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INFINITE GALOIS THEORY FOR COMMUTATIVE RINGS

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Let S be a commutative ring with identity. A group G of automorphisms of S is called **locally finite**, if for each $s \in S$, the set $\{\sigma(s) : \sigma \in G\}$ is finite. Let R be the subring of G -invariant elements of S . An R -algebra T is called **locally separable** if every finite subset of T is contained in an R -separable subalgebra of T . For an R -separable subalgebra T of S and for G a locally finite group of automorphisms it is shown that T is the fixed ring for a group of automorphisms of S . If, in addition, it is assumed that S has finitely many idempotent elements, then it is shown that any locally separable subring T of S is the fixed ring for a locally finite group of automorphisms of S . Examples are included which show the scope of these theorems.

As in [6] the closure of G with respect to a G -stable subalgebra E of the Boolean algebra of all idempotent elements of S is the set of all automorphisms ρ of S for which there exist a positive integer n and idempotents $e_i \in E$ and automorphisms $\sigma_i \in G$, such that $\bigcup_{i=1}^n e_i = 1$ and $e_i \cdot \rho = e_i \cdot \sigma_i$ for $1 \leq i \leq n$. The closure of G with respect to the set of all idempotent elements of S will be called the Boolean closure of G .

1. Infinite Galois theory. Throughout this section, G will be a locally finite group of automorphisms of a commutative ring S and R will be the subring of G -invariant elements of S . The following definition will be needed in §3.

DEFINITION. A ring S is called a Galois extension of a ring R with Galois group H if H is finite with $R = S^H$, and if there exist a positive integer n and elements x_i, y_i of S , $1 \leq i \leq n$, such that $\sum_{i=1}^n x_i \sigma(y_i) = \delta_{1,\sigma}$ for all $\sigma \in H$.

LEMMA 1.1. *Let G be a locally finite group of automorphisms of S with $R = S^G$. If T is an R -separable subalgebra of S and $H = \{\sigma \in G \mid \sigma|_T = 1_T\}$, then $[G : H] < \infty$.*

Proof. Let $\sum_{i=1}^n x_i \otimes y_i$ be a separability idempotent for T over R . Then $\sum_{i=1}^n x_i y_i = 1$, and, for every $t \in T$, $\sum_{i=1}^n t \cdot x_i \otimes y_i = \sum_{i=1}^n x_i \otimes y_i t$ in $T \otimes_R T$ [4]. Let $K = \{\sigma \in G : \sigma(y_i) = y_i, 1 \leq i \leq n\}$. Then $H \subseteq K$. But if $\sigma \in K$ and $t \in T$, then

$$\begin{aligned}
\sigma(t) &= \left(\sum_{i=1}^n x_i y_i \right) \cdot \sigma(t) = \pi \circ (1 \otimes \sigma) \left(\sum_{i=1}^n x_i \otimes y_i t \right) \\
&= \pi \circ (1 \otimes \sigma) \left(\sum_{i=1}^n t x_i \otimes y_i \right) \\
&= \pi \left(\sum_{i=1}^n t x_i \otimes y_i \right) = \sum_{i=1}^n t x_i y_i = t,
\end{aligned}$$

where π is the ring multiplication for T . So $\sigma \in H$ and $H = K$. But $K = \bigcap_{i=1}^n K_i$ where $K_i = \{\sigma \in G : \sigma(y_i) = y_i\}$. Since G is locally finite, $[G : K_i] < \infty$ for $1 \leq i \leq n$. So K , and hence H , has finite index in G .

