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**RATIONAL FUNCTIONS WITH POSITIVE COEFFICIENTS,  
POLYNOMIALS AND UNIFORM APPROXIMATIONS**

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# RATIONAL FUNCTIONS WITH POSITIVE COEFFICIENTS, POLYNOMIALS AND UNIFORM APPROXIMATIONS

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**Upper bounds are established for the uniform approximation of continuous functions on  $[1, 0]$  by rational functions with positive coefficients. These bounds are obtained by rewriting polynomials with no positive roots as rational functions with positive coefficients.**

1. **Introduction.** The uniform closure in  $C[1, 0]$  of the set of polynomials with positive coefficients includes only those functions analytic in the unit disc whose power series expansions have non-negative coefficients. The uniform closure of the set of rational functions with positive coefficients consists of all continuous functions which are never negative on  $[0, 1]$ . This is a consequence of the following interesting factorization theorem.

**THEOREM 1.** (*E. Meissner* [3].) *Suppose that  $p$  is a polynomial with real coefficients and that  $p(x) > 0$  for  $x > 0$ . Then there exists a rational function  $r(x)$  with nonnegative coefficients so that  $p(x) = r(x)$ .*

We will provide an accurate bound for the degree of the above  $r$  in terms of the degree of  $p$  and some knowledge of the location of the roots of  $p$ . We will also derive some estimates concerning how efficiently polynomials can be approximated on  $[0, 1]$  by rational functions with positive coefficients. We will exploit these results to prove a number of approximation theorems. For instance: if  $f$  is analytic in some neighborhood of  $[0, 1]$  and positive on  $[0, 1]$ , then there exists a sequence of rational functions  $\{r_n\}$  where each  $r_n$  is of degree  $n$  and has nonnegative coefficients so that  $\|f - r_n\|_{[0,1]} = O(\alpha^{-\sqrt{n}})$  for some  $\alpha > 1$ .

We employ the following notation. Let  $\Pi_n$  denote the polynomials with real coefficients of degree at most  $n$ . Let  $\Pi_n^+$  be the sub class of  $\Pi_n$  whose elements have nonnegative coefficients. Let  $R_n^{++}$  denote those rational functions  $p_n/q_n$  where  $p_n, q_n \in \Pi_n^+$ . For  $f \in C[a, b]$  define

$$\begin{aligned} \Pi_n(f: [a, b]) &= \inf_{p \in \Pi_n} \|f - p\|_{[a,b]} \\ \Pi_n^+(f: [a, b]) &= \inf_{p \in \Pi_n^+} \|f - p\|_{[a,b]} \\ R_n^{++}(f: [a, b]) &= \inf_{r \in R_n^{++}} \|f - r\|_{[a,b]} \end{aligned}$$

where  $\| \cdot \|_{[a,b]}$  is the supremum norm on  $[a, b]$ . We note that all the above infimums are attained.

2. Expressing polynomials as rational functions with non-negative coefficients. The first two results of this section are concerned with expressing quadratic polynomials as rational functions in  $R_m^{++}$  where  $m$  is as small as possible. The final theorem is an extension of these results to general polynomials.

**THEOREM 2.** *Suppose that  $\alpha, \beta > 0$  and suppose that  $x^2 - \alpha x + \beta$  has no positive roots. Then*

(a) *for each  $\varepsilon > 0$  there exists a constant  $A_\varepsilon$  so that*

$$x^2 - \alpha x + \beta = r_m(x)$$

where

$$r_m \in R_m^{++} \text{ and } m \leq A_\varepsilon \left[ \frac{1}{4 - \alpha^2/\beta} \right]^{1/2+\varepsilon}.$$

(b) *for  $\varepsilon = 1/14$ ,*

$$x^2 - \alpha x + \beta = r_m(x)$$

where

$$r_m \in R_m^{++} \text{ and } m \leq 20 \left[ \frac{1}{4 - \alpha^2/\beta} \right]^{1/2+1/14}.$$

*Proof.* The quadratic  $x^2 - \alpha x + \beta$  has no positive root if and only if  $\alpha^2 < 4\beta$ . We set  $c = \alpha^2/\beta$  and note that  $0 < c < 4$ . Consider

$$(1) \quad \begin{aligned} (x^2 - \alpha x + \beta)(x^2 + \alpha x + \beta) &= x^4 + (2\beta - \alpha^2)x^2 + \beta^2 \\ &= x^4 + \beta(2 - c)x^2 + \beta^2. \end{aligned}$$

