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**SUPERHARMONIC INTERPOLATION IN SUBSPACES OF  $C_c(X)$**

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## SUPERHARMONIC INTERPOLATION IN SUBSPACES OF $C_c(X)$

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**Let  $E$  be a closed subset of the compact Hausdorff  $X$  and let  $A$  be a closed separating subspace of  $C_c(X)$ . Let  $\rho$  be a dominator (strictly positive, l.s.c.) defined on  $X \times T$ ,  $T$  the unit circle in  $C$ . Conditions, formulated in terms of boundary measures, are discussed for approximate and exact solutions to the problem of finding  $\rho$ -dominated extensions in  $A$  of functions  $g \in (A|_E)^\perp$  satisfying  $\operatorname{re} tg(x) \leq \rho(x, t)$  on  $E \times T$ . Various interpolation theorems of Rudin-Carleson type for superharmonic dominators are incorporated into this framework.**

We do not assume that  $A$  contains the constant functions. We denote  $M(X) = C(X)^*$ , the space of regular Borel measures on  $X$ .

We consider  $N = M(E)$  as situated in  $M(X)$  as the range of the projection  $\pi_1 \mu = \mu|_E$  and denote the complementary projection  $\pi_2 \mu = \mu|_{X \setminus E}$ . Thus  $(A|_E)^\perp$  is identified with the subspace  $A^\perp \cap N$  in  $M(X)$ .

We call  $\mu \in M(X)$  a *boundary measure* if  $|\mu|$  is maximal with respect to the Choquet ordering as a measure of  $X$  (embedded by evaluation) in the  $w^*$  compact unit ball  $A_1^*$ . If  $1 \in A$  then this is the same as  $|\mu|$  being maximal on the state space  $S_A$ , as  $X \subset S_A$ , a  $w^*$  closed face of  $A_1^*$ .

For brevity we denote the boundary measures by  $\partial_A M(X)$ , or  $\partial M(X)$ , if  $A$  is understood, and in general, adopt the convention of writing  $\partial_A S$  for  $S \cap \partial_A M(X)$ . Thus,  $\partial_A A^\perp$  refers to the boundary measures annihilating  $A$ . The space  $A^*$  is the quotient space  $M(X)/A^\perp$  and images under the quotient map are denoted  $\hat{\mu}$  for  $\mu \in M(X)$ . A subset  $S \subset M(X)$  is called  *$A$ -stable* if  $\hat{S} = (\partial_A S)^\wedge$ .

We call  $E$  an *interpolation set* if  $A|_E$  is closed in  $C(E)$ . Gamelin [8] shows that  $E$  is an interpolation set if and only if there is a  $k$ ;  $0 \leq k < \infty$ , such that for each  $m \in A^\perp$ ,

$$(1) \quad \|\pi_1 m + A^\perp \cap N\| \leq k \|\pi_2 m\|.$$

The best value of  $k$  is called the *extension constant*,  $e(A, E)$ .

In [10] Roth introduces a general framework for interpolation problems by means of a *dominator*,  $\rho$ , defined as a strictly positive l.s.c. extended real-valued function on  $X \times T$  ( $T$  the unit circle in  $C$ ). We let

$$U = \{f \in C(X) : \operatorname{re} tf(x)/\rho(x, t) \leq 1 \text{ for all } (x, t) \in X \times T\}$$

and write

$$\|f\|_\rho = \sup\{\operatorname{re} tf(x)/\rho(x, t) : (x, t) \in X \times T\}$$

for the Minkowski functional of  $U$ . Thus  $\|f\|_\rho \leq 1$  if and only if  $\operatorname{re} tf(x) \leq \rho(x, t)$ ,  $(x, t) \in X \times T$ . Then  $\|\mu\|_\rho$ ,  $\mu \in M(X)$ , refers to the polar functional given by

$$\|\mu\|_\rho = \sup\{\operatorname{re}(f, \mu) : f \in U\}.$$

Since  $\rho$  is *l.s.c* and positive there is a constant  $c$  such that  $\|f\|_\rho \leq c\|f\|$  (the uniform norm corresponding to  $\rho \equiv 1$ ) and if  $\rho$  is bounded above the two are equivalent.

We say  $E$  is an *approximate  $\rho$ -interpolation set* for  $A$  if  $E$  is an interpolation set and for each  $g \in (A|_E)^-$  and  $\varepsilon > 0$  there is an  $f \in A$  such that  $f|_E = g$  and  $\|f\|_\rho < \|g\|_\rho + \varepsilon$ . We say  $E$  is an *exact  $\rho$ -interpolation set* if  $f$  can be chosen with  $\|f\|_\rho = \|g\|_\rho$ . It is shown in [5] that for bounded  $\rho$ ,  $E$  is an approximate  $\rho$ -interpolation set for  $A$  if and only if for each  $m \in A^\perp$ ,

$$(2) \quad \|\pi_1 m + A^\perp \cap N\|_\rho \leq \|-\pi_2 m\|_\rho.$$

If, in addition, the image  $\hat{U}$  of  $U^0$  under the quotient map is *decomposable* by  $\hat{N}$  then  $E$  is an exact  $\rho$ -interpolation set. If there is an  $s$ ,  $0 \leq s < 1$ , such that for each  $m \in A^\perp$ ,

$$(3) \quad \|\pi_1 m + A^\perp \cap N\|_\rho \leq s\|-\pi_2 m\|_\rho$$

then the above holds and  $E$  is  $\rho$ -exact for  $A$ . Gamelin's results [8] can be phrased as follows: Let  $G$  be a compact set in  $X \setminus E$  and let

$$\rho(G, k)(x, t) = \begin{cases} 1 & \text{for } (x, t) \in E \times T \\ k & \text{for } (x, t) \in G \times T \\ 1 \vee k & \text{otherwise.} \end{cases}$$

