

Pacific Journal of Mathematics

**THE EXISTENCE OF NATURAL FIELD STRUCTURES FOR
FINITE DIMENSIONAL VECTOR SPACES OVER LOCAL
FIELDS**

MITCHELL HERBERT TAIBLESON

THE EXISTENCE OF NATURAL FIELD STRUCTURES FOR FINITE DIMENSIONAL VECTOR SPACES OVER LOCAL FIELDS

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Let K be a local field (e.g., a p -adic or p -series field) and n a positive integer. Let K' be the unique (up to isomorphism) unramified extension of K . It is shown that the natural (modular) norm of K' is the n th power of the usual (l^∞) vector space norm of K' when K' is viewed as an n -dimensional vector space over K . Further, the two distinct descriptions of the dual of K' (which is isomorphic to K') that arise from the field model and vector space model are isomorphic under a K -linear isomorphism of K' as a vector space over K , and the isomorphism is norm preserving.

1. If \mathbf{R}^n is n -dimensional Euclidean space and $n > 1$, then the only case for which \mathbf{R}^n has a (commutative) field structure is $n = 2$. In that case \mathbf{R}^2 can be identified as the additive group of \mathbf{C} , the complex numbers, and the norms for \mathbf{R}^2 and \mathbf{C} are compatible in the following sense: Let $(x, y) \in \mathbf{R}^2$ and consider the correspondence $(x, y) \leftrightarrow z = x + iy$. The norm of $(x, y) \in \mathbf{R}^2$ is $|z|_{\mathbf{R}^2} = |(x, y)|_{\mathbf{R}^2} = (x^2 + y^2)^{1/2}$. Let dz be Haar measure on \mathbf{C} . We define $N_c(w) = w\bar{w}$ and $\text{mod}_c(w)$ by the relation $d(wz) = \text{mod}_c(w)dz$. We obtain, as is well known: $|z|_{\mathbf{R}^2}^2 = N_c(z) = \text{mod}_c(z)$.

We will show that if K is a local field (e.g., if K is a p -adic field) and n is an integer greater than 1, then K^n , the n -dimensional vector space over K , has a field structure, as a local field, which is compatible with the usual vector space norm of K^n , in the same sense as above.

The reader is referred to [3; Ch. I] for a review of the basic facts about local fields and to [4; Chs. I-II] for many details and proofs.

2. Let K be a local field; which is to say a locally compact, nondiscrete field that is not connected. The K is totally disconnected. Such a field is either a p -adic field, a finite algebraic extension of a p -adic field or the field of formal Laurent series over a finite field. The ring of integers, \mathfrak{O} , in K is the unique maximal compact subring of K . The prime ideal, \mathfrak{P} , in \mathfrak{O} , is a maximal ideal that is principal, $\mathfrak{O}/\mathfrak{P} \cong GF(q)$, a finite field. There is a norm on K , $|\cdot|_K: K^* \rightarrow [0, \infty)$, such that $|x + y|_K \leq \max\{|x|_K, |y|_K\}$. (This is known as the ultrametric inequality.) $\mathfrak{O} = \{|x|_K \leq 1\}$. $\mathfrak{P} = \{|x|_K < 1\}$.

The group of units, \mathfrak{O}^* , in K^* (the multiplicative group of K) is $\{|x|_K = 1\}$. The norm, $|\cdot|_K$, arises naturally since $|y|_K = \text{mod}_K(y)$ where $\text{mod}_K(y)$ is the module of the endomorphism $x \rightarrow xy$; that is, $\text{mod}_K(0) = 0$ and if $y \neq 0$ then $d(yx) = \text{mod}_K(y)dx$, where dx is Haar measure on K^+ , the additive group of K . The n -dimensional vector space over K , K^n , is endowed with a norm as follows: $x = (x_1, \dots, x_n) \in K^n$, $|x|_{K^n} = \max_k |x_k|_K$. As Weil points out [4, Ch. II § 1], this norm is "natural" in the sense that any K -homogeneous, ultrametric norm on K^n gives rise to the same topology on K^n as $|\cdot|_{K^n}$.

Let n be a positive integer, $n \geq 2$. If $x \in K^*$ then $|x|_K = q^k$ for some $k \in \mathbb{Z}$. Furthermore, the principal ideal \mathfrak{P} is generated by $\mathfrak{p} = \mathfrak{P}$, $|\mathfrak{p}|_K = q^{-1}$. The polynomial $x^n - \mathfrak{p}$ is clearly irreducible over K since if x is a root $|x|_K = q^{-1/n}$, which is impossible. Thus, there is an algebraic field extension of K of degree n for all n .

