# Pacific Journal of Mathematics

GENERALIZED HOMOTOPY EXCISION THEOREMS MODULO A SERRE CLASS OF NILPOTENT GROUPS

GRAHAM H. TOOMER

Vol. 73, No. 1 March 1977

# GENERALIZED HOMOTOPY EXCISION THEOREMS MODULO A SERRE CLASS OF NILPOTENT GROUPS

# GRAHAM H. TOOMER

We combine two well known homotopy equivalences of Ganea and some recent work on nilpotent spaces to give a common procedure for deriving the connectivity of generalized excision maps, given that the spaces involved are nilpotent rather than simply connected.

The main results are stated in the context of Serre classes of *nilpotent* groups. Our proof of the Blakers-Massey theorem appears to be new, and applies moreover to any map of nilpotent spaces which induces an epimorphism of fundamental groups (cf. [18, Corollary 6, p. 487]). We close with a very general Freudenthal Suspension Theorem.

M. Mather [16] has proved a theorem on mapping cones wich yields two basic weak homotopy equivalences of Ganea [9, Theorem 1.1] ([10, Theorem 1.1]) as special cases. In the first part of this paper we apply the former equivalence to study the excision maps related to a fibration, generalizing Serre's classical theorem. second part uses [10, Theorem 1.1] to derive a generalized Blakers-Massey theorem related to a cofibration.) We have strived to emphasize a parallel treatment in the organization of this paper. Thus each part begins with a discussion of when the two generalized excision maps (associated to a fibration (cofibration)) are homomorphisms of *nilpotent* groups, in case  $\mathscr{C} \neq \{0\}$ : see Corollary 2; and then we show that it is only necessary to determine the mod & connectivity of one of them: see Proposition 4. Ganea's equivalences are then used to derive the mod & connectivity of one of the associated maps: see Lemma 5. Finally we induct on the number of stages in a principal refinement of a Postnikov tower of a nilpotent space (the dimension of a finite CW complex) to prove the general result: see Theorems I.12. II.7. (We chose a cellular argument over one using a homology decomposition as it avoids simple connectivity and is less technical.) The problem of finding a common procedure for deriving these excision theorems was raised in [15, p. 52].

We give a brief review of how our work is related to [1], [3], [11], and [17]. Associated to any space B and a fibration  $F \xrightarrow{j} Y \xrightarrow{p} X$ , there is a transgression square

$$\pi_{t}(X, Y; B) \xrightarrow{\partial} \pi_{t-1}(X; B)$$

$$\downarrow^{\varepsilon_{1}} \qquad \qquad \downarrow^{\varepsilon_{2}}$$

$$\pi_{t}(F; B) \xrightarrow{J} \pi_{t}(Y, F; B)$$

where  $t \geq 1$ , [15, (4.2'), p. 24]. Our proof of the mod  $\mathscr C$  connectivity of  $\varepsilon_1$  (when  $t \geq 2$  and B = K(G, n)) is an adaptation of [9, Proposition 2.1] to our context (cf. [1, Proposition 2.1].). For a given finite CW complex A, a cofibration  $X \xrightarrow{i} Y \xrightarrow{q} Y/X$  and  $t \geq 2$ , [10, Theorem 1.1] is used to determine the mod  $\mathscr C$  connectivity of  $\pi_t(A; Y, X) \to \pi_t(A; Y/X)$  when  $A = S^\circ$ . In the general case, we adapt [13, Theorem 6.1]. [11] and [1] were useful in translating this result into the "transgression square"

$$\pi_{t}(A; Y, X) \xrightarrow{\partial} \pi_{t-1}(A; X)$$

$$\downarrow_{\varepsilon'_{1}} \qquad \qquad \downarrow_{\varepsilon'_{2}}$$

$$\pi_{t}(A; Y/X) \xrightarrow{J} \pi_{t}(A; Y/X, Y)$$

of [11, p. 82], where the case t=1 is studied in detail. See Theorem II.7 below (cf. 1, Theorem 2]). In [1], the Blakers-Massey theorem of [17] is used instead of [9]; for this reason, the hypotheses that  $\pi_1 X = 0$  and  $\pi_2(Y, X) = 0$  are needed in [1, Theorem 2]. Also, we are not restricted to classes of *finite* abelian groups. Some generalized excision theorems modulo a class of finite abelian groups are also contained in [3], where spectral sequence techniques are used. We remark that in [11, Theorem p. 77], B need only be nilpotent.

Combining our results with Lemma 1 (Lemma 1') of [11], we now have a common procedure for the deriving of the connectivity of all generalized excision maps, basing ourselves on Lemma I.5 (Lemma II.5) (and taking  $\mathscr{C} = \{0\}$  if t = 1). Finally, each of these lemmas is in turn a consequence of Mather's result in [16] and standard arguments (if  $\mathscr{C} = \{0\}$ ).

Conventions. All spaces, maps and homotopies will be based. CX is the reduced cone on X, and  $X \hookrightarrow CX$  is given by  $x \mapsto [x, 0]$ .  $\Sigma X$  denotes the reduced suspension on X.  $PY = \{\omega \in Y^I | \omega(0) = y_0\}$  and  $PY \longrightarrow Y$  will always be  $\omega \mapsto \omega(1)$ .

