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## SOME RESULTS ON NORMALITY OF A GRADED RING

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Let  $R = \bigoplus_{i \ge 0} R_i$  be a graded domain and let p be a homogeneous prime ideal in R. Let  $R_p$  be be the localization of R at p and  $R_{(p)} = \{r_i/s_i \mid r_i s_i \in R_i \text{ and } s_i \notin p\}$ . If  $R_I \cap (R - p) \neq \emptyset$ , then  $R_p$  is a localization of a transcendental extension of  $R_{(p)}$ . Thus  $R_p$  is normal (regular) if and only if  $R_{(p)}$  is normal (regular). Let  $\operatorname{Proj}(R) = \{p \mid p \text{ is a homogeneous prime ideal and} p \not\subseteq \bigoplus_{i \ge 0} R_i\}$ . Under certain conditions a Noetherian graded domain R is normal if  $R_{(p)}$ , is normal for each  $p \in \operatorname{Proj}(R)$ . If  $R = \bigoplus_{i \ge 0} R_i$  is reduced and  $F_0 = \{r_i/u_i \mid r_i, u_i \in R_i \text{ and } u_i \in U$ where U is the set of all nonzero divisors} is Noetherian, then the integral closure of R in the total quotient ring of R is also graded.

**Introduction.** Let  $R = \bigoplus_{i \ge 0} R_i$  be a graded integral do-1. main. Let Spec(R) be the set of all prime ideals in R. Let  $R_{+} = \bigoplus_{i>0} R_{i}$ .  $R_{\pm}$  is an ideal in R. An ideal  $\mathfrak{A}$  in R is said to be irrelevant if  $R_{\pm} \subset \mathcal{N}\mathfrak{A}$ , the radical of  $\mathfrak{A}$ . Let  $\operatorname{Proj}(R) = \{\mathbf{p} \in \operatorname{Spec}(R) | \mathbf{p} \subset R_+ \text{ is homogeneous } \}$ and nonirrelevant}. For each  $\mathbf{p} \in \operatorname{Spec}(R)$ , let  $R_{\mathbf{p}} = \{r/s \mid s \in R \text{ and } r/s \in R \}$  $s \notin \mathbf{p}$ , and for each homogeneous prime ideal  $\mathbf{p}$ , let  $R_{(\mathbf{p})} = \{r_i / s_i \mid r_i, s_i \in R_i\}$ and  $s_i \notin \mathbf{p}$ . (Note:  $R_{(\mathbf{p})}$  in [1] is defined for  $\mathbf{p} \in \operatorname{Proj}(R)$  only.) According to the terminology of Seidenberg [9],  $R_p$  is called the arithmetical local ring of R at **p** and  $R_{(\mathbf{p})}$  the geometrical local ring of R at **p**. I prove that if  $R_1 \cap (R - \mathbf{p}) \neq \emptyset$  then  $R_{\mathbf{p}}$  is the ring of quotients of a transcendental extension of  $R_{(p)}$  relative to a multiplicative set,  $R_{p}$  is normal (regular) if and only if  $R_{(p)}$  is normal (regular); see Theorem 2. In the case of an irreducible projective variety V over a field k in a projective n-space  $P_k^n$ , V/k is normal if the geometrical local ring of V at each  $\mathbf{p} \in V$ ,  $\mathfrak{O}_k^v(\mathbf{p})$  is integrally closed. V is arithmetically normal if the ring of strictly homogeneous coordinates k[V] is integrally closed. The latter implies the former. For the converse, various cohomological criteria are developed; see [3], [8], [9]. I attempt to study the normality of a graded domain R if  $R_{(p)}$  is normal for every  $\mathbf{p} \in \operatorname{Proj}(R)$ . In this paper, I also obtain the following theorem: Let R be a Noetherian graded domain, say  $R = R_0[x_1, \dots, x_n]$  and  $x_1, \dots, x_n$  are of homogeneous degree 1. Assume that  $R_0$  contains a field k over which  $R_0$  and  $k(x_1, \dots, x_n)$  are linearly disjoint and separable. Let  $\mathfrak{B}$  be the kernel of the canonical map from the polynomial ring  $R_{\theta}[X_1, \dots, X_n]$ . Then R is normal if  $R_{\theta}$  is normal,  $R_{(p)}$  is normal for every  $\mathbf{p} \in \operatorname{Proj}(R)$  and  $\operatorname{coh.d.} \mathfrak{B} \cdot K[X_1, \dots, X_n] < n-1$ , where K is the quotient field of  $R_{0}$ .

In the §4, we prove that under certain conditions on a graded ring R (not necessarily integral domain) the integral closure  $\overline{R}$  of R in the total quotient ring of R is also graded; see Theorem 6.

Our references on the elementary well known facts about graded rings can be found in [1] and [10].

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I would like also to thank the referee for his comments.

2. Normality and regularity of local domains. Let R be a commutative ring with identity 1. Let **p** be a prime ideal in R. By height of **p**, we mean the supremum of the length of chains of prime ideals  $\mathbf{p}_0 \ge \mathbf{p}_1 \ge \mathbf{p}_2 \ge \cdots \ge \mathbf{p}_n$  with  $\mathbf{p}_0 = \mathbf{p}$  and denote it by  $ht(\mathbf{p})$ . Let  $R = \bigoplus_{i \ge 0} R_i$  be a graded integral domain. Let K be the quotient field of R. We say that R is integrally closed if R is integrally closed in K. Let  $K_q = \{f_i/g_i | i - j = q; f_i \in R_i, g_j \in R_j\}$ .  $K_0$  is a field,  $\sum_{q \in \mathbb{Z}} K_q$  is a subring of K and the sum is direct, where Z stands for the set of integers. Elements in  $K_q$  are known as homogeneous elements of K of degree q. The following theorem was originally proved in [9] for projective varieties. We observe that the same holds true for non-Noetherian graded domain also.

