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SETS WITH $(d - 2)$ -DIMENSIONAL KERNELS

MARILYN BREEN

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This work is about the dimension of the kernel of a starshaped set, and the following result is obtained: Let S be a subset of a linear topological space, where S has dimension at least $d \geq 2$. Assume that for every $(d + 1)$ -member subset T of S there corresponds a collection of $(d - 2)$ -dimensional convex sets $\{K_T\}$ such that every point of T sees each K_T via S , $(\text{aff } K_T) \cap S = K_T$, and distinct pairs $\text{aff } K_T$ either are disjoint or lie in a d -flat containing T . Furthermore, assume that when T is affinely independent, then the corresponding set K_T is exactly the kernel of T relative to S . Then S is starshaped and the kernel of S is $(d - 2)$ -dimensional.

We begin with some preliminary definitions: Let S be a subset of a linear topological space, S having dimension at least $d \geq 2$. For points x, y in S , we say x sees y via S if and only if the corresponding segment $[x, y]$ lies in S . Similarly, for $T \subseteq S$, we say x sees T (and T sees x) via S if and only if x sees each point of T via S . The set of points of S seen by T is called the kernel of T relative to S and is denoted $\ker_S T$. Finally, if $\ker_S S = \ker S$ is not empty, then S is said to be starshaped.

This paper continues a study in [1] concerning sets having $(d - 2)$ -dimensional kernels. Foland and Marr [2] have proved that a set S will have a zero-dimensional kernel provided S contains a noncollinear triple and every three noncollinear members of S see via S a unique common point. In [1], an analogue of this result is obtained for subsets S of R^d having $(d - 2)$ -dimensional kernels. Here it is proved that, with suitable hypothesis, these results may be extended to include subsets S of an arbitrary linear topological space.

As in [1], the following terminology will be used: $\text{Conv } S$, $\text{aff } S$, $\text{cl } S$, $\text{bdry } S$, $\text{rel int } S$ and $\ker S$ will denote the convex hull, affine hull, closure, boundary, relative interior and kernel, respectively, of the set S . If S is convex, $\dim S$ will represent the dimension of S .

2. Proof of the theorem.

THEOREM. *Let S be a subset of a linear topological space, where S has dimension at least $d \geq 2$. Assume that for every $(d + 1)$ -member subset T of S there corresponds a collection of $(d - 2)$ -dimen-*

sional convex sets $\{K_T\}$ such that every point of T sees each K_T via S , $(\text{aff } K_T) \cap S = K_T$, and distinct pairs $\text{aff } K_T$ either are disjoint or lie in a d -flat containing T . Furthermore, assume that when T is affinely independent, then the corresponding set K_T is exactly the kernel of T relative to S . Then S is starshaped and the kernel of S is $(d - 2)$ -dimensional.

Proof. The proof of the theorem is motivated by an argument in [2, Lemma 3], and it will be accomplished by a sequence of lemmas.

LEMMA 1. *Assume that $\text{conv}(K \cup \{x\}) \cup \text{conv}(K \cup \{y\}) \subseteq S$, where K is a convex set of dimension $d - 2$, $x \notin \text{aff } K$ and $y \notin \text{aff}(K \cup \{x\})$. Then the set $S \cap \text{aff}(K \cup \{x, y\})$ is starshaped, and its kernel is a $(d - 2)$ -dimensional set containing K .*

Proof. The argument is identical to the proof of the main theorem in [1].

LEMMA 2. *Assume that $\text{conv}(K \cup \{x\}) \cup \text{conv}(K \cup \{y\}) \subseteq S$, where K is a convex set of dimension $d - 2$, $x \notin \text{aff } K$ and $y \notin \text{aff}(K \cup \{x\})$. Assume there exists some $q \in S \sim \text{aff}(K \cup \{x, y\})$ such that q does not see K via S . Then if z sees $d - 1$ affinely independent points of K via S , $z \in \text{aff}(K \cup \{x, y\})$.*