THEOREM 1.1. *Let G be locally finite with $R = S^G$ and let T be an R -separable subalgebra of S . Then there is an R -separable subalgebra T' of S containing T which is G -stable. Moreover, G restricts to a finite group of automorphisms of T' .*

Proof. Let $H = \{\sigma \in G : \sigma|_T = 1_T\}$. Then by Lemma 1.1 $[G : H]$ is finite, i.e., G/H has finitely many elements, say $\sigma_1 H, \dots, \sigma_k H$. Then $\prod_{\sigma \in G} \sigma(T) = \prod_{i=1}^k \sigma_i(T)$. Since T is R -separable, $\sigma(T)$ is R -separable for $\sigma \in G$. Since $\prod_{i=1}^k \sigma_i(T)$ is a homomorphic image of the tensor product of the $\sigma_i(T)$, it follows from [1, Propositions 1.4, 1.5] that $\prod_{i=1}^k \sigma_i(T)$ is an R -separable subalgebra of S . Let $T' = \prod_{\sigma \in G} \sigma(T)$. Then $T \subseteq T'$ and T' is G -stable. The moreover statement follows from Lemma 1.1 applied to T' .

COROLLARY 1.1. *If G is locally finite with $R = S^G$ and T is R -separable, then T is finitely generated and projective as an R -module.*

Proof. By the Theorem $T \subseteq T'$ where T' is R -separable and G restricts to a finite group of automorphisms of T' . The corollary follows from the Theorem of [6].

OBSERVATION. Suppose T is an R -separable subalgebra of S and let $s \in S \setminus T$. If S' denotes the subring of S generated by s and T , then S' is generated as an R -algebra by $\{s, t_1, \dots, t_n\}$ where t_1, \dots, t_n are the R -module generators of T . So if $\sigma \in G$, $\sigma(S')$ is determined by $\sigma(s), \sigma(t_1), \dots, \sigma(t_n)$. Since G is locally finite it follows that S' has only finitely many distinct images under G , say $\sigma_1(S'), \dots, \sigma_l(S')$. Let $T' = \prod_{\sigma \in G} \sigma(S')$. Then $T' = \prod_{i=1}^l \sigma_i(S')$ and T' is generated as an R -algebra by $\{\sigma(s), \sigma(t_1), \dots, \sigma(t_n) : \sigma \in G\}$ which is finite since G is locally finite. T' is also G -stable. If $K = \{\sigma \in G : \sigma|_{T'} = 1_{T'}\}$, then K is precisely the set of all σ in G which leave every R -algebra generator of T' fixed. Since G is

locally finite, this latter group has finite index in G . So G restricts to a finite group of automorphisms of T' . So T' is a G -stable subalgebra of S containing s and T , and G restricts to a finite group on T' .

COROLLARY 1.2. *If G is locally finite with $R = S^G$ and T is an R -separable subalgebra of S , then there is a subgroup H of \bar{G} with $T = S^H$ where \bar{G} denotes the Boolean closure of G .*

Proof. Let $s \in S \setminus T$. By the observation there is a G -stable subalgebra T' of S containing s and T , and $G|_{T'}$ is finite. By the Theorem of [6] there is a finite subgroup K of the closure of $G|_{T'}$ with respect to the idempotent elements of T' such that $T = (T')^K$. In particular, there is $\rho \in K$ such that $\rho(s) \neq s$. By Proposition 2 of [6] this element ρ of K is of the form $\rho = \sum_{i=1}^n e_i(\sigma_i)|_{T'}$ where $E = \{e_1, \dots, e_n\}$ is a $G|_{T'}$ -stable set of pairwise orthogonal idempotent elements of T' such that $\sum_{i=1}^n e_i = 1$. Since T' is G -stable, it follows from Propositions 1 and 2 of [6] that $\sum_{i=1}^n e_i \sigma_i$ is an element of \bar{G} . But $(\sum_{i=1}^n e_i \sigma_i)(s) = \rho(s) \neq s$. Since s was any element of $S \setminus T$, it follows that $T = S^H$ for $H = \{\sigma \in \bar{G} : \sigma|_T = 1_T\}$.

It should be noted here that none of the preceding results has had any restriction on the number of idempotent elements in the ring S . In Theorem 1.2 below it is assumed that S has only finitely many idempotent elements. Example 2 in §3 of this paper shows that this assumption is needed.