THEOREM 1. Let  $R = \bigoplus_{i \ge 0} R_i$  be a graded domain. Let  $\mathbf{p} \in \text{Spec}(R)$  be nonhomogeneous. If  $ht(\mathbf{p}) = 1$  then  $R_{\mathbf{p}}$  is integrally closed.

**Proof.** Let  $\mathbf{p}^*$  be the ideal generated by all the homogeneous elements of  $\mathbf{p}$ . By [10, Lemma 3, p. 153]  $\mathbf{p}^*$  is a prime ideal and  $\mathbf{p} \ge \mathbf{p}^* \ge 0$ . Since  $ht(\mathbf{p}) = 1$ ,  $\mathbf{p}^* = 0$ . Therefore  $\mathbf{p}$  contains no homogeneous element. Thus every nonzero homogeneous element u is in  $R - \mathbf{p}$ . It follows therefore  $\bigoplus_{q \in \mathbb{Z}} K_q \subset R_p$ . Let  $f \in K$  be integral over  $R_p$ . Then there exists  $h \in R - \mathbf{p}$  such that fh is integral over R. It follows from [10, Theorem 11, p. 157] that each of the homogeneous components is integral over R. By the preceeding, each homogeneous component of  $f \cdot h$  is in  $R_p$ . Therefore  $f \cdot h \in R_p$  and  $f \in R_p$ . Thus  $R_p$  is integrally closed.

Let  $y \in K_i$  be any nonzero element. If  $\xi \in K_q$ , then  $\xi/y^q \in K_0$ . Moreover  $R \subset K_0[y]$ ,  $K = K_0(y)$ , y is transcendental over  $K_0$ ,  $K_q = K_0y^q$ and  $\bigoplus_{q \in \mathbb{Z}} K_q = K_0[y, 1/y]$ . We have the following theorem.

THEOREM 2.<sup>†</sup> Let  $R = \bigoplus_{i \ge 0} R_i$  with that  $R_1 \ne 0$ . Let **p** be a homogeneous prime ideal such that there exists an element  $r_1 \in R_1 - \mathbf{p}$ . Then

<sup>&</sup>lt;sup>+</sup> Professor A. Seidenberg remarks that the present Theorem 2 strengthens Lemma 2 of [9; p. 618] and corrects its proof.

(a)  $K_{\theta}$  is the quotient field of  $R_{(p)}$  and  $K_{\theta} \cap R_{p} = R_{(p)}$ .

(b)  $R_{(p)}$  is integrally closed in  $K_0$  implies that  $R_{(p)}$  is integrally closed in K.

(c)  $R_{\mathbf{p}} = (R_{(\mathbf{p})}[r_1])_s$ , where  $S = R - \mathbf{p}$ ;  $r_1$  is transcendental over  $R_{(\mathbf{p})}$ .

(d)  $R_p$  is integrally closed in K if and only if  $R_{(p)}$  is integrally closed in  $K_0$ .

(e)  $R_{(p)}$  is regular if and only if  $R_p$  is regular.

**Proof.** By definition  $R_{(p)} \subset K_{\theta}$ . Let  $x \in K_{\theta}$ ,  $x = f_i/g_i$  for some  $f_i, g_i \in R_i$  and  $g_i \neq 0$ . Then  $x = f_i/g_i = (f_i/r_i^i)/(g_k/r_i^i)$ , since  $f_k/r_i^i$  and  $f_i/r_i^i$  are both in  $R_{(p)}$ . Therefore x is in the quotient field of  $R_{(p)}$ . Thus  $K_{\theta}$  is the quotient field of  $R_{(p)}$ . For the second part of (a) we need only to prove that  $K_{\theta} \cap R_p \subset R_{(p)}$ . Let  $x \in K_{\theta} \cap R_p$ . Then  $x = f_i/g_i$  for some  $f_i$ ,  $g_i \in R_i$  with  $g_i \neq 0$ . On the other hand  $x = (r_i + r_{j+1} + \cdots + r_{j+m})/(s_i + s_{i+1} + \cdots + s_{i+m})$  with  $s_i + s_{i+1} + \cdots + s_{i+m} \notin p$ . Then there exists an index l + t such that  $s_{l+i} \notin p$ .  $f_i \cdot (s_l + s_{l+1} + \cdots + s_{l+m}) = g_i(r_i + r_{j+1} + \cdots + r_{j+k})$  implies that l = j, m = k and  $f_i \cdot s_{l+i} = g_i \cdot r_{l+i}$ . Thus  $x = f_i/g_i = r_{l+i}/s_{l+i}$  i.e.  $x \in R_{(p)}$ . Therefore  $K_{\theta} \cap R_p = R_{(p)}$ .

(b) If  $R_{(p)}$  is integrally closed in  $K_{\theta}$ , then, since  $K = K_{\theta}(r_{I})$  and  $r_{I}$  is transcendental over  $K_{\theta}$  as noted in the preceeding,  $K_{\theta}$  is algebraically closed in K and  $R_{(p)}$  is thus integrally closed in K.

