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**A CHARACTERIZATION OF LC-NONREMOVABLE IDEALS IN
COMMUTATIVE BANACH ALGEBRAS**

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Let A be a commutative Banach algebra with an identity e . Our main result states that an ideal $I \subset A$ is contained in a proper ideal I_B of B for every locally convex extension B of A if and only if the ideal I consists of joint topological divisors of zero.

All algebras in this paper are assumed to be commutative, complex and with an identity element denoted by e . All ideals are assumed to be proper, i.e., different from the whole algebra. By a topological algebra we mean a topological linear space together with an associative jointly continuous multiplication. If K is any class of topological linear spaces, then we say that a topological algebra is in K if it is in K as a topological linear space.

If K is any class of topological algebras, then a K -extension of A is an algebra $B \in K$ which contains A under an identity preserving topological isomorphism into. In this case we write $A \subset B$. An ideal $I \subset A \in K$ is called K -removable if there is a K -extension B of A such that I is contained in no ideal of B . If this holds we say that the extension B removes the ideal I . Thus B removes I if and only if there are elements $x_1, x_2, \dots, x_n \in I$, $b_1, b_2, \dots, b_n \in B$ such that

$$(1) \quad e = \sum_{i=1}^n x_i b_i .$$

Otherwise we say that an ideal I is K -nonremovable.

As the class K we shall consider the following classes of commutative algebras with identities: B—the class of Banach algebras, LC—locally convex algebras, M—multiplicatively convex algebras (shortly m —convex algebras), and T—topological algebras. We shall consider only complete algebras, however the ideals are not assumed to be closed.

In this paper we give a characterization of LC-removability of ideals in Banach algebras. It turns out that this removability coincides with T-removability, but we do not know whether it coincides with B-removability. There is no satisfactory characterization of B-nonremovable ideals. Some description of these ideals is given in [2] in terms of a certain B-extension of the algebra in question.

We give now a short description of some concepts and facts we shall use in the sequel.

The topology of a locally convex algebra A is given by means of a family $(\|x\|_\alpha)$ of seminorms such that for each α there is a β with

$$\|xy\|_\alpha \leq \|x\|_\beta \|y\|_\beta$$

for all $x, y \in A$. If, moreover, A is metrizable, then its topology can be given by means of an increasing sequence

$$\|x\|_1 \leq \|x\|_2 \leq \dots$$

of seminorms such that

$$(2) \quad \|xy\|_i \leq \|x\|_{i+1} \|y\|_{i+1}, \quad i = 1, 2, \dots$$

for all $x, y \in A$. Such algebras will be called shortly B_0 -algebras.

A locally convex algebra A is called m -convex if its topology can be given by means of a family of submultiplicative seminorms, i.e.,

$$\|xy\|_\alpha \leq \|x\|_\alpha \|y\|_\alpha$$

for all α and all $x, y \in A$.

We shall need the following extensions of Banach algebras. Consider the algebra of all bounded sequences $\tilde{x} = (x_n) \subset A$ with pointwise algebra operations. The formula

$$(3) \quad \|\tilde{x}\| = \limsup \|x_n\|$$

defines there a submultiplicative seminorm. We set A_∞ for the quotient of this algebra modulo the ideal of zeros of the seminorm (3). It is a Banach algebra with the norm (3). Elements of A_∞ may be regarded as sequences \tilde{x} with two sequences identified when their difference tends to zero. The algebra A_∞ contains A isometrically if we identify elements of A with the constant sequences.

Let $t = (t_1, \dots, t_n)$ be an n -tuple of indeterminates and consider the algebra of all power series $x(t) = \sum_{|i|=1}^\infty x_i t^i$, where $i = (i_1, \dots, i_n)$, $t^i = t_1^{i_1} \dots t_n^{i_n}$, $|i| = i_1 + \dots + i_n$, and $x_i = x_{i_1 \dots i_n} \in A$, such that

$$(4) \quad \|x(t)\| = \sum_i \|x_i\| < \infty.$$

This algebra will be designated by $A(t)$. It contains A isometrically if we identify elements of A with the constant power series.

Since the class B is contained in the class LC we can consider also LC-extensions of Banach algebras. For our purposes it is sufficient to remark that if a Banach algebra A is algebraically

contained in $B \in LC$ and for each $x \in A$ and each index α we have $\|x\|_\alpha = \|x\|$, then the imbedding is topological.

