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A WITT'S THEOREM FOR UNIMODULAR LATTICES

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Let K be a dyadic local field, \mathfrak{o} its ring of integers, L a regular unimodular lattice over \mathfrak{o} . If x and y are vectors in L , we ask for necessary and sufficient conditions to map x isometrically to y . Trojan and James obtain conditions via a T -invariant when \mathfrak{o} is 2-adic. Hsia uses characteristic sets and G -invariants for vectors and he solves the problem when \mathfrak{o} is dyadic in general. We define here a new numerical invariant, the degree of a vector, which reflects more on the structure of L and the relationship between x , y and L . The Witt conditions will be stated in terms of this degree invariant.

1. Introduction. Let π be a prime element generating the maximal ideal of \mathfrak{o} and let e be such that $2\mathfrak{o} = \pi^e\mathfrak{o}$. Let Q be a quadratic form on L , B its associated symmetric bilinear form. Then Q and B are connected by

$$Q(x + y) = Q(x) + Q(y) - B(x, y).$$

The lattice L is unimodular simply means $B(L, L) = \mathfrak{o}$ and $\det L$ is a unit. The structure of unimodular lattices is well-known and can be found in O'Meara [7]. A vector v is primitive if $v \notin \pi L$. Hence v is primitive if and only if $B(v, L) = \mathfrak{o}$.

PROPOSITION 1. *Let v be a vector in a unimodular lattice L . Then $v \in \pi^k L$ if and only if $B(v, L) \subseteq \pi^k \mathfrak{o}$.*

Proof. The necessity is trivial. Assume $B(v, L) \subseteq \pi^k \mathfrak{o}$ and h is the highest power of π that divides v , that is, $v = \pi^h w$ for some primitive vector w . Hence $B(w, L) = \mathfrak{o}$ and $B(v, L) = B(\pi^h w, L) = \pi^h \mathfrak{o}$ and $h \geq k$.

If $z \in L$ satisfies $\text{ord } Q(z) \leq \text{ord } B(z, L)$, then the map σ_z given by

$$\sigma_z(v) = v - B(v, z)z/Q(z)$$

is an integral isometry known as the reflection of z . The group of integral isometries is denoted by $O(L)$. O'Meara and Pollak [8], [9] have shown that $O(L)$ is generated by reflections except in a few cases when the residue field $\mathfrak{o}/\pi\mathfrak{o}$ contains only two elements, and that in the exceptional cases one extra generator given by an

Eichler transform is needed. If i is a nonzero isotropic vector and z satisfies $B(i, z) = 0$ then an Eichler transform E_z^i is defined by:

$$E_z^i(v) = v + B(v, i)z - B(v, z)i - Q(z)B(v, i)i .$$

We say two vectors x and y are associated, denoted $x \sim y$ if there is a $\phi \in O(L)$ such that $\phi(x) = y$. For each k such that $e \geq k \geq 0$, let

$$L^{(-k)} = \{v \in L: Q(v) \in \pi^{-k}\mathfrak{o}\} .$$

Each $L^{(-k)}$ is invariant under the action of $O(L)$ and

$$L = L^{(-e)} \supseteq \dots \supseteq L^{(-1)} \supseteq L^{(0)} .$$

DEFINITION. The lattice L is said to have degree k if

$$L = \dots = L^{(-k)} \neq L^{(-k+1)} .$$

The sublattice $L^{(0)}$ is called the even sublattice of L . A degree 0 lattice is simply called an even lattice.

2. The degree invariant.

DEFINITION. Let v be a primitive vector. The degree of v , $m(v)$, is given by $m(v) = \text{ord } B(v, L^{(0)})$.

If d is the degree of L , then clearly $\pi^{[d/2]}L \subseteq L^{(0)}$, where $[d/2]$ denotes the smallest integer greater than $d/2$. Consequently, $m(v) \leq [d/2]$.

