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**GROUP REPRESENTATIONS ON HILBERT SPACES DEFINED
IN TERMS OF $\bar{\partial}_b$ -COHOMOLOGY ON THE SILOV BOUNDARY
OF A SIEGEL DOMAIN**

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Let Q be a C^n -valued quadratic form on C^m . Let $N(Q)$ be the 2-step nilpotent group defined on $R^n \times C^m$ by the group law

$$(x, u) \cdot (x', u') = (x + x' + 2 \operatorname{Im} Q(u, u'), u + u').$$

Then $N(Q)$ has a faithful representation as a group of complex affine transformations of C^{n+m} as follows:

$$g \cdot (z, u) = (z + x_0) + i(2Q(u, u_0) + Q(u, u_0), u_0 + u_0),$$

where $g = (x_0, u_0)$. The orbit of the origin is the surface

$$\Sigma = \{(z, u) \in C^{n+m}, \operatorname{Im} z = Q(u, u)\}.$$

This surface is of the type introduced in [11], and has an induced $\bar{\partial}_b$ -complex (as described in that paper) which is, roughly speaking, the residual part (along Σ) of the $\bar{\partial}$ -complex on C^{n+m} . Since the action of $N(Q)$ is complex analytic, it lifts to an action on the spaces E^q of this complex which commutes with $\bar{\partial}_b$. Since the action of $N(Q)$ is by translations, the ordinary Euclidean inner product on C^{n+m} is $N(Q)$ -invariant, and thus $N(Q)$ acts unitarily in the L^2 -metrics on $C_0^\infty(E^q)$ defined by

$$\| \int_\Sigma a_I d\bar{u}_I \|^2 = \int_\Sigma |a_I|^2 dV$$

where dV is ordinary Lebesgue surface measure. In this way we obtain unitary representations ρ_q of $N(Q)$ on the square-integrable cohomology spaces $H^q(E)$ of the induced $\bar{\partial}_b$ -complex.

These are generalizations of the so-called Fock or Segal-Bargmann representations [2, 4, 10, 13], and the representations studied by Carmona [3]. In this paper, we explicitly determine these representations and exhibit operators which intertwine the ρ_q with certain direct integrals of the Fock representations.

This is accomplished by means of a generalized Paley-Wiener theorem arising out of Fourier-Laplace transformation in the x ($\operatorname{Re} z$) variable. Let us describe this result. For $\xi \in R^{n*}$, let $Q_\xi(u, v) = \langle \xi, Q(u, v) \rangle$. Let $H^q(\xi)$ be the square-integrable cohomology of the $\bar{\partial}$ -complex on C^m relative to the norm

$$\left\| \sum_I a_I d\bar{u}_I \right\|_{\xi}^2 = \sum_I \int |a_I|^2 e^{-2Q_{\xi}(u, u)} du .$$

Let $U_q = \{\xi \in R^{n*}; \text{ the quadratic form } Q_{\xi} \text{ has } q \text{ negative and } n - q \text{ positive eigenvalues}\}$. Let $U = \bigcup U_q$.

THEOREM. *For $\xi \in U$, $H^q(\xi) \neq \{0\}$ if and only if $\xi \in U_q$. In particular the fibration $H^q(\xi) \rightarrow \xi$ is a (locally trivial) Hilbert fibration on U_q , and the following result holds!*

THEOREM. *Let $H^q(F)$ be the space of square-integrable sections of the fibration $H^q(\xi) \rightarrow \xi$ over U_q . Then the Fourier-Laplace transform, defined for functions by*

$$\hat{a}(\xi, u) = \int a_I(x + iQ(u, u), u) e^{-i\langle \xi, x + iQ(u, u) \rangle} dx$$

induces an isometry of $H^q(E)$ with $H^q(F)$.

Furthermore, this transform followed by a suitable variable change (in C^m , dependent on ξ) is the sought-for intertwining operator.

2. A Paley-Wiener theorem for $\bar{\partial}_i$ -cohomology on certain homogeneous surfaces. Let Q be a nondegenerate C^n -valued hermitian form defined on C^m . That Q is nondegenerate means that the only solution of

$$Q(u, v) = 0 \quad \text{for all } u \in C^m$$

is $v = 0$. Equivalently, there is a $\xi \in R^{n*}$ such that the C -valued form

$$(2.1) \quad Q_{\xi}(u, v) = \langle \xi, Q(u, v) \rangle$$

is nondegenerate. Given such a Q we introduce the real submanifold of C^{n+m} :

$$(2.2) \quad \Sigma = \Sigma(Q) = \{(z, u) \in C^{n+m}; \text{Im } z = Q(u, u)\} .$$

Let $N(Q)$ be the 2-step nilpotent group defined on $R^n \times C^m$ by the group law

$$(2.3) \quad (x, u) \cdot (x', u') = (x + x' + 2 \text{Im } Q(u, u'), u + u') .$$

Then $N(Q)$ has a faithful realization in the group of complex affine transformations of C^{n+m} as follows

$$(2.4) \quad (z, u) \xrightarrow{(x_0, u_0)} (z + x_0 + i(2Q(u, u_0) + Q(u_0, u_0)), u + u_0) ,$$

so that Σ is the orbit of 0. The correspondence $N(Q) \rightarrow \Sigma$ given by

$g \rightarrow g \cdot 0, (x, u) \rightarrow (x + iQ(u, u), u)$, is a diffeomorphism, and in certain contexts we may identify $N(Q)$ with Σ under this correspondence. If we let dx, du represent Lebesgue measure in R^n, C^m , then $dxdu$ is the Haar measure of $N(Q)$. We shall return, in §4, to the study of representations of $N(Q)$ connected with its realization as Σ ; in this and the next section we shall carry out the relevant analysis.

Σ is a surface of the type studied in [11], Chapter I, (with $V = \{0\}$). Here we shall summarize the relevant results in that paper.

Let $A \rightarrow \Sigma$ be the complex vector bundle of antiholomorphic tangent vectors along Σ , and $E^q = A^q A^*$ the bundle of q -forms on A . For $V \rightarrow \Sigma$ any vector bundle we shall let $C^\infty(V)$ represent the sheaf of C^∞ sections of V . Let $\bar{\partial}_b: C^\infty(E^q) \rightarrow C^\infty(E^{q+1})$ be the differential operator induced (as in [10]) by exterior differentiation. The complex $(E^q, \bar{\partial}_b)$ is referred to as *the $\bar{\partial}_b$ -complex on Σ* .