Let A be a Banach algebra. An ideal $I \subset A$ is said to consist of joint topological divisors of zero if there exists a net (z_α) of elements of A , $\|z_\alpha\| = 1$, such that

$$(5) \quad \lim_\alpha \|z_\alpha x\| = 0$$

for all $x \in I$. In this case we say that the net (z_α) annihilates the ideal I and write $(z_\alpha) \perp I$. Observe that the relation (5) is equivalent to the following: for each n -tuple (x_1, \dots, x_n) of elements of I we have $\inf \{ \sum \|x_i z\| : \|z\| = 1, z \in A \} = 0$. Thus if an ideal $I \subset A$ does not consist of joint topological divisors of zero, then there is an n -tuple $(x_1, \dots, x_n) \subset I$ such that

$$(6) \quad \sum_{i=1}^n \|x_i z\| \geq \|z\|$$

for all $z \in A$. The family of all ideals in A which consist of joint topological divisors of zero will be denoted by $\mathcal{I}(A)$ and its members will be called shortly \mathcal{I} -ideals. We put also $\mathcal{E}(A) = \mathcal{I}(A) \cap \mathfrak{M}(A)$, where $\mathfrak{M}(A)$ is the maximal ideal space of A . It is known ([4]) that every \mathcal{I} -ideal I is contained in a maximal ideal $M \in \mathcal{E}(A)$.

For details on the above the reader is referred to [4], [5], [7].

The following lemma is a well known fact in the theory of rings (cf. [3]).

LEMMA 1. *Let A be a commutative ring with an identity element and let $t = (t_1, \dots, t_n)$ be a system of indeterminates. If for two nonzero polynomials $p(t)$ and $q(t)$ with coefficients in A we have $p(t)q(t) = 0$, then there is a nonzero element $x \in A$ such that*

$$(7) \quad xp(t) = 0,$$

i.e., the element x annihilates all coefficients in $p(t)$.

LEMMA 2. *Let A be commutative Banach algebra with an identity element and let $t = (t_1, \dots, t_n)$ be a system of n indeterminates. Let (x_1, \dots, x_n) be an n -tuple of elements of A satisfying relation (6) for all $z \in A$, and put*

$$(8) \quad w = \sum_{i=1}^n x_i t_i.$$

Then there is a sequence (α_k) of real numbers, $\alpha_0 = 1$, $\alpha_k \geq 1$, such that

$$(9) \quad \alpha_k \|w p_k\| \geq \|p_k\|, \quad k = 0, 1, \dots$$

for all homogeneous polynomials $p_k \in A(t)$ of k th degree. The norm in (9) is given by the formula (4).

Proof. For $k = 0$ the relation (9) with $\alpha_0 = 1$ follows immediately from the inequality (6). Suppose that for some $k \geq 1$ the relation (9) fails. This means that for each integer m we can find a homogeneous polynomial $p_k^{(m)}$ of degree k , with $\|p_k^{(m)}\| = 1$, such that

$$(10) \quad m \|wp_k^{(m)}\| < \|p_k^{(m)}\|.$$

Thus $\lim_m wp_k^{(m)} = 0$. Denote by $x_i^{(m)}$ the coefficient by t^i for $p_k^{(m)}$. Since $\|x_i^{(m)}\| \leq 1$ for all m , the sequence $\tilde{x}_i = (x_i^{(m)})$ represents an element in A_∞ . The relation (10) implies that in $A_\infty(t)$ we have $w\tilde{p}_k = 0$, where $\tilde{p}_k = \sum_{|i|=k} \tilde{x}_i t^i$. One can easily see that \tilde{p}_k is a nonzero polynomial in $A_\infty(t)$. Applying Lemma 1 we find an element $\tilde{x} \in A_\infty$, $\|\tilde{x}\| = 1$, such that $\|w\tilde{x}\| = 0$. However if $\tilde{x} = (x_i)$, then relation (6) implies $\|wx_i\| \geq \|x_i\|$, which in turn implies $\|w\tilde{x}\| \geq \|\tilde{x}\|$ what is a contradiction. Thus, the desired sequence (α_k) exists.

LEMMA 3. Let (α_k) , $k = 0, 1, 2, \dots$ be a sequence of positive real numbers with $\alpha_0 = 1$. There exists a sequence (b_k) , $k = 0, 1, \dots$, $b_0 = 1$, with $b_i \geq \alpha_i$ and

$$(11) \quad a_{m+n} \leq b_m b_n$$

for all $m, n \geq 0$.