(c) As noted in (b),  $r_i$  is transcendental over  $R_{(p)}$ . Let  $f \in R$  be an element. Then  $f = f_r + f_{r+1} + \cdots + f_n$  where  $f_i \in R_i$  for some nonnegative integers r and n. But  $f = (f_r/r_i^r)r_i^r + (f_{r+1}/r_1^{r+1})r_1^{r+1} + \cdots + (f_n/r_n^n)r_n^n \in R_{(p)}[r_i]$ . Therefore  $R \subset R_{(p)}[r_i]$ . Thus  $S = R - \mathbf{p}$  is a multiplicative set in  $R_{(p)}[r_i]$ . Now let  $f/g \in R_p$ ,  $g \in R - \mathbf{p}$ . Then for some nonnegative integer t and m,

$$\frac{f}{g} = \frac{f_t}{g} + \cdots + \frac{f_m}{g} = \frac{1}{g} \left( \left( \frac{f_t}{r^t} \right) r_t^t + \left( \frac{f_{t+1}}{r^{t+1}} \right) r_t^{t+1} + \cdots + \left( \frac{f_m}{r_1^m} \right) r_1^m \right).$$

Therefore  $f/g \in (R_{(p)}[r_1])_s$  i.e.  $R_p \subset [R_{(p)}[r_1])_s$ . The other inclusion is obvious. Thus  $R_p = (R_{(p)}[r_1])_s$ .

(d) Now, if  $R_{(p)}$  is integrally closed in K, then clearly  $R_p = (R_{(p)}[r_1])_{s}$ , being a localization of transcendental extension of an integrally closed domain, is integrally closed. Conversely if  $R_p$  is integrally closed in K, let  $f \in K_{\theta}$  be an integral element over  $R_{(p)}$ . Then  $f \in R_p$ . Thus  $f \in R_p \cap K_{\theta} = R_{(p)}$ , and  $R_{(p)}$  is integrally closed.

(e) Recall that a ring A is said to be regular if  $A_m$  is a regular local ring for each maximal ideal m in A. It follows from Serre's theorem [5; p. 139] that A is regular if and only if  $A_p$  is regular for every  $\mathbf{p} \in \operatorname{Spec}(A)$ .

If  $R_{(p)}$  is a regular local ring, then by [5; Theorem 40, p. 126] the polynomial ring  $R_{(p)}[r_1]$  is regular. Since localization of a regular ring is regular therefore  $R_p = (R_{(p)}[r_1])_s$  is a regular local ring.

Conversely assume that  $R_p = (R_{(p)}[r_1])_s$  is a regular local ring. Since  $R_{(p)}[r_1]$  is a polynomial ring over  $R_{(p)}$  therefore  $R_{(p)}[r_1]$  is  $R_{(p)}$ -flat.  $(R_{(p)}[r_1])_s$  is  $R_{(p)}[r_1]$ -flat therefore  $R_p$  is  $R_{(p)}$ -flat. Thus  $R_{(p)}$  is Noetherian. The inclusion map  $R_{(p)} \rightarrow R_p$  is obviously a local homomorphism. Therefore it follows from [1; IV, 17.3.3 (i), p. 48] that  $R_{(p)}$  is a regular local ring.

There are graded rings in which there are homogeneous prime ideals **p** such that  $\mathbf{p} \cap R_I \neq R_I$ . For example: (1) graded rings which are homogeneous coordinate rings of projective varieties. In this case  $\mathbf{p} \cap R_I \neq R_I$  for  $\mathbf{p} \in \operatorname{Proj}(R)$ . (2)  $R = R_{\theta}[R_I]$ , a graded ring generated over  $R_{\theta}$  by  $R_I$ ; (3) Let k[X, Y] be a polynomial ring in two indeterminantes over a field k. Let  $R = k[Y] + (X \cdot Y) \cdot k[X, Y]$ . R has a graded structure  $R = R_{\theta} \bigoplus R_I \bigoplus R_2 \bigoplus \cdots$  with  $R_{\theta} = k, R_I = k \cdot Y$ ;  $R_2 = kY^2 + k(X \cdot Y), R_3 = kY^3 + kX^2Y + kXY^2$ , etc. It follows from the observation that  $(X^i \cdot Y^i)^2 \in Ry$  if  $j \ge 1$  that  $\mathbf{p} \cap R_I = 0$  for every  $\mathbf{p} \in \operatorname{Proj}(R)$ .

3. Normality of a graded domain. In this section, a graded domain R is normal if it is integrally closed in its field of fractions.

Recall [6; Theorem 8, p. 400]: Let  $\mathfrak{O}$  and  $\mathfrak{O}'$  be two normal rings which contain a field k. If  $\mathfrak{O}$  and  $\mathfrak{O}'$  are separably generated over k and if  $\mathfrak{O} \otimes_k \mathfrak{O}'$  is an integral domain, then  $\mathfrak{O} \otimes_k \mathfrak{O}'$  is a normal ring.

THEOREM 3. Let  $R_0$  be a normal integral domain containing a field k such that  $R_0$  is separable over k. Let  $R = R_0[x] = R_0[x_1, \dots, x_n]$  be an integral domain finitely generated over  $R_0$  as an  $R_0$ -algebra such that the quotient field K of  $R_0$  and the quotient field k(x) of  $k[x_1, \dots, x_n]$  are linearly disjoint over k, and k(x) separable over k. Then k[x] is normal if and only if R is normal.