The proof of Theorem 1.2 requires that the Krull topology be placed on $S^S = \text{Map}(S, S)$, the set of single-valued mappings of S into itself. If H is a group of automorphisms of S and f is an element of the closure of H in S^S with respect to the Krull topology and s and t are elements of S , then there is $\sigma \in H$ such that $\sigma(s) = f(s)$, $\sigma(t) = f(t)$, $\sigma(s+t) = f(s+t)$, $\sigma(s \cdot t) = f(s \cdot t)$. Since $\sigma(s+t) = \sigma(s) + \sigma(t)$ and $\sigma(s \cdot t) = \sigma(s) \cdot \sigma(t)$, the same properties hold for f and it follows that f is in fact a ring homomorphism of S . Taking $s \neq t$ in the above argument also shows f is a monomorphism. If H is also locally finite and $y \in S$, then $\{\sigma(y) | \sigma \in H\}$ is finite, say $\{\sigma(y) | \sigma \in H\} = \{s_1, \dots, s_n\}$. So there is an element $\sigma \in H$, with $\sigma(s_i) = f(s_i)$, $1 \leq i \leq n$. Since $\sigma^{-1} \in H$, there is a j , $1 \leq j \leq n$, with $\sigma^{-1}(y) = s_j$. Then $f(s_j) = \sigma(s_j) = y$ and f is an automorphism of S . If (x_1, \dots, x_k) are any k elements of S , there is $\sigma \in H$ such that $\sigma(f^{-1}(x_i)) = x_i$ because $f(f^{-1}(x_i)) = x_i$, $1 \leq i \leq k$. So $f^{-1}(x_i) = \sigma^{-1}(x_i)$, each i , and it follows that f^{-1} is also in the closure of H . It now follows readily that the closure of a locally finite group of automorphisms of S is again a locally finite group of automorphisms of S .

THEOREM 1.2. *Let G be a locally finite group of automorphisms of S with $R = S^G$. Assume S has only finitely many idempotent elements. If T is*

a locally separable R -subalgebra of S , then there is a locally finite group H of automorphisms of S with $T = S^H$.

Proof. Let \bar{G} be the closure of G with respect to the Boolean algebra of all idempotent elements of S . Since S has only finitely many idempotent elements, \bar{G} is a locally finite group of automorphisms of S . Let \bar{G}^c be the closure of \bar{G} in the Krull topology on S^s . \bar{G}^c is a locally finite group of automorphisms of S , and the usual argument shows that \bar{G}^c is compact. Now take $y \in S \setminus T$. For $t \in T$, let $A_t = \{\sigma \in \bar{G}^c : \sigma(t) = t, \sigma(y) \neq y\}$. Since T is locally separable, Corollary 1.2 can be applied to show that if t_1, \dots, t_n are any elements of T , then $\bigcap_{i=1}^n A_{t_i} = \{\sigma \in \bar{G}^c : \sigma(t_i) = t_i, 1 \leq i \leq n, \sigma(y) \neq y\} \neq \emptyset$. So $\{A_t\}_{t \in T}$ is a collection of closed subsets of \bar{G}^c which have the finite intersection property. Since \bar{G}^c is compact, it follows that $\bigcap_{t \in T} A_t \neq \emptyset$. So there exists $\sigma \in \bar{G}^c$ such that $\sigma|_T = 1_T$ and $\sigma(y) \neq y$. Letting $H = \{\sigma \in \bar{G}^c : \sigma|_T = 1_T\}$, $T = S^H$ and H is locally finite since it is a subgroup of \bar{G}^c .

THEOREM 1.3. *Let G be a locally finite group of automorphisms of S with $R = S^G$. Let S be locally separable over R with finitely many idempotents. Then an R -subalgebra T of S is the fixed ring of a locally finite group of automorphisms of S if and only if T is locally separable.*

Proof. The implication one way follows from Theorem 1.2.