Then  $E$  is an approximate  $\rho(G, k)$ -interpolation set for all such  $G$  if and only if (1) holds and if, in addition,  $e(A, E) < 1$  then  $E$  is an exact  $\rho$ -interpolation set for any continuous  $T$ -invariant  $\rho$  such that  $\rho > e(A, E)$  on  $X \times T$ . This was obtained in abstract form using polar techniques by Ando [3].

In [6] Briem shows that if  $E$  is a subset of the Choquet boundary,  $\partial_A X$ , then  $E$  is an interpolation set if and only if (1) holds only for  $m \in \partial_A A^\perp$ . Further, if  $X$  is metrizable then (1) holds for  $\partial_A A^\perp$  if and only if  $E$  is an approximate  $\rho(G, k)$ -interpolation set for each compact  $G \subset \partial_A X \setminus E$ . The  $A$ -stability of the unit ball  $M_1(X)$  (Hustad's theorem [9]) and of  $N = M(E)$  (since  $E \subset \partial_A X$ ) are

essential here. If (1) holds for  $\tilde{e}(A, E) < 1$  (again,  $\tilde{e}$  is the smallest  $k$  such that (1) holds for all  $m \in \partial A^\perp$ ) then  $E$  is  $\rho(G, k)$  exact for any  $G \subset \partial_A X \setminus E$  and  $k > \tilde{e}$ .

If (1) holds for all  $m \in \partial_A A^\perp$  with  $k = 0$  this can be expressed as

$$(4) \quad m \in \partial_A A^\perp \text{ implies } \pi_1 m \in A.$$

The set  $E$  is called an  $M$ -set if  $M(E)$  is  $A$ -stable and (4) holds. Roth [10] shows that if  $E$  is an  $M$ -set and  $\rho$  is a bounded  $A$ -superharmonic (if  $1 \in A$  this means  $\rho(x, t) \geq \int \rho(\cdot, t) d\mu$  for any  $\mu \in M_1^+(X)$  and  $\hat{\mu} = x \in X \subset A_1^*$ ) dominator then  $E$  is an exact  $\rho$ -interpolation set for  $A$ . This generalizes the Alfsen-Hirsberg theorem [2] which deals with  $T$ -invariant  $\rho$  and  $E \subset \partial_A X$ .

In this note we consolidate these results by showing that for  $E$  an interpolation set with  $M(E)$   $A$ -stable and  $\rho$   $A$ -superharmonic then  $E$  is an approximate  $\rho$ -interpolation set if and only if (2) holds for  $m \in \partial_A A^\perp$ . If in addition  $\hat{U}$  is decomposable by  $\hat{N}$  in  $A^*$  then the interpolation is exact. This is the case if  $\rho$  is bounded and (3) holds for  $m \in \partial_A A^\perp$ . (If  $\rho$  is bounded and (2) or (3) holds then  $E$  is already an interpolation set.) We give a measure theoretic condition for the decomposability of  $\hat{U}$  and show by means of simple examples of  $A(K)$  spaces that exactness of interpolation can be deduced in this way even though equality holds in (2) which, of course, precludes the use of (3).

**1. Hustad-Roth stability theorems.** Let  $A$  be a closed separating subspace of  $C(X)$ . Define  $\Phi: C(X) \rightarrow C(X \times T)$  by  $\Phi f(x, t) = tf(x)$ . By separating we shall mean that the range of  $\Phi|_A$  separates the points of  $X \times T$ . This assumption can be avoided, as is shown in Fuhr-Phelps [7], but at the expense of additional technicalities. If  $\nu \in M(X \times T)$  then the Hustad map is given by

$$\mu = \Phi^* \nu \in M(X); \mu(f) = \int_{X \times T} tf(x) d\nu(x, t).$$

If  $\Phi = \Phi|_A$  has range  $B \subset C(X \times T)$  and  $\nu$  is a maximal probability measure on  $X \times T \subset B_1^*$  representing  $\tilde{L} \in B_1^*$  then Hustad's theorem says  $\mu = \Phi^* \nu$  belongs to  $\partial_A M(X)_1$  with  $\hat{\mu} = L = \phi^* \tilde{L}$ . We combine this with the following observations concerning  $T$ -invariant  $A$ -superharmonic dominators to obtain a general stability theorem due to Roth [11].

