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## A GENERAL RUDIN-CARLSON THEOREM IN BANACH-SPACES

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Let K be a closed subspace in a real or complex normed linear space L. The "Main Interpolation Problem" as formulated by L. Asimow reads as follows: Given a bounded convex neighborhood V of 0 in L and a bounded closed convex U containing 0, their polars  $V^0$  and  $U^0$  in the dual L' of L, define the functionals on L  $p_{\nu_K}(x) = \sup{(x, V^0 \cap K^0)}$  and  $p_{\sigma}(x) = \sup{(x, U^0)}$ . For  $x_0 \in L$  we are looking for an element  $x \in L$  satisfying

- (1)  $x-x_0 \in K$   $(x|_{K^0}=x_0|_{K^0})$  and
- (2)  $p_{U}(x) = p_{VK}(x_0)$  (exact solution), respectively
- (2')  $p_{U}(x) \leq p_{V_{K}}(x_{0}) + \varepsilon$  for given  $\varepsilon > 0$  (approximate solution). The problem is formulated in a different but equivalent way in this paper using the canonical projection p from L to L/K. For a real linear subspace M of L, a convex cone N in M and bounded closed convex neighborhoods U and V we prove conditions in terms of the dual space of L which are necessary and sufficient for the inclusions

$$p(N \cap U) \supset p(M) \cap p(V)$$
 resp.  $p(N \cap U) \supset p(M) \cap \overline{p(V)}$ 

 $(\{\underline{\cdots}\}\$ means the topological interior,  $\{\overline{\cdots}\}$ , the closure).

Theorem 1 shows the equivalence of the first inclusion to the existence of a not necessarily linear map with certain properties form the dual L' to  $K^{\circ}$ , the second inclusion is shown to be valid if the first one holds for a certain family of 0-neighborhoods U and V. Theorems 2 and 3 are applications of the first one and in the case L = C(X), where X is a compact Hausdorff space give generalizations of several well-known results: Gamelin's extended Rudin-Carleson theorem [12], theorems by Björk [10] and Alfsen [1] and T.B. Andersen's split-face theorem [3]. Some of the following results are closely related to Ando's paper [4] on closed range theorems, which gives conditions for the validity of the second inclusion if there exists a projection in the dual of L with range  $K^{\circ}$ . The notation of "splitability" there coincides with restrictions on neighborhoods ("strongly admissible") in this paper.

I am grateful to L. Asimow for some useful suggestions on the subject.

1. A basic theorem. Let L be a real or complex normed linear space, L' its dual. The polar  $S^0$  of a subset S in L is defined as the

set of all  $\mu \in L'$  such that Re  $\mu(f) \leq 1$  for every  $f \in S$ . The following well-known facts on polars are used in this paper (for proofs, for instance see [17]): The bipolar of S in L is the  $\sigma(L, L')$  closed convex hull of  $S \cup \{0\}$ . If  $S_1$ ,  $S_2$  are subsets of L, we have  $(S_1 \cup S_2)^0 = S_1^0 \cap S_2^0$ . If both  $S_1$  and  $S_2$  are closed and convex  $(S_1 \cup S_2)^0$  coinsides with the  $\sigma(L', L)$  closure of the convex hull of  $S_1^0 \cup S_2^0$  in L', and if in addition  $S_1$  and  $S_2$  are 0-neighborhoods in L this convex hull is  $\sigma(L', L)$  compact, hence  $(S_1 \cap S_2)^0 = \operatorname{conv}(S_1^0 \cup S_2^0)$ . We state now our first theorem.

THEOREM 1. Let K be a closed subspace of the real or complex normed linear space L, M a real linear subspace of L, N a norm complete convex cone in M, V a bounded convex, U a bounded convex and closed neighborhood of 0 in L.  $p: L \rightarrow L/K$  is the canonical projection. For the following assertions

- (a)  $p(N \cap U) \supset p(M) \cap p(V)$ .
- (b)  $p(N \cap U) \supset p(M) \cap \overline{p(V)}$ .
- (c)  $\{p(N \cap U)\}^{\circ} \subset \{p(M) \cap p(V)\}^{\circ}$ .
- (d) There is a map  $\varphi: L' \to K^0$  with the properties:
  - (d1) For every  $\mu \in K^0$   $(\varphi(\mu) \mu) \in M^0$ .
  - (d2) For every  $\mu \in U^0$  and every  $f \in M$  such that  $p(f) \in p(V)$  we have  $\text{Re } \varphi(\mu)(f) \leq 1$ .
  - (d3) For all  $\mu, \nu \in L'$  such that  $(\mu \nu) \in N^{\circ}$  we have  $(\varphi(\mu) \varphi(\nu)) \in M^{\circ}$ .
- (e) For every  $h \in N \cap \underline{U}$  such that the Minkowski functional of K + V  $q_{K+V}(h) < 1$  define

$$U_{\scriptscriptstyle h} = U \cap rac{1}{\lambda(h)}(U-h)$$
 ,  $\lambda(h) = 1 - q_{\scriptscriptstyle K+V}(h)$ 

and we have

$$p(N\cap U_h)\supset p(M)\cap \underline{p(V)}$$
.

(a) and (c) are equivalent, (d) implies (a), (a) implies (d) if N is a real linear space, (e) implies (b), and (b) implies (a).

*Proof.* The implications (a)  $\Rightarrow$  (c) and (b)  $\Rightarrow$  (a) are trivial. To prove (c)  $\Rightarrow$  (a) and (e)  $\Rightarrow$  (b) we need Lemma 1.

- (c)  $\Rightarrow$  (a): Taking the polars on both sides of inclusion (c) shows that  $\overline{p(N\cap U)}\supset p(M)\cap p(V)$ . Applying Lemma 1, part (1), with A=L,  $B=p(M)\subset L/K$ ,  $C=N\cap U$  and  $D=p(M)\cap p(V)$  we conclude (a).
- (e)  $\Rightarrow$  (b) is a consequence of Lemma 1, part (2) with the same insertion for A, B, C and D. Then  $U \cap 1/\lambda(C-h) = U \cap 1/\lambda(N \cap U-h) =$

 $U\cap 1/\lambda(N-h\cap U-h)\supset U\cap N\cap 1/\lambda(U-h)=N\cap U_h$ . Obviously for  $h\in N\cap U$  both Minkowski-functionals in (e) and in Lemma 1 are equal:

$$egin{aligned} q_{\scriptscriptstyle 0}(p(h)) &= \inf \left\{ 
ho \, | \, p(h) \in 
ho(p(M) \cap p(V)) 
ight\} \ &= \inf \left\{ 
ho \, | \, h \in 
ho(M+K \cap V+K) 
ight\} \ &= \inf \left\{ 
ho \, | \, h \in 
ho(V+K) 
ight\} = q_{V+K}(h) \; . \end{aligned}$$

So (e) implies the assumptions of Lemma 1, part (2), and we derive (b).

 $(d) \Rightarrow (c)$ : This argument makes use of an extended Hahn-Banach theorem by Kaufmann [15] which states the following:

Let L be a real linear space, N a convex cone in L, q a subadditive, positive-homogeneous functional on L, and let  $\mu$  be an additive positive-homogeneous functional on N such that  $\mu \leq q$  on N. Then there is a linear functional  $\theta$  on L such that  $\theta \leq q$  and  $\mu \leq \theta$  on N.

Now suppose (d) holds and let  $\mu$  be an element of  $(p(N\cap U))^{\circ}$ . Then  $\mu\in K^{\circ}$  ( $K^{\circ}$  is the dual of L/K) and  $\operatorname{Re}\mu(f)\leq 1$  for every  $f\in N\cap U$ . Let q be the positive-homogeneous subadditive functional on L generated by U:

$$q(f) = \inf \{ \lambda \in \mathbf{R}_+ | f \in \lambda U \}$$
.

There is a constant r > 0 such that  $q(f) \le r||f||$  for every f in L, because U is a neighborhood of 0.