If  $c \leq 2$  we have the desired factorization. In general we proceed as follows:

Define  $C_n$  inductively by

$$(2) \quad C_0 = c^{1/2} \text{ and } C_{n+1} = 2 - C_n^2.$$

Let

$$p_n(x) = x^{2^{n+1}} + \beta^{2^n-1} C_n x^{2^n} + \beta^{2^n}$$

and let

$$\overline{p}_n(x) = x^{2^{n+1}} - \beta^{2^n-1} C_n x^{2^n} + \beta^{2^n}.$$

Note that, by (2)

$$\begin{aligned}
 (3) \quad p_n(x)\overline{p_n(x)} &= x^{2^{n+2}} - \beta^{2^n} C_n^2 x^{2^{n+1}} + 2\beta^{2^n} x^{2^{n+1}} + \beta^{2^{n+1}} \\
 &= x^{2^{n+2}} + \beta^{2^n} C_{n+1} x^{2^{n+1}} + \beta^{2^{n+1}} \\
 &= p_{n+1}(x).
 \end{aligned}$$

Consider the smallest  $n$  (if it exists) so that  $C_n$  is nonnegative. Then, by (1) and (3)

$$(x^2 - \alpha x + \beta)(x^2 + \alpha x + \beta) = p_1$$

and

$$p_1 \cdot \overline{p_1} \cdot \overline{p_2} \cdots \overline{p_{n-1}} = p_n$$

where  $\overline{p_1} \cdots \overline{p_{n-1}} \in \prod_{(2^{n+1-4})}^+$  since each  $C_k < 0$  for  $k < n$  and where  $p_n \in \prod_{2^{n+1}}^+$  since  $C_n \geq 0$ . Thus, we have

$$(4) \quad x^2 - \alpha x + \beta = \frac{p_n}{(x^2 + \alpha x + \beta)p_1 \overline{p_2} \cdots \overline{p_{n-1}}} \in R_{2^{n+1}}^+.$$

Since  $0 < c^{1/2} < 2$  we deduce that  $C_n \rightarrow 1$ . We wish to find a small  $n$  as a function of  $C$ , so that

$$(5) \quad C_n \geq 0.$$

Suppose that

$$(6) \quad C_1, \dots, C_n < 0.$$

Then

$$C_n = 2 - (C_{n-1})^2 < 0$$

implies

$$(C_{n-1})^2 > 2 \text{ and } -C_{n-1} > 2^{1/2}$$

implies

$$(C_{n-2})^2 - 2 > 2^{1/2} \text{ and } -C_{n-2} > (2 + 2^{1/2})^{1/2}$$

and by iteration

$$(7) \quad c > 2 + (2 + \dots (2 + 2^{1/2})^{1/2})^{1/2} = \delta_n$$

where (equivalently)  $\delta_1 = 2$  and  $\delta_n = 2 + \delta_{n-1}^{1/2}$ .

We are reduced to finding an  $n$  so that  $\delta_n > c = \alpha^2/\beta$  since, for such an  $n$  (6) is contradicted and hence, (5) is satisfied.

Consider

$$(8) \quad \begin{aligned} 4 - \delta_n &= 2 - \delta_{n-1}^{1/2} = \frac{4 - \delta_{n-1}}{2 + \delta_{n-1}^{1/2}} = \frac{4 - \delta_{n-2}}{(2 + \delta_{n-1}^{1/2})(2 + \delta_{n-2}^{1/2})} \\ &\vdots \\ &= \frac{2}{(2 + \delta_{n-1}^{1/2})(2 + \delta_{n-2}^{1/2}) \cdots (2 + \delta_1^{1/2})} \leq \frac{2}{(2 + 2^{1/2})^{n-1}} \leq \frac{7}{(2 + 2^{1/2})^n}. \end{aligned}$$