Thus let  $\rho$  be a strictly positive *l.s.c.* extended real-valued function on  $X$  such that for each  $x \in X$  and  $\mu \in M_1^+(X)$  with  $\hat{\mu} = x \in A^*$ , we have  $\rho(x) \geq \int_X \rho d\mu$ , that is,  $\rho$  is  $A$ -superharmonic. If  $U = \{f \in C(X): \text{ref}/\rho \leq 1\}$  then  $U^0$  is a  $w^*$  compact convex subset of the

positive cone  $M^+(X)$ , and we let  $\hat{U}$  be the quotient image in  $A^*$ . Take  $\bar{R}^+$  to be the one-point-compactification of  $R^+$  and

$$\begin{aligned} X_0 &= \{(x, s) \in X \times \bar{R}^+ : \rho(x) \leq s \leq +\infty\}, \\ Y_0 &= \{(x, \rho(x)) \in X_0 : \rho(x) < \infty\}, \\ Y_\infty &= \{(x, \rho(x)) \in X_0 : \rho(x) = +\infty\}. \end{aligned}$$

Since  $\rho$  is l.s.c.,  $Y_0 \cup Y_\infty$  and  $Y_\infty$  are both  $G_\delta$  subsets of  $X_0$  so that  $Y_0$  is a Borel set. Define

$$\psi: C(X) \longrightarrow C(X_0); \psi f(x, s) = f(x)/s,$$

and let  $\theta = \psi|_A$  with (not necessarily closed) range  $B \subset C(X_0)$ . Since  $\rho$  is strictly positive  $\psi$  is bounded and  $\theta^*$  is one-to-one from  $B^*$  into  $A^*$ . Let

$$\phi_0: X_0 \longrightarrow B_1^*$$

be the evaluation map and let  $\hat{V} = w^* - \overline{co}\phi_0(X_0)$ .

**PROPOSITION 1.1.** *Let  $\rho$  be a  $T$ -invariant  $A$ -superharmonic dominator on  $X$  as above.*

(1)  $\phi_0$  is one-to-one on  $X_0 \setminus (X \times \{\infty\})$ ,  $X \times \{\infty\} = \phi_0^{-1}(0)$ , and  $\theta^*\hat{V} = \hat{U}$ .

(2) If  $\nu$  is a maximal probability measure on  $\hat{V}$  then  $\nu[\phi_0(Y_0) \cup \{0\}] = 1$  and  $\nu$  may be identified with the measure on  $Y_0$  given by  $\nu \circ \phi_0$ .

(3) If  $\nu$  is as in (2) and  $\mu = \psi^*\nu$  then for any bounded Borel function  $h$  on  $X$

$$\int_X h d\mu = \int_{Y_0} (h(x)/\rho(x)) d\nu(x, \rho(x)).$$

In particular,  $\mu \in U^0$ .

(4) Let  $\mu_0 \in M_1^+(X)$  with  $\hat{\mu}_0 = x_0 \in X \subset A_1^*$  and define  $\tilde{\mu}_0 \in M(X_0)$  by

$$\tilde{\mu}_0(F) = (1/\rho(x_0)) \int_X F(x, \rho(x)) \rho(x) d\mu_0(x).$$

Then for any bounded Borel function  $h$  on  $X$

$$\int_{X_0} (h(x)/s) d\tilde{\mu}_0(x, s) = (1/\rho(x_0)) \int_X h d\mu_0.$$

In particular  $\tilde{\mu}_0 \geq 0$ ,  $\tilde{\mu}_0(X_0) = \tilde{\mu}_0(Y_0) \leq 1$ , and  $\tilde{\mu}_0$  represents  $(x_0, \rho(x_0)) \in \hat{V}$ .

(5) If  $\nu$  is maximal on  $\hat{V}$  then  $\mu = \psi^*\nu$  is maximal on  $K = \overline{co}X \subset A^*$ .

*Proof.* (1) The separation theorem shows  $\hat{U} = w^*\overline{co}\{x/s: (x, s) \in X_0\}$ . Now

$$\theta^* \circ \phi_0(x, s) = x/s \in A^*$$

so the rest of (1) follows from the fact that  $A$  separates points in  $X$ . For (2) let  $p = 1 - \chi_{\{0\}}$  on  $\hat{V}$  and note that the lower envelope  $\check{\rho}$  is the Minkowski functional of  $\hat{V}$ . Since  $\nu$  is maximal,

$$1 = \nu[\{x: p(x) = \check{\rho}(x)\}] = \nu[\{x: \check{\rho}(x) = 1 \text{ or } 0\}] .$$

Now  $\lambda \geq 1$  implies  $\phi_0(x, \lambda s) = (1/\lambda)\phi_0(x, s)$ , so that

$$\nu[\phi_0(Y_0) \cup \{0\}] = 1 .$$

If  $f \in C(X)$  then  $\psi^*\nu(f) = \int_{x_0} (f(x)/s) d\nu(x, s) = \int_{x_0} (f(x)/\rho(x)) d\nu(x, \rho(x))$  and so (3) holds.

