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**ON A THEOREM OF APOSTOL CONCERNING MÖBIUS  
FUNCTIONS OF ORDER  $k$**

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## ON A THEOREM OF APOSTOL CONCERNING MÖBIUS FUNCTIONS OF ORDER $k$

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**In 1970, Tom M. Apostol introduced a class of arithmetical functions  $\mu_k(n)$  for all positive integral  $k$ , as a generalization of the Möbius function  $\mu(n) = \mu_1(n)$  and established the following theorem: For  $k \geq 2$ ,  $M_k(x) = \sum_{n \leq x} \mu_k(n) = A_k x + O(x^{1/k} \log x)$ , where  $A_k$  is a positive constant. In this paper we improve the above  $O$ -estimate to  $O(x^{4k/(4k^2+1)} \omega(x))$  on the assumption of the Riemann hypothesis, where  $\omega(x) = \exp\{A \log x (\log \log x)^{-1}\}$ ,  $A$  being a positive absolute constant.**

**1. Introduction.** T. M. Apostol [1] introduced the following generalization of the Möbius function  $\mu(n)$ . Let  $k$  be a fixed positive integer. Let  $\mu_k$ , the Möbius function of order  $k$  be defined by  $\mu_k(1) = 1$ ,  $\mu_k(n) = 0$  if  $p^{k+1} | n$  for some prime  $p$ ,  $\mu_k(n) = (-1)^r$  if  $n = p_1^{a_1} p_2^{a_2} \cdots p_r^{a_r} \prod_{i>r} p_i^{a_i}$ ,  $0 \leq a_i < k$ ,  $\mu_k(n) = 1$  otherwise. In other words,  $\mu_k(n)$  vanishes if  $n$  is divisible by the  $(k+1)$ st power of some prime; otherwise,  $\mu_k(n)$  is 1 unless the prime factorization of  $n$  contains the  $k$ th powers of exactly  $r$  distinct primes, in which case  $\mu_k(n) = (-1)^r$ . When  $k = 1$ ,  $\mu_k(n)$  is the usual Möbius function,  $\mu_1(n) = \mu(n)$ .

He established the following asymptotic formula (cf. [1], Theorem 1) for the summatory function  $M_k(x) = \sum_{n \leq x} \mu_k(n)$ : For  $k \geq 2$  and  $x \geq 2$

$$(1) \quad \sum_{n \leq x} \mu_k(n) = A_k x + O(x^{1/k} \log x),$$

where  $A_k$  is the constant given by

$$(2) \quad A_k = \prod_p \left( 1 - \frac{2}{p^k} + \frac{1}{p^{k+1}} \right),$$

the product being extended over all primes  $p$ .

In this note we improve the  $O$ -estimate of the error term in (1) above on the assumption of the Riemann hypothesis by proving the following: For  $x \geq 3$ ,

$$(3) \quad \sum_{n \leq x} \mu_k(n) = A_k x + O(x^{4k/(4k^2+1)} \omega(x)),$$

where  $\omega(x)$  is given by (5) below.

**2. Lemmas.** The proof of (3) is based on the following four lemmas.

LEMMA 1. (cf. [5], Theorem 14–26 (A), p. 316). *If the Riemann hypothesis is true, then for  $x \geq 3$ ,*

$$(4) \quad M(x) = \sum_{n \leq x} \mu(n) = O(x^{1/2} \omega(x)),$$

where

$$(5) \quad \omega(x) = \exp\{A \log x (\log \log x)^{-1}\},$$

$A$  being an absolute positive constant.

LEMMA 2 (cf. [3], Lemma 2.5). *If the Riemann hypothesis is true, then for  $x \geq 3$ , and  $s > 1$ ,*

$$(6) \quad \sum_{n \leq x} \frac{\mu(n)}{n^s} = \frac{1}{\zeta(s)} + O(x^{1-s} \omega(x)),$$

where  $\zeta(s)$  is the Riemann Zeta function defined by  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  for  $s > 1$ .

In order to state the other two lemmas we need to recall the following terminology and notation established by E. Cohen [2]: Let  $k$  be a fixed integer  $\geq 2$ . A positive integer  $n$  is called *unitarily  $k$ -free*, if the multiplicity of each prime divisor of  $n$  is not a multiple of  $k$ ; or equivalently, if  $n$  is not divisible unitarily by the  $k$ th power of any integer  $> 1$ . By a *unitary* divisor of  $n$ , we mean as usual a divisor  $d > 0$  of  $n$  such that  $(d, n/d) = 1$ . The integer 1 is also considered to be unitarily  $k$ -free. Let  $Q_k^*$  denote the set of unitarily  $k$ -free integers and let  $q_k^*$  denote the characteristic function of the set  $Q_k^*$ ; that is,  $q_k^*(n) = 1$  or 0 according as  $n \in Q_k^*$  or  $n \notin Q_k^*$ . Let  $Q_k^*(x) = \sum_{n \leq x} q_k^*(n)$ . In other words,  $Q_k^*(x)$  is the number of unitarily  $k$ -free integers  $\leq x$ . Then we have

LEMMA 3 (cf. [4], Theorem 3.2). *If the Riemann hypothesis is true, then for  $x \geq 3$ ,*

$$(7) \quad Q_k^*(x) = A_k \zeta(k) x + O(x^{2/(2k+1)} \omega(x)),$$

where  $\omega(x)$  is given by (5) and  $A_k$  is given by (2).

