  $(x, y) \in A' \times A'$ . But such a set  $\mathcal{F}$  would not be bounded in norm, so we shall consider a sequence of sets  $\mathcal{F}_n = \{-p(-y - z) - T'(y): y \in n\mathcal{N}\}$ ,  $n = 1, 2, \dots$ . Since  $\mathcal{N}$  is a subset of the unit ball of  $A'$ , each set  $\mathcal{F}_n$  is bounded in norm. By condition  $a_{\aleph}$  applied to  $\mathcal{F}_n$  and  $V$ , there exists  $c_n \in B$  such that  $w \leq c_n \leq p(x + z) - T'(x)$  for each  $w \in \mathcal{F}_n$  and  $x \in A'$ . Since  $\mathcal{N}$  is dense in  $U$ , the unit ball of  $A'$ , we have  $-p(-y - z) - T'(y) \leq c_n \leq p(x + z) - T'(x)$  for each  $x \in A'$  and  $y \in nU$ . Let  $W =$  the linear hull of  $V$  and  $\{c_n: n = 1, 2, \dots\}$ . Pick  $x_0 \in A'$  and  $y_0 \in U$ . Since  $U \subseteq nU$ ,  $-p(-y_0 - z) - T'(y_0) \leq c_n \leq p(x_0 + z) - T'(x_0)$  for  $n = 1, 2, \dots$ , so the set  $\mathcal{E} = \{c_n: n = 1, 2, \dots\}$  is bounded in norm. Clearly  $\mathcal{E}$  is  $\aleph$ -separable, so by condition  $a_{\aleph}$  applied to  $\mathcal{E}$  and  $W$ , there exists  $c \in B$  such that  $c_n \leq c \leq p(x + z) - T'(x)$  for each  $n$  and each  $x \in A'$ . Hence  $-p(-y - z) - T'(y) \leq c \leq p(x + z) - T'(x)$  for all  $(x, y) \in A' \times A'$ . The rest of the proof now follows from Zorn's lemma or transfinite induction exactly as in Banach's original proof (cf. [3, p. 10]).

Conversely, suppose that  $B$  is  $\aleph$ -injective, and that  $Q \subseteq B$  contains a dense subset of cardinality  $\leq \aleph$  and consists of nonnegative elements. Let  $Y \subseteq X$  be the set of points at which the elements of  $Q$  attain their suprema, and suppose  $Y$  is dense in  $X$ . We wish to show that  $B$  satisfies condition  $a_{\aleph}$ . Let  $V$  be an  $\aleph$ -separable subspace of  $B$ , and let  $\mathcal{F}$  be a subset of  $V$  of cardinality  $\leq \aleph$  which is bounded above by  $m$  in norm. Let  $f \in l^\infty(X)$  be the pointwise sup-

remum of the set  $\mathcal{F}$ . Then  $\|f\|_\infty \leq m$ . Let  $A$  be the closed linear hull of  $V \cup Q$ , let  $C$  be the linear hull of  $A$  and  $\{f\}$  in  $l^\infty(X)$ , and consider the commutative diagram

$$\begin{array}{ccc} A & \xrightarrow{i} & C \\ & \searrow I & \swarrow P \\ & & B \end{array}$$

Here,  $I$  is the inclusion map and  $P$  is the map guaranteed by  $\aleph$ -injectivity. We assert that  $b = P(f)$  is the element whose existence is required by condition  $a_\aleph$ .

Clearly it suffices to show that the map  $P$  is positive. For then, if  $v \in \mathcal{F}$ , we have  $v \leq f$ , which implies that  $v = P(v) \leq P(f)$ . Also, if  $v \in V$  and  $v$  is an upper bound for  $\mathcal{F}$ , then  $f \leq v$ , which implies  $P(f) \leq P(v) = v$ . Finally  $\|P(f)\| \leq \|f\|_\infty \leq m$ . But it is easy to show that  $P$  is positive. Let  $c \in C$  be  $\geq 0$ . Let  $y \in Y$ . There exists at least one  $q \in Q$  such that  $q \geq 0$ , and  $q(y) = \|q\| > 0$ . Let  $\lambda > 0$  be such that  $\|\lambda q\| = \|c\|$ . Then  $\|c\| \geq \|\lambda q - c\| \geq \|P(\lambda q - c)\| = \|\lambda q - P(c)\| \geq \lambda q(y) - P(c)(y) = \|c\| - P(c)(y)$ . Thus  $P(c) \geq 0$  on  $Y$ . Since  $P(c) \in C(X)$  and  $Y$  is dense in  $X$ , we have  $P(c) \geq 0$  on  $X$ . This concludes the proof of Theorem 1.