*Proof.* Let  $X_1, \dots, X_n$  be *n* indeterminantes over  $R_0$ . Let  $\mathfrak{A}$  be the prime ideal in  $k[X] = k[X_1, \dots, X_n]$  such that  $k[x_1, \dots, x_n] \cong$  $k[X_1, \dots, X_n]/\mathfrak{A}$  and let  $\mathfrak{B}$  be the prime ideal in  $R_0[X] = R_0[X, \dots, X_n]$ such that  $R = R_0[X]/\mathfrak{B}$ . Then  $\mathfrak{B} \cdot K[X] \cap R_0[X] = \mathfrak{B}$  and  $\mathfrak{A} =$  $\mathfrak{B} \cap k[X]$ . Since K and k(x) are linearly disjoint over k, it is well known that  $\mathfrak{A} \cdot K[X] = \mathfrak{B} \cdot K[X]$  and  $\mathfrak{A} \cdot R_{\theta}[X] = \mathfrak{B}$ , [4; Corollary 1, p. 67]. We shall use  $\mathfrak{B}$  in both  $R_{\mathfrak{o}}[X]$  and K[X] as the prime ideal determined by Since  $R_{\theta} \bigotimes_{k} k[X] = R_{\theta}[X],$  $(x) = (x_1, \cdots, x_n).$ it follows that  $R_0 \bigotimes_k k[x] = R_0[x]$ , i.e.  $R_0 \bigotimes_k k[x]$  is an integral domain. It follows from [6; Theorem 8, p. 400] that  $R_0[x]$  is normal. Conversely if  $R_0[x]$  is normal, then  $R_{\theta}[x]_{p}$  is normal for each  $p \in \text{Spec}(R_{\theta}[x])$ . Let  $p^{c} =$  $\mathbf{p} \cap k[x]$  for  $\mathbf{p} \in \operatorname{Spec}(R_{\theta}[x])$  and  $\mathbf{p} \cap R_{\theta} = \{0\}$ . Then  $k[x]_{\mathbf{p}^{e}}$  is also normal. Indeed let  $\xi \in k(x)$  be integral over  $k[x]_{n^c}$ . Since  $k[x]_{n^c} \subset$  $R_{\theta}[x]_{\mathbf{p}}$ , therefore  $\xi \in R_{\theta}[x]_{\mathbf{p}}$ . Thus  $\xi \in R_{0}[x]_{\mathbf{p}} \cap k(x)$ . It is sufficient to show that  $R_0[x]_p \cap k(x) \subset k[x]_{p^*}$ . Let  $S = R_0 - \{0\}$ .  $K[x] = S^{-1}R_0[x]$  and

 $S^{-1}\mathbf{p}$  is a prime ideal in K[x].  $S^{-1}\mathbf{p} \cap k[x] = \mathbf{p} \cap k[x]$ . Since K and k(x) are linearly disjoint over k, it follows from [4; Proposition 6, p. 92] that  $K[x]_{S^{-i_p}} \cap k(x) = k[x]_{\mathbf{p}^e}$ . Thus  $k[x]_{\mathbf{p}^e} \supset R_0[x]_{\mathbf{p}} \cap k(x)$ , and  $k[x]_{\mathbf{p}^e} = R_0[x]_{\mathbf{p}} \cap k(x)$ . So  $\xi \in k[x]_{\mathbf{p}^e}$  and  $k[x]_{\mathbf{p}^e}$  is therefore normal.

We shall finish the proof by showing that Spec(k[x]) = $\{\mathbf{p} \cap k[x] | \mathbf{p} \in \operatorname{Spec}(R_0[x]) \text{ and } \mathbf{p} \cap R_0 = 0\}$ . Let  $\mathbf{q}_{\mathscr{X}}$  be a prime ideal. There exists a prime ideal  $Q_x$  in K[X] such that  $Q_x \cap k[X] = q_x$ . Indeed, using Zariski's terminology [10; pp. 21-22 and pp. 161-176], we consider an algebraically closed field  $\Omega$  containing K and  $\Omega$  is of infinite transcendence degree over K. Let  $A_n^{\Omega}$  be the *n* dimensional affine space, i.e.  $A_n^{\Omega} = \{(a_1, \dots, a_n) | a_1, \dots, a_n \in \Omega\}$ . Every prime ideal P in K[X] defines an irreducible algebraic variety V over K in  $A_n^{\Omega}$ . Every irreducible algebraic variety  $\tilde{V}$  over K carries a generic point  $(\xi)$  =  $(\xi_1, \dots, \xi_n) \in A_n^{\Omega}$  over K, and  $P = \{g(X) \in K[X] | g(\xi) = 0\}$ . Let  $(\eta) =$  $(\eta_1, \dots, \eta_n) \in A_n^{\Omega}$  be a generic point of  $\mathbf{q}_{\mathscr{X}}$  over k, i.e.  $\mathbf{q}_{\mathscr{X}} =$  $\{f(X) \in k[X] | f(\eta) = 0\}$ . Let  $Q_x = \{F(X) \in K[X] | F(\eta) = 0\}$ . Then  $Q_x$  is a prime ideal and  $Q_{\mathfrak{X}} \cap k[X] = \mathbf{q}_{\mathfrak{X}}$ . Let  $Q'_{\mathfrak{X}} = Q_{\mathfrak{X}} \cap R_{\theta}[X], Q'_{\mathfrak{X}} \cap R_{\theta} = 0$ and  $Q'_{\mathscr{X}} \cap k[X] = q_{\mathscr{X}}$ . Since  $\mathfrak{A} \subset q_{\mathscr{X}} \Leftrightarrow \mathfrak{B} \cdot K[X] \subset Q_{\mathscr{X}} \Leftrightarrow \mathfrak{B} \subset Q'_{\mathscr{X}}$ . Let  $Q' = Q'_{\ast}/\mathfrak{B} \subset R_{\mathfrak{g}}[x]$ . Then  $Q' \cap k[x] = \mathfrak{q}$ . Thus each prime ideal in k[x]is the contraction of a prime ideal in  $R_0[x]$  intersecting  $R_0$  at 0.