Now let H be a locally finite group of automorphisms of S with $T = S^H$. Let $\{t_1, \dots, t_n\}$ be a finite subset of T . Since S is locally separable, there exists an R -separable subalgebra S' of S such that $\{t_1, \dots, t_n\} \subseteq S'$. Let $S'' = \Pi_{\sigma \in H} \sigma(S')$ be the subalgebra of S generated by $\{\sigma(S') : \sigma \in H\}$. Then, as in the proof of Theorem 1.1, S'' is an R -separable subalgebra of S , and S'' is clearly H -stable. By Corollary 1.1, S'' is also finitely generated and projective as an R -module. Corollary 1.2 now says that $S'' = S^J$, where $J = \text{Aut}_{S''}(S)$. Proceeding now as in the proof Theorem 1.10(b) of [9], it can be shown that $S'' \cap S^H$ is a separable R -algebra. But $S^H = T$, so $S'' \cap S^H = S'' \cap T \supseteq S' \cap T \supseteq \{t_1, \dots, t_n\}$. Therefore, T is locally separable.

It has been noted that Theorem 1.2 has the hypothesis that the ring S have only finitely many idempotent elements. This hypothesis was used in the proof of Theorem 1.2 to show that the group \bar{G} was a locally finite group. The following question naturally arises: Is there some weaker condition on S which will give \bar{G} locally finite? Theorem 1.4, below, answers this question negatively in the case where the ring R has no nontrivial idempotent elements.

In the following, weakly Galois is used as in definition 3.1 of [11], and \bar{G} is the Boolean closure of G .

LEMMA 1.4. *Let S be weakly Galois over R with $R = S^G$ and G a finite group of automorphisms of S . Suppose R is connected, i.e., R has no nontrivial idempotents. Let T be an R -separable subalgebra of S , such that T is \bar{G} -stable. Then either T is connected or T contains all the idempotent elements of S .*

Proof. Since \bar{G} is its own Boolean closure in S , it follows by (3.9 d), p. 93 of [11] that $\bar{G} = \text{Aut}_R(S)$. So T is normal in the sense of Definition 2.1 of [9], and the lemma follows from Proposition 2.3 of [9].

THEOREM 1.4. *Assume R is connected and let S be a locally separable R -algebra with $R = S^G$, where G is a locally finite group of automorphisms. Then S has finitely many idempotent elements if and only if \bar{G} is locally finite.*

Proof. If S has finitely many idempotent elements, then it is clear that \bar{G} is locally finite since G is locally finite. Conversely, suppose \bar{G} is locally finite. Let e be a nontrivial idempotent element in S . Let T be a separable subalgebra of S containing e . Let $T' = \prod_{\sigma \in \bar{G}} \sigma(T)$. Then T' is a separable subalgebra of S since \bar{G} is locally finite. Let f be any other idempotent element in S . As with T' above, there is a separable subalgebra U of S containing both T' and f which is also \bar{G} -stable. The locally finite group \bar{G} induces a finite group of automorphisms on the separable subalgebra U . So U is weakly Galois over R , and it follows from Lemma 1.4 that T' contains all the idempotent elements in U . In particular, T' contains f . T' then contains all the idempotent elements in S . But since T' is weakly Galois over the connected ring R , T' can contain only finitely many idempotent elements (Theorem 2.1 gives an easy proof of this).

2. Applications to the finite Galois theory. In this section it will be assumed that S is a commutative ring and G is a finite group of automorphisms of S . Since a finite group is clearly locally finite, an attempt will be made to apply some of the results of §1 to the case where G is in fact a finite group. R will again be the subring of G -invariant elements of S . Lemma 2.1, and Theorem 2.1 belong to the author's major professor, H. F. Kreimer, and are included here with his permission. They show that S has finitely many idempotents if, and only if, R has finitely many idempotents.

Note that if p is a prime ideal of R then it follows by [2, Ch. 5, §2, Thm. 2] that G acts transitively on the set of prime ideals of S which lie

over p . Since G is finite, it can also be concluded that the set of prime ideals of S which lie over a given prime ideal of R is finite.