Let $K[\tau]$ be a given finite algebraic field extension of K of degree n . $K[\tau]$ is a local field and is endowed with an (analytically) natural norm, $\text{mod}_{K[\tau]}(\cdot)$. We note that if $y \in K$ then $\text{mod}_{K[\tau]}(y) = |y|_K^n$ [4; p. 6]. If $K[\tau]$ is normal over K then $K[\tau]$ is also endowed with an (algebraically) natural norm as follows: Let A be the automorphism group of $K[\tau]$ over K . Then one defines the norm function $N(y) = \prod_{\alpha \in A} \alpha(y)$. $N(y) \in K$ for all $y \in K[\tau]$ and the norm is defined by $x \rightarrow |N(x)|_K$. Clearly, if $x \in K$, $|N(x)|_K = |x|_K^n$. In fact, as is well known, $|N(x)|_K = \text{mod}_{K[\tau]}(x)$ for all $x \in K[\tau]$. This follows easily from the observation that if $x \in K[\tau]$ and $\alpha \in A$, $\text{mod}_{K[\tau]}(\alpha(x)) = \text{mod}_{K[\tau]}(x)$ since automorphisms of local fields have module 1 [4; p. 14].

$$\begin{aligned} |N(x)|_K &= \{\text{mod}_{K[\tau]}(N(x))\}^{1/n} \\ &= \{\text{mod}_{K[\tau]}(\prod_{\alpha \in A} \alpha(x))\}^{1/n} \\ &= \{\prod_{\alpha \in A} \text{mod}_{K[\tau]}(\alpha(x))\}^{1/n} \\ &= \{\text{mod}_{K[\tau]}(x)\}^{n \cdot 1/n} = \text{mod}_{K[\tau]}(x). \end{aligned}$$

If $x \in K[\tau]$, $x = x_1 + x_2\tau + \dots + x_n\tau^{n-1}$, $x_k \in K$. The correspondence $x_1 + \dots + x_n\tau^{n-1} \leftrightarrow (x_1, \dots, x_n)$ is a linear isomorphism of $K[\tau]$ and K^n as vector spaces over K . Using that isomorphism we will denote each element in the corresponding pair with the single symbol x . It would be nice to find an extension $K[\tau]$ of degree n such that $\text{mod}_{K[\tau]}(x) = |x|_{K^n}^n = \max_k |x_k|_K^n$. (Note that this holds for all $x \in K$.)

We can do this with the aid of Corollaries 2-3 in Chapter III § 4 of Weil's book, Basic Number Theory [4]. According to these results, if K is a local field, $n \geq 2$ is an integer and $\mathfrak{O}/\mathfrak{P} \cong GF(q)$ where q is a power of a prime p , then there is a field K' which

is the unique (up to isomorphism) unramified extension of K of degree n , and K' is a cyclic Galois extension of K , $K' = K[\tau]$ where τ is a root of unity (of order prime to p).

We denote $\mathfrak{D}, \mathfrak{D}'$ the rings of integers of K and K' ; $\mathfrak{P}, \mathfrak{P}'$ the prime ideals of \mathfrak{D} and \mathfrak{D}' and we let $\mathfrak{k} = \mathfrak{D}/\mathfrak{P}, \mathfrak{k}' = \mathfrak{D}'/\mathfrak{P}'$. From the two corollaries we obtain that $\mathfrak{k}' = \mathfrak{k}[\rho'(\tau)]$ where ρ' is the canonical homomorphism of K' onto \mathfrak{k}' and that \mathfrak{k}' is an extension of \mathfrak{k} of degree n .

THEOREM. *Let $K' = K[\tau]$ be the unramified extension of K of degree n . Then $|N(x)|_K = \text{mod}_{K'}(x) = |x|_K^n$ for all $x \in K'$.*

It has been suggested that this theorem is well-known to experts. However, no one has yet been able to give a reference for the second of the two equalities. Since this is needed for the applications in § 3 I will sketch a proof.

Proof. Since K' is normal over K we only need to show the second equality; namely,

$$\text{mod}_{K'}(x_1 + x_2\tau + \cdots + x_n\tau^{n-1}) = \max_k [\text{mod}_K(x_k)]^n.$$

(a) $\forall x \in K, \text{mod}_{K'}(x) = [\text{mod}_K(x)]^n$. See [4; p. 6]

(b) $\text{mod}_{K'}(\tau) = 1$. Note that τ is a root of unity.