The notation and conventions of [13], [14] will be used unless otherwise stated.

Homology will always mean reduced homology with integer coefficients.

I. Excision and fibrations. Let  $F \stackrel{j}{\hookrightarrow} Y \stackrel{p}{\to} X$  be a fibration and

 $t \ge 1$ . For any space B, the commutative squares

$$F \longrightarrow *$$
 $j \downarrow \qquad \downarrow \qquad \text{and} \qquad \downarrow \qquad \downarrow p$ 
 $Y \stackrel{p}{\longrightarrow} X \qquad * \longrightarrow X$ 

induce generalized excision maps  $(p, *)_{\sharp}$ :  $\pi_t(X, *; B) \rightarrow \pi_t(Y, F; B)$  and  $(*, j)_{\sharp}$ :  $\pi_t(X, Y; B) \rightarrow \pi_t(*, F; B)$ , [15, p. 13]. One shows that, for  $t \ge 2$ , one is dealing with groups and homomorphisms as follows: Let Z and W be the pushouts defined by

Let  $\bar{p}: Z \to X$  extend p by mapping CF to the base point and let  $i: \Sigma F \to W$  be the map naturally induced by j. It is well known that  $(p, *)_{\sharp}$  and  $(*, j)_{\sharp}$  may be identified with

$$\bar{p}_{\sharp}$$
:  $[X, \Omega^{t-1}B] \longrightarrow [Z, \Omega^{t-1}B]$ 

and

$$i_{\sharp}$$
:  $[W, \Omega^{t-1}B] \longrightarrow [\Sigma F, \Omega^{t-1}B]$ .

See [9, Proposition 1.6].

We are interested in determing when  $\bar{p}_*$  and  $i_*$  are homomorphisms of nilpotent groups (when  $t \geq 2$ ).

Recall that a based space  $(A, \alpha_0)$  is said to have a nondegenerate base point if the inclusion  $\alpha_0 \hookrightarrow A$  is an h-cofibration (i.e.,  $(A, \alpha_0)$  has the homotopy extension property up to homotopy for all spaces). We say that A is an amenable space if it is normal, Hausdorff, path connected and has a nondegenerate base point. Our first lemma is essentially due to Berstein and Ganea. We let  $\min G$  denote the nilpotency class of a group G, and set  $\min \{0\} = 0$ .

LEMMA 1. Let A be an amenable space and B a space with nondegenerate base point. Then

$$\operatorname{nil}\left[A, \Omega B\right] \leq \operatorname{L.S.} \operatorname{cat} A$$
.

(Here we set L.S. cat  $\alpha_0 = 0$ .)

*Proof.* It is well known that (i) since B has a nondegenerate base point, so does  $\Omega B$ ; and (ii) since A has a nondegenerate base point  $a_0$ ,  $a_0$  will have a halo in A which is contractible in A to  $a_0$ . The result therefore follows from the inequalities of [4, Corollary 6.12].

COROLLARY 2. Let  $F \stackrel{j}{\hookrightarrow} Y \stackrel{p}{\longrightarrow} X$  be a fibration of amenable spaces with F and Y countably paracompact, and let B be any space with nondegenerate base point. If L.S. cat X, L.S. cat  $Y < \infty$ , then for  $t \ge 2$ ,  $(p, *)_{\sharp}$ :  $\pi_t(X, *; B) \rightarrow \pi_t(Y, F; B)$  and  $(*, j)_{\sharp}$ :  $\pi_t(X, Y; B) \rightarrow \pi_t(*, F; B)$  are homomorphisms of nilpotent groups.

*Proof.* Let  $t \ge 2$ . As in the proof of Lemma 1,  $\Omega^{t-1}B = \Omega(\Omega^{t-2}B)$ will have a nondegenerate base point; here  $\Omega^0 B = B$ . Identify  $(p, *)_t$ and  $\bar{p}_{i}$ ,  $(*, j)_{i}$  and  $i_{i}$ . We now check that  $Z = Y \bigcup_{i} CF$  and W = $X \bigcup_{x} CY$  are amenable. By [7, Theorem 4],  $F \times I$  and  $Y \times I$  are normal. [2, Theorem 4.6.5] implies that CF, CY are Hausdorff and hence by [8, VII, 3.4] applied twice,  $Y \cup CF$  (and  $X \cup CY$ ) are normal. Now [2, Theorem 4.6.5] easily implies that  $Y \cup CF$ ,  $X \cup CY$ are Hausdorff. It is elementary that  $Y \cup CF$ ,  $X \cup CY$  are path con-Let  $[y_0]$  denote the image of  $y_0 \in Y$  in  $Y \cup C'X$ , where C'Xis the unreduced cone on X. Using standard properties of hcofibrations, it is easy to see that (i)  $[y_0]$  is a nondegenerate base point for  $X \cup C'Y$  and (ii) the natural map  $X \cup C'Y \rightarrow X \cup CY$  is a (based) homotopy equivalence. It follows that  $X \cup CY$  has a nondegenerate base point. Similarly,  $Y \cup CF$  has a nondegenerate base Thus Z and Y are amenable. Finally, L.S. cat  $Z \leq L.S$ . cat Y + 1, L.S. cat  $W \leq L.S.$  cat X + 1 by [5, Theorem 2.6], and the result follows from Lemma 1.