If we pick  $B = C(X)$  and  $Q = \{1\}$  in Theorem 1, we immediately have

**COROLLARY 1.**  *$C(X)$  is  $\aleph$ -injective if and only if  $C(X)$  satisfies condition  $a_\aleph$ .*

Clearly,  $C(X)$  satisfies condition  $a_\aleph$  for every cardinal  $\aleph$  if and only if  $C(X)$  is a boundedly complete vector lattice. Thus we have another proof of the following result of Goodner and Nachbin [5], [11]:

**COROLLARY 2.**  *$C(X)$  is injective in the category  $\mathcal{B}_1$  if and only if  $C(X)$  is a boundedly complete vector lattice. Equivalently, using the Stone-Nakano theorem,  $C(X)$  is injective in the category  $\mathcal{B}_1$  if and only if the compact Hausdorff space  $X$  is extremally disconnected.*

Of course, if  $C(X)$  is a boundedly  $N$ -complete vector lattice, that is, if every set in  $C(X)$  of cardinality  $\leq N$  which is bounded above has a least upper bound, then  $C(X)$  clearly satisfies condition  $a_\aleph$ . From the Stone-Nakano theorem again, we know that  $C(X)$  is boundedly  $\aleph$ -complete if and only if  $X$  is both totally disconnected and

$\aleph$ -disconnected. Here,  $\aleph$ -disconnected means the closure of a union of at most  $\aleph$  clopen sets is again clopen, and the if part is true because we assume  $X$  is compact Hausdorff [11], [12]. Thus we have

**COROLLARY 3.**  *$C(X)$  is  $\aleph$ -injective if it is a boundedly  $\aleph$ -complete vector lattice. Equivalently,  $C(X)$  is  $\aleph$ -injective if the compact Hausdorff space  $X$  is both totally disconnected and  $\aleph$ -disconnected.*

We do not know whether there exist spaces  $C(X)$  which satisfy condition  $a_n$ , but which are not boundedly  $\aleph$ -complete vector lattices. We conjecture that there are such spaces.

**Other examples of  $\aleph$ -injectives.** Kelley showed that every injective in the category  $\mathcal{B}_1$  is of type  $C(X)$  [7]. This is not true for  $\aleph$ -injectives! We wish to give a general example of a proper subspace of  $C(X)$  which is  $N$ -injective, but which is not of type  $C(Z)$  for any compact Hausdorff  $Z$ . To do this, we shall need an example of a compact Hausdorff space  $X$  with special properties. We shall call a point  $p$  of a topological space  $Y$  an  $\aleph - P$  point if the intersection of  $\aleph$  neighborhoods of  $p$  is again a neighborhood of  $p$ . The standard name for an  $\aleph_0 - P$  point is just  $P$ -point (cf. [4, Chapter 4]).

First, let us manufacture an example of a compact Hausdorff space  $X$  which contains a nonisolated  $\aleph - P$  point  $x_0$ , and which is totally disconnected and  $\aleph$ -disconnected, but not extremally disconnected. For  $\aleph = \aleph_0$ , such examples are fairly ubiquitous in point set topology, and may be manufactured from the Stone space of suitable Boolean algebras, or by other means (cf. [2] for a recent and interesting example). The example we shall give is taken from Gillman and Jerison [4, Exercises 4N, 6M], who unfortunately only consider the case  $\aleph = \aleph_0$ . We shall consider the case of general  $\aleph$ , which introduces slight additional difficulties. We want to thank A. Hager for suggesting this and other examples of nonisolated  $\aleph - P$  points.

Let  $Y$  be a set of cardinality  $> \aleph$ . Let  $x_0 \in Y$ . Topologize  $Y$  as follows: A set  $U \subseteq Y$  is open if  $x_0 \notin U$ , or if  $x_0 \in U$  and  $Y \sim U$  has cardinality  $\leq \aleph$ . This amounts to giving  $Y \sim \{x_0\}$  the discrete topology, and letting neighborhoods of  $x_0$  be complements of sets of cardinality  $\leq \aleph$ . Clearly a set  $F$  is closed in  $Y$  if  $x_0 \in F$ , or if  $x_0 \notin F$  and the cardinality of  $F$  is  $\leq \aleph$ . From this, we see immediately that  $Y$  is normal. Clearly  $x_0$  is a nonisolated  $\aleph - P$  point of  $Y$ . To see that  $Y$  is not extremally disconnected, let  $U$  be a subset of  $Y$  such that  $x_0 \notin U$  and both  $U$  and  $Y \sim U$  have cardinality  $> \aleph$ . Then  $U$  is open, and the smallest closed set containing  $U$  is  $U \cup \{x_0\}$ . But the closure of  $U$ ,  $U \cup \{x_0\}$ , is not open, so  $Y$  is not extremally disconnected.

nected. By the Stone-Nakano theorem,  $C_b(Y)$ , the bounded continuous functions on  $Y$ , do not form a boundedly complete vector lattice.