Let  $\mu_1$  be the real functional on L:  $\mu_1 = \text{Re } \mu$ . Then  $\mu_1(f) \leq q(f)$  for every f in N and applying Kaufmann's theorem we find a real valued functional  $\mu_2$  on L such that

$$\mu_{\scriptscriptstyle 2}(f) \leq q(f) \ \ {
m for} \ \ f \in L \ \ {
m and} \ \ \mu_{\scriptscriptstyle 1}(f) \leq \mu_{\scriptscriptstyle 2}(f) \ \ {
m on} \ \ N$$
 .

Clearly  $\mu_2$  is continuous, hence the real part of an element  $\mu_3 \in L'$ . So we have for every f in M

$$\operatorname{Re} \varphi(\mu_{\scriptscriptstyle 3})(f) = \operatorname{Re} \varphi(\mu)(f) = \operatorname{Re} \mu(f)$$
 .

(This is a consequence of assumption (d3) because  $\mu - \mu_3 \in N^\circ$ , hence  $\varphi(\mu) - \varphi(\mu_3) \in M^\circ$ , and of (d1) because  $\mu \in K^\circ$ , hence  $\varphi(\mu) - \varphi \in M^\circ$ .) Now suppose  $f \in M$  such that  $p(f) \in p(V)$ , then (d2) implies  $\operatorname{Re} \varphi(\mu_3)(f) \leq 1$ , because  $\mu_3 \in U^\circ$ . Therefore  $\operatorname{Re} \mu(f) \leq 1$ , and  $\mu$  belongs to the polar of  $p(M) \cap p(V)$ .

If N is a real linear space too we prove the implication

(a)  $\Rightarrow$  (d): Suppose  $p(N \cap U) \supset p(M) \cap \underline{p(V)}$  and define the map  $\varphi \colon L' \to K^{\circ}$  using the axiom of choice as follows:

$$arphi(\mu)=egin{cases} ar\mu& ext{if there is }ar\mu\in K^\circ ext{ such that }\mu-ar\mu\in N^\circ\ 0 ext{, else .} \end{cases}$$

Thus  $\varphi$  is well-defined and meets the requirements (d1), (d2), (d3):

(d1) Suppose  $\mu \in K^0$  and  $\bar{\mu} \in K^0$  such that  $\mu - \bar{\mu} \in N^0$ .

For every  $f \in M$  there exists by assumption  $g \in N$  such that p(f) = p(g), hence  $\operatorname{Re} \mu(f) = \operatorname{Re} \mu(g) = \operatorname{Re} \overline{\mu}(g) = \operatorname{Re} \varphi(\mu)(f)$ .

(d2) Suppose  $\mu \in U^{\circ}$ ,  $\bar{\mu} = \varphi(\mu)$ ,  $f \in M$  such that  $p(f) \in p(V)$ . Then for every  $\gamma \in (0, 1)$   $\gamma f \in p(M) \cap \underline{p(V)}$ , therefore we find  $g \in N \cap U$  with  $p(g) = p(\gamma f)$ , hence  $\operatorname{Re} \varphi(\mu)(f) = \operatorname{Re} \varphi(\mu)((1/\gamma)g) = \operatorname{Re} \bar{\mu}((1/\gamma)g) = \operatorname{Re} \mu((1/\gamma)g) \leq (1/\gamma)$ . Thus  $\operatorname{Re} \varphi(\mu)(f) \leq 1$ .

(d3) Suppose  $\mu, \nu \in L'$  such that  $(\mu - \nu) \in N^{\circ}$ .

Then in case there is no proper  $\bar{\mu}$  in  $K^{\circ}$ , we have  $\varphi(\mu) = \varphi(\nu) = 0$ . Else let be  $\bar{\mu} = \varphi(\mu)$ ,  $\bar{\nu} = \varphi(\nu)$ . Then  $\bar{\mu} - \mu \in N^{\circ}$ ,  $\bar{\nu} - \nu \in N^{\circ}$ , hence  $\bar{\mu} - \bar{\nu} \in N^{\circ}$ , and  $\bar{\mu} - \bar{\nu} \in M^{\circ}$  as well because  $\bar{\mu} - \bar{\nu} \in K^{\circ}$ .

To complete the proof of Theorem 1 we need the following lemma:

LEMMA 1. Let A and B be normed real linear spaces,  $p: A \rightarrow B$  a continuous linear map, C a complete bounded convex subset in A containing 0, D a bounded convex neighborhood of 0 in B. Then

- (1)  $\overline{p(C)} \supset D$  implies  $p(C) \cap D$ .
- (2) If there is a bounded neighborhood U of 0 in A containing C, such that for every h in the algebraic interior of C for which

$$\lambda(h) = \sup \{ \rho \in R_+ | p(h) \in (1 - \rho)D \} = 1 - q_D(p(h)) > 0$$

(where  $q_D$  denotes the Minkowski-functional of D on B)

$$\overline{p\Big(\,U\caprac{1}{\lambda(h)}(C-h)\Big)}\!\supset\! D$$
 ,

then  $p(C) \supset \bar{D}$ .

*Proof.* (1) Suppose  $\overline{p(C)} \supset D$  and let  $f \in D$ . Given  $\varepsilon > 0$  there is  $g_0 \in C$  such that  $||f - p(g_0)|| < \varepsilon$ . Suppose  $g_1, \cdots, g_n \in C$  have been selected such that

$$\left\|f-p\Bigl(\sum\limits_{i=0}^{k}\Bigl(rac{arepsilon}{r}\Bigr)^{i}g_{i}\Bigr)
ight\|\leq arepsilon\Bigl(rac{arepsilon}{r}\Bigr)^{k}\;, \qquad ext{for every} \quad k=1,\;\cdots,\;n$$

where r>0 is a constant, such that  $rE_{\scriptscriptstyle B}\subset D$ .  $(E_{\scriptscriptstyle B}$  denotes the closed unit ball in B.) Then  $(r/\varepsilon)^{n+1}(f-p(\sum_{i=0}^n{(\varepsilon/r)^ig_i}))\in D$  and we find  $g_{n+1}\in C$  such that

$$\left\|\left(rac{r}{arepsilon}
ight)^{n+1}\!\!\left(\!f-p\!\left(\sum_{i=0}^{n}\left(rac{arepsilon}{r}
ight)^{i}g_{i}
ight)\!
ight)-p(g_{_{n+1}})
ight\|\leqqarepsilon$$
 ,

hence

$$\left\|f-p\Big(\sum\limits_{i=0}^{n+1}\Big(rac{arepsilon}{r}\Big)^ig_i\Big)
ight\|\leq arepsilon\Big(rac{arepsilon}{r}\Big)^{n+1}$$
 .

Set  $g = \sum_{i=0}^{\infty} (\varepsilon/r)^i g_i$ . Then p(g) = f, and  $g \in (1/(1 - (\varepsilon/r)))C$ , hence for every  $\gamma > 1$ ,  $p(\gamma C) \supset D$ ,  $p(C) \supset (1/\gamma)D$ , which proves part (1).

(2) U is bounded, so  $U \subset RE_A$ , where  $E_A$  denotes the unit ball in A. Let  $f \in \overline{D}$ . Then  $(f/2) \in \underline{D}(1-(1/2)^2)$  and by hypothesis (set h=0) and part (1) there is  $g_1 \in \overline{C}(1-(1/2)^2)$  such that  $p(g_1)=(f/2)$  and  $||g_1|| \le (3/2^2)R$  (because  $g_1 \in (1-(1/2)^2)U$ ). Suppose  $g_1, g_2, \cdots, g_n$  have been selected such that  $\sum_{i=1}^n g_i \in C(1-(1/2)^{n+1})$ ,  $p(g_i)=(f_1/2^i)$ ,  $||g_i|| \le (3/2^{i+1})R$ ,  $i=1,\cdots,n$ . Set

$$h = rac{1}{\left(1-\left(rac{1}{2}
ight)^{n+2}
ight)}\sum_{i=1}^{n}g_{i}$$
 .