REMARKS. (i) Paracompact space and perfectly normal spaces are both examples of countably paracompact spaces. See [7].

(ii) The reader may verify that Corollary 2 also applies to the Serre fibration  $\Omega X \to PX \xrightarrow{p} X$  when X is an amenable space of the homotopy type of a simply connected CW complex, and L.S. cat  $X < \infty$ . In this case it is well known that  $(p, *)_{\sharp}$  is also induced by the evaluation map  $\Sigma \Omega X \to X$ .

DEFINITION 3. Let B be a space,  $\mathscr C$  a proper Serre class of nilpotent groups,  $f: U \to V$  a map of connected spaces and  $N \ge 2$ . We say that (f; B) is an N-equivalence mod  $\mathscr C$  if

$$\pi_t(f; B): \pi_t(V; B) \longrightarrow \pi_t(U; B)$$

is a homomorphism of nilpotent groups and  $\pi_t(f, B)$  is a  $\mathscr{C}$ -surjection for t > N and a  $\mathscr{C}$ -injection for  $t \ge N$  (in the sense of [13, Definition I.3]).

PROPOSITION 4. Let B and  $F \hookrightarrow Y \to X$  satisfy the hypotheses of Corollary 2 and suppose that  $(\bar{p}; B): (Z; B) \to (X; B)$  is an N-equi-

valence mod  $\mathscr{C}$ . Then  $(i; B): (W; B) \rightarrow (\Sigma F; B)$  is an N-equivalence mod  $\mathscr{C}$ .

*Proof.* By Corollary 2, we are dealing with homomorphisms of nilpotent groups. We have a ladder

and for  $t \ge 2$ ,  $(p, *)_{\sharp} \partial = -J(*, j)_{\sharp}$  by [15, Theorem 4.3']. Invoking the "mod  $\mathscr C$  four lemma" of [20], we easily deduce the result using our identification of  $(p, *)_{\sharp}$  and  $\bar p_{\sharp-1}$ ,  $(*, j)_{\sharp}$  and  $i_{\sharp-1}$ .

We remark that when  $\mathscr{C} = \{0\}$ , Proposition 4 is essentially [11, Proposition 1].

LEMMA 5. Let  $F \stackrel{j}{\hookrightarrow} Y \stackrel{p}{\longrightarrow} X$  be a fibration and suppose that

- (i) F and Y are path connected, and X is simply connected.
- (ii) & is a proper acyclic Serre class of abelian groups.
- (iii) there are integers  $m \ge 1$  and  $n \ge 2$  such that  $H_r(F) \in \mathscr{C}$  for  $r \le m-1$  and  $H_s(X) \in \mathscr{C}$  for  $s \le n-1$ .
- (iv)  $\mathscr C$  is complete or the homology of F and X is finitely generated in each dimension.

Then for any  $t \geq 2$ ,  $\overline{p}_{t}$ :  $\pi_{t-1}(Z) \rightarrow \pi_{t-1}(X)$  is  $\mathscr{C}$ -injective for t < m+n and  $\mathscr{C}$ -surjective for  $t \leq m+n$ .

*Proof.* Firstly, since  $0=\pi_1X=\pi_1(Y,F) \to \pi_1(Y\cup CF)$ , Z is simply connected and  $\ker \bar{p}_{\sharp}$  is abelian. By [9, Theorem 1.1] there is a weak homotopy equivalence  $F*\varOmega X \to \bar{p}^{-1}(*) = \bar{F}$ , and hence an exact sequence

$$[\mathit{H}(F) \otimes \mathit{H}(\varOmega X)]_{t-2} \rightarrowtail H_{t-1}(\bar{F}) \longrightarrow \mathrm{Tor} \ [\mathit{H}(F), \ \mathit{H}(\varOmega X)]_{t-3} \ .$$

If  $\mathscr C$  is not complete, (iv) and [18, Corollary 9.6.13] show that the homotopy groups of X are finitely generated in each dimension. Now  $\Omega X$  is nilpotent and so by [13, Theorem II.5.1] and [20, Proposition A.1],  $H_{t-1}(\bar F) \in \mathscr C$  for  $t-2 \le m+n-2$  under either of the hypotheses of (iv). Finally  $\bar F$  is simply connected so that  $\pi_{t-1}(\bar F) \in \mathscr C$  for  $t-1 \le m+n-1$ . The exact homotopy sequence of  $\bar p\colon X \to Z$  completes

the argument.

We have given conditions which imply that  $\bar{p}\colon Z\to X$  is an N-equivalence mod  $\mathscr{C}$ , and are interested in determining when for a given space B,  $(\bar{p};B)\colon [X,\Omega^{t-1}B]\to [Z,\Omega^{t-1}B]$  is a  $\mathscr{C}$ -surjection for t>N' and a  $\mathscr{C}$ -injection for  $t\geq N'$  for some N'. This necessitates the following definition.

DEFINITION 6. Let G be an abelian group. We say that a Serre class  $\mathscr C$  of abelian groups is G-coacyclic if  $C \in \mathscr C \Rightarrow \operatorname{Hom}(C,G) \in \mathscr C$  and  $\operatorname{Ext}(C,G) \in \mathscr C$ .