DEFINITION. A commutative ring will be called semi-local if it has only finitely many maximal ideals.

LEMMA 2.1. S is semi-local if, and only if, R is semi-local.

Proof. If M is a maximal ideal of S , then $R \cap M$ is a maximal ideal of R , and if m is a maximal ideal of R , then there exists a maximal ideal of S which lies over m by [2, Chapter 5, §2, Prop. 1 and Thm. 1]. So if S is semi-local, R is also. Also, only a finite number of maximal ideals of S can lie over a given maximal ideal of R . So S is semi-local if R is semi-local.

THEOREM 2.1. S has finitely many idempotent elements if, and only if, R has finitely many idempotent elements.

Proof. It is clear, of course, that R has finitely many idempotent elements if S does. If E is the Boolean algebra of all idempotent elements of S , then the elements of G restrict to automorphisms of E and the subset of G -invariant elements of E is the Boolean algebra of idempotent elements of R . The theorem is an immediate consequence of Lemma 2.1 and the fact that a Boolean algebra is semi-local if and only if it is finite by Stone's Representation Theorem [10, p. 351].

LEMMA 2.2. If R has finitely many idempotent elements and G is a finite group of automorphisms of S such that $R = S^G$, then there is a unique maximal R -separable subalgebra of S .

Proof. Since R has only finitely many idempotent elements, S has only finitely many idempotent elements by Theorem 2.1. Let \bar{G} be the closure of G with respect to the Boolean algebra of idempotent elements of S . Then \bar{G} is a finite group. For an R -algebra T such that $R \subseteq T \subseteq S$ and T is separable over R , let $H(T) = \{\sigma \in \bar{G} : \sigma|_T = 1_T\}$. By Corollary 1.2, $T = S^{H(T)}$. Pick an R -separable subalgebra T_0 of S such that $H(T_0)$ has smallest order. Let T be any R -separable subalgebra of S . Then $T \cdot T_0$ is a separable subalgebra of S containing T_0 , hence $H(T \cdot T_0) \subseteq H(T_0)$. But $|H(T_0)| \leq |H(T \cdot T_0)|$. Therefore, $H(T \cdot T_0) = H(T_0)$ and $T \subseteq T \cdot T_0 = S^{H(T \cdot T_0)} = T_0$. It follows then that T_0 is the unique maximal separable subalgebra of S .

It can be noted here that it is a straightforward Zorn's lemma exercise to show that S always contains a maximal locally separable

subalgebra, and this requires no restrictions on the number of idempotent elements of S .

THEOREM 2.2. *If R has finitely many idempotent elements and G is a finite group of automorphisms of S such that $R = S^G$, then every locally separable subalgebra of S is in fact separable over R .*

Proof. S has only finitely many idempotent elements by Theorem 2.1. Lemma 2.2 says that S contains a unique maximal R -separable subalgebra, say T_0 . Let T be any locally separable subalgebra of S . If t is any element of T then t is contained in some R -separable subalgebra of T , say T' . But $T' \subseteq T_0$ by the maximality of T_0 . So $t \in T_0$ and, hence, $T \subseteq T_0$. Since G is finite and S has only finitely many idempotent elements, Theorem 1.2 can be used to show that there is a finite group H of automorphisms of S with T as fixed ring, i.e., $T = S^H$. Since the image of T_0 under an automorphism of S would be separable, T_0 must be H -stable. So H can be considered as a finite group of automorphisms of T_0 and $T_0^H = S^H = T$. By Theorem 1.1 and Corollary 1.1, T_0 is weakly Galois over R . T is then separable over R by [11, 3.10, p. 93].

3. Examples. In this section three examples are given in an attempt to show that the major results of §§1 and 2 are in some sense as sharp as might be hoped for.