Then  $h \in \bigcup_{0 \le \gamma < 1} \gamma C$  and

$$egin{align} p(h) &= rac{1}{\left(1-\left(rac{1}{2}
ight)^{n+2}
ight)} \sum_{i=1}^{n} rac{f}{i2^{i}} = rac{\left(1-\left(rac{1}{2}
ight)^{n}
ight)}{\left(1-\left(rac{1}{2}
ight)^{n+2}
ight)} f \ &= rac{2^{n+2}-2^{2}}{2^{n+2}-1} f \in rac{2^{n+2}-2^{2}}{2^{n+2}-1} D \; , \end{array}$$

hence

$$\lambda(h) \geqq 1 - rac{2^{n+2}-2^2}{2^{n+2}-1} = rac{3}{2^{n+2}-1}$$
 .

By hypothesis and part (1) of the lemma then

$$p\!\!\left(U\caprac{2^{n+2}-1}{3}(C-h)
ight)\supset \underline{D}$$
 ,

and there is

$$g' \in U \cap \frac{2^{n+2}-1}{3}(C-h)$$

such that

$$p(g')=\frac{2}{3}f.$$

Now let

$$g_{n+1}=rac{3}{2}rac{1}{2^{n+1}}g'$$
 .

Then

$$\begin{split} g_{n+1} &\in \frac{3}{2} \, \frac{1}{2^{n+1}} \cdot \frac{2^{n+2}-1}{3} (C-h) = \left(1-\left(\frac{1}{2}\right)^{n+2}\right) \! (C-h) \\ &= \left(1-\left(\frac{1}{2}\right)\right) \! \! \left(\! C - \frac{1}{\left(1-\left(\frac{1}{2}\right)^{n+2}\right)} \sum_{i=1}^n g_i \right) = \left(1-\left(\frac{1}{2}\right)^{n+2}\right) \! C - \sum_{i=1}^n g_i \; , \end{split}$$

hence

$$\textstyle\sum_{i=1}^{n+1} g_i \in C \Big(1 - \Big(\frac{1}{2}\Big)^{n+2}\Big) \;, \qquad p(g_{n+1}) = \frac{f}{2^{n+1}} \;, \qquad ||g_{n+1}|| \leqq \frac{3}{2^{n+2}} R \;.$$

Set  $g = \sum_{i=1}^{\infty} g_i$ . Then p(g) = f and  $g \in C$ , which completes the proof.

2. A Rudin-Carleson theorem. Throughout this section we assume that  $K^0$  is the range of a norm continuous linear projection  $\pi$  in the dual space L' of L. Applying the implications  $(d) \rightarrow (a)$  (setting  $\varphi = \pi$ ) and  $(e) \rightarrow (b)$  in Theorem 1 we derive an extended Rudin-Carleson-type theorem in Banach spaces. Since the above assumption coincides with Ando's [4] some of the results are related to his.

Let K be a closed subspace of the Banach space L,  $\pi: L' \to K^0$  a continuous linear projection. To apply Theorem 1 we need some requirements on "admissible" neighborhoods of the origin in L.

DEFINITION. Let U and V be closed convex bounded neighborhoods of 0 in L. (U, V) is called admissible, iff  $\pi(U^{\circ}) \subset V^{\circ}$ . U is called strongly admissible, iff  $U^{\circ} = \overline{\operatorname{conv} \{\pi(U^{\circ}) \cup (I - \pi)(U^{\circ})\}}$  ( $\{ \ \}$  denotes the closure in the norm topology of L'.)

#### REMARKS.

- (2.1)  $(E, (1/||\pi||)E)$ , where E is the closed unit ball in L, is admissible.
- (2.2) If L is an AM-space (Banach lattice with property  $||f\vee g||=||f||\vee||g||$  for all positive elements f,g in L, cf. [19]), K an ideal in L,  $\pi\colon L'\to K^\circ$  the band projection, then the closed unit ball E in L is strongly admissible: The inclusion  $E^\circ\supset\overline{\operatorname{conv}\left(\pi(E^\circ)\cup(I-\pi)(E^\circ)\right)}$  is trivial. Conversely let  $\mu\in E^\circ$ , then  $\mu=\pi(\mu)+(I-\pi)\mu$ , and because  $\pi(\mu)$  and  $(I-\pi)\mu$  are orthogonal and L' is an AL-space  $||\pi(\mu)||+||(I-\pi)\mu||=|||\pi(\mu)|+|(I-\pi)(\mu)|||\leq ||\pi(|\mu|)+(I-\pi)(|\mu|)||\leq 1$ , hence  $\mu\in\operatorname{conv}\left(\pi(E^\circ)\cup(I-\pi)(E^\circ)\right)$ .

(2.3) Let  $(U_1, V_1)$  and  $(U_2, V_2)$  be admissible 0-neighborhoods. Then  $(U_1 \cap U_2, V_1 \cap V_2)$  is admissible.

This is an immediate consequence of the fact that  $(U_1 \cap U_2)^0 = \operatorname{conv}(U_1^0 \cup U_2^0)$ , hence  $\pi((U_1 \cap U_2)^0) = \pi(\operatorname{conv}(U_1^0 \cup U_2^0) \subset \operatorname{conv}(\pi(U_1^0) \cup \pi(U_2^0)) \subset \operatorname{conv}(V_1^0 \cup V_2^0) = (V_1 \cap V_2)^0$ .

(2.4) If both U and V are strongly admissible,  $U\cap V$  is strongly admissible, because

$$\begin{split} (U \cap V)^{\scriptscriptstyle 0} &= {\rm conv} \, (U^{\scriptscriptstyle 0} \cup V^{\scriptscriptstyle 0}) \\ &= {\rm conv} \, \overline{({\rm conv} \, (\pi(U^{\scriptscriptstyle 0})) \cup (I-\pi)(U^{\scriptscriptstyle 0})) \cup {\rm conv} \, (\pi(V^{\scriptscriptstyle 0}) \cup (I-\pi)(V^{\scriptscriptstyle 0}))} \\ &= \overline{{\rm conv} \, (\pi(U^{\scriptscriptstyle 0}) \cup \pi(V^{\scriptscriptstyle 0}) \cup (I-\pi)(U^{\scriptscriptstyle 0}) \cup (I-\pi)(V^{\scriptscriptstyle 0}))} \\ &= \overline{{\rm conv} \, (\pi({\rm conv} \, (U^{\scriptscriptstyle 0} \cup V^{\scriptscriptstyle 0}) \cup (I-\pi)({\rm conv} \, (U^{\scriptscriptstyle 0} \cap V^{\scriptscriptstyle 0})))} \\ &= \overline{{\rm conv} \, (\pi(U \cap V)^{\scriptscriptstyle 0} \cup (I-\pi)(U \cap V)^{\scriptscriptstyle 0})} \; . \end{split}$$

(2.5) Let U be strongly admissible,  $h \in \underline{U}$ . Then  $(U_h, U)$  is admissible  $(U_h$  was defined:  $U_h = U \cap (1/\lambda(h))(U-h)$ . To prove (2.5) it is sufficient (because of (2.3)) to show that  $((1/\lambda(h))(U-h), U)$  is admissible, i.e.,  $\pi((1/\lambda(h))(U-h))^{\circ} \subset U^{\circ}$ , i.e.,  $\pi(U-h)^{\circ} \subset (1/\lambda(h))U^{\circ}$ . Let  $\mu \in (U-h)^{\circ}$ , then  $\operatorname{Re} \mu(f) \leq 1 + \operatorname{Re} \mu(h)$  for every  $f \in U$ . From  $0 \in \underline{U}$  we conclude that  $\operatorname{Re} \mu(h) > -1$ , hence  $\mu \in (1 + \operatorname{Re} \mu(h))U^{\circ}$ . By assumption U is strongly admissible, hence there is  $\nu \in L'$  such that  $||\mu-\nu|| < \varepsilon$ ,  $\nu = \lambda_1 \nu_1 + \lambda_2 \nu_2$ ,  $\lambda_1 + \lambda_2 = 1$ ,  $\lambda_1$ ,  $\lambda_2 \geq 0$ ,  $\nu_1 \in (1 + \operatorname{Re} (h))\pi(U^{\circ})$ ,  $\nu_2 \in (1 + \operatorname{Re} \mu(h))(U^{\circ})$ . Then  $\pi(\nu) = \lambda_1 \nu_1$ ,  $(I - \pi)(\nu) = \lambda_2 \nu_2$ .

