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**A CHARACTERIZATION OF  $PSp(2m, q)$  AND  $P\Omega(2m + 1, q)$  AS  
RANK 3 PERMUTATION GROUPS**

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# A CHARACTERIZATION OF $PSp(2m, q)$ AND $P\Omega(2m+1, q)$ AS RANK 3 PERMUTATION GROUPS

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This paper characterizes the projective symplectic groups  $PSp(2m, q)$  and the projective orthogonal groups  $P\Omega(2m+1, q)$  as the only transitive rank 3 permutation groups  $G$  of a set  $X$  for which the pointwise stabilizer of  $G$  has orbit lengths 1,  $q(q^{2m-2}-1)/(q-1)$  and  $q^{2m-1}$  under a relatively weak hypothesis about the pointwise stabilizer of a certain subset of  $X$ . A precise statement is

**THEOREM.** Let  $G$  be a transitive rank 3 group of permutations of a set  $X$  such that the orbit lengths for the pointwise stabilizer are 1,  $q(q^{r-2}-1)/(q-1)$  and  $q^{r-1}$  for integers  $q > 1$  and  $r > 4$ . Let  $x^\perp$  denote the union of the orbits of length 1 and  $q(q^{r-2}-1)/(q-1)$ . Let  $R(xy)$  denote  $\cap \{z^\perp: x, y \in z^\perp\}$ . Assume  $R(xy) \neq \{x, y\}$  for  $y \in x^\perp - \{x\}$ . Assume that the pointwise stabilizer of  $x^\perp \cap y^\perp$  for  $y \notin x^\perp$  does not fix  $R(xy)$  pointwise. Then  $r$  is even,  $q$  is a prime power and  $G$  is isomorphic to either a group of symplectic collineations of projective  $(r-1)$  space over  $GF(q)$  containing  $PSp(r, q)$  or a group of orthogonal collineations of projective  $r$  space over  $GF(q)$  containing  $P\Omega(r+1, q)$ .

1. Introduction. The projective classical groups of symplectic type  $PSp(2m, q)$  for  $m \geq 2$  are transitive permutation groups of rank 3 when considered as groups of permutations of the absolute points of the corresponding projective space. Indeed the pointwise stabilizer of  $PSp(2m, q)$  has 3 orbits of lengths 1,  $q(q^{2m-2}-1)/(q-1)$  and  $q^{2m-1}$ . In a recent paper [7], the author characterized the symplectic groups  $PSp(2m, q)$  for  $m \geq 3$  as rank 3 permutation groups.

**THEOREM A.** Let  $G$  be a transitive rank 3 group of permutations of a set  $X$  such that  $G_x$ , the stabilizer of a point  $x \in X$ , has orbit lengths 1,  $q(q^{r-2}-1)/(q-1)$  and  $q^{r-1}$  for integers  $q \geq 2$  and  $r \geq 5$ . Let  $x^\perp$  denote the union of the  $G_x$ -orbits of lengths 1 and  $q(q^{r-2}-1)/(q-1)$ . Let  $R(xy)$  denote  $\cap \{z^\perp: x, y \in z^\perp\}$ . Assume  $R(xy) \neq \{x, y\}$ . Assume that the pointwise stabilizer of  $x^\perp$  is transitive on the points unequal to  $x$  of  $R(xy)$  for  $y \notin x^\perp$ . Then  $r$  is even,  $q$  is a prime power and  $G$  is isomorphic to a group of symplectic collineations of projective  $(r-1)$  space over the field of  $q$  elements, which contains  $PSp(r, q)$ .

We note that the orthogonal group  $P\Omega(2m + 1, q)$  for  $m \geq 2$  acts on the singular points of the orthogonal geometry of a projective  $2m$ -space over the field of  $q$  elements as a rank 3 permutation group in which its pointwise stabilizer has the same orbit lengths of 1,  $q(q^{2m-2} - 1)/(q - 1)$  and  $q^{2m-1}$  as  $PSp(2m, q)$  in its action on the absolute points of the symplectic geometry. In the proof of Theorem A, the possibility that  $G$  was an orthogonal group was eliminated because of the hypothesis that a hyperbolic line  $R(xy)$  for  $y \notin x^\perp$  carried at least 3 points. It seems reasonable to expect that with a change of hypothesis a characterization of the rank 3 groups  $G$  in which the pointwise stabilizer has orbit lengths 1,  $q(q^{r-2} - 1)/(q - 1)$  and  $q^{2r-1}$  is possible and that these groups will be subgroups of the collineation groups of the symplectic geometry or of the orthogonal geometry. We establish a result of this nature in the following form.