(c) $\text{mod}_{K'}(x) \leq \max_k [\text{mod}_K(x_k)]^n$. Use the fact that $\text{mod}_{K'}(\cdot)$ is ultrametric and apply (a) and (b).

(d) We may assume, without loss of generality, that $\max_k [\text{mod}_K(x_k)] = 1$ and that at least two coefficients $x_k, x_l, k \neq l$ are such that $\text{mod}_K(x_k) = \text{mod}_K(x_l) = 1$.

The reduction to $\max_k [\text{mod}_K(x_k)] = 1$ is by homogeneity. If there is only one coefficient x_k (say $k = 1$) such that $\text{mod}_K(x_k) = 1$ then the result follows from the ultrametric inequality. For suppose $\text{mod}_K(x_1) = 1$ and $\text{mod}_K(x_k) < 1, k \neq 1$. Then from (c) $\text{mod}_{K'}(x_2\tau + \cdots + x_n\tau^{n-1}) < 1$ and from (a) $\text{mod}_{K'}(x_1) = 1$. An easy consequence of the ultrametric inequality is that if $|y_1| \neq |y_2|$ then $\text{mod}_{K'}(y_1 + y_2) = \max[\text{mod}_{K'}(y_1), \text{mod}_{K'}(y_2)]$. Thus $\text{mod}_{K'}(x) = \text{mod}_{K'}(x_1) = 1$.

Hence our result is proved if we show, under the assumptions of (d) that $\text{mod}_{K'}(x) < 1$ will lead to a contradiction.

(e) $\text{mod}_{K'}(x) < 1$ iff $\rho'(x) = 0$. Use the characterization: $\mathfrak{P}' = \{x: \text{mod}_{K'}(x) < 1\}$.

(f) $\rho'(x)$ is a polynomial in $\rho'(\tau)$ with coefficients in \mathfrak{k} , it is of degree less than n and has at least two nonzero coefficients. This follows from (d) and the remarks preceding the theorem.

(g) The desired contradiction follows from (e) and (f). If $\text{mod}_{K'}(x) < 1$ then $\rho'(\tau)$ is the root of a monic polynomial over \mathfrak{k} of

degree less than n . This implies that $[\mathfrak{f}':\mathfrak{f}] < n$, but $[\mathfrak{f}':\mathfrak{f}] = n$. Hence $\text{mod}_{K'}(x) = 1$, which proves the theorem.

3. We now give a few simple consequences of the theorem in § 2.

Throughout this section K is a fixed local field with norm: $|x|_K = \text{mod}_K(x)$, n is an integer greater than 1, $K' = K[\tau]$ is the unramified extension of K of degree n with norm: $|x|_{K'} = \text{mod}_{K'}(x)$, K^n is the n -dimensional vector space over K with norm $|x|_{K^n} = \max_k |x_k|_K$, $x = (x_1, \dots, x_n)$, $x_k \in K$. As in § 2 if $x \in K' = K[\tau]$ we have $x = x_1 + \dots + x_n \tau^{n-1}$ and we identify

$$(x \in K') \longleftrightarrow (x = (x_1, \dots, x_n) \in K^n) \text{ so that } |x|_{K'} = |x|_{K^n}^n.$$

We recall that if \mathfrak{D} is the ring of integers in K , and \mathfrak{P} is the prime ideal in \mathfrak{D} then $\mathfrak{D}/\mathfrak{P} \cong GF(q)$, a finite field. We also have the fractional ideals $\mathfrak{P}^k = \{|x|_K \leq q^{-k}\}$, $k \in \mathbf{Z}$.

In K' we proceed in the same way. Let R be the ring of integers in K' , P the prime ideal in R so $R/P \cong GF(q^n)$. The fractional ideals are $P^k = \{|x|_{K'} \leq (q^n)^{-k}\}$. We note that $R = P^0$, $P = P^1$. Details may be found in [3; Ch. I § 5].

For the vector space K^n one defines a neighborhood system at 0, with the collection of balls with centers at the origin. Namely, we set $P_1^k = \{|x|_{K^n} \leq q^{-k}\}$ and then let $R_1 = P_1^0$ and $P_1 = P_1^1$. From the fact that $|x|_{K'} = |x|_{K^n}^n$ it follows that $P_1^k = P^k$ for all $k \in \mathbf{Z}$ and hence $R_1 = R$, $P_1 = P$. Consequently we drop the subscripts. See [3; ch. III § 1] for details of this construction for K^n .