From the definition of  $\lambda(h) = \sup \{ \rho \in R_+ | p(h) \in (1-\rho)p(U) \}$  and because  $\pi(\nu) \in K^0$  for every  $f \in U$  we conclude  $\operatorname{Re} \pi(\nu)(h) + \lambda \operatorname{Re} \pi(\nu)(f) \leq \sup \{ \pi(\nu)(g) | g \in U \} \leq \lambda_1(1 + \operatorname{Re} \mu(h)), \text{ hence } \lambda \operatorname{Re} \pi(\nu)(f) \leq \lambda_1(1 + \operatorname{Re} \mu(h)) + \operatorname{Re} (I - \pi)(\nu)(h) - \operatorname{Re} \nu(h) \leq \lambda_1(1 + \operatorname{Re} \mu(h)) + \lambda_2(1 + \operatorname{Re} \mu(h)) - \operatorname{Re} \nu(h) = 1 + \operatorname{Re} \mu(h) - \operatorname{Re} \nu(h) \leq 1 + \varepsilon ||h||.$  (Note that  $h \in \underline{U}$ ,  $(I - \pi)(\nu) \in \lambda_2(1 + \operatorname{Re} \mu(h))(I - \pi)(U^0)$  imply  $\operatorname{Re} (I - \pi)(\nu)(h) \leq \lambda_2(1 + \operatorname{Re} \mu(h))$ .)

Thus  $\pi(\nu) \in (1 + \varepsilon ||h||)(U^{\circ}/\lambda)$  for  $\varepsilon > 0$ . Because  $\pi$  is norm continuous from this we conclude  $\pi(\mu) \in (U^{\circ}/\lambda) = (\overline{U^{\circ}}/\lambda)$ .

Now Theorem 2 is at hand.

THEOREM 2. Let K be a closed subspace of the real or complex Banach space L,  $K^0$  be the range of a norm continuous linear projection  $\pi$  on L',  $p: L \rightarrow L/K$  the canonical map. Suppose M is a real linear subspace of L, N a norm closed convex cone in M. For the following assertions

(a) For all closed convex bounded neighborhoods U and V of O in L such that (U, V) is admissible

$$p(N\cap U)\supset p(M)\cap p(V)$$
.

(b) For every strongly admissible closed convex bounded neigh-

borhood U of 0 in L

$$p(N \cap U) \supset P(M) \cap \overline{p(U)}$$
.

- (c)  $\pi(N^{\scriptscriptstyle 0})\subset M^{\scriptscriptstyle 0}$ .
- (a) implies (b), and (c) and (a) are equivalent.

Proof.

- $(a) \Rightarrow (b)$  is an immediate consequence of implication
- $(e) \Rightarrow (b)$  in Theorem 1 and of Remark (2.5). To prove
- (c)  $\Rightarrow$  (a) we show Condition (d) in Theorem 1 holds with  $\varphi = \pi$ .
- (d1) is trivial, and because of the linearity of  $\pi$  (d3) corresponds to assertion (c) of Theorem 2. To verify (d2) let  $\mu \in U^0$ ,  $f \in V$ . Then  $\pi(\mu) \in V^0$  because (U, V) is admissible, hence  $\operatorname{Re} \pi(\mu)(f) \leq 1$ .
- (a)  $\Rightarrow$  (c). Assume (a) holds and let  $\mu \in N^{\circ}$ ,  $f \in M$ . To prove Re  $\pi(\mu)(f) = 0$  we have to define proper 0-neighborhoods U and V. Let

$$V = (1/||\pi||)E$$
 and  $U_{\varepsilon} = E \cap \{h \in L \mid |(I - \pi)(\mu)(h)| \leq \varepsilon\}$ 

where E denotes the closed unit ball in L. Both  $U_{\varepsilon}$  and V are bounded convex and closed and  $(U_{\varepsilon}, V)$  is admissible:  $U_{\varepsilon}^{0} = \operatorname{conv}(E^{0} \cup \{\cdots\}^{0})$ , hence  $\pi(U_{\varepsilon}^{0}) \subset \operatorname{conv}(\pi(E^{0}) \cup \pi\{\cdots\}^{0})$ . So obviously it suffices to verify  $\pi\{\cdots\}^{0} \subset V^{0}$ . But  $\{\cdots\} = \varepsilon \cdot \{e^{i\alpha}(I-\pi)(\mu) \mid \alpha \in [0, 2\pi]\}^{0}$ , therefore  $\{\cdots\}^{0} = \{1/\varepsilon\}\{\lambda(I-\pi)(\mu) \mid |\lambda| \leq 1\}$  and  $\pi\{\cdots\}^{0} = \{0\}$ .

Now select  $\lambda > ||f|| \cdot ||\pi||$ . Then  $(1/\lambda)f \in \underline{V}$  and  $p((1/\lambda)f) \in p(M) \cap \underline{p(V)}$  and by assumption there is  $g_{\varepsilon} \in N \cap U_{\varepsilon}$  such that  $p(g_{\varepsilon}) = p((1/\lambda)f)$ , hence  $|(I - \pi)(\mu)(g_{\varepsilon})| \leq \varepsilon$ , i.e.,  $|\mu(g_{\varepsilon}) - \pi(\mu)(g_{\varepsilon})| \leq \varepsilon$ .

On the other hand we know because  $g_{\varepsilon} - (1/\lambda)f \in K$ ,  $\mu \in N^{\circ}$  and  $\pi(\mu) \in K^{\circ}$  that  $\operatorname{Re} \pi(\mu)((1/\lambda)f) = \operatorname{Re} \pi(\mu)(g_{\varepsilon}) \leq \operatorname{Re} \mu(g_{\varepsilon}) + \varepsilon \leq \varepsilon$ .

The argument holds for every  $\varepsilon > 0$  independent of  $\lambda$ , hence  $\operatorname{Re} \pi(\mu)(f/\lambda) \leq 0$  and  $\pi(\mu) \in M^{\circ}$ .

3. Applications in Banach lattices. In this section we are going to take advantage of the fact that the map  $\varphi\colon L'\to K^0$  in Theorem (1d) needs not necessarily be linear. For the following suppose L is a real or complex Banach lattice, i.e., in the complex case L is the complexification of a real Banach lattice  $L_0$  (for details cf. [19])  $L=L_0+iL_0$ . Let K be an ideal in L, then  $K^0$  is a band in the order complete dual  $L'=L'_0+iL'_0$  of L. By  $\pi$  we denote the band projection from L' onto  $K^0$ .  $\pi$  is norm continuous and monotone (cf. ([19]). As before M is a real linear subspace of L, N a closed convex cone in M. For the construction of  $\varphi$  we introduce a new parameter:

Let R be a sup-stable (i.e.,  $f \lor g \in R$  for all  $f, g \in R$ ) convex cone in  $L_0$  such that

- (3.1) Re  $(\ln N) \subset R$ , i.e., R contains all real parts of the elements of  $\ln N$ , the complex linear hull of N.
  - (3.2) R is total in  $L_0$ , i.e.,  $\overline{R-R}=L_0$ .
- (3.3)  $(E_{L_0} \cap R) + L_+$ , where  $E_{L_0}$  denotes the unit ball,  $L_+$  the positive cone in  $L_0$ , is a neighborhood of the origin in  $L_0$ .

In straightforward analogy to the concept of the Choquet ordering for measures on a convex compact Hausdorff space we define an order relation " $<_R$ " on  $L'_+$  ( $L'_+$  denotes the positive cone in  $L'_0$ ) by  $\mu <_R \nu$  iff  $\mu(f) \leq \nu(f)$  for all  $f \in R$ . Here R takes the part of the continuous convex functions in the classical case (cf. Alfsen [1]). Like there we show that there are sufficiently many maximal elements in this ordering (Lemma 2) and then define  $\varphi$  using the axiom of choice of the composition of a map from L' in the set of maximal elements and the band projection onto  $K^0$ . According to the choice of the parameter R Theorem 3 yields a wide range of applications. For  $R = L_0$  for instance, the ordering is trivial and it leads to the Rudin-Carleson-type theorem of §2. In §4 we shall apply it to the case L = C(X) with different choices for R.