(4): If  $F \in C(X_0)$  and  $0 \leq F \leq 1$  then

$$0 \leq \tilde{\mu}_0(F) \leq (1/\rho(x_0)) \int_x \rho d\mu_0 \leq 1 .$$

Thus  $\tilde{\mu}_0 \geq 0$ ,  $\tilde{\mu}_0(X_0) \leq 1$  and  $\mu_0[\{x: \rho(x) = +\infty\}] = 0$ . For  $F = \psi h$ ,

$$\begin{aligned} \tilde{\mu}_0(F) &= \int_{x_0} (h(x)/s) d\tilde{\mu}_0(x, s) \\ &= (1/\rho(x_0)) \int_x h d\mu_0 . \end{aligned}$$

(5): Let  $f$  be a continuous convex function of  $K$  and denote the upper envelope of  $f$  by  $\hat{f}(K)$ , where [1, I. 3.6]

$$\hat{f}(K)(x_0) = \sup\{\mu(f): \mu \in M_1^+(X) \text{ and } \hat{\mu} = x_0 \in A^*\} .$$

If  $g = \psi(f|_X)$  then  $g \in C(X_0)$  with  $g \equiv 0$  on  $X \times \{\infty\}$ . If  $\tilde{\mu}_0 = x_0$  and  $\tilde{\mu}_0$  is as in (4) then  $\tilde{\mu}_0$  represents  $(x_0, \rho(x_0)) \in \hat{V}$  and the upper envelope,  $\hat{g}(\hat{V})$ , satisfies

$$\hat{g}(\hat{V})(x_0, \rho(x_0)) \geq \sup\{\tilde{\mu}_0(g): \hat{\mu}_0 = x_0\} = (1/\rho(x_0))\hat{f}(K)(x_0)$$

by part (4). Thus, using part (3), and [1, I. 4.5],

$$\int_x [\hat{f}(K) - f] d\mu = \int_{x_0} [\hat{f}(K) - f]/\rho d\nu \leq \int_{x_0} [\hat{g}(\hat{V}) - g] d\nu = 0$$

since  $\nu$  is maximal. Hence,  $\mu$  is maximal on  $K$ .

We now consider the case where  $\rho$  is defined on  $X \times T$ . We say such a  $\rho$  is *A-superharmonic* if for each  $(x, t) \in X \times T$  and  $\mu \in M(X \times T)_1^+$  with

$$\int_{X \times T} sf(y) d\mu(y, s) = tf(x) \text{ for all } f \in A$$

we have  $\rho(x, t) \geq \int_{X \times T} \rho d\mu$ .

**THEOREM 1.2 (Hustad-Roth).** *If  $\rho$  is an  $A$ -superharmonic dominator then  $U^0$  is  $A$ -stable.*

*Proof.* Let  $\Phi: C(X) \rightarrow C(X \times T)$ ;  $\Phi f(x, t) = tf(x)$  and let

$$U^1 = \{F \in C(X \times T): reF(x, t)/\rho(x, t) \leq 1\}$$

and  $\phi = \Phi|_A$  with range  $B$ .

Let  $\Psi: C(X \times T) \rightarrow C(X_0)$ ;  $\Psi F(x, t, s) = F(x, t)/s$ , where  $X_0$  is the closed epigraph of  $\rho$  in  $(X \times T) \times \bar{R}^+$ . Now  $\Phi U \subset U^1$  and  $\phi(A \cap U) = B \cap U^1$ . Given  $L \in \hat{U}$ , let  $\tilde{L} \in (U^1)^\wedge \subset B^*$  and  $L' \in \hat{V}$  (as in Proposition 1.1) with  $\theta^* L' = \tilde{L}$  and  $\phi^* \tilde{L} = L$ . We have  $B_1^* = w^*\overline{co}(X \times T)$  and the hypothesis says  $\rho$  on  $X \times T$  is  $B$ -superharmonic. Hence the results of Proposition 1.1 apply. Thus if  $\nu'$  is maximal on  $\hat{V}$  representing  $L'$  then 1.1 (3) and (5) show  $\nu = \Psi^* \nu'$  is maximal on  $B_1^*$  representing  $\tilde{L} \in (U^1)^\wedge$ . Then  $\mu = \phi^* \nu \in U^0$  and  $\hat{\mu} = L \in \hat{U}$ . Furthermore, Hustad's theorem shows  $\mu$  is a boundary measure.

If  $1 \in A$  then the condition for  $A$ -superharmonicity is somewhat simpler.

**PROPOSITION 1.3.** *If  $1 \in A$  then  $\rho$  is  $A$ -superharmonic if and only if for each  $\mu \in M_1^+(X)$  with  $\hat{\mu} = x$ ,*

$$\rho(x, t) \geq \int_X \rho(\cdot, t) d\mu.$$

*Proof.* If  $\rho$  is  $A$ -superharmonic and  $\mu \in M_1^+(X)$  with  $\hat{\mu} = x$  we can embed  $X$  as  $X \times \{t\} \subset X \times T$  so that the measure  $\mu$  satisfies

$$\int_{X \times T} sf(y) d\mu = tf(x)$$

and hence

$$\rho(x, t) \geq \int_{X \times \{t\}} \rho(x, t) d\mu = \int_X \rho(\cdot, t) d\mu.$$

Conversely, if  $\mu \in M_1^+(X \times T)$  and represents  $tx$  then, since  $1 \in A$ , we have  $\overline{tco}X = tS_A(S_A$  the state space of  $A$ ) is a face of  $A_1^*$ . Hence  $\text{supp } \mu \subset X \times \{t\}$  and the measure  $\mu_1(f) = \int_{X \times T} f(x) d\mu$  represents  $x$  so that

$$\rho(x, t) \geq \int_X \rho(\cdot, t) d\mu_1 = \int_{X \times T} \rho d\mu.$$

2. **Dominated interpolation.** If  $E$  is a compact subset of  $X$  we let

$$M = \{f \in C(X): f|_E = 0\}$$

and denote  $M \cap A$  by  $E^\perp$ . It is well known that  $E$  is an interpolation set for  $A$  if and only if  $A + M$  is closed in  $C(X)$  and this in turn is equivalent to  $\hat{N}$  being  $w^*$  (or norm) closed in  $A^*$ . The following characterization of approximate  $\rho$ -interpolation sets follows from results in [5; 4.2]. We denote  $N = M(E) \subset M(X)$ .