As additive groups (and as n -dimensional vector spaces over K), K' and K^n agree so additive harmonic analysis, Haar measure, etc., all agree on these two different models for K^n . We now examine the two different descriptions of the dual of K^n that arise from the two models.

We fix a character on K^+ that is trivial on \mathfrak{D} , but is nontrivial on \mathfrak{P}^{-1} . This character is denoted χ . (See [3; Ch. I § 5] for details.) The dual of K^n is put into a linear isomorphism with K^n , as a vector space over K , by the identification $y \leftrightarrow \chi_y^1$, $\chi_y^1(x) = \chi(x \cdot y) = \chi(x_1 y_1 + \dots + x_n y_n)$.

The dual of K' (as an additive group) is put into a linear isomorphism with the additive group of K' as follows: One first defines the trace function, $Tr(x) = \sum_{\alpha \in A} \alpha(x)$, where A is the automorphism group of K' over K . It is known that Tr maps K' onto K [4; p. 139] and since K' is unramified over K we have that Tr maps P^k onto \mathfrak{P}^k for all k [4; p. 141]. The dual of K' is then identified with K' by the correspondence $y \leftrightarrow \chi_y^2$, $\chi_y^2(x) = \chi(Tr(xy))$.

Thus, given any $y \in K'$, there is an $L(y) \in K'$ such that $\chi_y^1 = \chi_{L(y)}^2$, which is to say

$$\chi(x_1y_1 + \dots + x_2y_2) = \chi(Tr(xL(y))) \text{ for all } x \in K',$$

and the map $y \leftrightarrow L(y)$ is a K -linear isomorphism of K' (or, more properly, of the dual of the additive group of K'). Moreover, this linear map preserves the norm of y ; that is, $|L(y)|_{K'} = |y|_{K'}$ for all $y \in K'$.

We first note that $\chi_y^1 \equiv 1$ iff $y = 0$ and if $|y|_{K'} = q^{kn}$, then χ_y^1 is trivial on P^k but is nontrivial on P^{k-1} . (See [3; Ch. III § 1].) From the fact that Tr maps P^k onto \mathfrak{P}^k and the fact that χ is trivial on \mathfrak{D} but is nontrivial on \mathfrak{P}^{-1} we see that $\chi_{L(y)}^2 \equiv 1$ iff $L(y) = 0$ and that if $|L(y)|_{K'} = q^{l'n}$, then $\chi_{L(y)}^2$ is trivial on P^l but is nontrivial on P^{l-1} . Thus, $|L(y)|_{K'} = |y|_{K'}$.

Therefore, these two representations of the dual of K' as an additive group have the same induced norm and hence the same induced metric.

Note also that the prime ideal P is generated by any element $p \in P$ such that $|p|_{K'} = q^{-n}$. \mathfrak{P} is generated by $\mathfrak{p} \in \mathfrak{P}$, where $|\mathfrak{p}|_K = q^{-1}$. But $\mathfrak{p} \in P$ and $|\mathfrak{p}|_{K'} = |\mathfrak{p}|_K^n = q^{-n}$, so P is generated in R by the same element, \mathfrak{p} , that generates \mathfrak{P} in \mathfrak{D} .

These last few results are simply the working out of notational consequences of the identity $|x|_{K^n}^n = |x|_{K'}$.

When we study Calderón-Zygmund kernels on K we look at functions of the form $\Omega(x)/|x|_K$ where $\Omega(x)$ is homogeneous of degree 0 in the sense that $\Omega(\mathfrak{p}^kx) = \Omega(x)$, $\forall x \in K$, $k \in \mathbb{Z}$ [3; Ch. VI § 4]. Thus, on K' we examine functions of the form $\Omega(x)/|x|_{K'}$ where Ω is homogeneous of degree 0 in the sense that $\Omega(\mathfrak{p}^kx) = \Omega(x)$ for all $x \in K'$, $k \in \mathbb{Z}$ and “ \mathfrak{p}^kx ” is multiplication of $x \in K'$ by $\mathfrak{p}^k \in K'$.