**THEOREM B.** *Let  $G$  be a transitive rank 3 group of permutations of a set  $X$  such that the orbit lengths for  $G_x$ , the stabilizer of a point  $x$  in  $X$ , are 1,  $q(q^{r-2} - 1)/(q - 1)$  and  $q^{r-1}$  for integers  $q > 1$  and  $r > 4$ . Let  $x^\perp$  denote the union of the  $G_x$ -orbits of length 1 and  $q(q^{r-2} - 1)/(q - 1)$ . Let  $R(xy)$  denote  $\cap \{z^\perp : x, y \in z^\perp\}$ . Assume  $R(xy) \neq \{x, y\}$  for  $y \in x^\perp - \{x\}$ . Assume that the pointwise stabilizer of  $x^\perp \cap y^\perp$  for  $y \notin x^\perp$  does not fix  $R(xy)$  pointwise. Then  $r$  is even,  $q$  is a prime power and  $G \cong H$  where either  $H$  is a group of symplectic collineations of projective  $(r-1)$  space over  $GF(q)$  such that  $H \supseteq PSp(r, q)$  or  $H$  is a group of orthogonal collineations of projective  $r$  space over  $GF(q)$  such that  $H \supseteq P\Omega(r + 1, q)$ .*

The proof of Theorem B actually yields the following corollary which distinguishes between the two cases.

**COROLLARY.** *Assume the hypotheses of Theorem B.*

(i) *Assume that the pointwise stabilizer of  $x^\perp$  is nontrivial. Then  $r$  is even,  $q$  is a prime power and  $G \cong H$  where  $H$  is a group of symplectic collineations of projective  $(r - 1)$  space over  $GF(q)$  such that  $H \supseteq PSp(r, q)$ .*

(ii) *Assume that the pointwise stabilizer of  $x^\perp$  is trivial and that the pointwise stabilizer of  $x^\perp \cap y^\perp$  for  $y \notin x^\perp$  does not fix  $R(xy)$  pointwise. Then  $r$  is even,  $q$  is a prime power and  $G \cong H$  where  $H$  is a group of orthogonal collineations of projective  $r$  space over  $GF(q)$  such that  $H \supseteq P\Omega(r + 1, q)$ .*

Note that Corollary B(i) is a stronger result than Theorem A. We consider this paper a continuation of [7] and note that the

proof of Theorem B is similar to that of Theorem A. In §2 we will prove Theorem B. At times we will refer the reader to [7] for the proofs of several statements. There are other characterizations of the rank 3 classical groups, due to D. Higman, W. Kantor and D. Perin [3, 4, 5].

2. The proof of Theorem B. In this section assume that  $G$  is a rank 3 permutation group on  $X$  which satisfies the hypotheses of Theorem B. Let  $D(b)$  denote the  $G_b$ -orbit of length  $q(q^{r-2} - 1)/(q - 1)$  and let  $C(b)$  denote the  $G_b$ -orbit of length  $q^{r-1}$ . Let  $v_r$  denote  $(q^r - 1)/(q - 1)$ .

- LEMMA 2.1. (i)  $G$  is primitive of even order.  
 (ii)  $\mu = \lambda + 2 = v_{r-2}$ .  
 (iii)  $a^\perp \cap b^\perp \neq R(ab)$  for  $b \in D(a)$ .

Note that 2.1 (iii) eliminates problems with generalized quadrangles.

- LEMMA 2.2. (i)  $|a^\perp \cap C(b)| = q^{r-2}$  for  $b \in D(a)$ .  
 (ii)  $G_{a,b}$  is transitive on the points of  $a^\perp \cap C(b)$  for  $b \in D(a)$ .

For the proofs, see Lemmas 3.1 and 3.2 of [7].