The proof of Lemma 4.1 in [16] can be adapted to derive the following lemma on the existence of maximal elements in  $L'_+$ . (Note that condition (3.3) for R guarantees the  $\sigma(L', L)$  compactness of the set  $\{\nu \in L'_+ | \nu >_R \mu\}$  for given  $\mu \in L'_+$ .)

LEMMA 2. For every  $\mu \in L'_+$  there is  $\overline{\mu} \in L'_+$  such that  $\overline{\mu} >_{\mathbb{R}} \mu$  and  $\overline{\mu}$  is maximal in the ordering "><sub>\maximu\text{"}</sub>".

For every  $f \in L_0$  define the upper respectively lower R-envelope (cf. [1], §5) in  $L''_0$ , the order complete bidual of  $L_0$ 

$$\hat{f} = \inf \{ h \in -R \mid h \ge f \}$$
,  $\check{f} = \sup \{ h \in R \mid h \le f \}$ .

Then for  $\mu, \nu \in L'_+$   $\mu <_{\mathbb{R}} \nu$  implies  $\mu(\check{f}) \leq \nu(\check{f})$  and  $\mu(\hat{f}) \geq \nu(\hat{f})$ . This is an immediate consequence of Propositions 4.2 and 4.5 in Schäfer's book [19], because  $\mu(\hat{f}) = \inf \{\mu(h) | h \in -R, h \geq f\}$  for positive  $\mu$ .

Corresponding to the set of boundary measures in Choquet-theory we define

$$\partial L' = \{ \mu \in L' | |\mu| \text{ is } R\text{-maximal} \}$$
 .

(Recall that  $|\mu| = \sup_{\alpha \in [0,2\pi]} |\cos \alpha \mu_1 + \sin \alpha \mu_2| \in L_0'$  where  $\mu = \mu_1 + i\mu_2$ .) For every  $\mu \in L'$  there is  $\bar{\mu} \in \partial L'$  such that  $\mu - \bar{\mu} \in (\ln N)^\circ$ , because there is a decomposition of  $\mu = (\mu_1 - \mu_2) + i(\mu_3 - \mu_4)$  such that

 $\mu_i \in L'_+$ . According to Lemma 2 select  $\overline{\mu}_i \in L'_+$  such that the  $\overline{\mu}_i$  are R-maximal and  $\overline{\mu}_i >_R \mu_i$ , hence  $\overline{\mu}_i - \mu_i \in (\text{lin } N)^0$ . Set  $\overline{\mu} = (\overline{\mu}_1 - \overline{\mu}_2) + i(\overline{\mu}_3 - \overline{\mu}_4)$ .

A very useful characterization of the elements of  $\partial L'$  is given by a reformulation of [1], Proposition I.4.5.

LEMMA 3.  $\mu \in \partial L'$  if and only if  $|\mu|(f) = |\mu|(\hat{f})$  for every  $f \in L_0$ .  $\partial L'$  is an order ideal in L'.

The proof of the first assertion follows straightforward the proof of Proposition I.3.5 and the argument in Proposition I.4.5 in Alfsen's book [1]. To verify that  $\partial L'$  is an order ideal in L' let  $\mu, \nu \in \partial L'$ . Then for  $f \in L_0$   $\hat{f} - f$  is clearly positive in L'',  $|\mu + \nu|(\hat{f} - f) \leq |\mu|(\hat{f} - f) + |\nu|(\hat{f} - f) = 0$ , hence  $\mu + \nu \in \partial L'$ . If  $\mu \in \partial L'$  and  $\nu \in L'$  such that  $|\nu| \leq |\mu|$ . Then  $|\nu|(\hat{f} - f) \leq |\mu|(\hat{f} - f) = 0$ , which completes the proof.

To formulate the main theorem we need some additional requirements on K and on 0-neighborhoods in L.

DEFINITION. Let U and V be subsets in L. (U, V) is called R-stable, iff for every  $\mu \in U^{\circ}$  there is  $\overline{\mu} \in V^{\circ} \cap \partial L'$  such that  $\overline{\mu} - \mu \in (\lim N)^{\circ}$ . A subset U in L is called R-stable iff (U, U) is R-stable.

#### REMARKS.

(3.4) Let  $U_1$ ,  $U_2$ ,  $V_1$ ,  $V_2$  be closed convex 0-neighborhoods in L such that  $(U_1,\ V_1)$  and  $(U_2,\ V_2)$  are R-stable. Then  $(U_1\cap\ U_2,\ V_1\cap\ V_2)$  is R-stable.

To prove (3.4) let  $\mu\in (U_1\cap U_2)^0=\operatorname{conv}(U_1^0\cup U_2^0)$ . Then  $\mu=\lambda_1\mu_1+\lambda_2\mu_2$ ,  $\mu_1\in U_1^0$ ,  $\mu_2\in U_2^0$ ,  $\lambda_1+\lambda_2=1$ ,  $\lambda_1$ ,  $\lambda_2\geqq 0$ . By hypothesis there are  $\overline{\mu}_1\in V_1^0\cap\partial L'$  and  $\overline{\mu}_2\in V_2^0\cap\partial L'$  such that  $\overline{\mu}_i-\mu_i\in (\operatorname{lin} N)^0$ , i=1,2. Set  $\overline{\mu}=\lambda_1\overline{\mu}_1+\lambda_2\overline{\mu}_2$ , then  $\overline{\mu}\in\partial L'$ ,  $\overline{\mu}-\mu\in (\operatorname{lin} N)^0$  and  $\overline{\mu}\in\operatorname{conv}(V_1^0\cup V_2^0)=(V_1\cap V_2)^0$ .

(3.5) Suppose U is an R-stable closed convex bounded 0-neighborhood in L,  $h \in N \cap \underline{U}$ . Then U - h is R-stable.

Let  $\mu \in (U-h)^{\circ}$ . Then Re  $\mu(h) > -1$  and Re  $\mu(f) \le 1 + \operatorname{Re} \mu(h)$  for every  $f \in U$ , hence  $\mu \in (1 + \operatorname{Re} \mu(h))U^{\circ}$ . Because U is R-stable there is  $\bar{\mu} \in \partial L' \cap (1 + \operatorname{Re} \mu(h))U^{\circ}$  such that  $\bar{\mu} - \mu \in (\operatorname{lin} N)_{\circ}$ . From Re  $\mu(h) = \operatorname{Re} \bar{\mu}(h)$  we conclude Re  $\bar{\mu}(f) \le 1 + \operatorname{Re} \bar{\mu}(h)$  for every  $f \in U$ , hence  $\bar{\mu} \in (U-h)^{\circ}$ .

(3.6) There is a handy characterization for R-stable admissible 0-neighborhoods in the case L is a real Banach lattice:

Suppose U is a 0-neighborhood such that  $\mu_+(\widehat{f}_+) + \mu_-(\widehat{f}_-) \leq 1$ 

for all  $\mu \in U^0$ ,  $f \in U$ , then U is R-convex and (U, U) is admissible for every band projection  $\pi$  on L'.

To derive the first assertion, let  $\mu=\mu_+-\mu_-\in U^\circ$  and select  $\mu_+$ ,  $\mu_-\in\partial L'\cap L'_+$  such that  $\bar{\mu}_+>_R\mu_+$ ,  $\bar{\mu}_->_R\mu_-$ . Then  $\bar{\mu}=\bar{\mu}_+-\bar{\mu}_-\in\partial L'$  and  $\bar{\mu}-\mu\in(\ln N)^\circ$  and for every  $f\in U$ 

$$\bar{\mu}(f) \leqq \bar{\mu}_+(f_+) + \bar{\mu}_-(f_-) \leqq \bar{\mu}_+(\hat{f}_+) + \bar{\mu}_-(\hat{f}_-) \leqq \mu_+(\hat{f}_+) + \bar{\mu}_-(\hat{f}_-) \leqq \mathbf{1} \; ,$$

hence  $\overline{\mu} \in U^0$ . Secondly assume  $\pi$  is a band projection on L'. Then  $\pi(\mu)(f) = \pi(\mu_+)(f) - \pi(\mu_-)(f) \le \pi(\mu_+)(f_+) + \pi(\mu_-)(f_-) \le \mu_+(\widehat{f}_+) + \mu_-(\widehat{f}_-) \le 1$ , hence  $\pi\mu \in U^0$ .