EXAMPLE 1. Corollary 1.2 shows that if G is locally finite with $R = S^G$, then a separable intermediate algebra T is the fixed ring for a subgroup H of the closure of G with respect to a certain collection of idempotent elements. This example shows that, in general, G must be enlarged in order to find the group H , even in the rather nice case where S is a Galois extension of R . Rings R, S, T are given such that $R \subseteq T \subseteq S$, S is Galois over R , T is separable over R , and T is not the fixed ring of a subgroup of *any* Galois group for S over R , where a Galois group is a group for which statement (b) of Theorem 1.3 of [3] is satisfied.

Let \mathbf{C} be the field of complex numbers and let \mathbf{R} be the field of real numbers. All tensoring here will be done over the ring \mathbf{R} . Since \mathbf{C} is a Galois extension of \mathbf{R} , $\mathbf{C} \otimes \mathbf{C}$ is a Galois extension of \mathbf{R} . A Galois group for $\mathbf{C} \otimes \mathbf{C}$ over \mathbf{R} is $G = \{1 \otimes 1, 1 \otimes \sigma, \sigma \otimes 1, \sigma \otimes \sigma\}$, where σ is conjugation on \mathbf{C} . The separability idempotent for $\mathbf{C} \otimes \mathbf{C}$ over \mathbf{R} is $e = \frac{1}{4}(1 \otimes 1 \otimes 1 \otimes 1 - 1 \otimes i \otimes 1 \otimes i - i \otimes 1 \otimes i \otimes 1 + i \otimes i \otimes i \otimes i)$. Let τ be the element of $\text{Aut}_{\mathbf{R}}(\mathbf{C} \otimes \mathbf{C})$ given by $\tau(w \otimes z) = z \otimes w$. If e is viewed as $\sum_{i=1}^4 x_i \otimes y_i$, then $\sum_{i=1}^4 x_i \tau(y_i) = \frac{1}{2}[1 \otimes 1 - i \otimes i] \neq 0$. So τ can-

not be an element of any Galois group for $\mathbf{C} \otimes \mathbf{C}$ over \mathbf{R} by [3, Theorem 1.3(b)]. Take S to be $\mathbf{C} \otimes \mathbf{C}$, R to be \mathbf{R} , and $T = (\mathbf{C} \otimes \mathbf{C})^{(\tau)}$. Since T is the fixed ring of a locally finite group of automorphisms of S , T is locally separable over R by Theorem 1.3. But $\mathbf{C} \otimes \mathbf{C}$ has only two nontrivial idempotent elements, namely, $e_1 = \frac{1}{2}(1 \otimes 1 - i \otimes i)$ and $e_2 = \frac{1}{2}(1 \otimes 1 + i \otimes i)$. Therefore, Theorem 2.2 says T is in fact separable over R . By [3, Cor. 3.3] every ring endomorphism of the R -algebra S is of the form $\eta = e_1\sigma_1 + e_2\sigma_2$ for $\sigma_1, \sigma_2 \in G$. A direct check shows that the only automorphisms of S over R are $1 \otimes 1, 1 \otimes \sigma, \sigma \otimes 1, \sigma \otimes \sigma, \tau, \tau \circ (1 \otimes \sigma), \tau \circ (\sigma \otimes 1), \tau \circ (\sigma \otimes \sigma)$. It is also easy to show that $1 \otimes 1$ and τ are the only automorphisms which fix $(1+i) \otimes (1+i)$. Thus $\{1 \otimes 1, \tau\}$ is the only group for which T is the subring of invariant elements.

EXAMPLE 2. Theorem 1.2 shows that if the ring S has finitely many idempotent elements and G is locally finite then any locally separable intermediate ring is the fixed ring for a locally finite group of automorphisms of S . This example shows that the restriction that S have only finitely many idempotent elements is needed. Rings R, S, T and a locally finite group G of automorphisms of S are given such that $R = S^G$, $R \subseteq T \subseteq S$, and T is locally separable over R , but T is not left fixed by any nonidentity automorphism of S . In fact, the ring R in this example has no nontrivial idempotent elements. Therefore, it does not even look like a generalized version of Theorem 1.2 without restrictions on the number of idempotents in S could be obtained by reducing to the case where the bottom ring is connected as is done in [11].