On the other hand, it is easy to show directly that  $C_b(Y)$  is a boundedly  $\aleph$ -complete vector lattice. Clearly,  $f: Y \rightarrow \mathbf{R}$  is continuous if and only if, given  $\varepsilon > 0$ , there exists a set  $F_\varepsilon$  of cardinality  $\leq \aleph$  such that  $|f(x) - f(x_0)| < \varepsilon$  for all  $x \notin F_\varepsilon$ . Suppose  $\mathcal{F} \subseteq C_b(Y)$  has cardinality  $\leq \aleph$ . Let  $f$  be the pointwise supremum of  $\mathcal{F}$ . Let  $\varepsilon > 0$ . For each  $h \in \mathcal{F}$ , let  $F_{h,\varepsilon}$  be a set of cardinality  $\leq \aleph$  such that  $|h(x) - h(x_0)| < \varepsilon$  for  $x \notin F_{h,\varepsilon}$ . Let  $F_\varepsilon = \bigcup \{F_{h,\varepsilon} : h \in \mathcal{F}\}$ . The  $F_\varepsilon$  has cardinality  $\leq \aleph$ , and if  $x \notin F_\varepsilon$ , then  $|h(x) - h(x_0)| \leq \varepsilon$  for all  $h \in \mathcal{F}$ , so  $|f(x) - f(x_0)| \leq \varepsilon$  as well. Thus  $f$  is continuous, and so  $C_b(Y)$  is boundedly  $\aleph$ -complete.

Let  $X$  be the Stone-Ćech compactification of  $Y$ . Then  $C(X)$  is isometrically isomorphic, and isomorphic as an ordered Banach space, to  $C_b(Y)$ . Thus  $C(X)$  is not boundedly complete, so  $X$  is not extremally disconnected. On the other hand,  $C(X)$  is boundedly  $\aleph$ -complete, so  $X$  is totally disconnected and  $\aleph$ -disconnected. Finally  $Y$  is dense in  $X$ , so  $x_0$ , being an  $\aleph - P$  point of  $Y$ , is also an  $\aleph - P$  point of  $X$ . Clearly,  $x_0$  is not an isolated point of  $X$ .

By taking finite disjoint unions of copies of  $X$ , we may construct compact Hausdorff spaces with at least  $n$  nonisolated  $\aleph - P$  points, which are totally disconnected and  $\aleph$ -disconnected, but not extremally disconnected. Incidentally,  $C(X)$  is a good example of a Banach space which is  $\aleph$ -injective, but not injective in  $\mathcal{B}$ . So is  $C_0(X) = \{f \in C(X) : f(x_0) = 0\}$ ! Because  $x_0$  is an  $\aleph - P$  point,  $C_0(X)$  is a boundedly  $\aleph$ -complete vector sublattice of  $C(X)$ , and so satisfies condition  $\alpha_n$ . Hence, by Theorem 1, it is  $\aleph$ -injective. However, because  $x_0$  is not isolated,  $C_0(X)$  is not isometrically isomorphic to any  $C(Z)$ , and thus by the Goodner-Hasumi-Kelley-Nachbin theorem is not injective in  $\mathcal{B}$ . We shall not go into greater detail, because this example will be subsumed under the promised general example, which we shall now give in the form of a theorem:

**THEOREM 2.** *Let  $X$  be a compact Hausdorff space which is totally disconnected and  $\aleph$ -disconnected, and which contains  $n$  nonisolated  $\aleph - P$  points,  $x_1, \dots, x_n$ . Let  $x_0 \in X$ , let  $c_1, \dots, c_n \in [-1, 1)$ , and let  $B = \{f \in C(X) : f(x_i) = c_i f(x_0), i = 1, \dots, n\}$ . Assume  $n \geq 1$  and  $x_0, x_1, \dots, x_n$  are all distinct. Then  $B$  is  $\aleph$ -injective. However if at least one  $c_i \neq -1$ , then  $B$  is not isometrically isomorphic to  $C(Z)$  for any compact Hausdorff space  $Z$ .*

