

Pacific Journal of Mathematics

A COMMUTATIVITY STUDY FOR PERIODIC RINGS

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Putcha and Yaqub have proved that a ring R satisfying a polynomial identity of the form $xy = \omega(x, y)$, where $\omega(X, Y)$ is a word different from XY , must have nil commutator ideal. Our first major theorem extends this result to the case where $\omega(X, Y)$ varies with x and y , with the restriction that all $\omega(X, Y)$ have length at least three and are not of the form X^nY or XY^n . Further restrictions on the $\omega(X, Y)$ are then shown to yield commutativity of R ; among these is a semigroup condition of Tamura, Putcha, and Weissglass—sepecifically, that each $\omega(X, Y)$ begins with Y and has degree at least 2 in X . The final theorem establishes commutativity of rings R satisfying $xy = yxs$, where $s = s(x, y)$ is an element in the center of the subring generated by x and y . All rings considered are either periodic by hypothesis or turn out to be periodic in the course of the investigation.

1. Definitions and preliminary results. Let $\omega = \omega(X, Y)$ be a word or monomial in the noncommuting indeterminates X and Y ; that is, ω is a polynomial of form

$$(1) \quad Y^{j_1} X^{k_1} Y^{j_2} X^{k_2} \dots Y^{j_s} X^{k_s},$$

where $j_i, k_i \geq 0$ for $i = 1, \dots, s$ and $\sum_{i=1}^s (j_i + k_i) > 0$. By the X -length (resp. Y -length) of ω , which we denote by $|\omega|_X$ (resp. $|\omega|_Y$), we shall mean the non-negative integer $\sum k_i$ (resp. $\sum j_i$); the sum $|\omega|_X + |\omega|_Y$ will be called the length of ω and denoted by $|\omega|$. It will be convenient to divide the set of all words into nine types as follows:

- (i) words with $|\omega|_X \geq 2$ and $|\omega|_Y \geq 2$;
- (ii) words of form $YX^n, n \geq 1$;
- (iii) words of form $Y^nX, n \geq 1$;
- (iv) words with $|\omega|_Y = 0$;
- (v) words with $|\omega|_X = 0$;
- (vi) words of form $X^nYX^m, n, m \geq 1$;
- (vii) words of form $Y^nXY^m, n, m \geq 1$;
- (viii) words of form $X^nY, n \geq 1$;
- (ix) words of form $XY^n, n \geq 1$.

A word of form (1) having $j_1 \geq 1$ and $|\omega|_X \geq 2$ will be called a Tamura-Putcha-Weissglass (T - P - W) word; a word which is either YX or a T - P - W word will be called a G - T - P - W word. A multiplicative semigroup S will be called a T - P - W (resp. G - T - P - W) semigroup if for

each $x, y \in S$, there exists a T - P - W (resp. G - T - P - W) word ω for which $xy = \omega(x, y)$.

A ring R will be called *periodic* if for each $x \in R$, there exist distinct positive integers n, m , depending on x , for which $x^n = x^m$. Among the periodic (in fact, finite) rings which we shall refer to frequently are the Corbas (p, k, ϕ) -rings [5], which we define as follows: R^+ is the additive direct-sum $GF(p^k) \oplus GF(p^k)$, ϕ is an automorphism of $GF(p^k)$, and ring multiplication is defined by

$$(2) \quad (a, b)(c, d) = (ac, ad + b\phi(c)).$$

These rings have the property that $D^2 = 0$, where D denotes the set of zero divisors (including 0); and they have as few zero divisors as a non-domain may have—specifically, $|D|^2 = |R|$ [5]. They are commutative rings only when ϕ is the identity automorphism.

We shall make repeated use of two basic theorems on periodic rings. The second is a special case of an old theorem of Herstein; but since he deduces it as a corollary of one of his more complicated commutativity theorems, we think it worthwhile to include a simple proof.