Proof. By Lemma 1, the d -dimensional set $S \cap \text{aff}(K \cup \{x, y\})$ is starshaped, and its kernel K' is a $(d - 2)$ -dimensional set containing K . Hence without loss of generality we may assume that $K = K'$. Let $\pi = \text{aff}(K \cup \{x\})$, $\pi' = \text{aff}(K \cup \{y\})$, and let k_1, \dots, k_{d-1} be $d - 1$ affinely independent points in K seen by z . The affinely independent points $k_1, \dots, k_{d-1}, q, x$ see via S a unique $(d - 2)$ -dimensional convex set $A = (\text{aff } A) \cap S$, and $A \subseteq \pi$ by [1, Corollary 1 to Lemma 1]. Similarly $k_1, \dots, k_{d-1}, q, y$ see a $(d - 2)$ -dimensional set A' , and $A' \subseteq \pi'$. Clearly each of A, A' sees K via S . There are two cases to consider.

Case 1. If K, z , and q are not in a $(d - 1)$ -dimensional flat, then the affinely independent points $k_1, \dots, k_{d-1}, z, q$ see a unique $(d - 2)$ -dimensional set R , $(\text{aff } R) \cap S = R$, and R must lie in $\text{aff}(K \cup \{z\})$: Otherwise, $\{k_1, \dots, k_{d-1}, z\} \cup R$ would contain a set T of $d + 1$ affinely independent points with corresponding segments in S , contradicting the fact that K_T is a convex set of dimension $d - 2$. Again by Lemma 1, the d -dimensional set $S \cap \text{aff}(K \cup \{z, q\})$ is starshaped, and its kernel must be R . Thus K sees R via S , so R ,

A, A' all see $K \cup \{q\}$ via S . Hence $R \cup A \cup A'$ cannot contain $d + 1$ affinely independent points, and $R \subseteq \text{aff}(A \cup A') \subseteq \text{aff}(\pi \cup \pi')$. Since q sees R but not K via S , $R \neq K$, and $\text{aff}(K \cup R)$ is $(d - 1)$ -dimensional. Then $\text{aff}(K \cup \{z\}) = \text{aff}(K \cup R)$, and $z \in \text{aff}(K \cup R) \subseteq \text{aff}(\pi \cup \pi')$, the desired result.

Case 2. If K, z , and q lie in a $(d - 1)$ -dimensional flat, then since $q \notin \text{aff}(K \cup \{x\}) \cup \text{aff}(K \cup \{y\})$, neither x nor y is in that flat. However, K, z, q, x lie in a d -dimensional flat, and this flat is exactly $\text{aff}(K \cup A \cup \{z, q\}) = \text{aff}(K \cup A \cup \{q\})$. Since $\text{conv}(K \cup A) \cup \text{conv}(A \cup \{q\}) \subseteq S$, by Lemma 1, A is the kernel of $S \cap \text{aff}(K \cup A \cup \{q\})$, and z sees A via S . Since S cannot contain $d + 1$ affinely independent points with corresponding segments in S , $K \cup A \cup \{z\}$ must lie in a $(d - 1)$ -dimensional flat, and $z \in \text{aff}(K \cup A) \subseteq \text{aff}(\pi \cup \pi')$. (In fact, $z \in K$.) This completes Case 2 and finishes the proof of Lemma 2.

LEMMA 3. *Assume that $\text{conv}(K \cup \{x\}) \cup \text{conv}(K \cup \{y\}) \subseteq S$, where K is a convex set of dimension $d - 2$, $x \notin \text{aff} K$, and $y \notin \text{aff}(K \cup \{x\})$. If $q \in S \sim \text{aff}(K \cup \{x, y\})$, then q sees K via S .*