*Proof.* The key to the proof is the fact that for any  $f \in C(X)$  and  $c \in \mathbf{R}$ ,  $\{x : f(x) = c\}$  is a  $G_\delta$ . Thus  $f$  is constant in a neighborhood

of any  $P$  point of  $X$ . Let  $C$  be  $\aleph$ -separable and let  $A$  be a subspace of  $C$ . Let  $T_0: A \rightarrow B$  be a bounded linear map. Let  $V$  be the closure of  $T_0(A)$ , and let  $\mathcal{K}$  be a dense subset of  $V$  of cardinality  $\leq \aleph$ . For  $k \in \mathcal{K}$ , let  $G_{i,k}$  be a neighborhood of the  $\aleph - P$  point  $x_i$  in which  $k$  is constant. Since there are at most  $\aleph$   $G_{i,k}$ 's, the set  $\bigcap \{G_{i,k}: k \in \mathcal{K}\}$  is also a neighborhood of  $x_i$ . For  $i = 1, 2, \dots, n$ , let  $G_i$  be a clopen neighborhood of  $x_i$  contained in  $\bigcap \{G_{i,k}: k \in \mathcal{K}\}$ , and assume  $x_0 \notin G_i$  and  $G_i \cap G_j = \emptyset$  for  $i \neq j$ . Since  $\mathcal{K}$  is dense in  $V$ , not only is each  $f \in \mathcal{K}$  constant on  $G_i$ , but so is each  $f \in V$ .

Let  $Y = X \sim \bigcup_{i=1}^n G_i$  and let  $r: C(X) \rightarrow C(Y)$  be the restriction map. Clearly  $Y$  is not only compact, but also open in  $X$ . Thus  $Y$  is both totally disconnected and  $\aleph$ -disconnected, and hence  $C(Y)$  is boundedly  $\aleph$ -complete and hence is  $\aleph$ -injective. Consequently,  $rT_0: A \rightarrow C(Y)$  has an extension  $S: C \rightarrow C(Y)$  with the same norm. Define  $T: C \rightarrow B$  as follows:  $T(c)(y) = S(c)(y)$  on  $Y$ , and  $T(c)(x) = c_i S(c)(x_0)$  on  $G_i$ . Since each  $G_i$  is clopen,  $T(c) \in C(X)$ . Clearly  $T(C) \subseteq B$ ,  $T$  is linear, and  $\|T(c)\| = \|S(c)\|$ , so  $\|T\| = \|T_0\|$ . Finally, if  $a \in A$ , then  $T(a) = T_0(a)$ , since  $T_0(a)$  is constant on each  $G_i$ . Thus  $B$  is  $\aleph$ -injective.

We still must show that  $B$  is not isomorphic to any  $C(Z)$  if some  $c_i \neq -1$ . Let  $\mathcal{E}$  be the set of extreme points of the unit ball of  $B^*$ , the dual of  $B$ , and endow  $\mathcal{E}$  with the weak  $*$  topology. By renumbering the  $x_i$  if necessary, we may assume  $\{x_i: c_i = -1\} =$  either  $\emptyset$  or  $\{x_{p+1}, \dots, x_n\}$ . In the former case, set  $p = n$ . Then  $\mathcal{E}$  is homeomorphic to the union of two disjoint copies of  $X \sim \{x_1, \dots, x_p\}$  (corresponding to  $\pm$  point evaluations) with  $x_0$  in one copy identified with  $\{x_{p+1}, \dots, x_n\}$  in the other copy and vice-versa, if  $p < n$ . Since  $x_1, \dots, x_p$  are not isolated points of  $X$ ,  $\mathcal{E}$  is not compact. Therefore,  $B$  cannot be isometrically isomorphic to a  $C(Z)$  [3, p. 113].

The reader should note that if some of the  $c_i$ 's are  $< 0$ , then  $B$  is not even a sublattice of  $C(X)$ . Actually, we can say even more. If  $Z$  is a compact Hausdorff space and  $\sigma: Z \rightarrow Z$  is a homeomorphism such that  $\sigma^2$  is the identity map, then  $C_\sigma(Z) = \{f \in C(Z): f \circ \sigma = -f\}$ . If for some  $c_{i_0}$ ,  $c_{i_0} \neq 0$  and  $-1 < c_{i_0} < 1$ , then  $B$  is not even isometrically isomorphic to any  $C_\sigma(Z)$ ! For the set  $S$  of extreme points of the dual unit ball of  $B$  which are in minimal facets of the dual unit ball is clearly all of  $\mathcal{E}$ , and point evaluation at  $x_{i_0}$  clearly lies in the weak  $*$  closure of  $\mathcal{E}$ . But for all  $b \in B$ , we have  $b(x_{i_0}) < \|b\|$ . Thus  $B$  cannot be isomorphic to any  $C_\sigma(Z)$  by a theorem of Jerison's [3, p. 121].