**THEOREM 2.1.** *Let  $\rho$  be a (strictly positive l.s.c) dominator on  $X$  such that either  $\rho$  is bounded or  $E$  is an interpolation set. The following are equivalent:*

- (i)  $E$  is an approximate  $\rho$ -interpolation set for  $A$ ,
- (ii)  $A + M$  is closed in  $C(X)$  and

$$(A + M) \cap (U + M) = (A \cap U + M)^-,$$

- (iii)  $\hat{U} \cap \hat{N} = (U^0 \cap N)^\wedge$ ,
- (iv)  $\|\mu + A^\perp \cap N\|_\rho = \|\mu + A^\perp\|_\rho$  for all  $\mu \in N$ ,
- (v)  $\|\pi_1 m + A^\perp \cap N\|_\rho \leq \|-\pi_2 m\|_\rho$  for all  $m \in A^\perp$ .

For  $x \in A^*$  we write  $\|x\|_\rho$  for the Minkowski functional of  $\hat{U}$  so that if  $\hat{\mu} = x$

$$\|x\|_\rho = \|\mu + A^\perp\|_\rho.$$

The set  $U^0$  is *split*, that is,  $\|\mu\|_\rho = \|\pi_1 \mu\|_\rho + \|\pi_2 \mu\|_\rho$  [10, 5].

**PROPOSITION 2.2.** *Let  $N$  and  $U^0$  be  $A$ -stable sets in  $M(X)$ . Then for  $\mu \in \partial_A M(X)$ ,*

- (1)  $\|\mu + A^\perp\|_\rho = \|\mu + \partial A^\perp\|_\rho = \|\hat{\mu}\|_\rho$ ,
- (2)  $\|\mu + N + A^\perp\|_\rho = \|\pi_2 \mu + \pi_2 \partial A^\perp\|_\rho$  ( $\pi_2 \mu = \mu|_{X \setminus E}$ ),
- (3) If  $\|\mu\|_\rho = \|\hat{\mu}\|_\rho$  then

$$\|\pi_i \mu\|_\rho = \|(\pi_i \mu)^\wedge\|_\rho \quad (i = 1, 2).$$

*Proof.* If  $\mu \in \partial M(X)$  and  $\|\hat{\mu}\|_\rho \leq r$  then  $\mu = r\nu + m$  with  $\nu \in U^0$  and  $m \in A^\perp$ . The stability of  $U^0$  shows we can assume  $\nu \in \partial U^0$ , so that  $m \in \partial A^\perp$ . Then (1) follows. If  $\mu = r\nu + \eta + \zeta$  with  $\nu \in \partial U^0$ ,  $\eta \in N$ ,  $\zeta \in A^\perp$ , then  $\zeta \in \partial A^\perp$  and  $\pi_2 \mu = r\pi_2 \nu + \pi_2 \zeta \in r\pi_2 U^0 + \pi_2 \partial A^\perp$ . Conversely, if  $\pi_2 \mu = r\nu + \pi_2 \zeta$ ,  $\nu \in \partial U^0$ ,  $\zeta \in \partial A^\perp$  then



$$\mu = r\nu + (\pi_1\mu - \pi_1\zeta) + \zeta \in rU^0 + \partial N + \partial A^\perp.$$

For (3), we have

$$\begin{aligned} \|\pi_1\mu\|_\rho &\geq \|(\pi_1\mu)^\wedge\|_\rho = \|\pi_1\mu + A^\perp\|_\rho = \|\mu - \pi_2\mu + A^\perp\|_\rho \\ &\geq \|\mu\|_\rho - \|\pi_2\mu + A^\perp\|_\rho \geq \|\mu\|_\rho - \|\pi_2\mu\|_\rho = \|\pi_1\mu\|_\rho. \end{aligned}$$

Since we do not assume  $1 \in A$ , we take the *Choquet boundary*,  $\partial_A X$ , to be  $X \cap \text{ext} A_1^*$ . There are two main instances where the  $A$ -stability of  $N$  can be deduced.

**PROPOSITION 2.3.** *Let  $E$  be a closed subset of  $X$  such that either*

- (a)  $E \subset \partial_A X$  or
- (b)  $E = F \cap X$ ,  $F$  a  $w^*$  closed face of  $A_1^*$ .

*Then  $N$  is  $A$ -stable.*

*Proof.* In the case (a) each probability measure on  $E$  is maximal and so the result follows since  $\overline{co}E$  spans  $\hat{N}$ . In case (b) each maximal probability measure  $\mu$  with  $\hat{\mu} \in \overline{co}E$  has its support on  $(\text{ext } F)^- \subset E$ .

**THEOREM 2.4.** *Let  $E$  be a closed subset of  $X$  such that either*

- (a)  $E \subset \partial_A X$ , or
- (b)  $E = F \cap X$ ,  $F$  a closed face of  $A_1^*$ .