Now we state

THEOREM 3. Let L be a real or complex Banach lattice, M a real linear subspace of L, N a closed convex cone in M, R a supstable convex cone in  $L_0$  (the underlying real Banach lattice of L) such that (3.1), (3.2), and (3.3) hold. Suppose K is an R-stable ideal in L, p:  $L \to L/K$  the canonical projection,  $\pi: L' \to K^0$  the band projection from L' onto  $K^0$ . For the following assertions

(a) For each triple (U, W, V) of closed convex bounded 0-neighborhoods in L such that (U, W) is R-stable and (W, V) is admissible (with respect to  $\pi$ )

$$p(N \cap U) \supset p(M) \cap p(V)$$
.

(b) For every strongly admissible R-stable closed convex bounded 0-neighborhood U in L

$$p(N \cap U) \supset p(M) \cap \overline{p(U)}$$
.

- (c)  $\pi(\partial L' \cap N^{\scriptscriptstyle 0}) \subset M^{\scriptscriptstyle 0}$  and  $K^{\scriptscriptstyle 0} \cap (\operatorname{lin} N)^{\scriptscriptstyle 0} \subset M^{\scriptscriptstyle 0}$ .
- (a) implies (b), and (c) and (a) are equivalent.

Proof.

- (a)  $\Rightarrow$  (b). For every  $h \in N \cap U$   $U_h = U \cap (1/\lambda(h))(U-h)$  is R-stable (Remarks (3.4) and (3.5)) and  $(U_h, U)$  is admissible, (b) then is a sequence of implication (e)  $\Rightarrow$  (b) in Theorem 1.
- $(c) \Rightarrow (a)$ . To apply Theorem 1,  $(d) \Rightarrow (a)$ , we construct  $\varphi \colon L' \to K^0$  as follows: Let  $\mu \in L'$  and  $\lambda = \inf \{ \rho \in R_+ | \mu \in \rho U^0 \}$ . There is  $\overline{\mu} \in \lambda W^0 \cap \partial L'$ , such that  $\overline{\mu} \mu \in (\lim N)^0$ . Define  $\varphi(\mu) = \pi(\overline{\mu}) \in \lambda V^0$ . Conditions (d1), (d2), (d3) hold.
- (d1): Let  $\mu \in K^{\circ}$ . Because of the R-stability of K there is  $\overline{\nu} \in K^{\circ} \cap \partial L'$  such that  $\mu \overline{\nu} \in (\lim N)^{\circ}$ , hence  $\mu \overline{\nu} \in K^{\circ} \cap (\lim N)^{\circ} \subset M^{\circ}$  by assumption (c). On the other hand  $\overline{\mu}$  in the construction of  $\varphi(\mu)$

was selected such that  $\bar{\mu} - \mu \in (\text{lin } N)^{\circ}$ , hence  $\bar{\mu} - \bar{\nu} \in \partial L' \cap (\text{lin } N)^{\circ} \subset \partial L' \cap N^{\circ}$  and again by (c) we conclude  $\pi(\bar{\mu} - \bar{\nu}) = \pi(\bar{\mu}) - \bar{\nu} = \varphi(\mu) - \bar{\nu} \in M^{\circ}$ , hence,  $\varphi(\mu) - \mu \in M^{\circ}$ . (d2) is obvious, because  $\mu \in U^{\circ}$  implies  $\varphi(\mu) \in V^{\circ}$ . To verify (d3) let  $\mu, \nu \in L'$  such that  $(\mu - \nu) \in N^{\circ}$ . Then  $\bar{\mu} - \bar{\nu} \in \partial L' \cap N^{\circ}$ , hence by (c)  $\pi(\bar{\mu} - \bar{\nu}) = \varphi(\mu) - \varphi(\nu) \in M^{\circ}$ .

 $(\underline{\mathbf{a}})\Longrightarrow(\underline{\mathbf{c}})$ . Clearly  $K^{\scriptscriptstyle 0}\cap(\operatorname{lin} N)^{\scriptscriptstyle 0}\subset M^{\scriptscriptstyle 0}$  is a necessary condition for (a) because (a) implies p(N)=p(M). To prove the other inclusion let  $\mu\in\partial L'\cap N^{\scriptscriptstyle 0}$ ,  $f\in M$ . To show  $\operatorname{Re}\pi(\mu)(f)\leqq 1$  we construct a proper triple of neighborhoods  $U_{\scriptscriptstyle \epsilon}$ ,  $W_{\scriptscriptstyle \epsilon}$ , V: Define

$$U_{\varepsilon} = E \cap \{h \in L | | (I - \pi)(\mu)(h)| \leq \varepsilon \}$$

(E denotes the unit ball in L). By assumption (3.3) for R there is a constant r>0 such that  $rE_{L_0}\subset (E_{L_0}\cap R)$ .  $(E_{L_0}$  is the unit ball in  $L_0$ .)

Let  $W_{\varepsilon}=(r/4)E\cap\{h\in L\,|\,|(I-\pi)(\mu)(h)|\leqq\varepsilon\},\ V=(r/4)E.$  The pair  $(W_{\varepsilon},\ V)$  is admissible (cf. the proof (a)  $\Rightarrow$  (c) in Theorem 2). We shall prove now  $(U_{\varepsilon},\ W_{\varepsilon})$  is R-stable. Let  $\mu\in E^{0}$ , then there is a decomposition  $\mu=\mu_{1}-\mu_{2}+i(\mu_{3}-\mu_{4})$  such that  $\mu_{i}\in E^{0}\cap L'_{+}$ . Let  $\overline{\mu}_{i}$  be R-maximal in  $L'_{+}$  such that  $\overline{\mu}_{i} \searrow_{R} \mu_{i}$ . Suppose  $f\in rE_{L_{0}}$  then there is  $h\in E_{L_{0}}\cap -R$  such that  $h\geq f$ , hence  $\overline{\mu}_{i}(f)\leq \overline{\mu}_{i}(h)\leq \mu_{i}(h)\leq 1$ , hence  $\overline{\mu}_{i}\in (rE_{L_{0}})^{0}$ , and for  $f\in rE$  we conclude  $f=f_{1}+if_{2},\ f_{1},\ f_{2}\in rE_{L_{0}}$ , hence  $R\in \overline{\mu}_{i}(f)=\overline{\mu}_{i}(f_{1})\leq 1$ , and  $\overline{\mu}_{i}\in (rE)^{0}$ . Thus

$$ar{\mu}=ar{\mu}_{\scriptscriptstyle 1}-ar{\mu}_{\scriptscriptstyle 2}+i(ar{\mu}_{\scriptscriptstyle 3}-ar{\mu}_{\scriptscriptstyle 4})$$
  $\in$   $4(rE)^{\scriptscriptstyle 0}=\left(rac{r}{4}E
ight)^{\scriptscriptstyle 0}$  ,

and (E, (r/4)E) is R-stable. Because of Remark (3.4) all left to show now is R-stability of the set  $\{h \in L | (I - \pi)(\mu)(h)| < \varepsilon\}$ . But this is obvious because  $\mu \in \partial L'$  implies  $(I - \pi)(\mu) \in \partial L'$  (cf. Lemma 3) and  $\{\cdots\}^0 = \{\lambda(I - \pi)(\mu) | |\lambda| \le 1\} \subset \partial L'$ . Therefore  $(U_\varepsilon, W_\varepsilon)$  is R-stable, and to complete the proof we adapt the conclusion in  $(a) \Rightarrow (c)$  in Theorem 2.

4. The case L=C(X). There are some interesting applications of Theorem 3 to the case L=C(X), where X is a compact Hausdorff space. With proper choice of the parameter R then quite a few generalizations of well-known results about dominated extensions of continuous functions are at hand. We have to distinguish the cases  $L=C_R(X)$  (real valued continuous functions on X) and the complex case  $L=C_C(X)$ . The latter one requires more sophisticated techniques to stady R-stable neighborhoods, corresponding to Hustad's [14] method to derive a norm preserving complex Choquet theorem. We apply a generalization of his result [18].