LEMMA 4 (cf. [1], eq. (10)).  $\mu_k(n) = \sum_{d^k \delta = n} \mu(d)q_k^*(\delta)$ .

**3. Proof of (3).** Using Lemma 4, we obtain

$$(8) \quad M_k(x) = \sum_{n \leq x} \mu_k(n) = \sum_{n \leq x} \sum_{d^k \delta = n} \mu(d)q_k^*(\delta) = \sum_{d^k \delta \leq x} \mu(d)q_k^*(\delta),$$

the summation being taken over all ordered  $(d, \delta)$  such that  $d^k \delta \leq x$ .

Let  $z = x^{1/k}$ . Further, let  $0 < \rho = \rho(x) < 1$ , where the function  $\rho(x)$  will be suitably chosen later.

If  $d^k \delta \leq x$ , then both  $d > \rho z$  and  $\delta > \rho^{-k}$  can not simultaneously hold and so from (8), we have

$$(9) \quad \begin{aligned} M_k(x) &= \sum_{\substack{d^k \delta \leq x \\ d \leq \rho z}} \mu(d)q_k^*(\delta) + \sum_{\substack{d^k \delta \leq x \\ \delta \leq \rho^{-k}}} \mu(d)q_k^*(\delta) - \sum_{\substack{d \leq \rho z \\ \delta \leq \rho^{-k}}} \mu(d)q_k^*(\delta). \\ &= S_1 + S_2 - S_3, \quad \text{say.} \end{aligned}$$

Applying Lemma 3, we obtain

$$(10) \quad \begin{aligned} S_1 &= \sum_{d \leq \rho z} \mu(d) \sum_{\delta \leq x/d^k} q_k^*(\delta) = \sum_{d \leq \rho z} \mu(d) Q_k^* \left( \frac{x}{d^k} \right) \\ &= \sum_{d \leq \rho z} \mu(d) \left\{ A_k \zeta(k) \frac{x}{d^k} + O \left( \left( \frac{x}{d^k} \right)^{2/(2k+1)} \omega \left( \frac{x}{d^k} \right) \right) \right\} \\ &= A_k \zeta(k) x \sum_{d \leq \rho z} \frac{\mu(d)}{d^k} + O \left( x^{2/(2k+1)} \omega(x) \sum_{d \leq \rho z} d^{-2k/(2k+1)} \right), \end{aligned}$$

since  $\omega(x)$  is monotonic increasing. We have

$$\sum_{d \leq \rho z} d^{-2k/(2k+1)} = O((\rho z)^{1-2k/(2k+1)}) = O((\rho z)^{1/(2k+1)}),$$

so that the  $O$ -term in (10) is  $O(\rho^{1/(2k+1)} z \omega(x))$ .

Now, applying Lemma 2 we obtain from (10),

$$(11) \quad \begin{aligned} S_1 &= A_k \zeta(k) x \left\{ \frac{1}{\zeta(k)} + O((\rho z)^{-k+1/2} \omega(\rho z)) \right\} + O(\rho^{1/(2k+1)} z \omega(x)) \\ &= A_k x + O(\rho^{-k+1/2} z^{\frac{1}{2}} \omega(x)) + O(\rho^{1/(2k+1)} z \omega(x)), \end{aligned}$$

since  $\omega(\rho z) \leq \omega(z) < \omega(x)$ .

We have by Lemma 1,

$$\begin{aligned}
 S_2 &= \sum_{\delta \leq \rho^{-k}} q_k^*(\delta) \sum_{d \leq (x/\delta)^{1/k}} \mu(d) = \sum_{\delta \leq \rho^{-k}} q_k^*(\delta) M\left(\left(\frac{x}{\delta}\right)^{1/k}\right) \\
 (12) \quad &= O\left(\sum_{\delta \leq \rho^{-k}} q_k^*(\delta) \left(\frac{x}{\delta}\right)^{1/2k} \omega\left(\left(\frac{x}{\delta}\right)^{1/k}\right)\right) \\
 &= O\left(x^{1/2k} \omega(x) \sum_{\delta \leq \rho^{-k}} q_k^*(\delta) \delta^{-1/2k}\right)
 \end{aligned}$$

Now, by Lemma 3 and partial summation, we obtain

$$\sum_{\delta \leq \rho^{-k}} q_k^*(\delta) \delta^{-1/2k} = O((\rho^{-k})^{1-1/2k}) = O(\rho^{-k+1/2}).$$