NOTATION. If  $\{x_1, x_2, \dots, x_i\}$  is a set of  $i \geq 2$  distinct points of  $X$ , then let  $R(x_1, x_2, \dots, x_i)$  denote

$$\cap \{z^\perp : x_1, x_2, \dots, x_i \in z^\perp \text{ for } z \in X\} = R(x_1, x_2, \dots, x_i).$$

- LEMMA 2.3. (i)  $y \in R(x_1 x_2 \dots x_i)$  if and only if  $y^\perp \supseteq \cap \{x_j^\perp : 1 \leq j \leq i\}$ .  
 (ii)  $g(R(x_1 x_2 \dots x_i)) = R(g(x_1)g(x_2) \dots g(x_i))$  for  $g \in G$ .  
 (iii)  $R(x_1 x_2 \dots x_i) = R(y_1 y_2 \dots y_i)$  if and only if

$$\cap \{x_j^\perp : 1 \leq j \leq i\} = \cap \{y_j^\perp : 1 \leq j \leq i\}.$$

REMARK. This lemma is valid for any permutation group  $G$  on  $X$  and for any self-paired orbit  $D(x)$  of  $G_x$  where  $x^\perp = \{x\} \cup D(x)$ .

*Proof.* In the proof the intersections are taken from  $j=1$  to  $i$ .

(i) Assume  $y \in R(x_1 x_2 \dots x_i)$ . Let  $w \in \cap x_j^\perp$ . Then  $x_1, x_2, \dots, x_i \in w^\perp$  by Lemma 2.1 (vi) of [7]. Since  $y \in R(x_1 x_2 \dots x_i)$  and  $R(x_1 x_2 \dots x_i) \subseteq w^\perp$ , it follows that  $y \in w^\perp$  and  $w \in y^\perp$ .

Conversely assume  $y^\perp \supseteq \cap x_j^\perp$ . Let  $x_1, x_2, \dots, x_i \in w^\perp$ . Then  $w \in \cap x_j^\perp \subseteq y^\perp$ . So  $y \in w^\perp$  and  $y \in R(x_1 x_2 \dots x_i)$ .

(ii) By (i)  $z \in R(g(x_1)g(x_2)\cdots g(x_i))$  iff  $z^\perp \supseteq \cap g(x_j)^\perp$  iff  $(g^{-1}(z))^\perp \supseteq \cap x_j^\perp$  iff  $g^{-1}(z) \in R(x_1x_2\cdots x_i)$  iff  $z \in g(R(x_1x_2\cdots x_i))$ .

(iii) Assume  $R(x_1x_2\cdots x_i) = R(y_1y_2\cdots y_i)$ . For  $1 \leq j \leq i$ ,  $x_j \in R(y_1y_2\cdots y_i)$ . By (i)  $x_j^\perp \supseteq \cap y_k^\perp$  for  $1 \leq j \leq i$ . So  $\cap x_j^\perp \supseteq \cap y_k^\perp$ . It follows that  $\cap x_j^\perp = \cap y_k^\perp$ .

Conversely assume  $\cap x_j^\perp = \cap y_j^\perp$ . Then  $z \in R(x_1x_2\cdots x_i)$  iff  $z^\perp \supseteq \cap x_j^\perp = \cap y_j^\perp$  iff  $z \in R(y_1y_2\cdots y_i)$ . This completes the proof of the lemma.

DEFINITION. A *l-clique* is a set  $\{x\}$  for  $x \in X$ .

For  $i \geq 2$ , an *i-clique* is a set  $\{x_1, x_2, \dots, x_i\}$  of points of  $X$  such that  $\{x_1, x_2, \dots, x_{i-1}\}$  is an  $(i - 1)$ -clique,  $x_i \in D(x_j)$  for  $1 \leq j \leq i - 1$  and  $x_i \notin R(x_1x_2\cdots x_{i-1})$  where  $R(x_i) = \{x_i\}$ .

If  $\{x_1, x_2, \dots, x_i\}$  is an *i-clique*, then we will call  $R(x_1x_2\cdots x_i)$  an “*i-space*.”

Note that a “2-space” is a totally singular line of [2] and a “3-space” is a “plane” of [7]. Eventually an “*i-space*” will correspond to a totally singular subspace generated by *i* linearly independent singular points of a classical geometry.

NOTATION. Let  $T(xy)$  denote the pointwise stabilizer in  $G$  of  $x^\perp \cap y^\perp$  for  $y \in C(x)$ . Thus

$$T(xy) = \cap \{G_z : z \in x^\perp \cap y^\perp\}.$$

PROPOSITION 2.4.  $T(xy) \leq G_{R(xy)}$  and  $T(xy)$  is transitive on the points of  $R(xy)$  for  $y \notin x^\perp$ .