The example deals with certain sequences of complex numbers under coordinate-wise addition and multiplication. For $i \geq 0$ and $0 \leq j < 2^i$ let e_{ij} be the sequence with a one in the entries of the form $j + k \cdot 2^i + 1$ for $k \geq 0$, and all other entries zero. Then each e_{ij} is an idempotent element and $e_{ij} = e_{i+1,j} + e_{i+1,j+2^i}$, for all i, j .

DEFINITION. Let S be the ring consisting of all the sequences of complex numbers which are finite linear combinations over \mathbf{C} of the e_{ij} .

Let $\sigma_{ij}, i \geq 0$ and $0 \leq j < 2^i$, be the element of $\text{Aut}(S)$ which acts on a sequence by interchanging the $j + k \cdot 2^{i+1} + 1$ and $j + k \cdot 2^{i+1} + 2^i + 1$ entries for $k \geq 0$. Then $\sigma_{ij}(e_{i+1,l}) = e_{i+1,l+2^i}$ and $\sigma_{ij}(e_{ij}) = e_{ij}$. In fact, if $i \leq k$ then $\sigma_{kl}(e_{ij}) = e_{ij}$ for all possible l and j .

DEFINITION. Let G be the subgroup of $\text{Aut}(S)$ generated by the σ_{ij} along with the automorphism τ which acts on a sequence by conjugating every entry in the sequence.

Let $R = S^G$. Then if $(x_n) \in R$, it must be the case that each x_n is a real number since $\tau \in G$. If $n > 1$, let i be the smallest integer such that $n \leq 2^{i+1}$. Letting $j = n - 2^i - 1$, $n = j + 2^i + 1$ and $0 \leq j < 2^i$. Then σ_{ij}

interchanges the n th and $(j + 1)$ st entries of (x_m) . Since $j + 1 < n$, an easy induction argument will show that $x_n = x_1$ for all $n \geq 1$. Therefore, S^G is exactly the subring of S consisting of all constant sequences of real numbers.

An element $s \in S$ can be written as $s = \sum_{j=0}^{2^i-1} c_j e_{ij}$ with $c_j \in \mathbf{C}$ for sufficiently large i . If $i \leq k$ then σ_{kl} fixes the e_{ij} and hence s . So the distinct images of s under G are the distinct images of s under the subgroup of G generated by τ and the σ_{kb} , for $k < i$. But if $k < i$, σ_{kl} will map e_{ij} to e_{ip} , some p such that $0 \leq p < 2^i$. Since there are only finitely many e_{ij} , s can have but a finite number of distinct images under this subgroup of G . So G is a locally finite group of automorphisms of S .

DEFINITION. Let T be the subring of S consisting of all the elements $s \in S$ which have c_{2^i-1} a real number when s is expressed in the form $s = \sum_{j=0}^{2^i-1} c_j e_{ij}$, some $i > 0$.

Then T is an R -subalgebra of S and T contains all the e_{ij} . Let t_1, \dots, t_n be elements of T . Fix an integer p so that each t_i , $1 \leq i \leq n$, can be written as a linear combination of the e_{pj} , $0 \leq j \leq 2^p - 1$. The subring of T generated over R by the e_{ij} for $i \leq p$ is isomorphic to

$$\underbrace{\mathbf{C} \oplus \cdots \oplus \mathbf{C}}_{2^p - 1} \oplus \mathbf{R}$$

and hence is separable over R . So T is in fact locally separable over R .