Hence by (12), we have

$$(13) \quad S_2 = O(\rho^{-k+1/2} z^{1/2} \omega(x)).$$

Also, by Lemmas 1 and 3, we obtain

$$\begin{aligned}
 S_3 &= \left(\sum_{d \leq \rho z} \mu(d)\right) \left(\sum_{\delta \leq \rho^{-k}} q_k^*(\delta)\right) = O(\rho^{1/2} z^{1/2} \omega(\rho z) \rho^{-k}) \\
 (14) \quad &= O(\rho^{-k+1/2} z^{1/2} \omega(x)).
 \end{aligned}$$

Hence by (9), (11), (13) and (14), we obtain

$$(15) \quad M_k(x) = A_k x + O(\rho^{-k+1/2} z^{1/2} \omega(x)) + O(\rho^{1/(2k+1)} z \omega(x))$$

Now, choosing  $\rho = z^{-(2k+1)/(4k^2+1)}$ , we see that  $0 < \rho < 1$  and  $\rho^{-k+1/2} z^{1/2} = \rho^{1/(2k+1)} z = z^{4k^2/(4k^2+1)} = x^{4k/(4k^2+1)}$ , so that the first and second  $O$ -terms in (15) are both equal to  $O(x^{4k/(4k^2+1)} \omega(x))$ . Hence (3) follows from (15).

In conclusion we would like to make the following two remarks:

REMARK 1. The  $O$ -estimate in (3) is uniform in  $x$  and  $k$ .

REMARK 2. Since we have obtained improvement in Apostol's Theorem (1) on the assumption of the Riemann hypothesis by making use of Lemma 3 or Theorem 3.2 of [4], it might appear that it is possible to obtain improvement in (1) even without any hypothesis, by making use of Theorem 3.1 of [4]. However, this does not seem possible, at least by our method.

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# Pacific Journal of Mathematics

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Richard Julian Bagby, <i>On <math>L^p</math>, <math>L^q</math> multipliers of Fourier transforms</i> . . . . .	1
Robert Beauwens and Jean-Jacques Van Binnebeek, <i>Convergence theorems in Banach algebras</i> . . . . .	13
James Cyril Becker, <i>Skew linear vector fields on spheres in the stable range</i> . . . . .	25
Michael James Beeson, <i>Continuity and comprehension in intuitionistic formal systems</i> . . . . .	29
James K. Deveney, <i>Generalized primitive elements for transcendental field extensions</i> . . . . .	41
Samuel S. Feder, Samuel Carlos Gitler and K. Y. Lam, <i>Composition properties of projective homotopy classes</i> . . . . .	47
Nathan Jacob Fine, <i>Tensor products of function rings under composition</i> . . . . .	63
Benno Fuchssteiner, <i>Iterations and fixpoints</i> . . . . .	73
Wolfgang H. Heil, <i>On punctured balls in manifolds</i> . . . . .	81
Shigeru Itoh, <i>A random fixed point theorem for a multivalued contraction mapping</i> . . . . .	85
Nicolas P. Jewell, <i>Continuity of module and higher derivations</i> . . . . .	91
Roger Dale Konyndyk, <i>Residually central wreath products</i> . . . . .	99
Linda M. Lesniak and John A. Roberts, <i>On Ramsey theory and graphical parameters</i> . . . . .	105
Vo Thanh Liem, <i>Some cellular subsets of the spheres</i> . . . . .	115
Dieter Lutz, <i>A perturbation theorem for spectral operators</i> . . . . .	127
P. H. Maserick, <i>Moments of measures on convex bodies</i> . . . . .	135
Stephen Joseph McAdam, <i>Unmixed 2-dimensional local domains</i> . . . . .	153
D. B. McAlister and Norman R. Reilly, <i>E-unitary covers for inverse semigroups</i> . . . . .	161
William H. Meeks, III and Julie Patrusky, <i>Representing codimension-one homology classes by embedded submanifolds</i> . . . . .	175
Premalata Mohapatro, <i>Generalised quasi-Nörlund summability</i> . . . . .	177
Takahiko Nakazi, <i>Superalgebras of weak-*Dirichlet algebras</i> . . . . .	197
Catherine Louise Olsen, <i>Norms of compact perturbations of operators</i> . . . . .	209
William Henry Ruckle, <i>Absolutely divergent series and isomorphism of subspaces. II</i> . . . . .	229
Bernard Russo, <i>On the Hausdorff-Young theorem for integral operators</i> . . . . .	241
Arthur Argyle Sagle and J. R. Schumi, <i>Anti-commutative algebras and homogeneous spaces with multiplications</i> . . . . .	255
Robert Evert Stong, <i>Stiefel-Whitney classes of manifolds</i> . . . . .	271
D. Suryanarayana, <i>On a theorem of Apostol concerning Möbius functions of order <math>k</math></i> . . . . .	277
Yoshio Tanaka, <i>On closedness of <math>C</math>- and <math>C^*</math>-embeddings</i> . . . . .	283
Chi Song Wong, <i>Characterizations of certain maps of contractive type</i> . . . . .	293