It is now sufficient to pick  $n$  so that

$$(9) \quad \frac{7}{(2 + 2^{1/2})^n} \leq 4 - \frac{\alpha^2}{\beta}.$$

A suitable choice is

$$n = 1 + \text{int. part} \left[ \frac{\log_2 \left[ \frac{7}{4 - \frac{\alpha^2}{\beta}} \right]}{\log_2 (2 + 2^{1/2})} \right] \leq 1 + \frac{4}{7} \log_2 \left[ \frac{7}{4 - \frac{\alpha^2}{\beta}} \right].$$

We deduce from (4) that

$$x^2 - \alpha x + \beta \in R_{2^{n+1}}^{++}$$

where

$$2^{n+1} \leq 4 \left[ \frac{7}{4 - \frac{\alpha^2}{\beta}} \right]^{4/7} \leq 20 \left[ \frac{1}{4 - \frac{\alpha^2}{\beta}} \right]^{1/2 + 1/14}.$$

This completes (b). Part (a) is proved analogously with the observation that in (8), for  $k < n$ ,

$$|4 - \delta_n| \leq \frac{7}{(2 + \delta_k^{1/2})^{n-k}}.$$

Since  $\delta_n \rightarrow 4$ , we can replace (9) by

$$\frac{F_\varepsilon}{(4 - \varepsilon)^n} \leq 4 - \frac{\alpha^2}{\beta}$$

and the result follows as above.

The bound in Theorem 2 is “essentially” correct.

**THEOREM 3.** *Let  $\alpha_k = 2$  and  $\beta_k = 1 + 1/k^2$ . If*

$$x^2 - \alpha_k x + \beta_k = r_m \in R_m^{++}$$

then

$$m \geq \sqrt{2} \left[ \frac{1}{4 - \frac{\alpha_k^2}{\beta_k}} \right].$$

*Proof.* We first show that if  $p_n \in \Pi_n^+$  then  $p_n$  has no roots in  $T_n = \{z: |\arg(z)| < \pi/n\}$ . Suppose  $p_n(z) = \sum_{h=0}^n a_n z^h$  where  $a_n \geq 0$ . Let  $\zeta \in \{0 < \arg(z) < \pi/n\}$ . Then  $a_n(\zeta)^h \in \{0 < \arg(z) < h\pi/n\}$  and hence,  $p_n(\zeta) \in \{\operatorname{im}(z) > 0\}$ . Thus,  $p_n$  has no roots in  $T_n$ .

The quadratic  $x^2 - \alpha_k x + \beta_k$  has a root at  $1 + i/k \in T_k$  and we deduce that if  $x^2 - \alpha_k x + \beta_k = r_m \in R_m^{++}$  then  $m > k$ . We finish the result by observing that

$$\sqrt{2} \left[ \frac{1}{4 - \frac{\alpha_k^2}{\beta_k}} \right]^{1/2} = \frac{k(1 + 1/k^2)^{1/2}}{\sqrt{2}} \leq k.$$

**THEOREM 4.** *Suppose  $p_n \in \Pi_n$  has no roots in the region  $\Omega(1/h) = \{z: |\arg(z)| < 1/h\}$  and suppose that  $p_n(x) > 0$  for  $x > 0$ . Then,*

(a) *for each  $\varepsilon > 0$  there exists a constant  $B_\varepsilon$ , depending only on  $\varepsilon$ , so that*

$$p_n = r_m \in R_m^{++} \text{ where } m \leq B_\varepsilon h^{(1+\varepsilon)} n.$$

(b) *for  $\varepsilon = 1/7$ ,*

$$p_n = r_m \in R_m^{++} \text{ where } m \leq 10h^{8/7} \cdot n.$$

*Proof.* Let  $x^2 - \alpha x + \gamma$  be a quadratic factor of  $p_n$ . We assume  $\alpha, \gamma < 0$  since otherwise  $x^2 - \alpha x + \gamma$  has either nonnegative coefficients or a nonnegative root. We proceed to replace, using Theorem 2, each such factor by an element of  $R_k^{++}$ .