Furthermore, if v is a primitive vector with degree m and w is another primitive vector with $w - v \in \pi^m L$, then the degree of w is also m . For we have $w = v + \pi^m z$ and

$$\begin{aligned} B(w, L^{(0)}) &= B(v + \pi^m z, L^{(0)}) \\ &= B(v, L^{(0)}) + \pi^m B(z, L^{(0)}) \\ &= \pi^m \mathfrak{o} . \end{aligned}$$

3. Witt's theorem.

THEOREM. Let x and y be primitive vectors such that $Q(x) = Q(y)$. Then x is associated to y if and only if $m(x) = m(y) = m$ and $y - x \in \pi^m L$.

We remark that the condition $y - x \in \pi^m L$ expresses how close the vectors x and y must be. With the upperbounds calculated for m , this condition becomes quite appealing. Before proving the

theorem we first set up an invariant which has its own importance.

DEFINITION. If a is an element of K , the quotient field of \mathfrak{o} , let

$$S_a = \{u \in L: Q(u) \equiv a \pmod{\mathfrak{o}}\}.$$

DEFINITION. If v is a primitive vector of degree m , let

$$S_a(v) = B(v, u) \pmod{\pi^m \mathfrak{o}}$$

where u is a vector in S_a .

Since $Q(\phi(u)) = Q(u)$ for any isometry ϕ , it is clear that S_a is invariant under $O(L)$. To show that $S_a(v)$ is well-defined, let u, u' be vectors in S_a . Then $Q(u) \equiv Q(u') \equiv a \pmod{\mathfrak{o}}$, and

$$\begin{aligned} Q(u - u') &= Q(u) - Q(u') - B(u', u - u') \\ &\equiv 0 \pmod{\mathfrak{o}}. \end{aligned}$$

Hence $u - u' \in L^{(0)}$ and

$$\begin{aligned} B(v, u) - B(v, u') &= B(v, u - u') \\ &\equiv 0 \pmod{\pi^m \mathfrak{o}}. \end{aligned}$$

Since S_a is invariant under $O(L)$, we immediately obtain that if x and y are associated, then $S_a(x) = S_a(y)$.

Proof of theorem. Let x and y be associated vectors. Clearly $m(x) = m(y)$. For each nonempty S_a , we have

$$S_a(x) \equiv S_a(y) \pmod{\pi^m \mathfrak{o}}.$$

Therefore,

$$B(y - x, u) \equiv 0 \pmod{\pi^m \mathfrak{o}}$$

for any $u \in S_a$. Since the collection $\{S_a\}$ partitions L , this means

$$B(y - x, u) \equiv 0 \pmod{\pi^m \mathfrak{o}}$$

for all $u \in L$. Proposition 1 shows that $y - x \in \pi^m L$.

It is convenient to collect that following two results.

PROPOSITION 2. Let x and y be primitive vectors such that $Q(x) = Q(y)$ and $m(x) = m(y) = 0$. Then $x \sim y$.

Proof. This is a direct application of Kneser's theorem [6].

PROPOSITION 3. *Let x and y be primitive vectors such that $Q(x) = Q(y)$ and $m(x) = m(y) = m$. Then $x \sim y$ provided one of the following holds:*

- (i) $y - x \in \pi^m L$ and $\text{ord } Q(y - x) = 2m$;
- (ii) $y - x \in \pi^m L$ and there is a vector $u \in L^{(0)}$ with $\text{ord } Q(u) = 0$ and $\text{ord } B(x, u) = \text{ord } B(y, u) = m$;
- (iii) $y - x \in \pi^{m+1} L$ and there is a vector $u \in L^{(-1)} - L^{(0)}$ with $\text{ord } B(x, u) = \text{ord } B(y, u) = m$.

Proof. Let $z = \pi^{-m}(y - x)$.

(i) Since $Q(z)$ is a unit, the reflection σ_z is integral and sends x to y .

(ii) We may assume $\text{ord } Q(z) > 0$. Let

$$z' = z + B(x, u)u/\pi^m Q(u).$$

Then it is easily shown that $Q(z')$ is a unit. Hence $\sigma_{z'}$ and σ_u are integral reflections and $\sigma_{z'}\sigma_u(x) = y$.