Each  $\aleph$ -Injective is Almost of Type  $C(X)$ .

Despite the example we have just given, an  $\aleph$ -injective Banach space is not too far removed from a space of type  $C(X)$ . First, the



spaces of type  $C(X)$  share with the space of the example we have just given the property that their duals are isometrically isomorphic to a space of type  $L^1(\mu)$ . (A long list of spaces which are preduals of spaces of type  $L^1(\mu)$  is given in [8, pp. 180–181]. (I would like to thank Y. Benjamini and the referee for bringing the class of preduals of spaces of type  $L^1(\mu)$  to my attention.) A well known result of Lindenstrauss's states that each Banach space enjoying a finite dimensional extension property (which is much weaker than the extension property of  $\mathfrak{N}$ -injectivity) is the predual of an  $L^1(\mu)$  space [9, Theorem 6.1]. Hence the  $\mathfrak{N}$ -injective Banach space and the spaces of type  $C(X)$  all belong to the rather large family of preduals of spaces of type  $L^1(\mu)$ . But an  $\mathfrak{N}$ -injective space is more closely related to the spaces of type  $C(X)$  than this. In fact, it turns out that if  $B$  is  $\mathfrak{N}$ -injective, then  $B$  is the direct limit of its  $\mathfrak{N}$ -separable subspaces of type  $C(X)$ .

We may prove this, and more, essentially by means of a slight modification of Kelley's original proof that a Banach space which is injective in the category  $\mathcal{B}_1$  is of type  $C(X)$  [7]. In what follows, if  $E$  is a Banach space, then  $E^*$  shall denote its dual, and  $U_E$  shall denote the closed unit ball of  $E$ . If  $K$  is a convex subset of  $E$ , then  $\text{ext } K$  shall denote the set of extreme points of  $K$ . If  $Y \subseteq E$ , then  $\text{Cl } Y$  shall denote the closure of  $Y$ . The topology with respect to which the closure is taken will be specified whenever it is not clear from context. Finally, if  $Y$  is a compact Hausdorff space and  $y \in Y$ , then  $e_y \in C(Y)^*$  shall denote evaluation at the point  $y$ .

**LEMMA 1.** *Let  $M$  and  $N$  be Banach spaces, and let  $S: M \rightarrow N$  be a linear map of norm  $\leq 1$ . Let  $p$  be an extreme point of  $U_N$ , and let  $L = S^{-1}(p) \cap U_M$ . Then either  $L = \emptyset$  or  $L$  is a support of  $U_M$ .*

Lemma 1 is a standard fact (cf. [7]).

**THEOREM 3.** *Let  $B$  be an  $\mathfrak{N}$ -injective Banach space. Let  $A$  be an  $\mathfrak{N}$ -separable subspace of  $B$ , and let  $i: A \hookrightarrow B$  be the inclusion map. Let  $W$  be a weak  $*$  relatively open subset of  $\text{Cl ext } U_{A^*}$ , such that  $W \cap (-W) = \emptyset$  and  $\text{Cl}(W \cup (-W)) = \text{Cl ext } U_{A^*}$ . Let  $Y = \text{Cl } W$ . Here, the closures are taken with respect to the weak  $*$  topology. Endow  $Y$  with the weak  $*$  topology, and let  $j: A \rightarrow C(Y)$  be the natural isometric injection. Then there exists an isometric injection  $p: C(Y) \rightarrow B$  such that  $p \circ j = i$ .*

Before proving Theorem 3, three comments are in order. First, as Kelley observed, it is easy to produce such sets  $W$ : Simply apply Zorn's lemma to produce a set  $W$  which is maximal with respect to

the two properties  $W \cap (-W) = \emptyset$ , and  $W$  is weak  $*$  open in  $\text{Cl ext } U_{A^*}$  [7]. Second, from the Krein-Milman theorem, we know that if  $a \in A$ , then  $\sup \{y(a) : y \in U_{A^*}\}$  is actually attained at some  $y \in \text{Cl ext } U_{A^*}$ . Since  $W \cap (-W)$  is dense in  $\text{Cl ext } U_{A^*}$ , it follows that  $j$  is an isometry. Finally,  $Y$  is clearly compact by the Alaoglu theorem.