As the assertion in the last part of the proof of the above theorem will be referred later, we would like to state it as a corollary.

COROLLARY. Let  $R_0$  be an integral domain containing a field k. Let  $R = R_0[x_1, \dots, x_n]$  be an integral domain finitely generated over  $R_0$  as an algebra such that the quotient field K of  $R_0$  and the quotient field k(x) of  $k[x] = k[x_1, \dots, x_n]$  are linearly disjoint over k. Then  $\text{Spec}(k[x]) = \{\mathbf{p} \cap k[x] | \mathbf{p} \in \text{Spec}(R_0[x]) \text{ and } \mathbf{p} \cap R_0 = 0\}$ . Moreover if R is graded with  $R_0$  as the component of homogeneous degree 0, then  $\text{Proj}(k[x]) = \{\mathbf{p} \cap k[x] | \mathbf{p} \in \text{Proj}(R_0[x])\} = \{\mathbf{p} \cap k[x] | \mathbf{p} \in \text{Proj}(K[x])\}$ .

**Proof** (of the last part). Let  $\mathfrak{A}, \mathfrak{B}, \mathbf{q}, \mathbf{q}_{\mathfrak{X}}$ , and  $Q_{\mathfrak{X}}$  be the same as those in the proof of Theorem 3. If R is a graded domain, then both  $\mathfrak{A}$  and  $\mathfrak{B}$ are homogeneous ideals. If  $\mathbf{q}$  is a nonirrelevant and homogeneous prime ideal in k[x], then so is  $\mathbf{q}_{\mathfrak{X}}$ . Let  $Q_{\mathfrak{X}}^*$  be the ideal in K[x]generated by the homogeneous elements belonging to  $Q_{\mathfrak{X}}$ . Then, by [10; Lemma 3, p. 153],  $Q_{\mathfrak{X}}^*$  is a prime ideal and clearly  $Q_{\mathfrak{X}}^* \cap k[X] = \mathbf{q}_{\mathfrak{X}}$ . Since  $\mathbf{q}_{\mathfrak{X}}$  is nonirrelevant,  $Q_{\mathfrak{X}}^*$  is also nonirrelevant, and  $Q_{\mathfrak{X}}^* \supset \mathfrak{B}$ . Let  $Q^* = Q_{\mathfrak{X}}^*/\mathfrak{B}$ . We have  $Q^* \cap k[x] = \mathbf{q}$ . Therefore  $\operatorname{Proj}(k[x]) = \{\mathbf{p} \cap k[x] | \mathbf{p} \in \operatorname{Proj}(R)$  and  $\mathbf{p} \cap R_{\mathfrak{q}} = 0\}$ .

Let us recall some definitions and facts: Let  $R = \bigoplus_{i \ge 0} R_i$  be a graded integral domain. R is Noetherian if and only if  $R_{\theta}$  is Noetherian and Ris an  $R_{\theta}$ -algebra of finite type. Let  $\overline{R}$  be the integral closure of R in its field of quotients K. Let  $K_i$  be the homogeneous component of K of

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degree *i* as defined in §2. Then  $\overline{R}$  is graded with  $\overline{R}_i = \overline{R} \cap K_i$ . Thus if R is normal then  $R_0$  must be normal.

Corresponding to Krull's characterization of a Noetherian domain being normal [7; (12.9), p. 41], we have the following theorem for normality of a Noetherian graded domain.

THEOREM 4. Let R be a graded Noetherian domain such that  $R_1 - \mathbf{p} \neq \emptyset$  for each homogeneous prime ideal  $\mathbf{p}$  of ht 1 in R. If (1)  $R_{(\mathbf{p})}$  is normal for every homogeneous prime ideal  $\mathbf{p}$  of height 1 and (2) the associated prime ideals of every nonzero homogeneous ideal are of height 1, then R is normal.

*Proof.* We first note that it follows from condition (1), Theorem 1 and Theorem 2 that  $R_p$  is normal for every  $\mathbf{p} \in \operatorname{Spec}(R)$  and  $ht(\mathbf{p}) = 1$ . Let  $K, \overline{R}$  and  $\overline{R_i}$  be the same as defined in the preceeding. Let  $\alpha \in \overline{R}$ ,  $\alpha = \sum_{i=m}^{n} \alpha_i$  for some nonnegative integers m and n and  $\alpha_i \in \overline{R_i}$ . Let  $\alpha_i = b_{ij}/a_{il}$  where j - l = i,  $b_{ij} \in R_j$  and  $a_{il} \in R_l$ . If  $a_{il}$  is a unit in R then  $\alpha_i \in R$ . If  $a_{il}$  is a nonunit, then the nonzero homogeneous principal ideal  $(a_{il})R$  has a primary decomposition  $\bigcap_{i=1}^{u} q_i$  with  $\mathbf{p}_1, \dots, \mathbf{p}_u$  as the associated prime ideals. In view of [10; Theorem 9 and Corollary; pp. 153–154] we may assume that  $\mathbf{q}_l$ 's and  $\mathbf{p}_l$ 's are homogeneous, (2) implies that  $ht(\mathbf{p}_l) = 1$  for  $t = 1, 2, \dots, u$ . Thus  $R_{p_l}$  is normal for t = $1, 2, \dots, u$ .  $\alpha_i$  is integral over R implies that  $\alpha_i$  is integral over  $R_{p_l}$  for  $t = 1, 2, \dots, u$ . Hence  $\alpha_i \in R_{p_l}$  for  $t = 1, 2, \dots, u$ . Therefore  $b_{ij} \in$  $\bigcap_{l=1}^{u} ((a_{il})R_{p_l} \cap R) = \bigcap_{l=1}^{u} \mathbf{q}_l = (a_{il})R$ . Thus  $\alpha_i = b_{ij}/a_{il} \in R$  and  $\alpha =$  $\sum_{l=m}^{n} \alpha_i \in R$ . R is therefore normal.