(iii) Again assume $\text{ord } Q(z) > 0$. Let

$$z' = z + B(x, u)u/\pi^{m+1}Q(u).$$

Then $\text{ord } Q(z') = -1$. Hence $\sigma_{z'}$ is integral and $\sigma_{z'}\sigma_u(x) = y$.

Proof of theorem (continued). Let x and y be primitive vectors satisfying the conditions $Q(x) = Q(y)$, $m(x) = m(y) = m$ and $y - x \in \pi^m L$.

If $m = 0$, Proposition 2 settles the problem. Let $m \geq 1$. We may further assume that $\text{ord } Q(y - x) > 2m$, otherwise Proposition 3 (ii) already provides the necessary isometry. We proceed with the proof in a series of lemmas.

LEMMA 1. *If $B(x, L^{(-1)}) = B(y, L^{(-1)}) = \pi^{m-1}\mathfrak{o}$, then $x \sim y$.*

Proof. Since $B(x, L^{(0)}) = B(y, L^{(0)}) = \pi^m \mathfrak{o}$, we know that $L^{(-1)} - L^{(0)}$ is a nonempty set. Choose v and w from this set so that $\text{ord } B(x, v) = \text{ord } B(y, w) = m - 1$. Then one of the vectors $v, w, v + w$, which we denote by u , will satisfy $\text{ord } B(x, u) = \text{ord } B(y, u) = m - 1$. This vector u also lies in $L^{(-1)} - L^{(0)}$. Let $z = \pi^{-m}(y - x)$ and $z' = z + B(x, u)u/\pi^{m-1}Q(u)$. Then $\sigma_{z'}$ is an integral isometry and $\sigma_{z'}\sigma_u(x) = y$.

LEMMA 2. *If $B(x, L^{(-2)}) = B(y, L^{(-2)}) = \pi^{m-1}\mathfrak{o}$ and $B(x, L^{(-1)}) = B(y, L^{(-1)}) = \pi^m \mathfrak{o}$, then $x \sim y$.*

Proof. As in Lemma 1, we can choose a vector z from $L^{(-2)} -$

$L^{(-1)}$ such that $\text{ord } B(x, z) = \text{ord } B(y, z) = m - 1$. Then Proposition 3 (ii) can be applied with $u = \pi z$.

LEMMA 3. Assume $B(x, L^{(-2)}) = B(y, L^{(-2)}) = \pi^m \mathfrak{v}$. If there is a vector $z \in L^{(-2)} - L^{(-1)}$, then $x \sim y$.

Proof. There are vectors v and w in $L^{(0)}$ such that $\text{ord } B(x, v) = \text{ord } B(y, w) = m$. One of the three vectors $v, w, v + w$, which will be denoted by u , must satisfy $\text{ord } B(x, u) = \text{ord } B(y, u) = m$. If $\text{ord } Q(u) = 0$, Proposition 3 (ii) can be used. Otherwise let $u' = u + \pi z$. Then $\text{ord } Q(u') = 0$ and $\text{ord } B(x, u') = \text{ord } B(y, u') = m$. Hence Proposition 3 (ii) can again be used.

From here on we may assume that $B(x, L^{(-2)}) = B(y, L^{(-2)}) = \pi^m \mathfrak{v}$, and that there are no vectors u in L with $\text{ord } Q(u) = -2$. This further means that there are no vectors u in L with $Q(u)$ having negative even orders. Hence the degree of L equals $-2h + 1$ for some positive integer h . By an earlier remark and Proposition 2, we may assume that $h > m > 1$.

LEMMA 4. Under the above assumptions, the lattice L has one of the following decompositions:

- (i) $L = \mathfrak{v}v \perp M$ if L is odd-dimensional,
- (ii) $L = (\mathfrak{v}v \oplus \mathfrak{v}w) \perp M$ if L is even-dimensional,

where $\text{ord } Q(v) = -2h + 1$, $\text{ord } Q(w) \geq 1$, $B(v, w) = 1$ and M is an even sublattice.