Proof. Assume on the contrary that q does not see K via S to reach a contradiction. As in the previous lemma, we may assume that K is the kernel of $S \cap \text{aff}(K \cup \{x, y\})$. Let $\pi = \text{aff}(K \cup \{x\})$, $\pi' = \text{aff}(K \cup \{y\})$, and let A, A' denote the $(d - 2)$ -dimensional subsets of π, π' seen by $k_1, \dots, k_{d-1}, q, x$ and by $k_1, \dots, k_{d-1}, q, y$, respectively, where k_1, \dots, k_{d-1} are affinely independent points in K . Then A and A' see $K \cup \{q\}$ via S , so $A \cup A'$ cannot contain $d + 1$ affinely independent points, and $A \cup A'$ lies in a $(d - 1)$ -dimensional flat. By hypothesis, since A and A' both correspond to $K \cup \{q\}$ and $K \cup \{q\} \cup A \cup A'$ does not lie in a d -flat, the distinct sets $\text{aff} A$ and $\text{aff} A'$ are disjoint, and these sets must be parallel in $\text{aff}(A \cup A')$. Furthermore, since K and A' lie in π' , $\text{aff} K \cap \text{aff} A \subseteq \text{aff}(K \cup A') \cap \text{aff}(A \cup A') = \text{aff} A'$, and $\text{aff} K \cap \text{aff} A \subseteq \text{aff} A' \cap \text{aff} A = \emptyset$. Hence $\text{aff} K$ and $\text{aff} A$ are parallel in π . Similarly, $\text{aff} K$ and $\text{aff} A'$ are parallel in π' , and it is easy to see that $\text{aff} K \cap \text{aff}(A \cup A') = \emptyset$.

Select some point u in $\text{rel int conv}(A \cup \{q\})$, and examine the d -dimensional flat $\text{aff}(A \cup A' \cup \{u\})$, which contains q . Clearly $\text{aff}(A \cup A' \cup \{u\})$ intersects $\text{aff}(\pi \cup \pi')$ in exactly $\text{aff}(A \cup A')$. Hence for any point v in $\text{rel int conv}(A' \cup \{q\}) \subseteq \text{aff}(A \cup A' \cup \{u\})$, the line $L(u, v)$ determined by u and v does not intersect $\text{aff} K$, and K, u, v affinely span a full d -dimensional set. Furthermore, for any point k in $\text{aff} K$, the plane $\text{aff}(k, u, v)$ intersects $\text{aff}(\pi \cup \pi')$ in a line containing k , and this line cannot intersect $\text{aff}(A \cup A')$: Otherwise k would lie in $\text{aff}(A \cup A' \cup \{u, v\}) \cap \text{aff}(\pi \cup \pi') = \text{aff}(A \cup A')$, impos-

sible. Hence $\text{aff}(K \cup \{u, v\}) \cap \text{aff}(A \cup A') = \emptyset$, and the d -dimensional flats $\text{aff}(K \cup \{u, v\})$ and $\text{aff}(\pi \cup \pi')$ intersect in a $(d - 1)$ -dimensional flat in $\text{aff}(\pi \cup \pi')$ parallel to $\text{aff}(A \cup A')$.

To complete the proof, we will find some nonempty subset F of S contained in $\text{aff}(A \cup A') \cap \text{aff}(K \cup \{u, v\})$, giving the desired contradiction. Let $E \equiv (\text{aff } E) \cap S$ denote the $(d - 2)$ -dimensional subset of S seen by k_1, \dots, k_{d-1}, u , and v . By Lemma 2, each point of E lies in $\text{aff}(\pi \cup \pi')$, and since K is the kernel of $S \cap \text{aff}(\pi \cup \pi')$, each point of E sees K via S . Hence $E \cup K$ cannot contain $d + 1$ affinely independent points, and $\dim \text{aff}(E \cup K) \leq d - 1$. Clearly $K \neq E$: Otherwise u and v would see K via S and by Lemma 2, $u, v \in \text{aff}(K \cup \{x, y\})$, impossible by our choice of u and v . Therefore $\text{aff}(E \cup K)$ is a $(d - 1)$ -dimensional subset of $\text{aff}(\pi \cup \pi')$, and $E, K, \{q\}$ affinely span a d -flat. By selecting d affinely independent points in $E \cup K$, these points together with q see a $(d - 2)$ -dimensional subspace F of S , and it is easy to see that $F \subseteq \text{aff}(E \cup K) \subseteq \text{aff}(\pi \cup \pi')$. Hence F sees K via S . We conclude that F, A, A' all see $K \cup \{q\}$ via S , so $F \cup A \cup A'$ cannot contain $d + 1$ affinely independent points, and $F \subseteq \text{aff}(A \cup A')$.