*Proof.* First we prove that  $G_{R(xy)}$  is primitive on the points of  $R(xy)$ . Indeed if  $|R(xy)| > 2$ , then  $G_{R(xy)}$  is 2-transitive on the points of  $R(xy)$  by a lemma in [2]. If  $R(xy) = \{x, y\}$ , then  $|G : G_{R(xy)}| = nl/2$  if  $y \notin x^\perp$  and  $|G : G_{xy}| = nl$ . Therefore  $|G_{R(xy)} : G_{R(xy)x}| = 2$  because  $G_{R(xy)x} = G_{xy}$ .

If  $g \in G_{R(xy)}$ , then

$$g(R(xy)) = R(g(x)g(y)) = R(xy)$$

and

$$g(x)^\perp \cap g(y)^\perp = x^\perp \cap y^\perp$$

by Lemma 2.3. But

$$T(xy)^g = \cap \{G_{g(z)} : z \in x^\perp \cap y^\perp\} = T(g(x)g(y))$$

and so  $T(xy)^g = T(xy)$ . Therefore  $T(xy)$  is a normal subgroup of the primitive group  $G_{R(xy)}$ . Since  $T(xy)$  does not fix  $R(xy)$  pointwise by hypothesis of the theorem, it follows that  $T(xy)$  is transitive on the points of  $R(xy)$ .

Note that  $G_{R(xy)}$  is a doubly transitive group on the points of  $R(xy)$  and has a normal subgroup  $I(xy)$ . By familiar classification theorems not needed here,  $|R(xy)| - 1$  is usually a prime power.

Note that if  $T(x)$ , the pointwise stabilizer of  $x^\perp$ , is nontrivial, then  $T(xy)$  does not fix  $R(xy)$  pointwise for  $y \notin x^\perp$  because  $T(x)$  is semiregular off  $x^\perp$  by a lemma in [2] and  $T(x) \leq T(xy)$ .

Denote the group generated by  $T(xy)$  for all  $x, y \in X$  with  $y \in C(x)$  simply as  $K$ . Thus

$$K = \langle T(xy) : x, y \in X, y \in C(x) \rangle .$$

**PROPOSITION 2.5.** (i) *If  $\{x_1, x_2, \dots, x_i\}$  is a set of  $i$  distinct points of  $X$ , then  $K_{x_1 x_2 \dots x_i}$  is transitive on the points of  $\cap \{x_j^\perp : 1 \leq j \leq i\} - R(x_1 x_2 \dots x_i)$ .*

(ii)  *$K$  is transitive on  $i$ -cliques.*

*Proof.* (i) In the proof the intersections are taken from  $j = 1$  to  $i$ . Let  $c$  and  $h$  be distinct points of  $\cap x_j^\perp - R(x_1 x_2 \dots x_i)$ . Either  $c \in C(h)$  or  $c \in D(h)$ . If  $c \in C(h)$ , then  $R(ch)$  is a hyperbolic line in  $\cap x_j^\perp$ . Since  $|G|$  is even,  $x_1, x_2, \dots, x_i \in c^\perp \cap h^\perp$  and so  $T(ch)$  fixes  $x_1, x_2, \dots, x_i$ . By Proposition 2.4, there exists  $t \in T(ch) \leq K_{x_1 x_2 \dots x_i}$  such that  $t(c) = h$ .

Assume now that  $c \in D(h)$ . Since  $c, h \notin R(x_1 x_2 \dots x_i)$ , there exists by Lemma 2.3 (i)  $u \in \cap x_j^\perp \cap C(c)$  and  $v \in \cap x_j^\perp \cap C(h)$ . There are 3 possible cases to consider:

(1)  $u \in C(h)$ , (2)  $v \in C(c)$  and (3)  $u \in D(h)$  and  $v \in D(c)$ .

(1) If  $u \in \cap x_j^\perp \cap C(c) \cap C(h)$ , then  $R(cu)$  is a hyperbolic line in  $\cap x_j^\perp$ . By Proposition 2.4, there exists  $t \in T(cu) \leq K_{x_1 x_2 \dots x_i}$  such that  $t(c) = u$ . The line  $R(uh)$  is hyperbolic and lies in  $\cap x_j^\perp$ . By Proposition 2.4, there exists  $s \in T(uh) \leq K_{x_1 x_2 \dots x_i}$  such that  $s(u) = h$ . Thus  $st(c) = h$  and  $st \in K_{x_1 x_2 \dots x_i}$ .