Proof. (i) We can write $L = \mathfrak{v}v_1 \perp M_1$, where $\text{ord } Q(v_1) = -2h + 1$. If M_1 is not even, then M_1 contains vectors u with $\text{ord } Q(u)$ being some negative odd integer. By adding appropriate vectors av_1 , $a \in \mathfrak{v}$, to these vectors, we can form a new decomposition $L = \mathfrak{v}v_2 \perp M_2$ with the degree of M_2 less than the degree of M_1 . By induction we can obtain the desired decomposition.

(ii) Starting with a decomposition $L = (\mathfrak{v}v_1 \oplus \mathfrak{v}w_1) \perp M_1$, we can use v_1 to change M_1 until $L = (\mathfrak{v}v_2 \oplus \mathfrak{v}w_2) \perp M$, where M is even. Finally, since $\text{ord } Q(w_2)$ is odd or greater than 0, we can use v_2 to change w_2 to obtain the desired decomposition.

LEMMA 5. Let L be odd-dimensional. Then there exists an isometry ϕ such that $\phi(x) - y \in \pi^{m+1}L$. Hence $x \sim y$.

Proof. Let $L = \mathfrak{v}v \perp M$ be given by Lemma 4. Write

$$x = av + \pi^m z, \quad y = bv + \pi^m z'$$

where z, z' are primitive vectors of M . Since

$$Q(x) - Q(y) = (a^2 - b^2)Q(v) + \pi^{2m}(Q(z) - Q(z')) = 0,$$

we have

$$(a^2 - b^2)Q(v) \equiv 0 \pmod{\pi^{2m}\mathfrak{o}}$$

so that

$$a^2 - b^2 \equiv 0 \pmod{\pi^{2m+2h-1}\mathfrak{o}},$$

and

$$a - b \equiv 0 \pmod{\pi^{m+h}\mathfrak{o}}.$$

Hence $\pi^{2m}(Q(z) - Q(z')) \equiv 0 \pmod{\pi^{2m+1}\mathfrak{o}}$ and

$$Q(z) - Q(z') \equiv 0 \pmod{\pi\mathfrak{o}}.$$

There exists a vector $w \in M$ with $B(w, z') = 1$. Let $u = z' + c\pi w$, so that $Q(u) = Q(z') + c\pi + c^2\pi^2Q(w)$. The equation

$$Q(z') + c\pi + c^2\pi^2Q(w) = Q(z)$$

can be solved for c by Hensel's lemma. Since $Q(u) = Q(z)$, $m(u) = m(z) = 0$, by Proposition 2 there is an isometry ϕ in $O(M)$ such that $\phi(z) = u$. Now $z - u \in \pi^{m+1}L$. By Proposition 3 (iii), $\phi(x) \sim y$ and so $x \sim y$.

LEMMA 6. *Let L be even-dimensional. Then $x \sim y$.*

Proof. Let $L = (\mathfrak{o}v \oplus \mathfrak{o}w) \perp M$ be given by Lemma 4. Write

$$\begin{aligned} x &= \pi^m av + w + \pi^m z \\ y &= \pi^m bv + \varepsilon w + \pi^m z', \end{aligned}$$

where z and z' are in M and ε is a unit. Then

$$\begin{aligned} 0 = Q(x) - Q(y) &= \pi^{2m}(a^2 - b^2)Q(v) + \pi^m(a - b\varepsilon) \\ &\quad + (1 - \varepsilon^2)Q(w) + \pi^{2m}(Q(z) - Q(z')). \end{aligned}$$

Using an argument similar to that used in Lemma 5, we show that $a - b \in \pi^h\mathfrak{o}$ and $1 - \varepsilon \in \pi^m\mathfrak{o}$. Hence for some $c \in \mathfrak{o}$,

$$\begin{aligned} \pi^m(a - b\varepsilon) &= \pi^m(a - (a + \pi^h c)\varepsilon) \\ &\equiv \pi^m a(1 - \varepsilon) \pmod{\pi^{2m+1}\mathfrak{o}}. \end{aligned}$$

If $\text{ord}(1 - \varepsilon) \geq m + 1$, then $\pi^m a(1 - \varepsilon) \equiv 0 \pmod{\pi^{2m+1}\mathfrak{o}}$. Hence $Q(x) - Q(z') = 0 \pmod{\pi\mathfrak{o}}$ and there is an isometry $\phi \in O(M)$ such that $\phi(z) - z \in \pi M$. Hence $\phi(x) - y \in \pi^{m+1}L$ and $\phi(x) \sim y$ by Proposition 3 (iii).