*Let  $\rho$  be an  $A$ -superharmonic dominator such that either  $\rho$  is bounded or  $E$  is an interpolation set. Then the following are equivalent:*

- (i)  $E$  is an approximate  $\rho$ -interpolation set,
- (ii)  $\|\mu + A^\perp \cap N\|_\rho = \|\mu + \partial A^\perp\|_\rho$  for all  $\mu \in \partial N$ ,
- (iii)  $\|\pi_1 m + A^\perp \cap N\|_\rho \leq \|\pi_2 m\|_\rho$  for all  $m \in \partial A^\perp$ .

*Proof.* The hypotheses imply that  $U^0$  and  $N$  are  $A$ -stable and so 2.2. (1) shows for  $\mu \in \partial M$ ,

$$\|\mu + A^\perp\|_\rho = \|\mu + \partial A^\perp\|_\rho.$$

Thus (i)  $\Rightarrow$  (ii)  $\Leftrightarrow$  (iii) follows from 2.1. If (ii) holds and  $x \in \hat{U} \cap \hat{N}$  then choose  $\mu \in \partial N$  with  $\hat{\mu} = x$  and  $\mu \in U^0 + A^\perp$ . Then

$$\|\mu + A^\perp \cap N\|_\rho = \|\mu + \partial A^\perp\|_\rho = \|\mu + A^\perp\|_\rho \leq 1$$

so that  $\mu = \nu + m$ ;  $\nu \in U^0$ ,  $m \in A^\perp \cap N$ . Hence  $\nu \in N$  and  $\hat{\mu} = x = \hat{\nu} \in (U^0 \cap N)^\wedge$ . Thus 2.1 (iii) holds and hence (i) is shown.

The exactness of  $\rho$ -interpolation is characterized by the sum

$A \cap U + E^\perp$  ( $E^\perp$  the ideal of functions in  $C(X)$  vanishing on  $E$ ) being closed in  $A$ , a condition which is implied by the decomposability of  $\hat{U}$  by  $\hat{N}$  in  $A^*$  [5; Theorem 3.2]. If  $E$  is an interpolation set (so that  $\hat{N}$  if  $w^*$  closed in  $A^*$ ) then  $\hat{U}$  is said to be *decomposable* by  $\hat{N}$  if there is an  $\alpha \geq 1$  such that each  $x \in \hat{U}$  is a convex combination of elements  $y, z$  with  $y \in \hat{U} \cap \hat{N}$ ,  $z \in \hat{U}$  and  $\|z\| \leq \alpha \|z + \hat{N}\|$  (dual uniform norm).

The condition for decomposability, and hence exact interpolation, can be formulated in terms of representing measures in  $M(X)$ . We illustrate this for boundary measures in the case where  $\rho$  is superharmonic.

**THEOREM 2.5.** *Let  $E$  be a closed subset of  $X$  and  $A$  a closed separating subspace such that either*

(a)  $E \subset \partial_A X$ , or

(b)  $E = F \cap X$ ,  $F$  a closed face of  $A_1^*$ ,

and let  $\rho$  be an  $A$ -superharmonic dominator such that either  $\rho$  is bounded or  $E$  is an interpolation set.

If for each  $x \in \hat{U}$  there is a  $\mu \in \partial_A U^0$  with  $\hat{\mu} = x$  and

$$\|\pi_2 \mu + \partial A^\perp\| \leq \alpha \|\pi_2 \mu + \pi_2 \partial A^\perp\|$$

( $\alpha$  a constant independent of  $\mu$ ) then  $E$  is an exact  $\rho$ -interpolation set.)

*Proof.* Given  $x \in \hat{U}$  choose a boundary measure  $\mu$  satisfying  $\hat{\mu} = x$ ,  $\|\hat{\mu}\|_\rho = \|\mu\|_\rho$  and  $\|\pi_2 \mu + \partial A^\perp\| \leq \alpha \|\pi_2 \mu + \pi_2 \partial A^\perp\|$ . Now  $\|\mu\|_\rho = \|\pi_1 \mu\|_\rho + \|\pi_2 \mu\|_\rho$  shows that  $\mu$  is a convex combination of  $\mu_1 \in U^0 \cap N$  and  $\mu_2 \in U^0$ , scalar multiples of  $\pi_1 \mu$ ,  $\pi_2 \mu$  respectively. Thus,  $\|\mu_2 + \partial A^\perp\| \leq \alpha \|\mu_2 + \pi_2 \partial A^\perp\|$  and  $x$  is a convex combination of  $y \in (U^0 \cap N)^\wedge$  and  $z \in \hat{U}$  with (using 2.2 (1) and (2))

$$\begin{aligned} \|z\| &= \|\mu_2 + \partial A^\perp\| \leq \alpha \|\mu_2 + \pi_2 \partial A^\perp\| = \alpha \|\mu + N + A^\perp\| \\ &= \alpha \|z + \hat{N}\|. \end{aligned}$$

This shows that  $(U^0 \cap N)^\wedge = \hat{U} \cap \hat{N}$  and that  $\hat{U}$  is decomposable by  $\hat{N}$ . Therefore  $E$  is an exact  $\rho$ -interpolation set.