(2) If  $v \in \cap x_j^\perp \cap C(c) \cap C(h)$ , then a proof similar to that of case (1) yields the desired result.

(3)  $u \in \cap x_j^\perp \cap C(c) \cap D(h)$  and  $v \in \cap x_j^\perp \cap D(c) \cap C(h)$ . Since  $c \in D(h)$ , there exists  $w \in R(ch) - \{c, h\}$  because by hypothesis  $|R(ch)| > 2$ . Note  $w \in C(u)$ , for if  $w \in u^\perp$ , then  $c \in R(ch) = R(wh) \subseteq u^\perp$ , a contradiction in case (3). Now  $w \in R(ch) \subseteq \cap x_j^\perp$ . But  $w \notin R(x_1 x_2 \dots x_i)$  because  $u \in \cap x_j^\perp \cap C(w)$ . So  $u \in \cap x_j^\perp \cap C(c) \cap C(w)$ . By case (1) there exists  $t \in K_{x_1 x_2 \dots x_i}$  such that  $t(c) = w$ . Note  $w \in C(v)$ , for if  $w \in v^\perp$ , then  $h \in R(ch) = R(wh) \subseteq v^\perp$ , a contradiction. Now  $v \in \cap x_j^\perp \cap$

$C(w) \cap C(h)$ . By case (1) there exists  $s \in K_{x_1 x_2 \dots x_i}$  such that  $s(w) = h$ . So  $st(c) = h$  and  $st \in K_{x_1 x_2 \dots x_i}$ .

(ii) Let  $\{x_1, x_2, \dots, x_i\}$  and  $\{y_1, y_2, \dots, y_i\}$  be 2  $i$ -cliques. The proof is by induction on  $i$ . First note that  $K$  is transitive on  $X$  because  $K$  is a normal subgroup of the primitive group  $G$ . If  $i = 1$ , then there exists  $k \in K$  such that  $k(x_1) = y_1$ . Assume  $i > 1$ . By the induction assumption there exists  $g \in K$  such that  $g(x_j) = y_j$  for  $j = 1, 2, \dots, i - 1$ . From Lemma 2.3 (ii) and the definition of  $i$ -clique, it follows that  $\{y_1, y_2, \dots, y_{i-1}, g(x_i)\}$  is an  $i$ -clique because  $\{x_1, x_2, \dots, x_{i-1}, x_i\}$  is an  $i$ -clique. Since

$$g(x_i), y_i \in \{y_j^+ : 1 \leq j \leq i - 1\} - R(y_1 y_2 \dots y_{i-1}),$$

by (i) there is  $h \in K_{y_1 y_2 \dots y_{i-1}}$  such that  $h(g(x_i)) = y_i$ . Thus  $hg(x_i) = y_i$  for  $j = 1, 2, \dots, i$ . This completes the proof of the proposition.

Note that 3-cliques exist by Lemma 2.1 (iii).

PROPOSITION 2.6.  $G_a$  is a rank 3 permutation group on the set of totally singular lines through  $a$ . For  $b \in D(a)$ ,  $G_{aR(ab)}$  has non-trivial orbits

$$\{R(ac) : c \in a^+ \cap b^+ = R(ab)\}$$

and

$$\{R(ac) : c \in a^+ \cap C(b)\}.$$

The proof is similar to that of Proposition 3.4 of [7]. This proposition follows from Lemmas 2.2 and 2.3 and Proposition 2.5 (i) for  $i = 2$  just as Proposition 3.4 of [7] follows from Lemmas 3.2 and 2.2 and Proposition 3.3 of [7].

PROPOSITION 2.7. *Totally singular lines carry  $q + 1$  points.*

PROPOSITION 2.8. *If  $b \in D(a)$ , the  $X = \cup\{c^+ : c \in R(ab)\}$ .*

PROPOSITION 2.9.  *$X$  together with its totally singular lines forms a nondegenerate Shult space of finite rank  $\geq 3$  in which lines carry  $q + 1$  points.*

The proofs of the above three statements are identical to the proofs of Propositions 3.5, 3.6, and 3.7 of [7].

LEMMA 2.10. *If  $\{x_1, x_2, \dots, x_i\}$  is an  $i$ -clique, then  $R(x_1 x_2 \dots x_i)$  is a Shult subspace of  $X$ .*

*Proof.* In the proof the intersections are taken from  $j=1$  to  $i$ .