We can make this complex explicit as follows. Let $z_1, \dots, z_k, \dots, z_n, u_1, \dots, u_\alpha, \dots, u_m$ be coordinates for $C^n \times C^m$. Then, the (restrictions of the) forms $d\bar{u}_\alpha, 1 \leq \alpha \leq m$ form a basis for E^1 . The dual vectors $U_\alpha, 1 \leq \alpha \leq m$ giving a basis for A are as follows:

$$(2.5) \quad U_\alpha = \frac{\partial}{\partial \bar{u}_\alpha} + i \sum_k Q_k(u, E_\alpha) \frac{\partial}{\partial x_k}$$

where $Q_k = z_k \circ Q$ and $\{E_\alpha\}$ is the basis of C^m dual to the coordinates u_α .

Then E^q has as basis the forms $\{d\bar{u}_I; I = (i_1, \dots, i_q), \text{ with } i_1 < \dots < i_q\}$. Any q -form is written

$$(2.6) \quad \omega = \sum'_{|I|=q} a_I d\bar{u}_I,$$

where Σ' refers to summation only over those q -tuples in increasing order. If J is an arbitrary q -tuple, $[J]$ will refer to the same q -tuple written in increasing order, and ε_J is the sign of the permutation $J \rightarrow [J]$. We define the coefficients a_J of ω for unordered q -tuples by $a_J = \varepsilon_J a_{[J]}$. Now, in this notation we have

$$(2.7) \quad \begin{aligned} \bar{\partial}_b \omega &= \sum'_{|I|=q} \sum_{\alpha=1}^m U_\alpha(a_I) d\bar{u}_\alpha \wedge d\bar{u}_I \\ &= \sum'_{|J|=q+1} \left(\sum_{\alpha=1}^m \varepsilon_J^{\alpha I} U_\alpha(a_I) \right) d\bar{u}_J, \end{aligned}$$

where $\varepsilon_J^{\alpha I} = 0$ if $\alpha I \neq J$ set theoretically, and $\varepsilon_J^{\alpha I} = \varepsilon_{\alpha I}$ otherwise.

Now, we turn to $R^{n*} \times C^m$. We shall refer to the coordinate of R^{n*} by ξ . Let A_u be the vector bundle on $R^{n*} \times C^m$ of antiholomorphic vector fields along the C^m -leaves: the leaves $\xi = \text{constant}$. Let F^q be the vector bundle of q -forms on A_u , and $\bar{\partial}_u: C^\infty(F^q) \rightarrow C^\infty(F^{q+1})$ the differential operator induced by exterior differentiation.

We make this complex explicit as follows. Let $\xi_1, \dots, \xi_n, u_1, \dots, u_m$ be coordinates in $R^{n*} \times C^m$. Then, with the same conventions as above, F^q has the basis $\{d\bar{u}_I; I = (i_1, \dots, i_q), i_1 < \dots < i_q\}$ and any $\omega \in C^\infty(F^q)$ has the form

$$(2.8) \quad \omega = \sum'_{|I|=q} \phi_I d\bar{u}_I.$$

We have

$$(2.9) \quad \bar{\partial}_u \omega = \sum_{|I|=q} \sum_{\alpha=1}^m \frac{\partial \phi_I}{\partial \bar{u}_\alpha} d\bar{u}_\alpha \wedge d\bar{u}_I.$$

We now bring in Lemma I.3.2 of [11] which relates these two complexes.

2.10. DEFINITION. Let $\pi: R^n \times C^m \rightarrow R^n (\pi: R^{n*} \times C^m \rightarrow R^{n*})$ be the projection on the first factor. Let $C_0^\infty(E^q)(C_0^\infty(F^q))$ be the set of $\omega \in C^\infty(E^q)(C^\infty(F^q))$ such that $\pi(\text{support of } \omega)$ is relatively compact. For $\omega = \Sigma' a_I d\bar{u}_I \in C_0^\infty(E^q)$, define $\hat{\omega} \in C^\infty(F^q)$ by $\Sigma' \hat{a}_I d\bar{u}_I$, where, for functions

$$(2.11) \quad \begin{aligned} \hat{a}(\xi, u) &= \int_{R^n} a(x + iQ(u, u), u) e^{-i\langle \xi, x + iQ(u, u) \rangle} dx \\ &= (\mathcal{F}_x a)(\xi, u) e^{Q\xi(u, u)} \end{aligned}$$

where \mathcal{F}_x is the partial (in the x -variables) Fourier transform.

$$2.12. \text{ LEMMA (See I.3.2 of [11].)} \quad (\bar{\partial}_b \omega)^\wedge = \bar{\partial}_u \hat{\omega}.$$

Here we shall introduce inner products of the spaces $C^\infty(E^q), C^\infty(F^q)$. (Although the expressions we use to define norms could be infinite, by *completion* we shall mean in the following, the completion of the space of norm-finite forms.) First, we consider C^{m*} as endowed with the standard hermitian inner product in which the set of vectors $\{(0, \dots, 1, \dots, 0)\}$ is orthonormal. Let u_1, \dots, u_m be an orthonormal basis of C^{m*} ; we shall call $\{u_1, \dots, u_m\}$ an orthonormal coordinate set. The following definitions are independent of such a choice of orthonormal coordinate set.

2.13. DEFINITION. For $\omega = \Sigma' a_I d\bar{u}_I$ in $C^\infty(E^q)$, define

$$\|\omega\|_b^2 = \sum'_I \int_{\Sigma} |a_I|^2 dx du.$$

For $\omega = \Sigma' \phi_I d\bar{u}_I$ in $C^\infty(F^q)$, define

$$\|\omega\|_u^2 = \sum'_I \int_{R^{n*} \times C^m} |\phi_I|^2 e^{-2Q\xi(u, u)} d\xi du.$$

2.14. LEMMA. If $\omega \in C_0^\infty(E^q)$, we have $\hat{\omega} \in C^\infty(F^q)$ and $\|\hat{\omega}\|_u^2 = \|\omega\|_b^2$.

Proof. This is an immediate consequence of the Plancherel formula.

The following formalism (which is fairly standard; see [5, 8]) developing the L^2 -cohomology associated to the complex applies equally well to either complex. We shall make our definitions for a complex $(G^q, \bar{\partial})$ which refers to either one of the given complexes. In the sequel we shall distinguish between them by a subscript (b or u).