Let  $A = K[X_1, \dots, X_n]$  be a polynomial ring over a field K. The smallest integer d such that any chain of syzygies of the A-module M terminates at (d + 1)th step is called the cohomological dimension of M and is denoted by coh.d.(M). Let  $\mathfrak{A} \subset A$  be a homogeneous ideal such that  $\mathfrak{A} \neq (0), \neq (1)$ . coh.d. $(\mathfrak{A}) \leq n$  and it is n if and only if  $(X_1, \dots, X_n)A$ is an associated prime ideal of  $\mathfrak{A}$ . Let l be a form in A, and  $l \notin K$ . If  $\mathfrak{A}: l = \mathfrak{A}$  then coh.d. $(\mathfrak{A}, l) = 1 + \text{coh.d.}(\mathfrak{A})$ .

THEOREM 5. Let  $R = \bigoplus_{i \ge 0} R_i$  be a Noetherian graded integral domain generated over  $R_0$  by nonzero homogeneous elements  $x_1, \dots, x_n$  of degree 1. Assume that  $R_0$  contains a subfield k over which  $R_0$  and  $k(x) = k(x_1, \dots, x_n)$  are linearly disjoint and  $R_0$  is normal. Assume tr.deg\_k(x) > 0. Let  $R_0[X] = R_0[X_1, \dots, X_n]$  be the polynomial ring over  $R_0$  in indeterminantes  $X_1, \dots, X_n$  and let  $\mathfrak{B}$  be the ideal such that  $R_0[X] \cong R_0[X]/\mathfrak{B}$ . Let  $\mathfrak{A} = \mathfrak{B} \cap k[X]$ , and let  $S = R_0 - \{0\}$ .

(1) If, for each  $\mathbf{p} \in \operatorname{Proj}(R_{\theta}[x])$ ,  $R_{\theta}[x]_{(\mathbf{p})}$  is normal and  $\operatorname{coh.d.} S^{-1}\mathfrak{B} < n-1$ , then k[x] is normal.

(2) If  $R_0$  and k(x) are both separable over k, and if  $R_0[x]_{(p)}$  is normal

for all  $\mathbf{p} \in \operatorname{Proj}(R_{\theta}[x])$ , and  $\operatorname{coh.d.} S^{-1}\mathfrak{B} < n-1$  then  $R_{\theta}[x]$  is normal. (3) If  $R_{(\mathbf{p})}$  is normal for each  $\mathbf{p} \in \operatorname{Proj}(R)$  and if  $\operatorname{coh.d.} \mathfrak{B} \cdot S^{-1}R_{\theta}[X] = n-1$  then  $R_{\theta}[x]$  is not normal.

**Proof.** (1) Both  $\mathfrak{A}$  and  $\mathfrak{B}$  are homogeneous ideals, k[x] is graded. As projective scheme  $\operatorname{Proj}(R_{\theta}[x]) \cong \operatorname{Proj}((S^{-1}R_{\theta})[x])[1, \operatorname{Prop.}(2.4.7), p.$ 30]. Therefore  $(S^{-1}R_{\theta})[x]$  is locally normal, i.e.  $(S^{-1}R_{\theta})[x]_{(p)}$  is normal for each  $\mathbf{p} \in \operatorname{Proj}(S^{-1}R_{\theta}[x])$ . Since tr.deg. $S^{-1}R_{\theta}[x] > 0$ . If coh.d. $S^{-1}\mathfrak{B} <$ n-1, by [9, Theorem 3, p. 619],  $S^{-1}R_{\theta}[x]$  is normal. Therefore  $S^{-1}R_{\theta}[x]_{\mathbf{p}}$  is normal for every  $\mathbf{p} \in \operatorname{Spec}(S^{-1}R_{\theta}[x])$ . Since  $(S^{-1}R_{\theta})[x]_{\mathbf{p}} \cap$  $k(x) = k[x]_{\mathbf{p}^{c}}$  as shown in the preceeding, where  $\mathbf{p}^{c} = \mathbf{p} \cap k[x]$ .  $k[x]_{\mathbf{p}^{c}}$  is normal. By the Corollary to Theorem 3,  $\operatorname{Spec}(k[x]) =$  $\{\mathbf{p}^{c} | \in \operatorname{Spec}(S^{-1}R_{\theta})[x]\}$ , we have that  $k[x]_{\mathbf{q}}$  is normal for every  $\mathbf{q} \in$  $\operatorname{Spec}(k[x])$ . Therefore k[x] is normal.

(2) By (1), k[x] is normal.  $R_0$  is normal. It follows from Theorem 3,  $R_0[x]$  is normal.