Throughout the chapter suppose X is a compact Hausdorff space,

 $L = C_R(X)$  (resp.  $L = C_C(X)$ ) provided with the supremum norm. Let K be the closed ideal in C(X) of all functions vanishing on the compact subset  $Y \subset X$ . L' then is the space of all real (resp. complex) valued Borel measures on X,  $\pi: L' \to K^0$  the usual restriction to the subset Y.

To define strongly admissible 0-neighborhoods in L let  $\gamma = \{z \in C \mid |z| = 1\}$ ,  $\rho: X \times \gamma \longrightarrow R_+$  a lower semicontinuous bounded strictly positive function and

$$U = \{ f \in C_c(X) \mid \text{Re}(zf(x)) \leq \rho(x, z) \text{ for all } x \in X, z \in Y \}$$
.

To see that U is a strongly admissible 0-neighborhood in  $L=C_c(X)$  with respect to the restriction map  $\pi$ , let  $f,g\in U,\ \mu\in U^0,\ \chi_Y$  the characteristic function of Y. We shall prove first that

(4.1) Re 
$$\mu(f\chi_Y + g(1 - \chi_Y)) \le 1$$
.

Given  $\varepsilon > 0$  there is a compact subset  $K \in X \setminus Y$  such that

$$|\mu|(X\setminus (Y\cup K))<\varepsilon$$
.

Let  $x \in X \setminus (Y \cup K)$ . Urysohn's lemma guanties the existence of continuous functions  $\chi_x$  and  $\phi_x$  such that  $0 \le \phi_x$ ,  $\psi_x \le 1$  and

$$egin{aligned} \psi_x|_{_{K\cup\{x\}}}=0 \;, & \psi_x|_{_Y}=1 \ \phi_x|_{_{Y\sqcup\{x\}}}=0 \;, & \phi_x|_{_K}=1 \;. \end{aligned}$$

Let lpha < 1 and  $ar{f} = lpha f$ ,  $ar{g} = lpha g$ , and

$$G_x = \{(x, z) \in X \times \gamma | \operatorname{Re} (z(\phi_x \overline{f} + \psi_x \overline{g})) < \rho(x, z) \}$$

then  $Y \times \gamma$ ,  $K \times \gamma$ ,  $\{x\} \times \gamma$  all are subsets of  $G_x$ , which is open in  $X \times \gamma$ , because  $\rho$  is lower semicontinuous. Re  $z(\phi_x \overline{f} + \psi_x \overline{g})$  is continuous on  $X \times \gamma$ . Thus  $\bigcup_x G_x = X \times \gamma$ , which is compact, and there are  $x_1, x_2, \dots, x_n \in X \setminus (Y \cup K)$  such that  $\bigcup_{i=1}^n G_{x_i} = X \times \gamma$ . Let  $\psi = \inf \psi_{x_i}$ ,  $\phi = \inf \phi_{x_i}$ . Thus  $h = \phi \overline{f} + \psi \overline{g} \in U$ ,  $h|_Y = \overline{f}$ ,  $h|_K = \overline{g}$ , and

$$|\mu(h) - \mu(\overline{f}\chi_{\scriptscriptstyle Y} + \overline{g}(1-\chi_{\scriptscriptstyle Y}))| \leq \varepsilon(||\overline{f}|| + ||\overline{g}||)$$
,

and

$$|\mu(h)-\mu(f\chi_{\scriptscriptstyle Y}+g(1-\chi_{\scriptscriptstyle Y}))| \leq arepsilon(||f||+||g||)+||\mu||(1-lpha)$$
 .

Because ||f||, ||g||,  $||\mu||$  are bounded,  $\varepsilon > 0$  and  $\alpha < 1$  arbitrary, and  $h \in U$  we conclude (4.1).

Now set  $\lambda = \sup \{ \operatorname{Re} \pi(\mu)(f) | f \in U \}$ ,  $\delta = \sup \{ \operatorname{Re} (1-\pi)(\mu)(f) | f \in U \}$ . Then  $\lambda$ ,  $\delta \geq 0$ ,  $\lambda + \delta \leq 1$  by (4.1), and  $\pi(\mu) \in \lambda U^{\circ}$ ,  $(1-\pi)(\mu) \in \delta U^{\circ}$ , hence  $\pi(\mu) \in \lambda \pi(U^{\circ})$ ,  $(1-\pi)(\mu) \in \delta(1-\pi)(U^{\circ})$ , and

$$\mu = \pi(\mu) + (1 - \pi)(\mu) \in \operatorname{conv}(\pi(U^{0}) \cup (1 - \pi)(U^{0}))$$

$$U \subset \operatorname{conv}(\pi(U^{0}) \cup (1 - \pi)(U^{0})).$$

The converse is obvious, since  $\mu \in U^{\circ}$ ,  $f \in U$  implies by (4.1)  $\pi(\mu)(f) = \mu(f\chi_{Y}) \leq 1$ , hence  $\pi\mu \in U^{\circ}$ .

As a first corollary of Theorem 3 choosing  $R = C_R(X)$  we now prove a Rudin-Carleson theorem, which generalizes Gamelin's [10] version by requiring N only to be a convex cone:

COROLLARY 3.1. Let Y be a closed subset of the compact Hausdorff space X, M a real linear subspace of  $C_c(X)$ , N a closed convex cone in M. Then the following conditions are equivalent:

- (a) For every 0-neighborhood U in  $C_c(X)$  defined by a strictly positive bounded lower semicontinuous function  $\rho\colon X\times \gamma\to R_+$  (as above) and every  $f\in M$  such that  $f_{|Y}\in U_{|Y}$  (restrictions to the subset Y) there is a function  $g\in N\cap U$  such that  $g_{|Y}=f_{|Y}$ .
- (b) For every complex Borel measure  $\mu$  on X  $\mu \in N^{\circ}$  implies  $\mu_{\mid Y} \in M^{\circ}$ .

Proof.

(b)  $\Rightarrow$  (a) is an immediate consequence of (c)  $\Rightarrow$  (b) in Theorem 3. To prove the converse suppose  $\mu \in N^{\circ}$ ,  $h \in M$  such that  $h \neq 0$ . Define U by  $\rho(x,\varphi) = ||h||$  if  $x \in G$  and  $\rho(x,\varphi) = \varepsilon$  else, where G is an open neighborhood of Y. Clearly  $h_{|Y} \in U_{|Y}$  and by assumption there is  $g \in N \cap U$  such that  $h_{|Y} = g|_{Y}$ , hence

$$egin{aligned} 0 & \geq \operatorname{Re} \, \mu(g) = \operatorname{Re} \, \mu_{|x}(g) + \operatorname{Re} \, \mu_{|g-y}(g) + \operatorname{Re} \, \mu_{|x-g}(g) \ & \geq \operatorname{Re} \, \mu_{|y}(h) - h \, |\mu|(G-Y) - \varepsilon |\mu|(X-G) \; , \end{aligned}$$

and because G and  $\varepsilon$  were arbitrary and  $\mu$  is regular  $0 \ge \text{Re } \mu_{|Y}(h)$ , hence  $\mu_{|Y} \in M^0$ .

We are going to state now a corollary, which implies and generalizes results by Björk [10], Alfsen-Hirsberg [2], and T.B. Andersen [3]. Recall that the Choquet boundary of R  $\partial_R X$  is defined to be the subset of all  $x \in X$  such that the Dirac measure  $\varepsilon_x$  is maximal in the " $\lt_R$ " ordering. Every "boundary measure"  $\mu \in \partial L'$  on X is known to vanish on every Baire set disjoint from the Choquet boundary (cf. [1] or [14]). For a linear subspace N in  $C_c(X)$ , which separates the points of X and contains the constants, we say  $\partial_N X = \partial_R X$ , where R is the sup-stable cone in  $C_R(X)$  generated by the real parts of N.