2.15. DEFINITION. The formal adjoint $\vartheta: C^\infty(G^q) \rightarrow C^\infty(G^{q-1})$ is that differential operator defined by the equation

$$(\bar{\partial}\alpha, \omega) = (\alpha, \vartheta\omega) \quad (\text{for all } \alpha \text{ of compact support}).$$

We can find the expression for ϑ by integrating by parts. For example, on E^q it is given by

$$(2.16) \quad \vartheta_b(\Sigma' a_I d\bar{u}_I) = \sum'_{|J|=q-1} \left(\sum_{j=1}^m \bar{U}_\alpha(a_{\alpha J}) \right) d\bar{u}_J.$$

2.17. DEFINITION. Let L^q be the Hilbert space completion of (the norm finite ω in) $C_0^\infty(G^q)$. Define the W -norm on $C_0^\infty(G^q)$ by

$$W^2(\omega) = W(\omega, \omega) = \|\omega\|^2 + \|\bar{\partial}\omega\|^2 + \|\vartheta\omega\|^2.$$

Let W^q be the Hilbert space completion of $C_0^\infty(G^q)$ in the W -norm.

Notice that $\bar{\partial}: C_0^\infty(G^q) \rightarrow L^{q+1}$, $\vartheta: C_0^\infty(G^q) \rightarrow L^{q-1}$ extend continuously to W^q . We shall denote their extensions by the same symbols.

2.18. LEMMA. If $\omega \in C^\infty(G^q)$ and $W^2(\omega) < \infty$, then $\omega \in W^q$.

Proof. We must show that ω is approximable in the W -norm by elements in $C_0^\infty(G^q)$. Let $h \in C^\infty(\mathbb{R})$ be such that

- (i) $0 \leq h(t) \leq 1$ for all t
- (ii) $h(t) = 1$ if $t \leq 1/2$
- (iii) $h(t) = 0$ if $t \geq 1$.

Define h_ν on $\mathbb{R}^n(\mathbb{R}^{n*})$ by

$$h_\nu(t) = h(|t|/2^\nu), \quad t \in \mathbb{R}^n(\mathbb{R}^{n*}).$$

For $\omega \in C^\infty(G^q)$, let $\omega_\nu = h_\nu \cdot \omega$. Since $h_\nu \rightarrow 1$ boundedly, so long as $\omega \in L^q$, $\omega_\nu \rightarrow \omega$ in L^q , by dominated convergence. Since $\bar{\partial}, \vartheta$ involve no differentiations in ξ , $\bar{\partial}\omega_\nu = h_\nu \bar{\partial}\omega$, $\vartheta\omega_\nu = h_\nu \vartheta\omega$. Thus $\omega_\nu \rightarrow \omega$, $\bar{\partial}\omega_\nu \rightarrow \bar{\partial}\omega$, $\vartheta\omega_\nu \rightarrow \vartheta\omega$ in L^q or, what is the same $\omega_\nu \rightarrow \omega$ in W^q .

2.19. DEFINITION. The q th L^2 -cohomology space of the complex $(G^q, \bar{\partial})$ is

$$H^q(G) = \{\omega \in W^q; \bar{\partial}\omega = \partial\omega = 0\}.$$

2.20 THEOREM. The correspondence $\omega \rightarrow \hat{\omega}$ induces an isometry $H^q(E) \cong H^q(F)$.

Proof. (i) We first observe that, by Fourier inversion, the Lemma 2.12 can be worked from F to E . More precisely, let $\phi = \Sigma' \phi_I d\bar{u}_I \in C_0^\infty(F^q)$. Define

$$\check{\phi} = \Sigma' \check{\phi}_I d\bar{u}_I$$

where, for a function ϕ ,

$$(2.21) \quad \check{\phi}(z, u) = \frac{1}{(2\pi)^n} \int_{R^{n*}} \phi(\xi, u) e^{i\langle \xi, z \rangle} d\xi.$$

Then, just as in the proof of Lemma 2.12 (see [11]) we can verify

$$(2.22) \quad (\bar{\partial}_u \phi)^\vee = \bar{\partial}_b \check{\phi}.$$

(ii) Using the above, we can verify that

$$(2.23) \quad (\partial_b \omega)^\wedge = \partial_u \hat{\omega}, \omega \in C_0^\infty(E^q).$$

For, let us take $\alpha \in C_0^\infty(F^q)$, and let $\beta = \check{\alpha}$. Then, by the Plancherel formula

$$((\partial_b \omega)^\wedge, \alpha) = (\partial_b \omega, \beta) = (\omega, \bar{\partial}_b \beta) = (\hat{\omega}, \bar{\partial}_u \alpha);$$

this for all $\alpha \in C_0^\infty(F^q)$, so we must have $(\partial_b \omega)^\wedge = \partial_u \hat{\omega}$.

(iii) Let $\omega \in C_0^\infty(E^q)$. Then, by (2.23) and Lemma 2.18, $\hat{\omega} \in W^q(F)$, and $W^2(\hat{\omega}) = W^2(\omega)$. Thus the map $\omega \rightarrow \hat{\omega}$ extends to an isometry of $W^q(E)$ into $W^q(F)$. Since this isometry transports $\bar{\partial}_b$ and ∂_b to $\bar{\partial}_u$ and ∂_u , it takes $H^q(E)$ into $H^q(F)$.

(iv) this map is surjective. Let $\omega \in H^q(F)$. Then $\omega = \lim \omega_\nu$, $\omega_\nu \in C_0^\infty(F^q)$, with $\bar{\partial}_u \omega_\nu \rightarrow 0$, $\partial_u \omega_\nu \rightarrow 0$. By (i), $\omega_\nu = \hat{\alpha}_\nu$, with $(\bar{\partial}_b \alpha_\nu)^\wedge = \bar{\partial}_u \omega_\nu$, $(\partial_b \alpha_\nu)^\wedge = \partial_u \omega_\nu$. Since the correspondence $\omega \rightarrow \alpha$ is isometric in the W -norm, the $\{\alpha_\nu\}$ are also Cauchy, so $\alpha_\nu \rightarrow \alpha$ for some α , and $\bar{\partial}_b \alpha_\nu \rightarrow 0$, $\partial_b \alpha_\nu \rightarrow 0$. Thus $\alpha \in H^q(E)$, and $\hat{\alpha} = \omega$.