If  $E$  is an  $M$ -set then  $\pi_2 \partial A^\perp \subset \partial A^\perp$  so that

$$\|\pi_2 \mu + \pi_2 \partial A^\perp\| \geq \|\pi_2 \mu + \partial A^\perp\|$$

and the condition of 2.5 is automatically satisfied (for  $A$ -stable  $U^0$ ). More generally, if  $U^0$  and  $N$  are  $A$ -stable and, for some  $s < 1$

$$\|\pi_1 m + A^\perp \cap N\|_\rho \leq s \|\pi_2 m\|_\rho \text{ for all } m \in \partial A^\perp$$

then a computation based on [5; 4.8] shows the condition of Theorem 2.5 holds, so that  $E$  is an exact  $\rho$ -interpolation set.

**COROLLARY 2.6.** *If  $E$  is an  $M$ -set for the closed separating subspace  $A \subset C(X)$  then  $E$  is an exact  $\rho$ -interpolation set for  $A$  for any  $A$ -superharmonic dominator  $\rho$ .*

*Proof.* If  $E$  is an  $M$ -set then  $\hat{N}$  is the range of a projection in  $A^*$  so that  $E$  is an interpolation set for  $A$ . The conclusion then follows from 2.5.

**3. Examples.** We illustrate the results of §2 with various choices of  $\rho$ . First, let  $X$  be a compact metric space with  $E$  a closed subset and  $M(E)$   $A$ -stable for the closed separating subspace  $A \subset C(X)$ . Let  $G$  be the collection of compact subsets  $G \subset \partial_A X \setminus E$  and let  $\rho = \rho(G, k)$  be the dominator mentioned in the introduction. Then (for  $k < \infty$ )

$$(1) \quad \|\pi_1 m + A^\perp \cap N\| \leq k \|\pi_2 m\| \text{ for all } m \in \partial A^\perp$$

if and only if  $E$  is an approximate  $\rho(G, k)$ -interpolation set for all  $G \in \mathcal{G}$ . To see this we note that since  $G \subset \partial_A X$ ,  $U^0$  is  $A$ -stable so that the second property holds if and only if

$$(2) \quad \|\pi_1 m + A^\perp \cap N\|_\rho \leq \|\pi_2 m\|_\rho \text{ for all } m \in \partial A^\perp, G \in \mathcal{G}.$$

It follows easily from [5; 4.1] that if  $Y = X \setminus (E \cap G)$  then

$$\|\mu\|_\rho = \|\mu|_E\| + k \|\mu|_G\| + (1 \vee k) \|\mu|_Y\|$$

so that

$$\|\pi_1 m + A^\perp \cap N\| = \|\pi_1 m + A^\perp \cap N\|_\rho$$

and, since for boundary measures  $\mu$ , the metrizability of  $X$  gives

$$|\mu|(X \setminus E) = |\mu|(\partial_A X \setminus E) = \sup\{|\mu|(G) : G \in \mathcal{G}\},$$

we have

$$k \|\pi_2 m\| = \sup\{\|\pi_2 m\|_\rho : \rho = \rho(G, k), G \in \mathcal{G}\}.$$

The equivalence of (1) and (2) is now immediate. If (1) holds for  $k_0 < 1$  and  $k_0 < k \leq 1$  then for  $\rho = \rho(G, k)$

$$\begin{aligned} \|\pi_1 m + A^\perp \cap N\|_\rho &= \|\pi_1 m + A^\perp \cap N\| \leq k_0(\|m|_G\| + \|m|_Y\|) \\ &\leq (k_0/k)(k\|m|_G\| + \|m|_Y\|) = (k_0/k)\|\pi_2 m\|_\rho \end{aligned}$$

so that  $E$  is an exact  $\rho(G, k)$ -interpolation set for  $A$ .

The study of sufficient conditions for the  $A$ -convex hull of  $E$  to be a generalized peak set (we now assume  $1 \in A$ ) has been shown [4] to be related to an ordering on  $C_c(X)$  and  $M(X)$  induced by choosing  $P$  to be a closed proper convex cone with nonempty interior in  $C$ . Let  $\alpha, \beta$  be the generators (of modulus one) of the dual cone  $P^* = \{z: \operatorname{re} az \geq 0 \text{ for all } a \in P\}$ . We denote by  $e$  the element of  $P$  such that  $\operatorname{re} e\gamma = 1$  ( $\gamma = \alpha, \beta$ ). If  $f \in C_c(X)$  we say  $f \geq 0(P)$  if  $f(X) \subset P$  and  $\mu \geq 0(P^*)$  means  $\mu(B) \in P^*$  for all Borel sets  $B \subset X$ . Then the function  $e \equiv e$  becomes an order unit for  $C(X)$  in which the order unit norm  $\|\cdot\|_e$  (equivalent to the uniform norm) is given by

$$\rho(x, t) = \begin{cases} 1 & \text{for } t = \pm \gamma \\ 1/c & \text{for } t \neq \pm \gamma, \end{cases} \quad \gamma = \alpha, \beta$$

where  $c$  is a constant such that

$$cz| \leq |\operatorname{re} \alpha z| \vee |\operatorname{re} \beta z|.$$

This provides an example of a  $\rho$  which is not  $T$ -invariant.