LEMMA 1. *If R is any periodic ring, then R has each of the following properties:*

- (a) *For each $x \in R$, some power of x is idempotent.*
- (b) *For each $x \in R$, there exists an integer $n(x) > 1$ such that $x - x^{n(x)}$ is nilpotent.*
- (c) *Each $x \in R$ can be expressed in the form $y + w$, where $y^n = y$ for some $n = n(y) > 1$ and w is nilpotent.*
- (d) *If I is an ideal of R and $x + I$ is a nonzero nilpotent element of R/I , then R contains a nilpotent element u such that $x \equiv u \pmod{I}$.*

Proof. (a) If $x^n = x^m$ with $n > m$, then $x^{j+k(n-m)} = x^j$ for each positive integer k and each $j \geq m$; thus, we may assume $n - m + 1 \geq m$. It follows that $x^{n-m+1} = (x^{n-m+1})^{n-m+1}$ and hence $(x^{n-m+1})^{n-m}$ is idempotent.

(b) Let $x^n = x^m$, $n > m > 1$. Then

$$x^{m-1}(x - x^{n-m+1}) = 0 = x^{m-2}x(x - x^{n-m+1}) = x^{m-2}x^{n-m+1}(x - x^{n-m+1});$$

therefore, $x^{m-2}(x - x^{n-m+1})^2 = 0$ and the result follows by the obvious induction.

(c) If $x^n = x^m$ with $n \geq n - m + 1 > m$, the proofs of (a) and (b) show that we may take $y = x^{n-m+1}$ and $w = x - x^{n-m+1}$.

(d) If $x + I$ is a nonzero nilpotent element of R/I , there exists a

positive integer k such that $x^q \in I$ for all $q \geq k$. By the proofs of (a) and (b), R contains a nilpotent element $u = x - x^q$ with $q \geq k$; clearly, $u \equiv x \pmod{I}$.

THEOREM 2. (Herstein, [8]) *If R is a periodic ring with all nilpotent elements central, then R is commutative.*

Proof. Let N denote the set of nilpotent elements; the usual argument for commutative rings shows that N is an ideal. Moreover, for $x \in R$ and e an idempotent in R , both $ex - exe$ and $xe - exe$ are in N , hence commute with e ; thus, idempotents in R are central.

By (d) of Lemma 1, we see that homomorphic images inherit the hypotheses on R ; consequently, we need consider only the case of subdirectly irreducible R . Under this assumption, part (a) of Lemma 1 shows that R is either nil and hence commutative, or R has a unique nonzero central idempotent, necessarily a multiplicative identity element 1.

Considering this latter possibility, we see from (a) of Lemma 1 that each element of R is either nilpotent or invertible; thus, the set D of zero divisors is equal to N and hence is a central ideal. Moreover, by Lemma 1(b), $\bar{R} = R/D$ has the $a^n = a$ property of Jacobson; hence \bar{R} is commutative and its additive group is a torsion group. Thus, if $a, b \in R \setminus D$, the subring of \bar{R} generated by $\bar{a} = a + D$ and $\bar{b} = b + D$ is a finite field, which has cyclic multiplicative group. There must therefore exist $g \in R$ and $d_1, d_2 \in D$ such that $a = g^i + d_1$ and $b = g^j + d_2$ for some positive integers i, j . It follows that a and b must commute, and our proof is complete.

2. A nil-commutator-ideal theorem and some relatives.

THEOREM 3. *Let R be a ring such that for each $x, y \in R$, there exists a word $\omega(X, Y)$, of one of the types (i)–(vii) and with $|\omega| \geq 3$, for which $xy = \omega(x, y)$. Then the set N of nilpotent elements forms an ideal, and the commutator ideal $C(R)$ is contained in N .*

Proof. Taking $x = y$ shows that for each $x \in R$, $x^2 = x^k$ for some $k > 2$; hence R is periodic and each nilpotent element squares to zero. We next show that idempotents of R must be central. Let e be a non-zero idempotent, let $x \in R$, and suppose $\omega(X, Y)$ is a word of the allowed types for which $e(ex - exe) = \omega(e, ex - exe)$. Clearly, ω cannot be of type (iv) since $(ex - exe)^2 = 0$; and any of the other types has either two adjacent Y 's or a Y preceding an X . Thus $e(ex - exe) = ex - exe = 0$, and similarly $xe - exe = 0$.