The next proposition explains why this notion can be used in conjunction with the universal coefficient theorem.

PROPOSITION 7. Let  $\varphi: A \to B$  be a homomorphism of abelian groups and  $\mathscr{C}$  a G-coacyclic Serre class of abelian groups. Then

- (i)  $\operatorname{coker} \varphi \in \mathscr{C} \Rightarrow \ker \operatorname{Hom} (\varphi, 1_g) \in \mathscr{C}$ .
- (ii)  $\ker \varphi \in \mathscr{C} \Rightarrow \operatorname{coker} \operatorname{Ext}(\varphi, \mathbf{1}_{G}) \in \mathscr{C}$ .
- (iii) if  $\varphi$  is a  $\mathscr{C}$ -bijection, the same is true of  $\operatorname{Hom}(\varphi, \mathbf{1}_G)$  and  $\operatorname{Ext}(\varphi, \mathbf{1}_G)$ .

*Proof* Since we are dealing with abelian groups, the usual sixterm exact sequence connecting Hom and Ext may be used.

It is time to give some examples of coacyclic classes:

REMARK 8. Let G be any abelian group. The following Serre classes of abelian groups are G-coacyclic Serre classes. (In (ii)-(iv) we assume that G is also finitely generated.)

- (i) The class consisting of the trivial group.
- (ii) The class of finitely generated abelian groups.
- (iii) The class of finite abelian groups.
- (iv) The class of finite P-torsion abelian groups, P a multiplicative set of nonzero primes in Z.

*Proof.* (i) is clear. To prove (ii)-(iv) one uses the structure theorem for finitely generated abelian groups and standard facts about Hom and Ext in a straightforward way.

DEFINITION 9 ([2]). Let G, H be groups and suppose that G acts on H in such a way that either (i) G = H and G acts on itself by conjugation or (ii) H is abelian. Let  $\Gamma_G^1H$  denote the subgroup of H generated by elements of the form  $(g \cdot h)h^{-1}$  where  $g \cdot h$  denotes the action of  $g \in G$  on  $h \in H$ . Set  $\Gamma^0H = H$  and if  $k \ge 1$ ,  $\Gamma_G^kH = \Gamma_G^1(\Gamma_G^{k-1}H)$ .

The action is nilpotent of class at most c if  $\Gamma_a^k H = 0$  for  $k \ge c$ . A path connected space B is nilpotent if for each  $n \ge 1$ , the action of  $\pi_1 B$  on  $\pi_n B$  is nilpotent of class  $c_n < \infty$ . See [6, p. 58]. We say that a Serre class  $\mathscr C$  is B-coacyclic if  $\mathscr C$  is  $(\Gamma^i \pi_n B/\Gamma^{i+1} \pi_n B)$ —coacyclic for each  $i \ge 0$  and  $n \ge 1$ .

REMARK 10. Suppose that B is a nilpotent space and the homology of B is finitely generated in each dimension. Then the homotopy groups of B are finitely generated in each dimension by [13, Theorem 5.1] and thus each  $\Gamma^i\pi_nB/\Gamma^{i+1}\pi_nB$  is finitely generated. Thus the examples (ii)-(iv) of Remark 8 are all B-coacyclic.

LEMMA 11. Suppose that  $f: U \to V$  is a map of nilpotent spaces and let B be a nilpotent CW complex with  $\pi_i(B) = 0$  for  $i \ge d+1$ . Let  $\mathscr C$  be a proper Serre class of nilpotent groups such that the class of abelian groups in  $\mathscr C$  is B-coacyclic. If  $f: U \to V$  is an N-equivalence mod  $\mathscr C$ , then  $(f; B): (V; B) \to (U; B)$  is a (d - N)-equivalence mod  $\mathscr C$ .

*Proof.* We induct on the number c of stages in a refinement of the Postnikov tower of B into principal fibrations (see [12, Theorem 2.2.9]). The case c=1 means that  $B=K(\pi,n)$ ,  $\pi$  abelian and  $n\geq 1$ , so we can apply the Universal Coefficient Theorem [18, 5.3.3] and Proposition 7.

Suppose inductively that the result is true for any nilpotent CW complex whose refinement has  $\leq c-1$  stages,  $c \geq 2$ . Then we have a pullback

$$egin{aligned} ar{A} & \longrightarrow PK(G, \ n+1) \\ & & \downarrow \\ A & \longrightarrow K(G, \ n+1) \end{aligned}$$

where A is a nilpotent space with at most c-1 stages and  $G=\Gamma^i\pi_n\overline{A}/\Gamma^{i+1}\pi_n\overline{A}$  for some i—see [12, Theorem II.2.9]. The long exact homotopy sequence of the fibration  $\overline{A}\to A\to K(G,\,n+1)$ , Proposition 7 and the "mod  $\mathscr C$  four lemma" complete the argument, for  $c<\infty$ . The easy details are left to the reader.

THEOREM 12. Let  $F \stackrel{j}{\hookrightarrow} Y \stackrel{p}{\longrightarrow} X$  be a fibration of amenable spaces, with F and Y countably paracompact, L.S. cat X, L.S. cat  $Y < \infty$ . Suppose that

- (i) F is nilpotent and X is simply connected.
- (ii) there are integers  $m \ge 1$  and  $n \ge 2$  such that  $H_r(F) \in \mathscr{C}$

for  $r \leq m-1$  and  $H_s(X) \in \mathscr{C}$  for  $s \leq n-1$ , where  $\mathscr{C}$  is a proper acyclic Serre class of nilpotent groups.