When we examine such kernels on K^n , the functions are of the form $\Omega(x)/|x|_{K^n}^n$ where Ω is homogeneous of degree zero in the sense that $\Omega(\mathfrak{p}^kx) = \Omega(x)$ for all $x \in K^n$, $k \in \mathbb{Z}$ and “ \mathfrak{p}^kx ” is scalar multiplication of $x \in K^n$ by $\mathfrak{p}^k \in K$. But these two “multiplications” agree and since $|x|_{K^n}^n = |x|_{K'}$ the classes of kernels that would arise from these two approaches to K^n are the same class.

We will continue the analysis of these kernels a little further. Note that $R^* = \{|x|_{K'} = 1\}$ is a multiplicative group. It is the group of units in $(K')^*$. We consider (as in [2] and [3; Ch. II § 4]) the collection $\{\pi_{kl}\}_{k=0, l \geq 0}^\infty$ of unitary multiplicative characters on R^* , where π_{kl} is ramified of degree k and $l_k = q^{kn}(1 - q^{-n})^2$, $k \geq 2$, $l_0 = 1$, $l_1 = q^n - 2$. $\{(1 - q^{-n})\pi_{kl}\}$ is a complete orthonormal system on R^* and π_{kl} is the local field analogue of a spherical harmonic of degree k .

Consider $\Omega(x)/|x|_{K^n}^n$ as above with $\int_{R^*} \Omega(x)dx = 0$. Then Ω can be considered as a function on R^* and we may write, formally,

$$\Omega(x) \sim \sum_{k=1}^{\infty} \sum_{l=1}^{l_k} c_{kl} \pi_{kl}(x) \text{ and so}$$

$$\Omega(x)/|x|_{K^n}^n \sim \sum \sum c_{kl} \pi_{kl}(x)/|x|_{K^n}.$$

The Fourier transform of the principal value distribution induced by $\Omega(x)/|x|_{K^n}$ is a function which is homogeneous of degree zero. Call that function $\hat{\Omega}$. Using the results for the gamma function [3; Ch. II §5] it is easy to see that $\hat{\Omega}(x) \sim \sum \sum c_{kl} \Gamma(\pi_{kl}) \pi_{kl}^{-1}(x)$. That is, the map $\Omega \rightarrow \hat{\Omega}$ is essentially, a multiplier transform on the group R^* and the behaviour of the operator depends on the properties of the distribution $M(x) \sim \sum_{k>1} \Gamma(\pi_{kl}^{-1}) \pi_{kl}(x)$.

If convolution by the principal value distribution induced by $\Omega(x)/|x|_{K^n}$ is a bounded operator on any L^p space, then it is bounded on L^2 and this implies that $\hat{\Omega}$ is bounded. What conditions on Ω imply that $\hat{\Omega}$ is bounded? By the usual arguments for multipliers we see that $\hat{\Omega}$ is bounded whenever $\Omega \in L^2(R^*)$ implies that $M \in L^2(R^*)$. But $|\Gamma(\pi_{kl})| = q^{-kn/2}$ [3; Ch. II §5] and since $l_k = q^{kn}(1 - q^{-n})^{-2}$, $k \geq 2$, we see that $M \notin L^2(R^*)$. (See [2] for details and extensions.)

Similarly $\hat{\Omega}$ is bounded whenever $\Omega \in L^\infty(R^*)$ implies that M is a finite Borel measure. When q is odd, a careful examination shows that M is not a finite Borel measure and thus the singular integral operator $f \rightarrow f^*(P.V. \Omega(x)/|x|_{K^n})$ is not necessarily bounded on $L^2(K')$ when $\Omega \in L^\infty(R^*)$. The same result also follows for Ω continuous on R^* . (This is the essential part of Daley's argument in [1].)

As a final example, we state an especially simple F . and M . Riesz theorem for K^n . Let q be odd, $\mathfrak{D}/\mathfrak{P} \cong GF(q)$ and n be any positive integer. Then there is a singular integral operator of the Calderón-Zygmund type, $f \rightarrow \tilde{f} = f^*(P.V. \Omega(x)/|x|_{K^n}^n)$ with the following property. If μ is a finite Borel measure and $\tilde{\mu}$ is a finite Borel measure, then μ is absolutely continuous. Viewed from the perspective of K' we choose $\Omega(x) = \pi(x)$ where π is any unitary character on R^* , π ramified of degree 1, homogeneous of degree 0 and odd. This was shown by Chao for $n = 1$ [3; Ch. VII §3].

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