It is proved in [3] that a periodic ring satisfies the conclusions of the theorem if nilpotent elements commute with each other, so we may complete our proof by showing that $xy = 0$ for all $x, y \in N$. Accordingly, let $x, y \in N$ and ω a word such that $xy = \omega(x, y)$. If ω has two adjacent X 's or Y 's, then it is immediate that $xy = 0$; otherwise, we have one of the following cases: (a) $xy = (xy)^k$ for some $k > 1$; (b) $xy = xyxy \cdots x$; (c) $xy = yxy \cdots$. In case (a), $(xy)^{k-1}$ is idempotent, hence central; and we get $xy = x(xy)^{k-1}y = 0$. In case (b) right-multiplication by x yields $xyx = 0 = xy$, and in case (c) left-multiplication by y yields $xyx = 0 = xy$.

REMARKS. An alternative, somewhat deeper, method of proof is to note that idempotents are central, apply (a) of Lemma 1 to show that some power of each element is central, and appeal to a well-known theorem of Herstein [7].

In the hypotheses of Theorem 3, the restriction on the type of $\omega(X, Y)$ is essential, for without it, as Putcha and Yaqub have pointed out in [11], the ring of 2×2 matrices over $\text{GF}(2)$ would satisfy the hypotheses.

The hypotheses of Theorem 3 will not yield commutativity of R . The Corbas $(2, 2, \phi)$ -ring is a counterexample, where ϕ is the nonidentity automorphism of $\text{GF}(4)$ —indeed, in this ring, if $u, v \in N$ and $x, y \notin N$, we have $uv = vu^2$, $xu = ux^2$, $ux = xux^2$, and $xy = (yx)^3xy$. However, restriction of $\omega(X, Y)$ to words of fixed type (i)-(vii) does yield commutativity, as we now prove.

THEOREM 4. *Let α denote a fixed one of the word-types (i)-(vii). Let R be a ring such that for each $x, y \in R$, there exists a type- α word $\omega(X, Y)$, depending on x and y and having length at least three, for which $xy = \omega(x, y)$. Then R is commutative.*

Proof. If α is type (i), commutativity follows from a theorem of Putcha and Yaqub [12]; types (ii) and (iii) are covered by a theorem of the present author [1, 2]. Suppose, then, that α is type (iv), i.e. for each $x, y \in R$, $xy = x^n$ for some $n = n(x, y) \geq 3$. Then, since nilpotent elements square to 0, they left-annihilate R . Taking $x \in N$ and a an element such that $a^k = a$, $k > 1$, and recalling that idempotents are central, we obtain the result that $ax = aa^{k-1}x = axa^{k-1} = 0$; and by (c) of Lemma 1, nilpotent elements right-annihilate R as well and commutativity follows from Theorem 2. Clearly, type (v) may be treated similarly.

To complete the proof, we discuss type (vi), noting that (vii) is similar. Let $x \in N$, $y \in R$ and $xy = x^n y x^m$, with $n, m \geq 1$. If either of n, m is greater than 1, then $xy = 0$; if $xy = xyx$, right-multiplying by x yields $xyx = 0 = xy$. Also, $yx = y^j x y^k$ with $k \geq 1$, so $yx = 0$ as well, and again commutativity follows by Theorem 2.

THEOREM 5. *Suppose that for each $x, y \in R$, there exists an integer $n(x, y) > 1$ such that $xy = x^{n(x,y)}y$. Then the commutator ideal $C(R)$ is nil and the nilpotent elements form an ideal. If the idempotents of R are central, then R is commutative.*

Proof. Clearly R is periodic with nilpotent elements squaring to zero, and for $x \in R$ and u nilpotent we have $ux = u^n x = 0$. Thus the set N of nilpotent elements is the left annihilator of R , hence an ideal. The ring R/N has the $a^n = a$ property by Lemma 1 (b), hence is commutative. Thus $C(R) \subseteq N$.

Now assume that idempotents are central. If $a^k = a$ for $k > 1$, and $u \in N$, we get $au = a^n u = a^{n-1} a a^{k-1} u = a^n u a^{k-1} = 0$; hence by Lemma 1 (c) and Theorem 2, R is commutative.