(3) If  $\operatorname{coh.d.}\mathfrak{B} \cdot S^{-l}R_{\theta}[X] = n-1$ , then it is well known that for a form l in  $R_{\theta}[X]$  prime to  $\mathfrak{B}$  i.e.  $\mathfrak{B}: l = \mathfrak{B}$ ,  $\operatorname{coh.d.}(\mathfrak{B}, l) \cdot S^{-l}R_{\theta}[X] = n$ . Therefore  $(\mathfrak{B}, l) \cdot S^{-l}R_{\theta}[X]$  has  $(X) \cdot S^{-l}R_{\theta}[X]$  as an associated prime ideal. Since dim  $\mathfrak{B} \cdot S^{-l}R_{\theta}[X] > 0$ ,  $(\mathfrak{B}, l)S^{-l}R_{\theta}[X]$  has an embedded associated prime. On the other hand, it is easy to see that  $(X)S^{-l}R_{\theta}[X] \cap R_{\theta}[X] = (X)R_{\theta}[X]$ . Therefore it follows from  $[\mathbf{5}, Lemma 7c, p. 50]$  that  $(\mathfrak{B}, l)R_{\theta}[X]$  has  $(X)R_{\theta}[X]$  as an embedded associated prime ideal. Let  $(\bar{l})R_{\theta}[X] = (\mathfrak{B}, l)R_{\theta}[X]/\mathfrak{B}$ . Therefore  $(\bar{l})R_{\theta}[x]$  is a principal homogeneous ideal having  $(x) \cdot R_{\theta}[x]$  as an embedded associated prime ideal. It follows from Theorem 4 that R is not normal.

4. Integral closure of a graded ring. In this section, we study a general graded ring,  $R = \bigoplus_{i \ge 0} R_i$ . Let F be the total quotient ring of R, and let  $\overline{R}$  be the integral closure of R in F. In case of a graded domain, the integral closure  $\overline{R}$  of R in its quotient field K is again graded and  $\overline{R_i} = \overline{R} \cap K_i$  for  $i \ge 0$ . We investigate  $\overline{R}$  when R is not an integral domain. A ring R is normal if  $R_p$  is an integral domain and integrally closed in its quotient field for each  $\mathbf{p} \in \text{Spec}(R)$ .

Let  $R = \bigoplus_{i \ge 0} R_i$ . Let U be the set of all nonzero divisors of R. Let F be the total quotient ring and let  $F_i = \{r_i/u_j | r_i \in R_i, u_j \in R_j \cap U, l-j=i\}$ . These are the notations going to be used in the sequel.

THEOREM 6. Assume  $U \cap R_1 \neq \emptyset$  and let  $u_1 \in U \cap R_1$ . Then (1) the ring  $\sum_{i \in Z} F_i$  is a direct sum, and  $\bigoplus_{i \in Z} F_i = F_0[u_1, 1/u_1]$ ,  $F = F_0[u_1]_U$ ,  $u_1$  is algebraically independent over  $F_0$ , and  $F_i = F_0 \cdot u'_1$  for all  $i \in Z$ . If  $F_0$  is Noetherian then so is F. (2)  $F_0$  is reduced, i.e.  $F_0$  has no nonzero nilpotent element, if and only if R is reduced. (3) If R is reduced and  $F_0$  is

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Noetherian, then  $F_0[u_1]$  is integrally closed in F. (4) If R is reduced and  $F_0$  is Noetherian, then  $\overline{R}$  is a graded subring of  $\bigoplus_{i \in \mathbb{Z}} F_i$ .

*Proof.* (1) It follows from the definition of  $F_i$ 's that each  $F_i$  is an additive group and  $F_i 
in F_j 
in F_{i+j} 
in \sum_{i \in \mathbb{Z}} F_i$  is a ring. Let  $f_k + \dots + f_s 
in \sum_{i \in \mathbb{Z}} F_i$ . Suppose  $f_k + \dots + f_s = 0$ . Let  $f_m = r_{l_m}/u_{j_m}$  where  $l_m - j_m = m$  and  $m = k, \dots, s$ . Let  $u = \prod_{m=k}^{s} u_{j_m}$ . Then  $uf_k + \dots + uf_s = 0$  in R, and  $uf_k, \dots, uf_s$  are homogeneous elements of distinct degrees. Therefore  $uf_k = \dots = uf_s = 0$ . Thus  $f_k = \dots = f_s = 0$ , and the sum  $\Sigma F_i$  is therefore a direct sum. Let  $f_k \in F_k$ . Then  $f_k/u_i^k \in F_0$ . Therefore  $f_k \in F_0 
in u_i^k$  and  $F_k = F_0 
in u_i^k$ .

$$f = (f_k + \cdots + f_s)/u = \frac{1}{u} \left( \frac{f_k}{u_1^k} u_1^k + \cdots + \frac{f_s}{u_1^s} u_1^s \right).$$

Therefore  $F = F_0[u_1, 1/u_1]_U = F_0[u_1]_U$ .  $u_1$  is algebraically independent over  $F_0$ . Indeed, let  $a_0u_1^n + a_1u_1^{n-1} + \cdots + a_n = 0$ , where  $a_i \in F_0$  and  $a_0 \neq 0$ . Writing  $a_i = r_{l_i}/u_{j_i}$  with  $l_i - j_i = i$ , we have  $a_iu_1^{n-1} \in F_{n-i}$ . Therefore  $a_iu_1^{n-1} = 0$ , and  $a_i = 0$  for  $i = 0, 1, \cdots, n$ . Therefore  $u_1$  is algebraically independent over  $F_0$ .