Note that in the real case the R-stability of a given neighborhood U is relatively easy to be checked, whereas in the complex case the arguments turn out to be much more complicated. Hustad [14] (along with Hirsberg's [13] interpretation) proves the R-stability of the unit ball in  $C_c(X)$ . We shall apply a generalization of his result given in [18]:

Suppose U is defined by a strictly positive l.s.c. function  $\rho: X \times \gamma \longrightarrow R \cup \{\infty\}$ 

$$U = \{ f \in C_c \mid \text{Re}(zf(x)) \leq \rho(x, z), \text{ for all } x \in X, z \in \gamma \}$$

and for every  $z \in \gamma$ , the function

$$\rho_z : X \longrightarrow R \cup \{\infty\}$$
,  $\rho_z(x) = \rho(z, x)$ 

is R-superharmonic, i.e.,  $\rho_z(x) \ge \mu(\rho_z)$  for all  $x \in X$  and  $\mu >_R \varepsilon_x$  (Dirac measure in x). Then U is R-stable.

COROLLARY 3.2. Let X be a compact Hausdorff space, M a real linear subspace in  $C_c(X)$  (resp.  $C_R(X)$ ), N a closed convex cone in M, which separates the points of X and contains the constant functions, R a sup-stable convex cone in  $C_R(X)$  which contains the real parts of all functions in  $\ln N$ .

Suppose Y is a compact subset of X such that

- (1) for every measure  $\mu$  supported by Y there is a boundary measure  $\bar{\mu}$  supported by Y such that  $\bar{\mu} \mu \in (\text{lin } N)^{\circ}$ .
  - (2) for every complex boundary measure  $\mu \in N^0$  implies  $\mu_{|Y} \in M^0$ .
  - (3)  $\lim N_{|Y|}$  is dense in  $M_{|Y|}$ .

Suppose U is a 0-neighborhood in  $C_c(X)$  defined by a strictly positive bounded l.s.c. function  $\rho: X \times \gamma \to R$ , such that  $\rho_z: X \to R$  is R-superharmonic for every  $z \in \gamma$ .

Then for every  $f \in M$  such that  $f_{|Y} \in U_{|Y}$  there is  $g \in N \cap U$  such that  $f_{|Y} = g_{|Y}$ .

With the above notations and remarks this follows directly from Theorem 3. If Y is a subset of  $\partial_{\lim N}X$  condition (1) is obviously true, (2) implies (3), so Corollary (3.2) generalizes Björk's [10] result and the main theorem in the Alfsen-Hirsberg paper [2]. To derive a complex version of T.B. Andersen [3] extension theorem about continuous affine functions on split-faces let Y be a closed split-face in the compact convex set X, N = A(X) the space of all continuous (complex) affine functions on X, M the subspace of  $C_c(X)$  such that all function in  $M_{|Y}$  are affine on X. Conditions (1) and (3) then are obvious, because Y is a face and because  $A(X)_{|Y}$  is dense in A(Y). (2) is known to be a characterization for split-faces (cf. [1], Theorem II.6.12).

Note that in the real case  $\rho$  reduces to two strictly positive bounded l.s.c. functions  $f_0, f_0: X \longrightarrow R_+$  defining U by

$$U = \{f \in C_{\mathbb{R}}(X) | -f_{U} \leq f \leq f_{0} \}$$
.

U is R-stable if both  $f_U$  and  $f_0$  are R-superharmonic.

Another obvious consequence of our main result is Alfsen's Theorem II. 4.5 [1].

COROLLARY 3.3. Let Y be the topological closure of the set of extreme points  $\partial_{\epsilon}X$  of the compact convex set X,  $f:\partial_{\epsilon}X \to R$  a continuous function. Then f can be extended to a function in A(X) iff the following two conditions are satisfied:

- (1)  $\hat{f}$  and  $\check{f}$  coincide on  $\overline{\partial_e K}$ ( $\hat{f}$  is defined to be  $\inf \{g \in A(X) | g \ge f \}$ ).
- (2) The common restriction of  $\hat{f}$  and  $\check{f}$  to  $\overline{\partial_e X}$  is annihilated by every  $\mu \in \partial L' \cap A(X)^{\circ}$ .

To prove this set N = A(X),  $M = A + R\tilde{f}$ , where  $\tilde{f}$  is any continuous extension of f on X, U the unit ball in  $C_R(X)$ . With the same choice of K and R as before the assertion is obvious.

Finally we are going to derive a corollary of the type of Bauer's classical theorem on the abstract Dirichlet-problem (cf. [8], [1] Theorem II. 4.3, [17]).

COROLLARY 3.4. Let N be a closed convex cone in C(X)  $(C_c(X))$  resp.  $C_R(X)$ , where X is a compact Hausdorff space, which separates the points of X and contains the constant functions. Set  $Y = \overline{\partial_{\lim N} X}$  and R the sup-stable convex cone generated by  $\lim N$ . Then  $N_{|Y} = C(Y)$  if and only if  $N^0 \cap \partial L' = \{0\}$  and  $\partial_{\lim N} X = Y$ .

To prove this set M=C(X).  $K=\{f\in C(X)|f_{|Y}=0\}$  is R-stable as well as the unit ball U in  $C_R(X)$ . All left to show is  $K^0\cap (\ln N)^0\subset M^0$ . But this is obvious because  $\mu\in K^0\cap (\ln N)^0$  implies  $\mu\in\partial L'$  ( $K^0$  is the set of all measures carried by  $Y=\partial_{\ln N}X$ , hence the set of all boundary measures), therefore  $\mu\in\partial L'\cap N^0=\{0\}$ .

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## **Pacific Journal of Mathematics**

Vol. 73, No. 1 March, 1977

| Thomas Robert Berger, <i>Hall-Higman type theorems</i> . V  | 1          |  |  |  |
|---|------------|--|--|--|
|   | 1          |  |  |  |
| Frank Peter Anthony Cass and Billy E. Rhoades, Mercerian theorems via spectral theory   | 63         |  |  |  |
| Morris Leroy Eaton and Michael David Perlman, Generating O(n) with  |            |  |  |  |
| reflections   | 73         |  |  |  |
| Frank John Forelli, Jr., A necessary condition on the extreme points of a class of holomorphic functions                            | 81         |  |  |  |
| Melvin F. Janowitz, Complemented congruences on complemented  |            |  |  |  |
| lattices  | 87         |  |  |  |
| Maria M. Klawe, Semidirect product of semigroups in relation to amenability, cancellation properties, and strong Fø lner conditions | 91         |  |  |  |
| Theodore Willis Laetsch, Normal cones, barrier cones, and the "spherical  |            |  |  |  |
| image" of convex surfaces in locally convex spaces  | 107        |  |  |  |
| Chao-Chu Liang, Involutions fixing codimension two knots  |            |  |  |  |
| Joyce Longman, On generalizations of alternative algebras   |            |  |  |  |
| Giancarlo Mauceri, Square integrable representations and the Fourier  | 131        |  |  |  |
| algebra of a unimodular group   | 143        |  |  |  |
| J. Marshall Osborn, Lie algebras with descending chain condition  | 155        |  |  |  |
| John Robert Quine, Jr., Tangent winding numbers and branched  |            |  |  |  |
| mappings  | 161        |  |  |  |
| Louis Jackson Ratliff, Jr. and David Eugene Rush, Notes on ideal covers   | 4.60       |  |  |  |
| and associated primes   | 169        |  |  |  |
| H. B. Reiter and N. Stavrakas, On the compactness of the hyperspace of  | 100        |  |  |  |
| faces   | 193<br>197 |  |  |  |
| Walter Roth, A general Rudin-Carlson theorem in Banach-spaces   |            |  |  |  |
| Mark Andrew Smith, Products of Banach spaces that are uniformly rotund  |            |  |  |  |
| in every direction  | 215        |  |  |  |
| Roger R. Smith, The R-Borel structure on a Choquet simplex  | 221        |  |  |  |
| Gerald Stoller, <i>The convergence-preserving rearrangements of real infinite</i>   |            |  |  |  |
| series  | 227        |  |  |  |
| Graham H. Toomer, Generalized homotopy excision theorems modulo a   |            |  |  |  |
| Serre class of nilpotent groups   | 233        |  |  |  |
| Norris Freeman Weaver, Dehn's construction and the Poincaré   |            |  |  |  |
| conjecture  | 247        |  |  |  |
| Steven Howard Weintraub, Topological realization of equivariant   |            |  |  |  |
| intersection forms  | 257        |  |  |  |