REMARKS. Centrality of idempotents is not implied by the condition $xy = x^n y$. A counterexample is the ring R with additive group equal to the Klein 4-group and multiplication given by $0x = cx = 0$ and $ax = bx = x$ for all $x \in R$; this ring satisfies the identity $xy = x^2 y$.

In the event that idempotents are central in Theorem 5, we can say a bit more about R — specifically, it is the direct sum of a zero ring and a J -ring (i.e. one with Jacobson’s $a^n = a$ property). For if x, y are arbitrary elements of R , there exist integers $n_1, n_2 > 1$ such that $xy = x^{n_1} y$ and $yx = y^{n_2} x$. A standard computation yields a single n such that $xy = x^n y$ and $yx = y^n x$, and the commutativity now shows that $x^n y = xy^n$. The direct-sum decomposition of rings with the latter type of constraint has essentially been obtained in [9] and [15]. (Actually those papers assume n constant, but the extension to variable n is not difficult.)

3. Two commutativity theorems.

THEOREM 6. *Let R be a periodic ring, the multiplicative semigroup of which is a G - T - P - W semigroup. Then R is commutative.*

Proof. If $a, b \in R$ and $ab = 0$, then $ba = 0$ also. This observation implies that the nilpotent elements of R form an ideal N , which, since R is periodic, must coincide with the Jacobson radical $J(R)$.

Again we wish to deduce our result from Theorem 2. Suppose, then, that v is a noncentral nilpotent element and $b \in R$ is an element not commuting with v . Then

$$(3) \quad vb = b^{j_1} v^{k_1} \cdots v^{k_s} \quad \text{with } j_1 \geq 1 \quad \text{and } \sum k_i \geq 2.$$

If $k_1 \geq 2$, we obtain

$$(4) \quad vb = b^{j_1} v v^{k_1-1} \cdots v^{k_s} = v^t (b^{j_1})^q \cdots v^{k_1-1} \cdots v^{k_s}.$$

If $t = 1$, we make no further substitutions in (4); otherwise, we write $vb = vv^{t-1}b^{jq} \cdots v^{k_1-1} \cdots v^{k_s} = vb^{jqz} (v^{t-1})^n \cdots v^{k_s}$. In either case, we have $vb = vby$ for some $y \in J(R)$, from which it follows that $vb = 0 = bv$, contradicting our choice of v . If $k_1 = 1$ in (3), then some other k_i is positive, and a similar computation again yields the same contradiction. Thus, nilpotent elements of R are central, and our proof is complete.

COROLLARY 7. *Let R be any ring having as multiplicative semigroup a T - P - W semigroup. Then R is commutative.*

Note that Theorem 6 and Corollary 7 would not be true if the condition $|\omega|_x \geq 2$ were omitted from the definition of G - T - P - W and T - P - W words—again the Corbas $(2, 2, \phi)$ -ring is the revealing example.

THEOREM 8. *Let R be any ring such that for each $x, y \in R$, there exists an element $s = s(x, y)$ in the center of the subring generated by x and y , for which $xy = yxs$. Then R is commutative.*

Proof. Taking $x = y$ shows that $x^2 = x^2p(x)$, where $p(x)$ is a polynomial with integer coefficients and zero constant term; it follows by a theorem of Chacron [4] that R is periodic. Moreover, the given constraint shows that $ab = 0$ implies $ba = 0 = arb$ for arbitrary $r \in R$. This result, together with the obvious fact that nilpotent elements square to zero, shows that $uvs = 0$ for any nilpotent u and v and any s in the subring generated by u and v ; thus, the nilpotent elements form an ideal N with $N^2 = 0$. Moreover, a standard argument applied to $e, ex - exe$, and $xe - exe$ shows that all idempotents e are central.

The hypotheses of the theorem persist under the taking of homomorphic images, so we need consider only subdirectly irreducible R . Since nil rings with our condition are zero rings, and since subdirectly irreducible rings can have at most one nonzero central idempotent, Lemma 1(a) allows us to assume that R has 1 and that every nonnilpotent element is invertible. Hence the set D of zero divisors is an ideal, equal to N .