- (iii)  $\mathscr{C}$  is complete or the homology of F and X is finitely generated in each dimension.
  - (iv) B is a nilpotent CW complex satisfying

$$\pi_i(B) = 0$$
 for  $i \geq d+1$ .

(v)  $\mathscr{C}'$  is a B-coacyclic Serre class, where  $\mathscr{C}'$  denotes the (Serre) class of abelian groups in  $\mathscr{C}$ .

Then  $(p, *)_t$ :  $\pi_t(X, *; B) \rightarrow \pi_t(Y, F; B)$  and  $(*, j)_t$ :  $\pi_t(X, Y; B) \rightarrow \pi_t(*, F; B)$  are  $\mathscr{C}$ -surjections for t > d - m - n + 2 and  $\mathscr{C}$ -injective for  $t \ge d - m - n + 2$ .

*Proof.* By Corollary 2,  $(p, *)_t$  and  $(*, j)_t$  are homomorphisms of nilpotent groups. By Lemma 5,  $\bar{p}: Z \to X$  is an (m+n-1)-equivalence mod  $\mathscr{C}$ . Now  $0 = \pi_1 X = \pi_1(Y, F) \to \pi_1(Y \cup CF) = \pi_1 Z$  and so Lemma 11 may be applied to  $\bar{p}: Z \to X$  with N = m+n-1. We deduce that  $\bar{p}: Z \to X$  (and hence also  $i: W \to \Sigma F$  by Proposition 4) is a (d-m-n+1)-equivalence mod  $\mathscr{C}$ . The result therefore follows from our identification of  $(p, *)_t$  and  $\bar{p}_{t-1}, (*, j)_t$  and  $i_{t-1}$ .

We remark that, by considering  $\Omega X \to PX \to X$  and B = K(G, m), Theorem 12 yields results on the kernel and cokernel of the cohomology suspension map  $H^*(X, G) \to H^{*-1}(\Omega X, G)$  where X is a simply connected CW complex of finite dimension. See Remark (ii) following Corollary 2 above.

II. Excision and cofibrations. Let  $X \xrightarrow{i} Y \xrightarrow{q} Y/X$  be a cofibration and  $t \ge 1$ . For any space A, the commutative squares

$$\begin{array}{cccc} X & \longrightarrow & & & X \xrightarrow{i} & Y \\ \downarrow & & \downarrow & \text{and} & \downarrow & \downarrow \\ Y & \xrightarrow{q} & Y/X & & * & \longrightarrow & Y/X \end{array}$$

induce generalized excision maps  $(q, *)_{\sharp}$ :  $\pi_t(A; Y, X) \mapsto \pi_t(A; Y/X, *)$  and  $(*, i)_{\sharp}$ :  $\pi_t(A; *, X) \mapsto \pi_t(A; Y/X, Y)$  [15, p. 13]. To see that we are dealing with groups and homomorphisms, let Z and W be the pullbacks defined by

$$P(Y|X) \qquad PY \\ \downarrow \qquad \text{and} \qquad \downarrow \\ Y \xrightarrow{q} Y/X \qquad X \xrightarrow{i} Y$$

respectively. It is well known that there are natural maps  $p: W \mapsto \Omega(Y/X)$  (induced by q) and  $e: X \mapsto Z$  (which is a lift of i) such that  $(q, *)_{\sharp}$  and  $(*, i)_{\sharp}$  may be identified with

$$p_{\sharp}$$
:  $[\Sigma^{t-1}A, W] \longrightarrow [\Sigma^{t-1}A, \Omega(Y/X)]$ 

and

$$e_{t}: [\Sigma^{t-1}A, X] \longrightarrow [\Sigma^{t-1}A, Z]$$

respectively. (See [1, Lemma 3.7].)

We will use the following lemma to show that  $e_{\sharp}$  and  $p_{\sharp}$  are often homomorphisms of nilpotent groups.

LEMMA 1. Let A be a finite CW complex and let B be any nilpotent space. Then the group  $[\Sigma A, B]$  is nilpotent.

*Proof.* Let  $B^A$  denote the path component of the unique constant map in the function space of based maps from A to B. We can identify  $[\Sigma A, B]$  and  $\pi_1(B^A)$ , and the latter group is nilpotent by [12, Corollary II.2.6].

REMARK. If A is a connected CW complex and B has a non degenerate base point, we may use Lemma I.1 to deduce Lemma 1. The details are classical and familiar. Likewise for Corollary 2 below. Since nilpotency is required for Lemma 5 below anyway, we will not pursue this aspect.

COROLLARY 2. Let  $X \xrightarrow{i} Y \xrightarrow{q} Y/X$  be a cofibration with X and Y nilpotent,  $\pi_1(Y, X) = 0$ . If A is a finite CW complex, then

$$(q, *)_{\sharp}$$
:  $\pi_t(A; Y, X) \longrightarrow \pi_t(A; Y/X, *)$   
 $(*, i)_{\sharp}$ :  $\pi_t(A; *, X) \longrightarrow \pi_t(A; Y/X, Y)$ 

are homomorphisms of nilpotent groups for t > 1.