*Proof.* First, we must show that  $C(Y)$  is  $\aleph$ -separable. Observe that  $j(A)$  is  $\aleph$ -separable, so the subalgebra in  $\mathcal{A}$  in  $C(Y)$  generated by  $j(A)$  and the function  $\equiv 1$  is also  $\aleph$ -separable. But  $\mathcal{A}$  separates points of  $Y$  because  $A$  does. By the Stone-Weierstrass theorem,  $\mathcal{A}$  is dense in  $C(Y)$ , so  $C(Y)$  is also  $\aleph$ -separable. From the  $\aleph$ -injectivity of  $B$ , we conclude that there exists a linear map  $p : C(Y) \rightarrow B$  of norm 1 such that  $p \circ j = i$ .

We will show that  $p$  is 1-1 by showing that its adjoint  $p^* : B^* \rightarrow C(Y)^*$  is onto. We assert that it suffices to show that  $\{e_y : y \in W \cap \text{ext } U_{A^*}\} \subseteq p^*(U_{B^*})$ . To see why, suppose this inclusion holds. We know  $p^*$  is weak  $*$  continuous and  $U_{B^*}$  is weak  $*$  compact, so  $p^*(U_{B^*})$  is weak  $*$  compact and hence weak  $*$  closed in  $C(Y)^*$ . Thus  $\text{Cl } \{e_y : y \in W \cap \text{ext } U_{A^*}\} \subseteq p^*(U_{B^*})$ . But the map  $y \rightarrow e_y, y \in Y$ , is a homeomorphism from  $Y$  onto the set  $\{e_y : y \in Y\}$  endowed with the weak  $*$  topology. Furthermore, because  $W$  is an open subset of  $\text{Cl ext } U_{A^*}$ , we know that  $\text{Cl}(W \cap \text{ext } U_{A^*}) = \text{Cl } W = Y$ . Thus  $\{e_y : y \in Y\} \subseteq p^*(U_{B^*})$ . From this, we conclude that  $\text{ext } U_{C(Y)^*} = \{\pm e_y : y \in Y\}$ , as well as the closed convex hull of  $\text{ext } U_{C(Y)^*}$ , are contained in  $p^*(U_{B^*})$ . By the Krein-Milman theorem,  $U_{C(Y)^*} \subseteq p^*(U_{B^*})$ . Thus  $p^*$  is onto.

In fact, from this last inclusion, we may conclude that  $p$  is not only 1-1, but is actually an isometry. Suppose  $p$  were not an isometry. We know that  $\|p\| = 1$ , so there exists  $f \in C(Y)$  such that  $\|f\| = 1$  and  $\|p(f)\| < 1$ . Let  $\mu \in C(Y)^*$  be a linear functional of norm 1 such that  $\mu(f) = 1$ . If  $\lambda \in U_{B^*}$ , then  $|p^*(\lambda)(f)| = |\lambda(p(f))| \leq \|p(f)\| < 1$ , so  $\mu \notin p^*(U_{B^*})$ . This is a contradiction.

We thus need only show that  $\{e_y : y \in W \cap \text{ext } U_{A^*}\} \subseteq p^*(U_{B^*})$  in order to complete the proof of Lemma 2. We will do this by chasing the following commutative diagram of adjoint maps:

$$(2) \quad \begin{array}{ccc} A^* & \xleftarrow{i^*} & B^* \\ & \swarrow j^* & \searrow p^* \\ & C(Y)^* & \end{array}$$

Note that all of the maps involved have norm  $\leq 1$ . Let  $y \in Y$ . Clearly  $j^*(e_y) = y$  for each  $y \in Y$ . We assert that if  $y \in W \cap \text{ext } U_{A^*}$ ,

then  $j^{*-1}(y) \cap U_{C(Y)^*} = \{e_y\}$ . Let  $L = j^{*-1}(y) \cap U_{C(Y)^*}$ . By Lemma 1,  $L$  is a support of  $U_{C(Y)^*}$ .  $L$  is closed in  $U_{C(Y)^*}$  and hence is compact. By the Krein-Milman theorem,  $L$  has extreme points. Because  $L$  is a support of  $U_{C(Y)^*}$ , each extreme point  $w$  of  $L$  is also an extreme point of  $U_{C(Y)^*}$ , and hence is of the form  $\pm e_z$  for some  $z \in Y$ . If  $w = -e_z$ , then for each  $a \in A$  we have  $y(a) = j^*(-e_z)(a) = -e_z(j(a)) = -z(a)$ , so  $y = -z$ . Hence  $y \in W \cap (-C_1 W)$ . But  $W \cap (-C_1 W) = \emptyset$ , since  $W \cap (-W) = \emptyset$  and  $W$  is open. Thus  $w$  is not  $= -e_z$  for any  $z \in Y$ . If  $w = e_z$  for some  $z \in Y$ , it is immediate that  $z = y$ . Hence the only extreme point of  $L$  is  $e_y$ . By the Krein-Milman theorem,  $L = \{e_y\}$ .