Since there exist distinct n, m with $(1 + 1)^n = (1 + 1)^m$, R^+ must be a torsion group, which in view of subdirect irreducibility, is a p -group for some prime p . Since $D^2 = 0$, we then have $(p \cdot 1)(px) = p^2x = 0$ for all $x \in R$.

Now R is clearly a duo ring, so we may apply Thierrin's results on subdirectly irreducible duo rings [14]. Specifically, letting S denote the intersection of the nonzero ideals of R and noting that $R \neq D$, we have S equal to the annihilator of D —that is, $S = D$. By Lemma 1 (b) and the “ $a^n = a$ theorem” we know that R/D is commutative, and hence that

commutators in R belong to D . Suppose now that $pR \neq 0$, let $px \neq 0$, and let y be an arbitrary element of R . Since pxR is a nonzero ideal, we have $xy - yx \in D = S \subseteq pxR$, and there exists $r \in R$ such that $xy - yx = pxr$ and hence $p(xy - yx) = p^2xr = 0$. Thus $pR = D$ is central, and commutativity of R follows from Theorem 2.

Now suppose that we have a subdirectly irreducible counterexample with $pR = 0$. Applying Lemma 1(c) and the fact that $D^2 = 0$, we can then choose a non-central nilpotent element u and an element $b \in R$ such that $b^{n(b)} = b$ for some $n(b) > 1$ and b does not commute with u . Since $bu = ubs$ for some s in the subring generated by u and b , and since $uru = 0$ for all $r \in R$, we obtain $bu = ubp(b)$, where $p(X)$ is some polynomial with integer coefficients and zero constant term. It follows that the subring $\langle u, b \rangle$ of R generated by u and b is finite. Since the hypotheses of the theorem are inherited by subrings and by homomorphic images, we can conclude that some homomorphic image T of $\langle u, b \rangle$ is a finite subdirectly irreducible counterexample with $pT = 0$.

As in [2], we can argue that T must be a Corbas (p, k, ϕ) -ring for appropriate choices of p, k , and ϕ . Indeed, Corbas showed in [6] that finite rings R with 1 and with $D^2 = 0 = pR$ must have additive group which is a direct sum $K \oplus D$, where K is a finite field and D is a left vector space over K . Since one-dimensional subspaces of D are left ideals, the fact that our T is subdirectly irreducible and a duo ring shows that D is one-dimensional and $|T| = |D|^2$; and we apply an earlier result of Corbas [5] to show that T is a (p, k, ϕ) -ring.

Consider any Corbas (p, k, ϕ) -ring T with ϕ a nonidentity automorphism of $K = GF(p^k)$; let g be a generator of the multiplicative group of K , and let ϕ be given by $x \rightarrow x^{p^r}$, $1 \leq r < k$. If $(a, b) \in T$ commutes with both $(g, 0)$ and $(0, g)$, then by (2) we have $b = 0$ and $a = \phi(a)$. Then imposing the condition that $(g, 0)(0, g) = (0, g)(g, 0)(a, 0)$ yields $g = \phi(g)a$. Since $\phi(g) = g^{p^r}$ and $g = g^{p^k}$, we have $g^{p^k} = g^{p^r}a$, so that $a = g^{p^k - p^r} = g^{p^r(p^{k-r} - 1)}$; now using the fact that $\phi(a) = a$, we get $g^{p^r(p^{k-r} - 1)(p^r - 1)} = e$, where e denotes the identity element of K . Since g has order $p^k - 1$, which is relatively prime to p^r , we conclude that $p^k - 1 \mid (p^{k-r} - 1)(p^r - 1)$, which is absurd. The possibility of a counterexample is thus demolished, and the proof is complete.

REMARK. It is tempting to conjecture that R must be commutative if it satisfies $xy = yxs$, where $s = s(x, y)$ is merely assumed to belong to the subring generated by x and y and not necessarily to its center. However, the Corbas $(2, 2, \phi)$ -ring shows that this is not true.

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Received December 9, 1976 and in revised form March 3, 1977. Supported by the National Research Council of Canada, Grant No. A3961.

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