*Proof.* For  $t \geq 3$ , all group are abelian. For t=2, we need only check that Z, W, and Y/X are nilpotent spaces in view of our identification and Lemma 1 above. Since X, Y are path connected and  $\pi_1(Y,X)=0$ ,  $\pi_1(Y/X)=0$ . Thus Z is path connected. Also,  $\pi_0(W)=\pi_1(Y,X)=0$ . Now Z may be regarded as the fibre of a fibration  $E \to Y/X$  where E has the (based) homotopy type of Y, and hence by [12, II.2.2], Z will be nilpotent. Similarly for W.  $\Omega(Y/X)$  is evidently nilpotent.

DEFINITION 3. Let  $f: U \rightarrow V$  be a map of nilpotent spaces,  $N \ge 2$ ,

and A a space. We say that (A; f) is an N-equivalence mod  $\mathscr{C}$  if

$$\pi_t(A; f): \pi_t(A; U) \longrightarrow \pi_t(A; V)$$

is a  $\mathscr{C}$ -injection for  $1 \leq t < N$  and a  $\mathscr{C}$ -surjection for  $1 \leq t \leq N$ , where  $\mathscr{C}$  is a proper acyclic Serre class of nilpotent groups.

PROPOSITION 4. Let A and  $X \xrightarrow{i} Y \xrightarrow{q} Y/X$  satisfy the hypotheses of Corollary 2. If  $(A; e): (A; X) \to (A; Z)$  is an N-equivalence mod  $\mathscr{C}$ , so is  $(A; p): (A; W) \to (A; \Omega(Y/X))$ .

*Proof.* Corollary 2 shows that (A; e) and (A; p) will induce homomorphisms of nilpotent groups.

The "mod & four lemma" of [20, Appendix] together with the homotopy ladder of [15, Theorem 4.3, p. 23] (and the identification prior to Lemma 1) easily yield the result. The details are dual to those of Proposition I.4 and are omitted.

LEMMA 5. Suppose that (i) X and Y are nilpotent and  $\pi_1(Y, X) = 0$ ; (ii)  $\mathscr C$  is complete or the homology of X and Y is finitely generated in each dimension; (iii) there are integers  $m \ge 1$  and  $n \ge 2$  such that  $H_r(X) \in \mathscr C$  for  $r \le m-1$  and  $H_s(Y/X) \in \mathscr C$  for  $s \le n-1$ .

Then  $e_{t-1}$ :  $\pi_{t-1}(X) \rightarrow \pi_{t-1}(Z)$  is a  $\operatorname{\mathscr{C} ext{-}injection}$  for t-1 < m+n-2 and a  $\operatorname{\mathscr{C} ext{-}surjection}$  for  $t-1 \leq m+n-2$ .

*Proof.* According to [10, Theorem 1.1], there is a weak homotopy equivalence  $\Sigma(Z \bigcup_e CX) \longrightarrow X*\Omega(Y/X)$ , and the Künneth formula yields the exact sequence

$$\begin{split} [\mathit{H}(X) \otimes \mathit{H}(\varOmega(\mathit{Y}/X))]_{t-1} & \longmapsto H_{t-1} \Big( Z \bigcup_{e} \mathit{C}X \Big) \\ & \longrightarrow \mathsf{Tor} \; [\mathit{H}(X), \, \mathit{H}(\varOmega(\mathit{Y}/X))]_{t-2} \; . \end{split}$$

Now Y/X is simply connected  $H_s(Y/X) \in \mathscr{C}$  for  $s \leq n-1$ . By [18, Corollary 11, p. 507],  $H_i(\Omega(Y/X)) \in \mathscr{C}$  for  $i \leq n-2$ . Also,  $H_s(Y/X)$  is finitely generated for each  $s \geq 0$  if X and Y have finitely generated homology in each dimension. By [18, Corollary 13, p. 508]  $H_i(\Omega(Y/X))$  is finitely generated for each  $i \geq 0$  in case  $\mathscr{C}$  is not complete. The exact sequence above and [20, A.1] imply that  $H_{t-1}(Z \bigcup_e CX) \in \mathscr{C}$  for  $t-1 \leq m+n-2$ . That is,  $e_{t-1}: H_{t-1}(X) \to H_{t-1}(Z)$  is a  $\mathscr{C}$ -injection for t-1 < m+n-2 and a  $\mathscr{C}$ -surjection for  $t-1 \leq m+n-2$ . The result now follows from [14, Theorem 3.4].

Our next result is the analogue of Lemma I.11, and the case  $N=\infty$  is [13, 6.1].

LEMMA 6. Let  $f: U \rightarrow V$  be a map of nilpotent spaces, and let A be a finite CW complex of dimension d. If  $f: U \rightarrow V$  is an N-equivalence  $\operatorname{mod} \mathscr{C}$ , then  $(A; f): (A; U) \rightarrow (A; V)$  is an (N-d)-equivalence  $\operatorname{mod} \mathscr{C}$ . (If  $\mathscr{C} = \{0\}$ , we can dispense with the requirement that U and V be nilpotent.)

Proof. This follows the method of [13, Theorem 6.1], using the "mod & four lemma" [20, Appendix] instead of the "mod & five lemma." Details are left to the reader.