Now let  $y \in W \cap \text{ext } U_{A^*}$ , and observe that  $i^{*-1}(y) \cap U_{B^*} \neq \emptyset$  by the Hahn-Banach theorem. Let  $z \in i^{*-1}(y) \cap U_{B^*}$ . By the commutativity of diagram 2,  $j^*p^*(z) = i^*(z) = y$ , so  $p^*(z) \in j^{*-1}(y) \cap U_{B^*}$ , and hence  $p^*(z) = e_y$ . Consequently,  $\{e_y: y \in W \cap \text{ext } U_{A^*}\} \subseteq p^*(U_{B^*})$ , which completes the proof of Theorem 3.

**COROLLARY 1.** *Suppose  $B$  is not only  $\aleph$ -injective, but also  $\aleph$ -separable. Then  $B$  is isometrically isomorphic to  $C(X)$ , for some extremally disconnected compact Hausdorff space  $X$ . Hence  $B$  is injective in the category  $\mathcal{B}_1$ .*

*Proof.* In the statement of Theorem 3, choose  $A = B$  and  $X = Y$ . Then  $B$  is isometrically isomorphic to  $C(X)$ . By Theorem 1, Corollary 1,  $C(X)$  satisfies condition  $a_{\aleph}$ . But  $C(X)$  is  $\aleph$ -separable, so  $C(X)$  satisfies condition  $a_{\aleph}$  for every infinite cardinal  $\aleph$ , and thus  $C(X)$  is a boundedly complete vector lattice. The remainder of the corollary now follows from Theorem 1, Corollary 2, and the Stone-Nakano theorem.

Corollary 1 may be rephrased to assert that if  $B$  is injective in the full subcategory of  $\mathcal{B}_1$  whose objects are all  $\aleph$ -separable spaces, then  $B$  is actually injective in  $\mathcal{B}_1$ . As we mentioned in the introduction, Corollary 1 is interesting because the situation is dramatically different in the category  $\mathcal{B}$ . It would be interesting to know if there are any nontrivial full subcategories of  $\mathcal{B}_1$  in which new injectives can arise. As we shall see in Corollary 5, the full subcategory  $\mathcal{C}_1$  of  $\mathcal{B}_1$ , whose objects are all weakly compactly generated spaces, is not such a subcategory.

**COROLLARY 2.**  *$B$  is injective in the category  $\mathcal{B}_1$  if and only if  $B$  is isometrically isomorphic to  $C(X)$ , for some extremally disconnected compact Hausdorff space  $X$ .*

*Proof.* One way is Theorem 1, Corollary 2. Conversely, suppose  $B$  is injective in  $\mathcal{B}_1$ .  $B$  is  $\mathfrak{N}$ -separable for some  $\mathfrak{N}$ , and also  $\mathfrak{N}$ -injective. Apply Corollary 1.

Corollary 2 is the full Goodner-Kelley-Nachbin characterization of injectives in  $\mathcal{B}_1$  [5], [7], [11]. Of course, Corollary 2 is a bit of a cheat, because the proof of Theorem 3 is essentially Kelley's proof (slightly modified) that each injective in  $\mathcal{B}_1$  is of type  $C(X)$ . However, the following corollary is somewhat more interesting because it may provide the first step toward a complete characterization of  $\mathfrak{N}$ -injectives.

**COROLLARY 3.** *Let  $B$  be an  $\mathfrak{N}$ -injective Banach space. Then  $B$  is the direct limit of its  $\mathfrak{N}$ -separable subspaces of type  $C(Y)$ .*

*Proof.* It suffices to prove that  $B$  is the union of such subspaces, and that if  $A$  and  $C$  are two such  $\mathfrak{N}$ -separable subspaces, then there is an  $\mathfrak{N}$ -separable subspace  $D$  of type  $C(Y)$  such that  $A \cup C \subseteq D$ . Both assertions follow immediately from Theorem 3.

