

Pacific Journal of Mathematics

**THREE-DIMENSIONAL OPEN BOOKS CONSTRUCTED FROM
THE IDENTITY MAP**

MAURICE GILMORE

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Three-dimensional manifolds are constructed as open books, using the identity diffeomorphism. The open book constructed in this way with (non)orientable page of Euler characteristic χ is the connected sum of $(1-\chi)$ copies of the (non)orientable S^2 bundle over S^1

Introduction. We investigate orientable and nonorientable three-dimensional manifolds which are open books according to the following definition of Winkelnkemper [2].

DEFINITION. A manifold of dimension n is said to have an open book description if it can be constructed using a co-dimension 2 submanifold ∂V and a diffeomorphism $h: V \rightarrow V$ of an $(n-1)$ -dimensional manifold with boundary ∂V . h is required to be the identity map in a neighborhood of ∂V . The construction is to form the mapping torus $(V \times I)/(v, 0) = (h(v), 1)$ and then to identify $(v, t) = (v, t')$ for all v in ∂V and t, t' in I . The image of the copies of ∂V in the resulting manifold is called the binding of the open book and the circle's worth of copies of V are called the pages.

Related results appear in the recent book of Rolfsen [1].

Statement of results.

THEOREM 1. *If $V = S_g - n\dot{B}^2$, the surface of genus g with n disjoint, open discs removed from it, then the open book produced by setting h equal to the identity map is the connected sum of $(2g + (n-1))$ copies of $(S^1 \times S^2)$. (Adopt the convention that zero copies of $(S^1 \times S^2)$ will refer to S^3 .)*

THEOREM 2. *If $V = P_k - n\dot{B}^2$, the 2-sphere with k cross-caps attached and n disjoint, open discs removed from it, then the open book produced by setting h equal to the identity map is the connected sum of $(k + (n-1))$ copies of the Klein bottle of dimension three. ($k \geq 1, n \geq 1$)*

By the three-dimensional Klein bottle we mean the nonorientable S^2 bundle over S^1 , $(S^2 \times I)/(x, y, z, 0) = (-x, y, z, 1)$.

Proofs of results.

LEMMA 1. *Let M be a closed, smooth manifold of dimension $(n + 1)$. If an unknotted copy of $(S^1 \times \dot{B}^n)$ is removed from a coordinate patch on M and the identification $(\theta, x) = (\theta', x)$ is performed for all (θ, x) in $(S^1 \times S^{n-1})$ then the resulting manifold is the connected sum $M \# (S^2 \times S^{n-1})$.*

Proof. Remove a copy of \dot{B}^{n+1} which contains the bounding $(S^1 \times S^{n-1})$ and temporarily add a copy of B^{n+1} to it, giving $S^{n+1} - (S^1 \times \dot{B}^n)$. The identifications glue all the meridian $(n - 1)$ -spheres to one copy of S^{n-1} on the boundary of the removed torus. On the bounding $(S^1 \times S^{n-1})$ in $S^{n+1} - (S^1 \times \dot{B}^n) = (B^2 \times S^{n-1})$, the $(n - 1)$ -spheres are parallels. When these are all identified to one S^{n-1} we obtain $(S^2 \times S^{n-1})$. Now remove the superfluous copy of \dot{B}^{n+1} and form the connected sum of $M - \dot{B}^{n+1}$ with $(S^2 \times S^{n-1}) - \dot{B}^{n+1}$ to finish the proof.

Proof of Theorem 1. Consider the polygonal normal form of $S_g a_1 b_1 a_1^{-1} b_1^{-1} \cdots a_g b_g a_g^{-1} b_g^{-1}$. Punch n holes in it and form the Cartesian product with the unit interval.

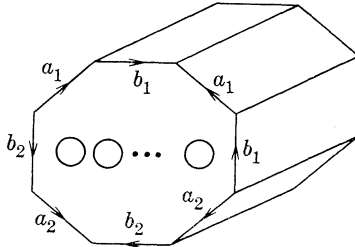


FIGURE 1

We diffeomorph one of the inner cylinders to the outside and form the mapping torus. If we perform the required identifications on the outer copy of $(S^1 \times S^1)$ we obtain $S^3 - \{n \text{ solid tori}\}$. The $(n - 1)$ copies of $(S^1 \times S^1)$ which do not come from the $a_1 b_1 \cdots a_g^{-1} b_g^{-1}$ each contribute a connected sum of S^3 with $(S^1 \times S^2)$ when the required identifications are performed. This follows from the absence of linking and Lemma 1.

The remaining $(S^1 \times S^1)$ can be surgered out in a \dot{B}^3 as in Lemma

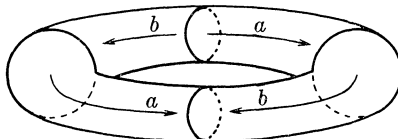


FIGURE 2

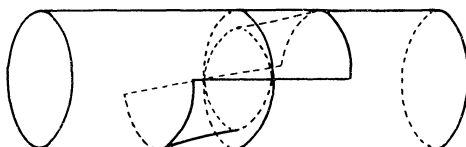


FIGURE 3

1 and an extra B^3 added. Since the a_i and b_i were meridians on the removed $(S^1 \times B^2)$ they are parallels on the remaining $(S^1 \times B^2) = S^3 - (S^1 \times B^2)$. An identification such as this, pictured in Figure 2, gives the connected sum of $2g$ copies of $(S^1 \times S^2)$. The four vertical discs give the union of two S^2 's joined along a common equator. This configuration is $S^3 - 4B^3$ and we now attach two copies of $S^2 \times I$. A separating S^2 between the two handles can be constructed using four of the discs with the flanges shown in Figure 3. One quarter of the S^2 consists of the two curved half-flanges, and the subdisc in a vertical disc from Figure 2.

We now complete the proof by removing the extra B^3 which we added above and forming the required connected sum.

Proof of Theorem 2. The proof is analogous. The two extra ingredients are to notice that the connected sum of $(S^1 \times S^n)$ with the $(n + 1)$ -dimensional Klein bottle is diffeomorphic to the connected sum of two $(n + 1)$ -dimensional Klein bottles and that an identification such as that shown in Figure 4 gives a connected sum of two Klein bottles of dimension 3.

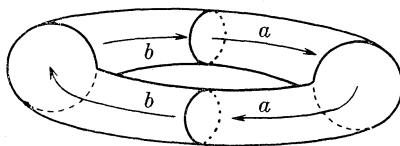


FIGURE 4

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