Proof. Put $b_0 = 1$ and suppose that we already have numbers b_i for $i < k$ which satisfy (11) for $m, n < k$. Put

$$b_k = \max \{ \alpha_k, \alpha_{k+1}/b_1, \alpha_{k+2}/b_2, \dots, \alpha_{2k-1}/b_{k-1}, \alpha_{2k}^{1/2} \}.$$

One can easily see that relation (11) holds now for all $m, n \leq k$ and $b_k \geq \alpha_k$. The conclusion follows.

We can prove now our main result.

THEOREM 4. Let A be a commutative Banach algebra with an identity element and let I be an ideal in A . Then I is an LC-nonremovable ideal if and only if it consists of joint topological divisors of zero.

Proof. Let $I \in \mathcal{I}(A)$ and let B be any locally convex extension of A . If I is removed by B there are elements $x_1, \dots, x_n \in I$ and $b_1, \dots, b_n \in B$ such that relation (1) holds true. Multiplying both sides by a net $(z_\alpha) \perp I$, $\|z_\alpha\| = 1$ we obtain a contradiction. So I is an LC-nonremovable ideal.

Suppose now that I does not consist of joint topological divisors of zero. We can find elements $x_1, \dots, x_n \in I$ so that relation (6) holds true for all $z \in A$. We shall be done if we construct a locally convex algebra B (it will be in fact a B_0 -algebra), and elements $b_1, \dots, b_n \in B$ such that formula (1) holds true. Taking w given by the formula (8) we find by Lemma 2 a suitable sequence (α_k) satisfying relation (9). Define $\alpha_0^{(1)} = 1$ and $\alpha_m^{(1)} = \alpha_0 \alpha_1 \cdots \alpha_{m-1}$ for $m = 1, 2, \dots$. Thus, $\alpha_i = \alpha_i^{(1)}$ satisfies the assumptions of Lemma 3. Put $\alpha_i^{(2)} = b_i$, $i = 0, 1, 2, \dots$, where (b_i) is the sequence in conclusion of Lemma 3 and then proceed by an induction. For a given sequence $\alpha_m^{(k)}$, $m = 0, 1, \dots$ put $\alpha_m = \alpha_m^{(k)}$ and define $\alpha_m^{(k+1)} = b_m$ according to Lemma 3. The matrix $(\alpha_m^{(k)})$, $k = 1, 2, \dots$ $m = 0, 1, \dots$ satisfies the following

$$(12) \quad \alpha_0^{(k)} = 1 \quad \text{for } k = 1, 2, \dots,$$

$$(13) \quad \alpha_i^{(k)} \leq \alpha_i^{(k+1)} \quad \text{for } k = 1, 2, \dots \text{ and all } i \geq 0,$$

$$(14) \quad \alpha_{i+j}^{(k)} \leq \alpha_i^{(k+1)} \alpha_j^{(k+1)} \quad \text{for } k \geq 1 \text{ and } i, j \geq 0.$$

Let $t = (t_1, \dots, t_n)$ be a system of indeterminates and consider the locally convex algebra $\tilde{B}(t)$ consisting of all polynomials $p(t)$ in n variables with coefficients from A . Each such polynomial can be written in the form

$$(15) \quad p(t) = \sum_{k=0}^m p_k(t),$$

where $p_k(t)$ is a homogeneous polynomial of degree k with coefficients in A . The seminorms in $\tilde{B}(t)$ are defined as follows. For a polynomial p of the form (15) we put

$$(16) \quad \|p(t)\|_i = \sum_{k=0}^m \alpha_k^{(i)} \|p_k(t)\|, \quad i = 1, 2, \dots,$$

where the norm $\|p_k(t)\|$ is given by the formula (4). Relation (13) shows that for any polynomial $p \in \tilde{B}(t)$ we have

$$(17) \quad \|p\|_i \leq \|p\|_{i+1} \quad \text{for } i = 1, 2, \dots$$

For any two polynomials p, q of the form (15) we have by (14)

$$(18) \quad \begin{aligned} \|pq\|_i &= \sum_k \alpha_k^{(i)} \left\| \sum_s p_{k-s} q_s \right\| \\ &\leq \sum_{k,s} \alpha_{k-s}^{(i+1)} \alpha_s^{(i+1)} \|p_{k-s}\| \|q_s\| = \|p\|_{i+1} \|q\|_{i+1}. \end{aligned}$$