Let  $\rho^+$  and  $\rho^-$  be strictly positive *l.s.c.* functions on  $X$  and take

$$\rho(x, t) = \begin{cases} \rho^+(x) & \text{on } X \times \{1\} \\ \rho^-(x) & \text{on } X \times \{-1\} \\ +\infty & \text{otherwise.} \end{cases}$$

Then  $U = \{f \in C(X): -\rho^- \leq \operatorname{re} f \leq \rho^+\}$ . If  $\mu \in U^0$  and  $f$  is real then  $\lambda \operatorname{if} \in U$  for all real  $\lambda$  so that

$$1 \geq \operatorname{re} \mu(\lambda \operatorname{if}) = -\lambda \operatorname{im} \mu(f)$$

and hence  $\operatorname{im} \mu(f) = 0$ . Thus  $\mu$  is a real measure and  $U^0 \subset \operatorname{re} M(X)$ .

If  $A_0$  is a real subspace of  $C(X)$  then we can apply the results of § 2 to the self-adjoint space  $A_0 + iA_0 = A$ . Then  $\|f\|_\rho = \|\operatorname{re} f\|_\rho$  and  $m \in A^\perp$  if and only if  $m = m_1 + im_2$  with  $m_1, m_2$  real measures in  $A^\perp$ . Also  $m$  is a boundary measure if and only if  $m_1, m_2$  are boundary. Hence  $E$  is an approximate (exact)  $\rho$ -interpolation set for  $A$  if and only if it is for  $A_0 = \operatorname{re} A$ , and the measure conditions of § 2 need only involve real measures in  $M(X)$ . If  $X$  is a compact convex subset of a locally convex space and  $A_0 = A(X)$  (real affine continuous functions) then  $\rho$  is  $A$ -superharmonic if and only if  $\rho^+ = (\rho^+)^{\wedge}$  and  $\rho^- = (\rho^-)^{\wedge}$ , that is, if and only if  $\rho^+$  and  $\rho^-$  are concave on  $X$ .

Let  $X$  be a square in  $R^2$  with vertices denoted  $\{1, 2, 3, 4\}$  with

$E = \{1, 2\}$  diagonally opposite and  $A_0 = A(X)$ ,  $\rho^+, \rho^- \equiv 1$ . Then  $\partial A^\perp$  is a one-dimensional subspace of the four-dimensional space  $\partial M(X)$  spanned by the point-masses  $\{\delta_i\}_{i=1}^4$ . A generator for  $\partial A^\perp$  is  $m = \delta_1 + \delta_2 - \delta_3 - \delta_4$ . Clearly  $A^\perp \cap N = \{0\}$  since  $coE$  is a simplex and so

$$\|\pi_1 m + A^\perp \cap N\| = \|\pi_1 m\| = \|\pi_2 m\|.$$

This shows  $E$  is an approximate  $\rho$ -interpolation set for  $A(X)$ . Obviously  $E$  is in fact an exact interpolation set, but this cannot be concluded from a condition such as (3) in the introduction. Nevertheless, the condition of 2.5 holds, since if

$$\mu = \sum \lambda_i \delta_i$$

then

$$\|\mu\| = \sum |\lambda_i|$$

and

$$\|\pi_2 \mu + \pi_2 \partial A^\perp\| = \inf\{|\lambda_3 - \lambda| + |\lambda_4 - \lambda| : \lambda \in R\} = |\lambda_4 - \lambda_3|.$$

If  $\lambda_3$  and  $\lambda_4$  are opposite in sign then

$$\|\pi_2 \mu + \partial A^\perp\| \leq \|\pi_2 \mu\| = |\lambda_3| + |\lambda_4| = |\lambda_4 - \lambda_3| = \|\pi_2 \mu + \pi_2 \partial A^\perp\|.$$

If, say  $0 \leq \lambda_3 \leq \lambda_4$ , consider  $\nu = \mu + \lambda_3 m$ . Then  $\hat{\nu} = \hat{\mu}$  and

$$\|\nu\| = \sum |\lambda_i - \lambda_3| \leq (|\lambda_1| + |\lambda_2| + 2|\lambda_3|) + |\lambda_4| - |\lambda_3| = \|\mu\|$$

and

$$\|\pi_2 \nu + \partial A^\perp\| \leq \|\pi_2 \nu\| = \lambda_4 - \lambda_3 = \|\pi_2 \mu + \pi_2 \partial A^\perp\|.$$

We conclude with an example of an approximate interpolation set which is not exact. Let  $X$  be the unit ball of the sequence space  $l^1$  ( $w^*$  topology) and let  $\rho \equiv 1$ . Then take  $A = c_0$ , the pre-dual of  $l^1$ , so that  $\|a\|_\rho = \|a\|_\infty = \sup\{|a_n|\}$ . Let  $E$  be the singleton  $\{x^0\}$ ,  $x_n^0 = 1/2^n$ ,  $n = 1, 2, \dots$ . If  $\langle a, x^0 \rangle = 1$  then  $\sum_{n=1}^\infty a_n/2^n = 1$  so that some  $a_n$  must be greater than one. Clearly we can find such an  $a$  with  $\|a\| \leq 1 + \varepsilon$  for any  $\varepsilon > 0$ . Thus  $E$  is an approximate, but not exact,  $\rho$ -interpolation set.

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