Finally, we show that $F \subseteq \text{aff}(K \cup \{u, v\})$. Observe that $u \notin \text{aff}(\pi \cup \pi')$, so the set $K \cup E \cup \{u\}$ contains $d + 1$ affinely independent points, and by Lemma 1, the kernel of $S \cap \text{aff}(K \cup E \cup \{u\})$ is E . Also, there exist points in $S \sim \text{aff}(K \cup E \cup \{u\})$ which do not see E via S : In particular, at least one of the sets A, A' cannot lie in the d -flat $\text{aff}(K \cup E \cup \{u\})$, for otherwise $u \in \text{aff}(K \cup E \cup \{u\}) = \text{aff}(K \cup A \cup A') = \text{aff}(\pi \cup \pi')$, impossible. If $A \not\subseteq \text{aff}(K \cup E \cup \{u\})$, then A cannot see E via S (for otherwise $K \cup E \cup A$ would contain $d + 1$ affinely independent points with corresponding segments in S). Similarly, if $A' \not\subseteq \text{aff}(K \cup E \cup \{u\})$, then A' cannot see E via S . Thus the set $\text{conv}(K \cup E) \cup \text{conv}(E \cup \{u\})$ satisfies the hypothesis of Lemma 2, and we may apply that lemma to conclude that $v \in \text{aff}(K \cup E \cup \{u\})$. Therefore $K \cup E \cup F \cup \{u, v\}$ lies in a d -flat, and since $K \cup \{u, v\}$ contains $d + 1$ affinely independent points, this flat must be exactly $\text{aff}(K \cup \{u, v\})$. Hence $F \subseteq \text{aff}(K \cup \{u, v\})$.

We conclude that $F \subseteq \text{aff}(A \cup A') \cap \text{aff}(K \cup \{u, v\}) = \emptyset$. This yields the desired contradiction, our opening assumption is false, and q sees K via S , finishing the proof of Lemma 3.

The rest of the proof is easy. Select a set T consisting of $d + 1$ affinely independent points of S , and let $K = \ker_s T$. Since $\dim K = d - 2$, we may select points x, y in T with $x \notin \text{aff } K$ and $y \notin \text{aff}(K \cup \{x\})$. Then K, x, y satisfy the hypotheses of Lemmas 1 and 3, and by the lemmas, $K \subseteq \ker S$. Since $\ker S \subseteq \ker_s T = K$, we conclude that $K = \ker S$. Hence S is a starshaped set whose kernel is $(d - 2)$ -dimensional, completing the proof of the theorem.

We conclude with the following analogue of [1, Corollary 3]:

COROLLARY. *The hypothesis of the theorem above provides a characterization of subsets S of a linear topological space, S having dimension at least $d \geq 2$, for which $K \equiv \ker S$ has dimension $d - 2$, $(\text{aff } K) \cap S = K$, and the maximal convex subsets of S have dimension $d - 1$.*

Proof. If S satisfies the properties above, then to each $(d + 1)$ -member subset T of S , the set $K \equiv \ker S$ will be a suitable K_T set. For K_1 and K_2 distinct K_T sets, we assert that T , K_1 , and K_2 lie in a d -flat: At least one of the sets K_1 , K_2 is not K , so without loss of generality assume that $K_1 \neq K$. Since maximal convex subsets of S have dimension $d - 1$, clearly each K_i set lies in a $(d - 1)$ -dimensional flat containing K , $i = 1, 2$, and it is easy to see that each point of T lies in the $(d - 1)$ -flat $\text{aff}(K_1 \cup K)$. Furthermore, if $T \not\subseteq K$, then K_2 must also lie in $\text{aff}(K_1 \cup K)$, finishing the argument. In case $T \subseteq K$, then since both K_1 and K_2 lie in $(d - 1)$ -flats containing K , the set $K_1 \cup K_2 \cup K$ lies in a d -flat, and this flat contains $K_1 \cup K_2 \cup T$, again the desired result.

The remaining steps of the proof are identical to those of [1, Corollary 3].

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