Let  $d, e \in R(x_1x_2 \cdots x_i)$ . By definition of  $i$ -clique,  $x_k \in \cap x_j^\perp$  for  $1 \leq k \leq j$  and so by definition of “ $i$ -space” and by Lemma 2.3 (i) it follows that

$$d \in R(x_1x_2 \cdots x_i) \subseteq \cap x_j^\perp \subseteq e^\perp.$$

Thus any two points of  $R(x_1x_2 \cdots x_i)$  are adjacent. Let the line  $R(xy)$  meet  $R(x_1x_2 \cdots x_i)$  in  $\{u, v\}$ . Then  $R(xy) = R(uv)$  and  $x^\perp \cap y^\perp = u^\perp \cap v^\perp$ . If  $z \in R(xy)$ , then

$$z^\perp \supseteq x^\perp \cap y^\perp = u^\perp \cap v^\perp \supseteq \cap x_j^\perp$$

since  $u, v \in R(x_1x_2 \cdots x_i)$  by Lemma 2.3. Thus  $z \in R(x_1x_2 \cdots x_i)$  and  $R(xy) \subseteq R(x_1x_2 \cdots x_i)$ . Therefore  $R(x_1x_2 \cdots x_i)$  is a Shult subspace of  $X$ , as desired.

**PROPOSITION 2.11.** (i)  $q$  is a prime power and  $r$  is even.

(ii) Either  $X$  is isomorphic to the polar space  $S$  associated with an alternating form  $f$  defined on a projective space  $P$  of dimension  $r - 1$  over  $GF(q)$  or  $X$  is isomorphic to the polar space  $S$  associated with a symmetric form  $f$  defined on a projective space  $P$  of dimension  $r$  over  $GF(q)$  for  $q$  odd.

For the proof see Proposition 3.9 of [7].

Since  $r$  is even and  $r \geq 5$ , there exists a natural number  $m \geq 3$  such that  $r = 2m$ .

**PROPOSITION 2.12.** (i)  $G$  is isomorphic to a subgroup of  $P\Gamma U(f)$ , the group of collineations of  $P$  which preserve the form  $f$ .

(ii) For  $x \in X$ ,  $\phi(x^\perp) = \{w \in P: f(w, w) = 0, f(w, \phi(x)) = 0\}$  where  $\phi: X \rightarrow S$  is a polar space isomorphism.

(iii) For an  $i$ -clique,  $|R(x_1x_2 \cdots x_i)| = v_i$  and  $|\cap \{x_j^\perp: 1 \leq j \leq i\}| = v_{r-i}$ .

(iv)  $X$  contains  $m$ -cliques but does not contain  $(m + 1)$ -cliques.

*Proof.* For (i) and (ii) see Proposition 3.10 (i) and (ii) of [7].

(iii) From (ii) it follows that

$$\phi(R(x_1x_2 \cdots x_i)) = \cap \{\phi(z)^\perp: \phi(x_1), \phi(x_2), \dots, \phi(x_i) \in \phi(z^\perp)\}$$

which equals the set of singular points in the intersection of all the hyperplanes containing  $\phi(x_1), \phi(x_2), \dots, \phi(x_i)$ . But this set is the projective subspace generated by  $\phi(x_1), \phi(x_2), \dots, \phi(x_i)$  since  $\phi(x_k) \perp \phi(x_j)$  for all  $k, j$ . Thus  $|R(x_1x_2 \cdots x_i)| = v_i$ .

From (ii)  $|\cap \{x_j^\perp: 1 \leq j \leq i\}| = v_{r-i}$ .



(iv) Since  $r = 2m$ , (iv) follows from (iii).

Now let  $\{x_1, x_2, \dots, x_m\}$  be a fixed  $m$ -clique of  $X$ . Then

$$x_1 \subset R(x_1x_2) \subset R(x_1x_2x_3) \subset \dots \subset R(x_1x_2 \dots x_m)$$

is a chain of Shult subspaces of  $X$  of length  $m \geq 3$ . Define subgroups  $K_i$  of  $K$  as follows:

$$\begin{aligned} K_1 &= K \\ K_i &= K_{i-1} \cap K_{R(x_1x_2 \dots x_{i-1})} \quad \text{for } 2 \leq i \leq m + 1. \end{aligned}$$

Note the choice of the fixed  $i$ -clique is arbitrary since  $K$  is transitive on  $i$ -cliques.