Theorem 7. Let  $X \xrightarrow{i} Y \xrightarrow{q} Y/X$  be a cofibration and suppose that

- (i) X and Y are nilpotent spaces such that  $\pi_i(Y, X) = 0$ .
- (ii) there are integers  $m \ge 1$  and  $n \ge 2$  such that  $H_r(X) \in \mathscr{C}$  for  $r \le m-1$  and  $H_s(Y/X) \in \mathscr{C}$  for  $s \le n-1$ , where  $\mathscr{C}$  is a proper acyclic Serre class of nilpotent groups.
- (iii)  $\mathscr{C}$  is complete or the homology of X and Y is finitely generated in each dimension.
- (iv) A is a finite CW complex of dimension d. Then

$$(q, *)_{\sharp}: \pi_t(A; Y, X) \longrightarrow \pi_t(A; Y/X, *)$$
  
 $(*, i)_{\sharp}: \pi_t(A; *, X) \longrightarrow \pi_t(A; Y/X, Y)$ 

are  $\mathscr{C}$ -injections for  $2 \leq t < m+n-d-1$  and  $\mathscr{C}$ -surjections for  $2 \leq t \leq m+n-d-1$ .

*Proof.* This is analogous to the proof of Theorem I.12: By Corollary 2, all groups in sight are nilpotent, and by Lemma 5,  $e: X \to Z$  is an (m+n-2)-equivalence mod  $\mathscr{C}$ , and so Lemma 6 applies. We deduce that  $(A; e): (A; X) \to (A; Z)$  is an (m+n-d-2)-equivalence mod  $\mathscr{C}$ . The result now follows from our identification of  $(q, *)_t$  and  $p_{t-1}, (*, i)_t$  and  $e_{t-1}$  together with Proposition 4.

Some special cases of Theorem 7 are worth mentioning. The classical result (see e.g., [18, Corollary 6, p. 487]) is obtained by taking  $A = S^0$  and  $\mathscr{C} = \{0\}$  in Lemma 5. Notice that it is *not* necessary to have  $\pi_1(X) = 0$  and  $\pi_2(Y, X) = 0$ . See also [19, Corollary 6.22]. When  $\mathscr{C} \neq \{0\}$ , and  $n \geq 3$  the case  $A = S^0$  is implied by [14, Theorem 4.1]. For an application of Theorem 7, the reader may verify that in [15, Theorem 7.1'], X need only be nilpotent.

COROLLARY 8. Let X be a nilpotent space and  $m \ge 1$  a fixed integer. If  $H_i(X) \in \mathscr{C}$  for  $i \le m-1$ , where  $\mathscr{C}$  is complete or the homology of X is finitely generated in each dimension, then for any

finite CW complex A of dimension  $d < \infty$ , the suspension homomorphism

$$E: [\Sigma^{t-1}A, X] \longrightarrow [\Sigma^t A, \Sigma X]$$

is a  $\operatorname{\mathscr{C} ext{-}injection}$  for  $2 \leq t < 2m-d-1$  and a  $\operatorname{\mathscr{C} ext{-}surjection}$  for  $2 \leq t \leq 2m-d-1$ .

*Proof.* We apply Theorem 7 to  $X \hookrightarrow CX \to \Sigma X$ . It is easy to check that the lift " $e: X \to Z$ " of  $X \hookrightarrow CX$  is the evaluation map  $e: X \to \Omega \Sigma X$ , and it is well known that e induces the suspension homomorphism.

Now let Q be a multiplicative set of primes in Z, and let  $\mathscr{C}_Q$  be the acyclic Serre class of Q-torsion nilpotent groups. If we take  $\mathscr{C} = \mathscr{C}_Q$  in Corollary 8, we may delete the hypothesis that the homology of X be finitely generated, for  $\mathscr{C}_Q$  is complete. Thus Corollary 8 yields a localized version of the classical Freudenthal Suspension Theorem:

COROLLARY 9. Let X be a nilpotent space and let  $m \ge 1$  be a fixed integer. If  $H_i(X) \otimes \mathbf{Z}_{(P)} = 0$  for  $i \le m-1$  and A is any finite CW complex of dimension d, then

$$E_{(P)}: [\Sigma^{t-1}A, X]_{(P)} \longrightarrow [\Sigma^t A, \Sigma X]_{(P)}$$

is an injection for  $2 \le t < 2m - d - 1$  and a surjection for  $2 \le t \le 2m - d - 1$ .

ACKNOWLEDGMENTS. I am indebted to Peter Hilton for sending me [11], [13], and [14], and for his interest. I also benefited greatly from his lectures on generalized Serre classes at the Ohio State University. Mary Ellen Rudin provided the precise reference needed for normality of mapping cones. The referee's helpful suggestions led to an improvement in the paper. To each, my sincere thanks.