For the remainder of this and the next section we shall be concerned with an explicit determination of the spaces $H^q(F)$. First, we introduced the L^2 -cohomology along the ξ -fibers of $R^{n*} \times C^m$, $\xi \in R^{n*}$.

Let $C^{0,q}$ represent the space of $C^\infty(0, q)$ -forms on C^m . For $\xi \in R^{n*}$, introduce the ξ -norm

$$\|\Sigma' a_I d\bar{u}_I\|_{\xi}^2 = \sum_I \int_{C^m} |a_I(u)|^2 e^{-2Q_{\xi}(u,u)} du .$$

Now, we can apply the definitions 2.15-2.19 to the $\bar{\partial}$ -complex $(C^{0,q}, \bar{\partial})$ together with the ξ -norm. We shall let $H^q(\xi)$ refer to the associated L^2 -cohomology space:

$$(2.24) \quad H^q(\xi) = \{\omega \in W^q(\xi); \bar{\partial}\omega = \vartheta_{\xi}\omega = 0\}$$

where W_{ξ}^q is the completion of $C^{0,q}$ in the norm

$$W_{\xi}^q(\omega) = \|\omega\|_{\xi}^2 + \|\bar{\partial}\omega\|_{\xi}^2 + \|\vartheta_{\xi}\omega\|_{\xi}^2 .$$

For $\omega \in L^q(F)$, $\omega = \Sigma' a_I d\bar{u}_I$ define ω_{ξ} by fixing ξ :

$$\omega_{\xi}(u) = \Sigma' a_I(\xi, u) d\bar{u}_I .$$

Then ω_{ξ} is defined and in $L^q(\xi)$ for almost all ξ .

2.25. PROPOSITION. For $\omega \in H^q(F)$, $\omega_{\xi} \in H^q(\xi)$ for almost all ξ .

Proof. The following facts, for $\omega \in C^{\infty}(F^q)$, are easily verified:

$$(2.26) \quad \begin{aligned} \|\omega\|_u^2 &= \int_{R^{n*}} \|\omega_{\xi}\|_{\xi}^2 d\xi , \\ \bar{\partial}\omega_{\xi} &= (\bar{\partial}_u \omega)_{\xi}, \vartheta_{\xi}\omega_{\xi} = (\vartheta_u \omega)_{\xi} . \end{aligned}$$

Since $\omega \in H^q(F)$, we can find a sequence $\omega_{\nu} \in C_0^{\infty}(F^q)$ such that $\omega_{\nu} \rightarrow \omega$, $\bar{\partial}_u \omega_{\nu} \rightarrow 0$, $\vartheta_u \omega_{\nu} \rightarrow 0$ in $L^q(F)$. Replace $\{\omega_{\nu}\}$ by a subsequence converging so fast that

$$\begin{aligned} \sum_{\nu} \|\omega_{\nu} - \omega_{\nu-1}\|_u^2 &= \int_{R^{n*}} \sum_{\nu} \|\omega_{\nu,\xi} - \omega_{\nu-1,\xi}\|_{\xi}^2 d\xi < \infty \\ \sum_{\nu} \|\bar{\partial}_u \omega_{\nu}\|^2 &= \int_{R^{n*}} \sum_{\nu} \|\bar{\partial}\omega_{\nu,\xi}\|_{\xi}^2 d\xi < \infty \\ \sum_{\nu} \|\vartheta_u \omega_{\nu}\|_u^2 &= \int_{R^{n*}} \sum_{\nu} \|\vartheta_{\xi}\omega_{\nu,\xi}\|_{\xi}^2 d\xi < \infty . \end{aligned}$$

Then, for almost all ξ , the series being integrated on the right are all finite. For such a ξ , we will have the first series telescoping and the general term of the other series tending to zero. Thus $\{\omega_{\nu,\xi}\}$ converges with $\bar{\partial}\omega_{\nu,\xi} \rightarrow 0$, $\vartheta_{\xi}\omega_{\nu,\xi} \rightarrow 0$ in $L^q(\xi)$. Thus $\lim \omega_{\nu,\xi}$ is in $H^q(\xi)$, but for almost all ξ , $\lim \omega_{\nu,\xi} = \omega_{\xi}$.

3. Computation of $H^p(\xi)$. First, we summarize the situation of the preceding section. Q is a nondegenerate C -valued hermitian form on C^m . For $\xi \in R^{n*}$, we introduce the scalar hermitian form

$$Q_{\xi}(u, v) = \langle \xi, Q(u, v) \rangle .$$

3.1. DEFINITION. Let $U = \{\xi \in R^{n*}; Q_\xi \text{ is nondegenerate}\}$.

Our basic hypothesis is that $U = \emptyset$; in this case $R^{n*} - U$ has measure zero. Let $\langle | \rangle$ represent the Euclidean inner product on C^m . For $\xi \in U$, define the operator A_ξ by

$$\langle A_\xi u | v \rangle = Q_\xi(u, v).$$

Since Q_ξ is hermitian, A_ξ is self-adjoint, so C^m has an orthonormal basis of eigenvectors of A_ξ . If $u_1 = u_1(\xi), \dots, u_m = u_m(\xi)$ are linear forms dual to such a basis and $\lambda_1, \dots, \lambda_m$ are the corresponding eigenvalues, we compute that

$$Q_\xi(u, v) = \sum \lambda_i u_i \bar{v}_i.$$

Now the λ_i are real and since Q is nondegenerate no λ_i is zero. Reordering, we can find positive numbers μ_1, \dots, μ_m such that

$$(3.2) \quad Q_\xi(u, v) = \sum_{i=1}^q \mu_i^2 u_i \bar{v}_i - \sum_{i=q+1}^m \mu_i^2 u_i \bar{v}_i.$$

The number q is determined by Q_ξ , it is the dimension of a maximal space to which Q_ξ restricts as an inner product.

3.3. DEFINITION. $U_q = \{\xi \in U; Q_\xi \text{ has the form (3.2)}\}$.

3.4. PROPOSITION. For each $\xi \in U_q$, we can find an orthonormal coordinate set for C^m , u_1, \dots, u_m , so that (3.2) holds. The correspondence $\xi \rightarrow (u_1, \dots, u_m)$ can be chosen (locally) so as to depend smoothly on ξ .