**PROPOSITION 2.13.** (i)  $K_i$  is transitive on the set of “ $i$ -spaces” containing  $R(x_1x_2 \dots x_{i-1})$ , for  $2 \leq i \leq m$ .

(ii)  $|K: K_{m+1}| = \prod_{j=1}^m v_{2j}$ .

*Proof.* (i) Let  $R(x_1x_2 \dots x_{i-1}d)$  and  $R(x_1x_2 \dots x_{i-1}e)$  be “ $i$ -spaces” containing  $R(x_1x_2 \dots x_{i-1})$ . Then

$$d, e \in \bigcap_{j=1}^{i-1} x_j^\perp - R(x_1x_2 \dots x_{i-1}),$$

a set on which  $K_{x_1x_2 \dots x_{i-1}}$  is transitive by Proposition 2.5. There exists  $k \in K_{x_1x_2 \dots x_{i-1}}$  such that  $k(d) = e$ . By Lemma 2.3 (iii), it follows that

$$k(R(x_1x_2 \dots x_{i-1}d)) = R(x_1x_2 \dots x_{i-1}e)$$

and that  $k \in K_i$ .

(ii) For  $2 \leq i \leq m$  the number of “ $i$ -spaces” containing  $R(x_1x_2 \dots x_{i-1})$  is

$$\begin{aligned} & \left( \left| \bigcap_{j=1}^{i-1} x_j^\perp \right| - |R(x_1x_2 \dots x_{i-1})| \right) / (|R(x_1x_2 \dots x_i)| - |R(x_1x_2 \dots x_{i-1})|) \\ &= (v_{2m-(i-1)} - v_{i-1}) / (v_i - v_{i-1}) = v_{2(m-(i-1))}. \end{aligned}$$

So  $|K_i: K_{i+1}| = v_{2(m-(i-1))}$  by (i). Since  $K$  is a normal subgroup of the primitive group  $G$ ,  $K$  is transitive and  $|K_1: K_2| = v_{2m}$ . Now (ii) follows.

**PROPOSITION 2.14.** (i)  $\psi(K)$  is a flag-transitive subgroup of  $PGU(f)$ , the group of projective transformations of  $P$  which preserve  $f$ .

(ii) If  $X$  is symplectic, then  $\psi(K) \geq PSp(2m, q)$ .

(iii) If  $X$  is orthogonal, then  $\psi(K) \cong P\Omega(2m + 1, q)$ .

*Proof.* Let  $x, y \in X$  with  $y \in C(x)$ . Since  $T(xy)$  is the pointwise stabilizer in  $G$  of  $x^\perp \cap y^\perp$ , it follows that  $\psi(T(xy))$  is the pointwise stabilizer in  $\psi(G)$  of  $\phi(x)^\perp \cap \phi(y)^\perp$ . If  $t$  is a nontrivial element of  $T(xy)$ , then  $\psi(t) \in P\Gamma U(f)$  and fixes  $\phi(x)^\perp \cap \phi(y)^\perp$  pointwise. This implies that  $\psi(t) \in PGU(f)$  and so  $\psi(K) \leq PGU(f)$ .

Now  $\psi(K_{m+1})$  fixes the flag

$$\{\phi(x_1), \langle \phi(x_1), \phi(x_2) \rangle, \dots, \langle \phi(x_1), \phi(x_2), \dots, \phi(x_m) \rangle\}.$$

If  $B$  is the subgroup of  $PGU(f)$  which fixes the above flag, then  $B$  is a Borel subgroup of  $PGU(f)$  and  $B \cap \psi(K) = \psi(K_{m+1})$ . Therefore by Proposition 2.13 (ii)

$$\begin{aligned} |B\psi(K)| &= |B| \cdot |\psi(K) : \psi(K_{m+1})| \\ &= q^{m^2}(q - 1)^m \cdot \prod_{i=1}^m v_{2i} = |PGU(f)|. \end{aligned}$$

Thus  $B\psi(K) = PGU(f)$  and  $\psi(K)$  is a flag-transitive subgroup of  $PGU(f)$ . By a theorem of Seitz [6], it follows that

$$\psi(K) \cong PSp(2m, q)$$

if  $X$  is symplectic and

$$\psi(K) \cong P\Omega(2m + 1, q)$$

if  $X$  is orthogonal, as desired.

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