### REFERENCES

- 1. K. Ando, T. Kamagata, and K. Fukuhara, On mod & excision theorems, Tôhuku Math. J., 25 (1973), 541-555.
- 2. R. Brown, Elements of Modern Topology, McGraw-Hill, New York (1968).
- 3. B. S. Brown, The mod & suspension theorem, Canad. J. Math., 21 (1969), 684-701.
- 4. I. Berstein and T. Ganea, Homotopical nilpotency, Illinois J. Math., 5 (1961), 99-130.
- 5. I. Berstein and P. J. Hilton, Category and generalized Hopf invariants, Illinois J. Math., 4 (1960), 437-451.
- 6. A. Bousfield and D. Kan, Homotopy limits, completions and localizations, Lecture Notes in Math. No. 304, Springer Verlag, Berlin, New York.
- 7. C. H. Dowker, On countably paracompact spaces, Canad. J. Math., 3 (1951), 219-224.

- 8. J. Dugundji, Topology, Allyn and Bacon, Boston, Mass. (1960).
- 9. T. Ganea, A generalization of the homology and homotopy suspension, Comment. Math. Helvet., **39** (1965), 295-322.
- 10. —, On the homotopy suspension, Comment. Math. Helvet., 43 (1968), 225-234.
- 11. P. J. Hilton, On excision and principal fibrations, Comment. Math. Helvet., 35 (1961), 77-84.
- 12. P. J. Hilton, G. Mislin, and J. Roitberg, Localization of nilpotent groups and spaces, Amsterdam: North Holland. Mathematics Studies 15, 1975.
- 13. P. J. Hilton and J. Roitberg, Generalized C-theory and torsion phenomena in nilpotent spaces, Houston J. Math., 2 (1976), 525-559.
- 14. ———, On the Zeeman comparison theorem for the homology of nilpotent fibrations, Quarterly J. Math., 27 (1976), 433-444.
- 15. P. J. Hilton, Homotopy Theory and Duality, Gordon and Breach. New York (1965).
- 16. M. Mather, A generalization of Ganea's theorem on the mapping cone of the inclusion of a fibre, J. London Math. Soc., (2) 11 (1975), 121-122.
- 17. I. Namioka, Maps of pairs in homotopy theory, Proc. London Math. Soc., 12 (1962), 725-738.
- 18. E. H. Spanier, Algebraic Topology, McGraw-Hill, New York (1966).
- 19. R. M. Switzer, Algebraic Topology-Homotopy and Homology, Springer Verlag, Berlin, New York (1975).
- 20. G. H. Toomer, Homology equivalences and a technique of Ganea, Math. Z., 150 (1976), 273-279.

Received February 9, 1977 and in revised form May 9, 1977.

OHIO STATE UNIVERSITY COLUMBUS, OH 43210

# PACIFIC JOURNAL OF MATHEMATICS

#### **EDITORS**

RICHARD ARENS (Managing Editor)

University of California Los Angeles, California 90024

C. W. CURTIS University of Oregon Eugene, OR 97403

C.C. MOORE

University of California Berkeley, CA 94720 J. DUGUNDJI

Department of Mathematics University of Southern California Los Angeles, California 90007

R. FINN AND J. MILGRAM Stanford University Stanford, California 94305

### ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN

F. Wolf

K. YOSHIDA

## SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA, RENO
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY
UNIVERSITY OF OREGON

UNIVERSITY OF SOUTHERN CALIFORNIA STANFORD UNIVERSITY UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON OSAKA UNIVERSITY

Printed in Japan by International Academic Printing Co., Ltd., Tokyo, Japan

# **Pacific Journal of Mathematics**

Vol. 73, No. 1

March, 1977

Thomas Robert Berger, <i>Hall-Higman type theorems. V</i>	1
Frank Peter Anthony Cass and Billy E. Rhoades, <i>Mercerian theorems via</i>	
spectral theory	63
Morris Leroy Eaton and Michael David Perlman, Generating $O(n)$ with	
reflections	73
Frank John Forelli, Jr., A necessary condition on the extreme points of a	
class of holomorphic functions	81
Melvin F. Janowitz, Complemented congruences on complemented	
lattices	87
Maria M. Klawe, Semidirect product of semigroups in relation to	
amenability, cancellation properties, and strong Fø lner conditions	91
Theodore Willis Laetsch, Normal cones, barrier cones, and the "spherical	
image" of convex surfaces in locally convex spaces	107
Chao-Chu Liang, Involutions fixing codimension two knots	125
Joyce Longman, On generalizations of alternative algebras	131
Giancarlo Mauceri, Square integrable representations and the Fourier	
algebra of a unimodular group	143
J. Marshall Osborn, Lie algebras with descending chain condition	155
John Robert Quine, Jr., Tangent winding numbers and branched	
mappings	161
Louis Jackson Ratliff, Jr. and David Eugene Rush, Notes on ideal covers	1.66
and associated primes	169
H. B. Reiter and N. Stavrakas, On the compactness of the hyperspace of	100
faces	193
Walter Roth, A general Rudin-Carlson theorem in Banach-spaces	197
Mark Andrew Smith, Products of Banach spaces that are uniformly rotund	016
in every direction	215
Roger R. Smith, The R-Borel structure on a Choquet simplex	221
Gerald Stoller, The convergence-preserving rearrangements of real infinite	225
series	227
Graham H. Toomer, Generalized homotopy excision theorems modulo a	222
Serre class of nilpotent groups	233
Norris Freeman Weaver, <i>Dehn's construction and the Poincaré</i> conjecture	247
Steven Howard Weintraub, Topological realization of equivariant	Z <del>4</del> /
intersection forms	257