If  $F_{\theta}$  is Noetherian, then so is  $F_{\theta}[u_1]$ . Now  $F = F_{\theta}[u_1]_U$ . Therefore F is also Noetherian.

(2) It is obvious that R is reduced implies that  $F_0$  is reduced. Conversely, we note if  $(x_m/u_1^m)^n = 0$ , then  $x_m = 0$ . Also if  $y_m \in R_m$  such that  $y_m^n = 0$  then  $(y_m/u_1^m) = 0$ . Thus  $y_m = 0$ . Now let y be a nilpotent element in R. Write  $y = y_k + \cdots + y_s$ . For some positive integer b,  $y^b = (y_k + \cdots + y_s)^b = 0$ . Thus  $y_k^b = 0$  and then  $(y_{k+1} + \cdots + y_s)^b = 0$  and so on we get  $y_m^b = y_{m+1}^b = \cdots = y_s^b = 0$ , so  $y_m = \cdots = y_s = 0$ . Therefore y = 0 and R is reduced.

(3)  $F_0$  is reduced. It follows from that  $F = F_0[u_1]_U$  and that  $u_1$  is transcendental over  $F_0$ , the nonzero divisors of  $F_0$  are the same as the nonzero divisors of R in  $F_0$ . Let  $U_0$  be the set of all nonzero divisors of  $F_0$ . Let  $u_0 \in U_0$ , then  $u_0 = r_m/u_m$  where  $u_m \in U$  and  $r_m \in R_m$ . Moreover  $r_m \in U$  also. Thus  $u_0$  is a unit i.e.  $U_0$  is a multiplicative group in  $F_0$ . Hence the total quotient ring  $(F_0)_{U_0} = F_0$ . Since  $F_0$  is Noetherian and reduced, therefore,  $F_0 = \bigoplus_{i=1}^{s} G_i$  where  $G_i$ 's are fields. It follows from [2; Proposition (6.5.2), p. 146] that  $F_0$  is normal.

It follows from [5; Proposition (1.7.8), p. 116] that  $F_{\theta}[u_1]$  is normal. Since  $F_{\theta}[u_1]$  is a polynomial ring in  $u_i$ , and  $F_{\theta}$  is reduced, therefore  $F_{\theta}[u_1]$  is also reduced.  $F_{\theta}$  is Noetherian implies that F is Noetherian. Then  $F = \bigoplus_{i=1}^{n} H_i$  where  $H_i$ 's are fields. Thus it follows from [2; Proposition (6.5.2), p. 146] that  $F_{\theta}[u_1]$  is integrally closed.

Note: Let A = Z/(4)[X], the polynomial ring in X over Z/(4). Z/(4) is integrally closed, while A is not. Indeed, let y = (x + 1)/(x - 1),  $y^2 - 1 = 0$ ,  $y \notin A$ .

(4) Let  $x \in \overline{R}$ . Since  $R \subset R_0[u_1]$ , x is integral over  $F_0[u_1]$ . Bv (3),  $\overline{R} \subset F_{\theta}[u_1]$ . The rest of the proof is practically the same argument used in the proof of [10; Theorem 11, p. 157]. We summarize the proof: Let  $x \in \overline{R}$ ,  $x = x_k + \cdots + x_s$ ,  $k \leq s$ ,  $x_k \neq 0$  is called the initial homogeneous term. We want to show that each  $x_i$ ,  $i = k, \dots, s$ , is integral over R also. Since  $x \in \overline{R} \subset \Sigma F_i$ , there exists  $u_m \in R_m \cap U$  for some positive integer m, such that  $u_m x \in R$ . Case (a), if R is Noetherian, then R[x] is a finite R-module. There exists an integer  $\lambda > 0$  such that  $u_m^{\lambda} x^i \in R$  for all integer  $i \ge 0$ . Let  $d = u_m^{\lambda}$ . Then  $dR[x] \subset R$ . The initial homogeneous term  $dx^i$  is  $dx^i_k$ .  $dx^i \in R$  implies  $dx^i_k \in R$ . Therefore  $x^i_k \in (1/d)R$ , a Noetherian R-module. Therefore  $R[x_k] \subset R \cdot 1/d$  is a Noetherian Rsubmodule. Therefore  $x_k$  is integral over R. Repeating that argument to  $x - x_k = x_{k+1} + \cdots + x_s$ , we conclude that  $x_i \in \overline{R}$  for  $i = k, \cdots, s$ . Therefore  $\overline{R}$  is graded in this case. Next we look at case (b): R is not and  $x^{n} + a_{1}x^{n-1} + \cdots + a_{n} = 0$ Noetherian. Let  $x \in R$ , where  $a_1, \dots, a_n \in R$ . As in case (a), there is a homogeneous nonzero divisor  $d \in R$  such that  $dx_k \in R$ . Let  $\{y_1, \dots, y_N\} = \{d, dx_k\}$  and homogeneous components of  $a_i$ 's}. Let  $A = k[y_1, \dots, y_N]$ , where k = Z or Z/(n)according to whether R is of characteristic 0 or n > 0.  $A \subset R$ . Let  $A_a = A \cap R_a$ . Then  $A = \sum A_a$  is a graded subring of R.  $U \cap A$  contains d. Therefore  $A_{U \cap A}$ , the total quotient ring of A, contains  $x_k$ , and hence contains x also. Thus the above integral relation takes place in  $A_{U \cap A}$ . Since A is Noetherian, therefore case (a) is applicable. Therefore  $x_k$  is integral over A. hence  $x_k$  is integral over R.

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