The proposition is clear. Now, we shall fix a $\xi \in U_q$, and, to keep the notation clear we shall suppress reference to this ξ , denoting

$$\phi(u) = Q_\xi(u, u) = \sum_{i=1}^q \mu_i^2 |u_i|^2 - \sum_{i=q+1}^m \mu_i^2 |u_i|^2.$$

We will now compute the cohomology spaces $H^q(\xi)$ following the notation and ideas of Hörmander [7].

As in §2, $C^{0,q}$ is the space of smooth q -forms defined on C^m ; $C_0^{0,q}$, those of compact support. We consider the Hilbert space norm on $C^{0,p}$, for $\omega = \sum a_I d\bar{u}_I$

$$(3.5) \quad \|\omega\|^2 = \sum_I \int_{C^m} |a_I|^2 e^\phi du.$$

This expression is valid for ω so represented in terms of any orthonormal coordinate set u_1, \dots, u_m . Let, for f a smooth function

$$\begin{aligned}
 \partial_j f &= \frac{\partial f}{\partial u_j}, \quad \bar{\partial}_j f = \frac{\partial f}{\partial \bar{u}_j}, \\
 (3.6) \quad \vartheta_j f &= e^{-\phi} \partial_j (e^\phi f) = \partial_j \phi \cdot f + \partial_j f \\
 \bar{\vartheta}_j f &= e^{-\phi} \bar{\partial}_j (e^\phi f) = \bar{\partial}_j \phi \cdot f + \bar{\partial}_j f.
 \end{aligned}$$

Thus,

$$(3.7) \quad [\bar{\partial}_j, \vartheta_k] = \bar{\partial}_j \vartheta_k - \vartheta_k \bar{\partial}_j = \partial_j^k \lambda_j.$$

Furthermore, if either f or g is compactly supported

$$(8.3) \quad \int_{C^m} (\partial_j f) g e^\phi du = - \int_{C^m} f (\vartheta_j g) e^\phi du$$

and similarly for the barred operators. Now, for $\omega = \Sigma' a_I d\bar{u}_I$ a q -form we have

$$(3.9) \quad \bar{\partial} \omega = \Sigma'_I \sum_{j=1}^m \bar{\partial}_j a_I d\bar{u}_j \wedge d\bar{u}_I,$$

$$(3.10) \quad \vartheta \omega = \Sigma'_I \sum_{j=1}^m \vartheta_j (a_{jI}) d\bar{u}_I$$

where ϑ is the formal adjoint of $\bar{\partial}$. (Here the ' refers to the summation convention introduced in the preceding section.) Finally, we shall need two fundamental identities. First, if f is smooth and compactly supported,

$$(3.11) \quad \int_{C^m} |\vartheta_j f|^2 e^\phi du - \int_{C^m} |\bar{\partial}_j f|^2 e^\phi du + \lambda_j \int_{C^m} |f|^2 e^\phi du = 0.$$

This follows from applying (3.8) to (3.7) in its integrated form:

$$\lambda_j \int |f|^2 e^\phi du = \int [\bar{\partial}_j, \vartheta_j] f \cdot \bar{f} e^\phi du.$$

By direct computation we obtain, for $\omega = \Sigma' a_I d\bar{u}_I \in C_0^{q,p}$,

$$\begin{aligned}
 & \| \bar{\partial} \omega \|^2 + \| \vartheta \omega \|^2 \\
 &= \sum'_{K=q-1} \sum'_{j,l} \int_{C^m} (\vartheta_j a_{jK} \overline{\vartheta_l a_{lK}} - \bar{\partial}_j a_{jK} \overline{\bar{\partial}_l a_{lK}}) e^\phi du \\
 &+ \sum'_{I,j} \int_{C^m} |\bar{\partial}_j a_I|^2 e^\phi du.
 \end{aligned}$$

Using the above integration-by-parts formula on the first term on the right, this becomes

$$(3.12) \quad \| \bar{\partial} \omega \|^2 + \| \vartheta \omega \|^2 = \sum'_I \sum'_j \int |\bar{\partial}_j a_I|^2 e^\phi du - \sum'_K \sum'_j \lambda_j \int |a_{jK}|^2 e^\phi du$$

(These are respectively the analogues of (2.1.8)' and (2.1.13) of [7].)

Let $c = \min |\lambda_i| > 0$.

3.13. LEMMA. *Let N be the multi index $(1, 2, \dots, q)$. Then, for $\omega = \Sigma' a_I d\bar{u}_I \in C_0^{0,p}$, we have*

$$\begin{aligned} \|\bar{\partial}\omega\|^2 + \|\vartheta\omega\|^2 &\geq \sum'_{I \neq N} c \int |a_I|^2 e^\phi du \\ &+ \sum'_I \left(\sum_{j=1}^q \int |\vartheta_j a_I|^2 e^\phi du + \sum_{j=q+1}^m \int |\bar{\partial}_j a_I|^2 e^\phi du \right). \end{aligned}$$

Proof. Let us adopt the notation $\lambda_I = \sum_{j \in I} \lambda_j$. Note that for $I \neq N$, $\lambda_N - \lambda_I \geq c > 0$. We rewrite (3.12) as

$$(3.14) \quad \|\bar{\partial}\omega\|^2 + \|\vartheta\omega\|^2 \geq \sum'_I \left(\sum_j \int |\bar{\partial}_j a_I|^2 e^\phi du - \lambda_I \int |a_I|^2 e^\phi du \right).$$

We treat each term individually.

$$\begin{aligned} &\sum_j \int |\bar{\partial}_j a_I|^2 e^\phi du - \lambda_I \int |a_I|^2 e^\phi du \\ &= \sum_j \int |\bar{\partial}_j a_I|^2 e^\phi du - \lambda_N \int |a_I|^2 e^\phi du + (\lambda_N - \lambda_I) \int |a_I|^2 e^\phi du. \end{aligned}$$

Applying (3.11) to the second term (note $\lambda_N = \lambda_1 + \dots + \lambda_q$), we obtain

$$\begin{aligned} &= \sum_j \int |\bar{\partial}_j a_I|^2 e^\phi du + \sum_{j=1}^q \left(\int |\vartheta_j f|^2 e^\phi du - \int |\bar{\partial}_j a_I|^2 e^\phi du \right) + (\lambda_N - \lambda_I) \int |a_I|^2 e^\phi du \\ &= (\lambda_N - \lambda_I) \int |a_I|^2 e^\phi du + \sum_{j=1}^q \int |\vartheta_j f|^2 e^\phi du + \sum_{j=q+1}^m \int |\bar{\partial}_j f|^2 e^\phi du. \end{aligned}$$

If $I = N$, the first term drops out; otherwise it dominates $c \int |a_I|^2 e^\phi du$. The lemma is proven.