If $\text{ord}(1 - \varepsilon) = m$ we note that a and b must be simultaneously units or nonunits.

(1) Both a and b are units. Then $\text{ord } \pi^m(a - b\varepsilon) = 2m$. Hence $\text{ord } (Q(z) - Q(z')) = 0$, and at least one of $Q(z)$, $Q(z')$ is a unit. Without loss of generality, let $Q(z)$ be a unit. If $\text{ord } B(z, z') \geq 1$, then the vector $u = z + w$ fulfills the hypothesis of Proposition 3 (ii), hence $x \sim y$. Now let $\text{ord } B(z, z') = 0$.

(i) $\text{ord } Q(z') \geq 1$. There exists a vector $z'' = z + \zeta z'$ such that ζ is a unit and $Q(z'') = Q(z')$. For this z'' , we have $B(z'', M) = B(z', M) = 0$. Hence there is an isometry $\phi \in O(M)$ with $\phi(z') = z''$. Proposition 3 (ii) can now be used on $\phi(x)$ and y , with $u = z$.

(ii) $\text{ord } Q(z) = \text{ord } Q(z') = 0$. Since $Q(z) - Q(z')$ is not zero, the residue field $\mathfrak{o}/\pi\mathfrak{o}$ must possess more than two elements. And since $\text{ord } B(x, w + \zeta z) = 0$ for all units ζ , we can choose a unit ζ such that $\text{ord } B(y, w + \zeta z) = 0$ as well. Now Proposition 3 (ii) can be used with $u = w + \zeta z$.

(2) Both a and b are nonunits. Then $\text{ord } a(1 - \varepsilon) \geq 2m + 1$. Here $Q(z) - Q(z') \equiv 0 \pmod{\pi\mathfrak{o}}$. Hence there is an isometry $\phi \in O(M)$ such that $\phi(z) \equiv z' \pmod{\pi M}$. Now we can rewrite

$$\begin{aligned} x &= \pi^{m+1}a'v + w + \pi^m z \\ y &= \pi^{m+1}b'v + \varepsilon w + \pi^m z + \pi^{m+1}\bar{z}, \end{aligned}$$

where $\bar{z} \in M$. Since x and y are primitive, z must also be primitive. Hence there exists a primitive vector $z'' \in M$ which decomposes M as:

$$M = (\mathfrak{o}z \oplus \mathfrak{o}z'') \perp M'.$$

If $\text{ord } Q(z) \geq 1$, we may choose z'' so that $\text{ord } Q(z'') = 0$. Hence the hypothesis of Proposition 3 (ii) is satisfied with $u = z'' + w$. Assume now $\text{ord } Q(z) = 0$. If we can choose a vector z'' with $\text{ord } Q(z'') = 0$, we are again done. Otherwise we can choose a vector z'' with $Q(z'') = 0$. Let $\gamma = (\varepsilon - 1)/\pi^m$. Consider the Eichler transform $E_{\gamma w}^{z''}$ on x :

$$E_{\gamma w}^{z''}(x) = x + B(x, z'')\gamma w - B(x, \gamma w)z'' - Q(\gamma w)B(x, z'')z''.$$

An easy calculation shows that

$$x - E_{\gamma w}^{z''}(x) \equiv \varepsilon w \pmod{\pi^{m+1}L}.$$

Hence

$$y - E_{\gamma w}^{z''}(x) \equiv 0 \pmod{\pi^{m+1}L}.$$

Proposition 3 (iii) can be applied to y and $E_{\gamma w}^{z''}(x)$, with $u = \pi^h v$.

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