I am indebted to Y. Benjamini for pointing out that Corollary 1 is actually strong enough to imply the following important result:

**COROLLARY 4.** *There are no  $\mathfrak{N}$ -injective, infinite dimensional, weakly compactly generated spaces.*

*Proof.* Suppose  $B$  is an  $\mathfrak{N}$ -injective space which is also infinite dimensional and weakly compactly generated. Let  $E$  be an infinite dimensional separable subspace of  $B$ . By a fundamental result of Lindenstrauss's, there exists a separable subspace  $D \cong E$  and a projection  $P: B \rightarrow D$  of norm 1 [10, pp. 170-171]. The existence of the projection  $P$  guarantees that  $D$  is  $\mathfrak{N}$ -injective. By Corollary 1,  $D$  is isometrically isomorphic to  $C(X)$ , where  $X$  is extremally disconnected and compact Hausdorff. Since  $C(X)$  is separable,  $X$  is metrizable. Thus  $X$  has finite cardinality, so  $D = C(X)$  is finite dimensional, which is impossible.

**COROLLARY 5.** *The only injectives in  $\mathcal{E}_1$  are already injective in the larger category  $\mathcal{B}_1$ , and are in fact finite dimensional.*

*Proof.* Let  $B$  be an injective in  $\mathcal{E}_1$ . Since  $\mathcal{E}_1$  contains every separable space,  $B$  is  $\mathfrak{N}_3$ -injective. Apply Corollary 4.

In conclusion, we would like to raise a question whose resolution probably awaits a complete characterization of  $N$ -injectives. Cohen showed that every Banach space has an injective envelope in the

category  $\mathcal{B}_1$  [1]. It would be interesting to know whether or not every Banach space also has an  $\mathcal{N}$ -injective envelope.

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Ralph Artino, <i>Gevrey classes and hypoelliptic boundary value problems</i> . . . . .	1
B. Aupetit, <i>Caractérisation spectrale des algèbres de Banach commutatives</i> . . . . .	23
Leon Bernstein, <i>Fundamental units and cycles in the period of real quadratic number fields. I</i> . . . . .	37
Leon Bernstein, <i>Fundamental units and cycles in the period of real quadratic number fields. II</i> . . . . .	63
Robert F. Brown, <i>Fixed points of automorphisms of compact Lie groups</i> . . . . .	79
Thomas Ashland Chapman, <i>Concordances of noncompact Hilbert cube manifolds</i> . . . . .	89
William C. Connett, V and Alan Schwartz, <i>Weak type multipliers for Hankel transforms</i> . . . . .	125
John Wayne Davenport, <i>Multipliers on a Banach algebra with a bounded approximate identity</i> . . . . .	131
Gustave Adam Efrogmson, <i>Substitution in Nash functions</i> . . . . .	137
John Sollion Hsia, <i>Representations by spinor genera</i> . . . . .	147
William George Kitto and Daniel Eliot Wulbert, <i>Korovkin approximations in <math>L_p</math>-spaces</i> . . . . .	153
Eric P. Kronstadt, <i>Interpolating sequences for functions satisfying a Lipschitz condition</i> . . . . .	169
Gary Douglas Jones and Samuel Murray Rankin, III, <i>Oscillation properties of certain self-adjoint differential equations of the fourth order</i> . . . . .	179
Takaši Kusano and Hiroshi Onose, <i>Nonoscillation theorems for differential equations with deviating argument</i> . . . . .	185
David C. Lantz, <i>Preservation of local properties and chain conditions in commutative group rings</i> . . . . .	193
Charles W. Neville, <i>Banach spaces with a restricted Hahn-Banach extension property</i> . . . . .	201
Norman Oler, <i>Spaces of discrete subsets of a locally compact group</i> . . . . .	213
Robert Olin, <i>Functional relationships between a subnormal operator and its minimal normal extension</i> . . . . .	221
Thomas Thornton Read, <i>Bounds and quantitative comparison theorems for nonoscillatory second order differential equations</i> . . . . .	231
Robert Horace Redfield, <i>Archimedean and basic elements in completely distributive lattice-ordered groups</i> . . . . .	247
Jeffery William Sanders, <i>Weighted Sidon sets</i> . . . . .	255
Aaron R. Todd, <i>Continuous linear images of pseudo-complete linear topological spaces</i> . . . . .	281
J. Jerry Uhl, Jr., <i>Norm attaining operators on <math>L^1[0, 1]</math> and the Radon-Nikodým property</i> . . . . .	293
William Jennings Wickless, <i>Abelian groups in which every endomorphism is a left multiplication</i> . . . . .	301