Now, we recall that W^p is defined as the Hilbert space completion of those $\omega \in C_0^{0,p}$ such that

$$W^2(\omega) = \|\omega\|^2 + \|\bar{\partial}\omega\|^2 + \|\vartheta\omega\|^2 < \infty$$

in this W -norm. $H^p = H^p(\xi) = \ker \bar{\partial} \cap \ker \vartheta$. The relevance of the above estimate is that it holds on W^p , because $C_0^{0,p}$ is dense in W^p as we now prove.

3.15. LEMMA. *$C_0^{0,p}$ is dense in W^p in the W -norm.*

Proof. Let h be as introduced in Lemma 2.18, and let $h_\nu(u) = h(|u|/2^\nu)$. Suppose $\omega \in C_0^{0,p}$ has finite W -norm. Let $\omega_\nu = h_\nu \cdot \omega$. We shall show that $\omega_\nu \rightarrow \omega$ in the W -norm, or, what is the same,

$$(3.16) \quad \omega_\nu \longrightarrow \omega, \bar{\partial}\omega_\nu \longrightarrow \bar{\partial}\omega, \vartheta\omega_\nu \longrightarrow \vartheta\omega .$$

First of all, since $h_\nu \rightarrow 1$ boundedly we can conclude that $h_\nu \cdot \theta \rightarrow \theta$ in L^2 , for any square integrable form θ . Now, from formulae (3.9) and (3.10) we easily conclude that

$$(3.17) \quad \begin{aligned} \bar{\partial}(h_\nu\omega) &= h_\nu\bar{\partial}\omega + \sum'_{I,j} \frac{\partial h_\nu}{\partial \bar{u}_j} a_I d\bar{u}_j \wedge d\bar{u}_I \\ \vartheta(h_\nu\omega) &= h_\nu\vartheta\omega + \sum'_{I,j} \frac{\partial h_\nu}{\partial u_j} a_{jI} d\bar{u}_I . \end{aligned}$$

It remains only to show that the last terms in (3.17) tend to zero as $\nu \rightarrow \infty$. Each term is a fixed linear combination of terms of the form $(D \cdot h_\nu)a$, where D is a constant coefficient first order operator, and a is a typical coefficient of ω . Now, the $(D \cdot h_\nu)$ are uniformly bounded and have disjoint supports, so $\Sigma(D \cdot h_\nu)^2$ is bounded. Thus $(\sum_\nu D \cdot h_\nu)^2 |a|^2$ is integrable, so the general term tends to zero in L^1 . Thus the last term in (3.17) tends to zero in L^2 , so the lemma is proven.

3.18. THEOREM. (1) For $\xi \in U_q$, we have $H^p(\xi) = \{0\}$ for $p \neq q$.
 (2) Let u_1, \dots, u_m be the basis of C^m found in Proposition 3.4, and let $v_1 = \mu_1 \bar{u}_1, \dots, v_q = \mu_q \bar{u}_q, v_{q+1} = \mu_{q+1} u_{q+1}, \dots, v_m = \mu_m u_m$. Then

$$(3.19) \quad \begin{aligned} H^q(\xi) &= \left\{ \omega = f(v) \exp\left(-\sum_{i=1}^q |v_i|^2\right) d\bar{u}_1 \wedge \dots \wedge d\bar{u}_q , \right. \\ &\text{where } f \text{ is holomorphic and} \\ \|\omega\|^2 &= \frac{1}{(\mu_1 \dots \mu_m)} 2 \int |f|^2 e^{-\|v\|^2} dv < \infty \left. \right\} . \end{aligned}$$

Proof. Let $\omega \in H^p(\xi)$, $\omega = \Sigma' a_I d\bar{u}_I$. By the preceding lemma there is a sequence $\{\omega_\nu\} \subset C_0^{0,p}$ such that $\omega_\nu \rightarrow \omega$ in L^p and $\bar{\partial}\omega_\nu \rightarrow 0$, $\vartheta\omega_\nu \rightarrow 0$ in L^p . By the estimate in Lemma 3.13 we conclude that, for $\omega_\nu = \Sigma' a_{I,\nu} d\bar{u}_I$, $a_{I,\nu} \rightarrow a_I$, and

- (a) for $I \neq N = \{1, \dots, q\}$, $a_{I,\nu} \longrightarrow 0$,
- (b) for $j > q$, $\frac{\partial a_{N,\nu}}{\partial \bar{u}_j} \longrightarrow 0$ in L^1_{loc} ,
- (c) for $j \leq q$, $\frac{\partial}{\partial u_j}(e^\vartheta a_{N,\nu}) \longrightarrow 0$ in L^1_{loc} .

From (a) we conclude that $a_I = 0$ for $I \neq N$. Thus (1) is proven, and for $n = q$, we have $\omega = a d\bar{u}_1 \wedge \dots \wedge d\bar{u}_q$ where $a = \lim a_\nu$ with

$$\frac{\partial a_\nu}{\partial \bar{u}_j} \longrightarrow 0, j > q, \quad \frac{\partial e^\phi a_\nu}{\partial u_j} \longrightarrow 0, j \leq q$$

in L^1_{loc} . Thus $f(u) = a(u) \exp(\sum_{i=1}^q \mu_i^2 |u_i|^2)$ is a weak solution of

$$\partial_j f = 0, 1 \leq j \leq q, \quad \bar{\partial}_j f = 0, q + 1 \leq j \leq n.$$

By the regularity theorem for the Cauchy-Riemann equations, it follows that f is holomorphic in $\bar{u}_1, \dots, \bar{u}_q, u_{q+1}, \dots, u_m$ and

$$\int |f(u)|^2 \exp\left(-\sum_{i=1}^m \mu_i^2 |u_i|^2\right) du = \int |a|^2 e^\phi du = \|\omega\|^2.$$

This is, up to the desired change of variable, what was to be proved.

The preceding results tell us that the fibration $H^q(\xi) \rightarrow \xi$ is a locally trivial bundle of Hilbert spaces, with generic fiber naturally isomorphic to

$$(3.20) \quad H_0 = \left\{ f \in \mathcal{O}(C^m); \int_{C^m} |f(v)|^2 e^{-\|v\|^2} dv < \infty \right\}.$$

We want to observe that $H^q(F)$ is a space of square integrable sections on U_q of this bundle.