At this stage G is a locally finite group of automorphisms of the ring S , $R = S^G$ has no nontrivial idempotent elements, and T is locally separable over R with $R \subset T \subset S$. That T is not left fixed by any nonidentity automorphism of S follows from the

LEMMA. *If γ is any automorphism of S such that $\gamma|_T = 1_T$, then $\gamma = 1_S$.*

Proof. Let $x \in S$ be arbitrary. Let i be a positive integer. Let p be an integer so that x can be written as $x = \sum_{t=0}^{2^p-1} c_t e_{pt}$, $c_t \in \mathbf{C}$. Since, in general, $e_{j,2^i-1}$ begins with $2^i - 1$ zeros, it is possible to choose an integer $q > p$ so that $(e_{q,2^q-1})_i = 0$. View x as a linear combination of the $e_{q,s}$, say $x = \sum_{s=0}^{2^q-1} d_s e_{q,s}$. Then

$$\begin{aligned} (\gamma(x))_i &= \left[\gamma \left(\sum_{s=0}^{2^q-2} d_s \cdot e_{q,s} \right) + \gamma(d_{2^q-1} \cdot e_{q,2^q-1}) \right]_i \\ &= \left[\gamma \left(\sum_{s=0}^{2^q-2} d_s \cdot e_{q,s} + 0 \cdot e_{q,2^q-1} \right) \right]_i + [\gamma(d_{2^q-1} \cdot e_{q,2^q-1})]_i \\ &= \left[\sum_{s=0}^{2^q-2} d_s \cdot e_{q,s} + 0 \cdot e_{q,2^q-1} \right]_i + [\gamma(d_{2^q-1} \cdot e_{0,0}) \cdot \gamma(e_{q,2^q-1})]_i. \end{aligned}$$

But $[\sum_{s=0}^{2^q-2} d_s \cdot e_{q,s} + 0 \cdot e_{q,2^q-1}]_i = x_i$ since $(e_{q,2^q-1})_i = 0$, and $[\gamma(e_{q,2^q-1})]_i = (e_{q,2^q-1})_i = 0$ since $\gamma|_T = 1_T$. It follows then that $(\gamma(x))_i = x_i$. Since x and i were arbitrary, $\gamma = 1_S$.

EXAMPLE 3. Theorem 2.2_i shows that, in the finite case, the assumption of finitely many idempotent elements in R (or S , Theorem 2.1) will give locally separable implies separable. This example shows that, in general, the result fails even in the setting where S is a Galois extension of R . The rings R, S, T are given as follows:

S — all sequences of complex numbers which are eventually constant.

T — all sequences of complex numbers which are eventually a constant real number.

R — all sequences of *real* numbers which are eventually constant.

Let σ be the automorphism of S which acts on a sequence by conjugating each term. Then a group of automorphisms of S with fixed ring R is obtained by considering 1_S and σ . Let $G = \{1_S, \sigma\}$. Let $x_1 = (1, 1, 1, \dots)$, $x_2 = (i, i, i, \dots)$, $y_1 = (\frac{1}{2}, \frac{1}{2}, \frac{1}{2}, \dots)$, and $y_2 = (-\frac{1}{2}, -\frac{1}{2}, -\frac{1}{2}, \dots)$. It is readily verified that $\sum_{i=1}^2 x_i y_i = (1, 1, 1, \dots)$ and $\sum_{i=1}^2 x_i \sigma(y_i) = (0, 0, 0, \dots)$. It follows then by [3, Theorem 1.3(b)] that S is in fact a Galois extension of R , and by [7, Example 1] T is not separable over R . It remains to be seen that T is locally separable. Let F be a finite subset of T . Then there is a positive integer N such that if $i, j \geq N$ and $t \in F$ then $t_i = t_j$, i.e., all the elements of F are constant past the N th slot. Let T' be the subalgebra of S which consists of all sequences in S which have real entries past the N th slot. Then $F \subseteq T' \subseteq T$ and $R \subseteq T' \subseteq T$. Let e_i denote the element of S whose i th entry is one and whose other entries are zero, and let f be the element of S given by $f_n = 1$ if $n > N$, $f_n = 0$ otherwise. Then T' is isomorphic to $Se_1 \oplus Se_2 \oplus \dots \oplus Se_N \oplus Rf$, and it follows that T' is a separable R -algebra because S and R are separable R -algebras. Therefore, T is locally separable over R .

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