Thus the multiplication is jointly continuous in $\tilde{B}(t)$ and its completion $B(t)$ is a B_0 -algebra with the seminorms (16) and formal multiplication of power series. Let us note that for all polynomials of zero degree p_0 we have by (12)

$$(19) \quad \|p_0\|_i = \|p_0\|.$$

Thus, $B(t)$ is an extension of A if we identify elements of A with polynomials of degree zero. Let w be the element of $B(t)$ given by (8) and let J be the closed ideal of $B(t)$ generated by $w - e$, i.e., J is the closure in $B(t)$ of the set $(w - e)\tilde{B}(t)$. Put $B = B(t)/J$. We shall show that B is an extension of A under the imbedding $x \rightarrow [x] = x + J$. The topology of B is given by means of the sequence of seminorms

$$(20) \quad \|[p]\|_i = \inf_{j \in J} \|p + j\|_i,$$

and one can easily see that the seminorms (20) also satisfy relations (17) and (18). Relation (20) implies that for each $p \in B(t)$ we have

$$\|[p]\|_i \leq \|p\|_i$$

and so, by (19)

$$\|[x]\|_i \leq \|x\|$$

for all $x \in A$. In view of (17) we shall be done if we show

$$(21) \quad \|x\| \leq \|[x]\|_1$$

for all $x \in A$ since it will imply $\|x\| = \|[x]\|_i$ for all x and i and our imbedding will be a topological isomorphism into. Since $\tilde{B}(t)$ is dense in $B(t)$ we have

$$\|[x]\|_1 = \inf \|x + (w - e) \sum_{i=0}^m p_i\|_1,$$

where p_i is a homogeneous polynomial of degree i and the infimum is taken with respect to all elements $\sum_{i=0}^m p_i$ in $\tilde{B}(t)$. Setting $p_{m+1} = 0$, we have by (9) the following estimation

$$\begin{aligned} \|x + (w - e) \sum_{i=0}^m p_i\|_1 &= \|x - p_0\| + \sum_{i=0}^m \alpha_{i+1}^{(1)} \|wp_i - p_{i+1}\| \\ &= \|x - p_0\| + \sum_{i=0}^m \alpha_0 \cdots \alpha_i \|wp_i - p_i\| \\ &\geq \|x\| - \|p_0\| + \sum_{i=0}^m \alpha_0 \cdots \alpha_i (\|wp_i\| - \|p_{i+1}\|) \\ &= \|x\| + (\|wp_0\| - \|p_0\|) + \sum_{i=0}^m \alpha_0 \cdots \alpha_{i-1} (\alpha_i \|wp_i\| - \|p_i\|) \geq \|x\|, \end{aligned}$$

which establishes relation (21) and we are done.

COROLLARY 5. *An ideal of a Banach algebra is LC-nonremovable if and only if it is T-nonremovable.*

As we mentioned earlier we do not know what is characterization of nonremovable (i.e., B-nonremovable) ideals in Banach algebras. From the above result it follows that either this characterization is the same as in Theorem 4, or has a relative character: there are ideals which are nonremovable through Banach algebra extensions but are removable through locally convex extensions.

Let K be a class of topological algebras and let $A \in K$. A family (I_α) of K -removable ideals of A is called a K -removable family if there exists a single extension $B \in K$ of the algebra A which removes all ideals I_α . In [1] Arens asked whether a finite family of removable (i.e., B-removable) ideals of a Banach algebra is a removable family. In [8] we showed that for $A \in K$ the following are equivalent

(i) Every finite family of K -removable ideals is K -removable and

(ii) Every maximal K -nonremovable ideal is prime.

Here by a maximal K -nonremovable ideal we mean an ideal $I \subset A$ such that for any ideal $J \supset I$ we have either $I = J$, or J is K -removable. Since for a Banach algebra A the class of LC-nonremovable ideals coincides with $\ell(A)$ and every ideal $I \in \ell(A)$ is contained in a maximal ideal $M \in \mathcal{L}(A)$, we have the following result

THEOREM 6. *Let A be a commutative Banach algebra with an identity element. Then every finite family of LC-removable ideals is an LC-removable family.*

In [9] we reduced the problem of the characterization of M -nonremovable ideals of an algebra $A \in \mathcal{M}$ to that of the characterization of B-nonremovable ideals in Banach algebras. Unfortunately, this result gives no information about a characterization of LC-nonremovable ideals in m -convex algebras.

Let us remark that M -removability of ideals in Banach algebras is the same as B-removability, and that the M -removability of ideals in m -convex algebras has a relative character. By a result in [6] there is an ideal $I \subset A \in \mathcal{M}$ which is M -nonremovable and LC-removable.

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