3.21. THEOREM. *Let $S^q(F)$ be the space of C^∞ sections of F^q over U_q such that, for all $\xi \in U_q, \omega_\xi \in H^q(\xi)$ and*

$$(3.22) \quad \|\omega\|^2 = \int_{U_q} \|\omega_\xi\|^2 d\xi < \infty.$$

Then $H^q(F)$ is the completion of $S^q(F)$ in this norm.

Proof. By (2.26), for such $\omega \in S^q(F)$ we have $\|\omega\|_u^2 = \|\omega\|^2, \bar{\partial}_u \omega = \partial_u \omega = 0$, and so $S^q(F)$ is isometric to a subspace of $H^q(F)$. We have to show that $S^q(F)$ is dense.

Let $\omega \in H^q(F)$. By Proposition 2.25, $\omega_\xi \in H^q(\xi)$ for almost all $\xi \in U$, so ω is supported in U_q . Fix $\xi_0 \in U_q$, and let N be a neighborhood of ξ_0 such that we can find smooth functions $u_1(\xi, u), \dots, u_n(\xi, u)$ defined on $N \times C^m$ such that

(a) for all $\xi, u_1(\xi, u), \dots, u_n(\xi, u)$ form an orthonormal coordinate set for C^m ,

(b) $Q_\xi(u, u) = \sum_{i=1}^q \mu_i(\xi)^2 |u_i(\xi, u)|^2 - \sum_{i=q+1}^m \mu_i(\xi)^2 |u_i(\xi, u)|^2$. Let $\Omega_\xi = \exp(-\sum_{i=1}^q \mu_i^2 |u_i|^2) d\bar{u}_1 \wedge \dots \wedge d\bar{u}_q$. Let $d(\xi) = [\mu_1(\xi) \dots \mu_n(\xi)]^{-2}, v_1 = \mu_1 \bar{u}_1, \dots, v_q = \mu_q \bar{u}_q, v_{q+1} = \mu_1 u_{q+1}, \dots, v_m = \mu_m u_m$. Then, for almost all $\xi \in N$,

$$\omega(\xi, u) = f(\xi, v) \Omega_\xi,$$

and

$$\|\omega|_N\|^2 = \int_N \left[\int_{C^m} |f(\xi, v)|^2 e^{-\|v\|^2} dv \right] d(\xi) d\xi .$$

The proof of Theorem 2.26 of [10] applies on the right, to show that f can be approximated by functions of the form $\sum_{k=1}^K l_k(\xi) P_k(u)$, where $l_k \in C_0^\infty(N)$ and P_k is a polynomial.

For such an f , $f\Omega_\xi$ is in $S^q(F)$. Thus $\omega|_N$ is the closure of $S^q(F)$. Now, if we cover U_q by a locally finite collection of open sets $\{N_i\}$ of this type, then for any $\omega \in H^q(F)$ supported in N_i , ω is in the closure of $S^q(F)$. Let $\{\rho_i\}$ be a partition of unity subordinate to the cover $\{N_i\}$. It is easy to verify that, for $\omega \in H^q(F)$, $\rho_i\omega \in H^q(F)$ and $\omega = \sum_i \rho_i\omega$ in $W^q(F)$. Since each $\rho_i\omega$ is in the closure of $S^q(F)$, so also is ω .

4. Representations of $N(Q)$ on $H^q(\Sigma)$. Recall the group $N(Q)$ introduced at the beginning of §2 and its action by complex affine transformations on C^{n+m} , as given by (2.4). Since Σ is an orbit of $N(Q)$, and $N(Q)$ preserves the complex structure of C^{n+m} , it preserves the induced CR -structure on Σ . That is, for $n \in N(Q)$, the differential dn preserves the bundle A of holomorphic tangent vectors tangent to Σ . Since $E^q = A^q(A^*)$, there is induced an action of $N(Q)$ on $C^\infty(E^q)$ given by

$$(4.1) \quad (n \cdot \omega)(v_p) = \omega(dn^{-1}(v_p)) .$$

We can make this explicit, referring to the coordinates of §2:

$$(4.1)' \quad \text{if } \omega = \Sigma a_I d\bar{u}_I, \quad (n \cdot \omega)(p) = \Sigma a_I(n^{-1} \cdot p) d\bar{u}_I$$

(the reason this is so simple is that the action of $N(Q)$ is by pure translation). Since $N(Q)$ preserves the measure $dxdu$ on Σ , this correspondence $\omega \rightarrow n \cdot \omega$ defines an isometry of $L^q(\Sigma)$, as defined in (2.13). Clearly $\bar{\partial}_b(n \cdot \omega) = n \cdot \bar{\partial}_b\omega$, so we also have, since ϑ_b is the formal adjoint of $\bar{\partial}_b$, $\vartheta_b(n \cdot \omega) = n \cdot \vartheta_b\omega$. Thus the action (4.1) induces an isometry of W^q preserving $H^q(\Sigma)$.

4.2. DEFINITION. Let ρ_q denote the unitary representation of $N(Q)$ on $H^q(\Sigma)$ induced by the action (4.1).

Now, we summarize the content of Theorem 3.19 as it applies to the representation ρ_q . First of all, the correspondence $\omega \rightarrow \hat{\omega}$ (as defined by (2.10) induced an isometry of $H^q(\Sigma)$ with $H^q(F)$ (Theorem 2.20), defined in terms of the $\bar{\partial}_u$ -complex on $R^{n*} \times C^m$. We shall let $\tilde{\rho}_q$ represent the transport of ρ_q to $H^q(F)$ via this correspondence. Explicitly, $\tilde{\rho}_q$ is induced by this action of $N(Q)$ on $C_0^\infty(F^q)$:

$$n \cdot \hat{\omega} = (n \cdot \omega)^\wedge, \quad n \in N(Q) .$$

Let us explicitly compute $\tilde{\rho}_q$. For $a \in C_0^\infty(E^0)$, and $n = (x_0, u_0)$ in $N(Q)$, we have

$$\begin{aligned} n \cdot a(x, u) &= a((-x_0, -u_0)(x, u)) = a(x - x_0 - 2 \operatorname{Im} Q(u_0, u), u - u_0), \\ n \cdot \hat{a}(\xi, u) &= (n \cdot a)^\wedge(\xi, u) = (\mathcal{F}_x(n \cdot a))(\xi, u) e^{Q_\xi(u, u)} \\ &= e^{-i\langle \xi, x_0 + 2 \operatorname{Im} Q(u_0, u) \rangle} (\mathcal{F}_x a)(\xi, u - u_0) e^{Q_\xi(u, u)} \\ &= e^{-i\langle \xi, x_0 \rangle} e^{-Q_\xi(u_0, u_0)} e^{2Q_\xi(u, u_0)} \hat{a}(\xi, u - u_0). \end{aligned}$$

Thus

$$(4.3) \quad n \cdot \omega(\xi, u) = e^{-i\langle \xi, x_0 \rangle} e^{-Q_\xi(u_0, u_0)} e^{2Q_\xi(u, u_0)} \omega(\xi, u - u_0)$$

for $n = (x_0, u_0)$ and $\omega \in C^\infty(F^q)$.

The content of Theorem 3.19 is that $H^q(F)$ can be realized as the space of square-integrable sections of the Hilbert fibration $H^q(\xi) \rightarrow \xi$ over U_q . From (4.3) we see that the action of $N(Q)$ is fiber-preserving. More precisely, we can freeze ξ in (4.3) and let it define an action on the space $C^{0,q}$ of q -forms on C^m :

$$(\rho(\xi)n)\omega(u) = e^{-i\langle \xi, x_0 \rangle} e^{-Q_\xi(u_0, u_0)} e^{-2Q_\xi(u, u_0)} \omega(u - u_0).$$

Since $Q_\xi(u, u_0)$ is holomorphic in u , this action commutes with $\bar{\partial}$. This action is isometric in the norm (3.5) (where $\phi(u) = Q_\xi(u, u)$), so there is induced an unitary representation $\rho(\xi)$ of $N(Q)$ on $H^q(\xi)$. Now Theorem 3.19 reads as follows.

$$4.4. \text{ THEOREM. } \rho_q \sim \tilde{\rho}_q \sim \int_{U_q} \oplus \rho(\xi) d\xi.$$

Finally, we would like to point out that the representations $\rho(\xi)$ are those (in the case $n = 1$) found by Carmona [3]. They are irreducible, and we use Theorem 3.16 to see that. The coordinates $v_1(\xi), \dots, v_m(\xi)$ found in that theorem are the coordinates produced by Ogden and Vagi [9] in their description of the Plancherel formula for the groups $N(Q)$. Theorem 3.16 describes the intertwining operator which intertwines $\rho(\xi)$ with their representation π_ξ . We can generalize their theorem.

4.5. THEOREM. *The representation $\bigoplus \rho_q$ of $N(Q)$ on $\bigoplus H^q(E)$ is isometric to a subrepresentation of the left regular representation on $L^2(N(Q))$ in which every irreducible (except for a set of Plancherel measure zero) occurs with multiplicity one.*

In the language of Auslander and Kostant, the vector bundle A of holomorphic tangent vectors tangent to Σ , arises from a Lie subalgebra \mathfrak{h} of $\mu(Q)^\circ$. If \mathfrak{z} is the center of $\mu(Q)$, then $\mathfrak{z}^c \oplus \mathfrak{h}$ is a

polarization at ξ , for all $\xi \in \mathfrak{z}^*(\subset \mu(Q)^*)$ which is *positive* if and only if $\xi \in U_0$. If $\xi \in U_q$, $q \neq 0$, then the new coordinates of Theorem 3.16 relate to a positive polarization at ξ , and Theorem 3.16 exhibits the intertwining operator between the representations corresponding to these polarizations.

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Vol. 65, No. 1

September, 1976

David Lee Armacost, <i>Compactly cogenerated LCA groups</i>	1
Sun Man Chang, <i>On continuous image averaging of probability measures</i>	13
J. Chidambaraswamy, <i>Generalized Dedekind ψ-functions with respect to a polynomial. II</i>	19
Freddy Delbaen, <i>The Dunford-Pettis property for certain uniform algebras</i>	29
Robert Benjamin Feinberg, <i>Faithful distributive modules over incidence algebras</i>	35
Paul Froeschl, <i>Chained rings</i>	47
John Brady Garnett and Anthony G. O'Farrell, <i>Sobolev approximation by a sum of subalgebras on the circle</i>	55
Hugh M. Hilden, José M. Montesinos and Thomas Lusk Thickstun, <i>Closed oriented 3-manifolds as 3-fold branched coverings of S^3 of special type</i>	65
Atsushi Inoue, <i>On a class of unbounded operator algebras</i>	77
Peter Kleinschmidt, <i>On facets with non-arbitrary shapes</i>	97
Narendrakumar Ramanlal Ladhawala, <i>Absolute summability of Walsh-Fourier series</i>	103
Howard Wilson Lambert, <i>Links which are unknottable by maps</i>	109
Kyung Bai Lee, <i>On certain g-first countable spaces</i>	113
Richard Ira Loebel, <i>A Hahn decomposition for linear maps</i>	119
Moshe Marcus and Victor Julius Mizel, <i>A characterization of non-linear functionals on W_1^p possessing autonomous kernels. I</i>	135
James Miller, <i>Subordinating factor sequences and convex functions of several variables</i>	159
Keith Pierce, <i>Amalgamated sums of abelian l-groups</i>	167
Jonathan Rosenberg, <i>The C^*-algebras of some real and p-adic solvable groups</i>	175
Hugo Rossi and Michele Vergne, <i>Group representations on Hilbert spaces defined in terms of ∂_b-cohomology on the Silov boundary of a Siegel domain</i>	193
Mary Elizabeth Schaps, <i>Nonsingular deformations of a determinantal scheme</i>	209
S. R. Singh, <i>Some convergence properties of the Bubnov-Galerkin method</i>	217
Peggy Strait, <i>Level crossing probabilities for a multi-parameter Brownian process</i>	223
Robert M. Tardiff, <i>Topologies for probabilistic metric spaces</i>	233
Benjamin Baxter Wells, Jr., <i>Rearrangements of functions on the ring of integers of a p-series field</i>	253
Robert Francis Wheeler, <i>Well-behaved and totally bounded approximate identities for $C_0(X)$</i>	261
Delores Arletta Williams, <i>Gauss sums and integral quadratic forms over local fields of characteristic 2</i>	271
John Yuan, <i>On the construction of one-parameter semigroups in topological semigroups</i>	285