Set  $\gamma = 1/4(1/h^2 + 1)\alpha^2 + \delta$  and set  $\beta = 1/4(1/h^2 + 1)\alpha^2$ . Since  $x^2 - \alpha x + \gamma$  has no roots in  $\Omega(1/h)$  we see that  $|\alpha^2 - 4\gamma|^{1/2} \geq \alpha/h$  and  $4\gamma \geq (1/h^2 + 1)\alpha^2$  from which we deduce that  $\delta \geq 0$ . Consider  $x^2 - \alpha x + \beta$ . By Theorem 2(b)  $x^2 - \alpha x + \beta - r_k \in R_k^{++}$  where

$$k \leq 20 \left[ \frac{1}{4 - \frac{\alpha^2}{\beta}} \right]^{1/2+1/14} = 20 \left[ \frac{h^2(1/h^2 + 1)}{4} \right]^{4/7} \leq 20h^{8/7}.$$

We now replace  $x^2 - \alpha x + \gamma$  by  $r_k + \delta$ . Since there are a maximum of  $n/2$  such quadratic terms to replace, we have

$$p_n = r_m \in R_m^{++} \text{ where } m \leq 20h^{8/7}(n/2) = 10h^{8/7}n.$$

This completes part (b). Part (a) is proved analogously using

Theorem 2(a) instead of 2(b).

**3. Approximating polynomials.** We estimate how efficiently polynomials in the class P.P.C. can be approximated by rationals with positive coefficients. A polynomial is in the class P.P.C. (polynomials with positive coefficients in  $x$  and  $(1-x)$ , see [1]) if it can be written  $\sum a_{ki}x^k(1-x)^i$  where  $a_{ki} \geq 0$ . We use this estimate and Theorem 4 to approximate polynomials with no roots in a region containing  $[0, 1]$ . We adopt the notation R.P.C. (rationals with positive coefficients in  $x$  and  $(1-x)$ ) for those rational functions which are a quotient of two elements of the class P.P.C.

**LEMMA 1.** *Suppose  $p_n = \sum_{k+i \leq n} a_{ki}x^k(1-x)^i$  is a P.P.C. of degree  $n$ . Then there exists  $r(x) \in R_{nm}^{++}$  so that for  $x \in [0, 1]$ ,*

$$|r(x) - p_n(x)| \leq \frac{nx^m p_n(x)}{(1-x)^n}.$$

*Proof.* We observe that for  $x \in [0, 1]$ ,

$$\begin{aligned} \left| (1-x) - \frac{1}{1+x+\dots+x^{m-1}} \right| &= \left| (1-x) - \frac{1-x}{1-x^m} \right| \\ &= \left| \frac{x^m(1-x)}{1-x^m} \right| \leq x^m. \end{aligned}$$

Since  $a^i - b^i = (a-b)(a^{i-1} + a^{i-2}b + \dots + ab^{i-2} + b^{i-1})$ ,

$$(1) \quad \left| (1-x)^i - \frac{1}{(1+x+\dots+x^{m-1})^i} \right| \leq ix^m.$$

Let

$$s_m(x) = \frac{1}{1+x+\dots+x^{m-1}}$$

and consider

$$r(x) = \sum_{k+i \leq n} a_{ki}x^k(s_m)^i.$$

Each term of the above sum can be brought to the common denominator  $(1+x+\dots+x^{m-1})^n$  and hence,  $r(x) \in R_{nm}^{++}$ . Also, by (1),

$$(2) \quad \begin{aligned} |r(x) - p_n(x)| &\leq \sum_{k+i \leq n} a_{ki}x^k ix^m \\ &\leq nx^m \sum_{k+i \leq n} a_{ki}x^k. \end{aligned}$$

Since

$$\begin{aligned} \sum_{k+t \leq n} a_{k,t} x^k &= \sum_{k+t \leq n} a_{k,t} x^k \frac{(1-x)^t}{(1-x)^n} \\ &\leq \frac{1}{(1-x)^n} \sum_{k+t \leq n} a_{k,t} x^k (1-x)^t = \frac{p(x)}{(1-x)^n}, \end{aligned}$$

we have

$$|r(x) - p_n(x)| \leq \frac{nx^m p(x)}{(1-x)^n}.$$

LEMMA 2. Suppose  $p$  and  $q$  are both P.P.C. of degree  $n$ . Then there exists  $r \in R_{2nm}^{++}$  so that for any  $x \in [0, 1]$ , satisfying  $(1-x)^n > nx^m$ ,

$$|p(x)/q(x) - r(x)| \leq \frac{2nx^m}{(1-x)^n - nx^m} \cdot \frac{p(x)}{q(x)}.$$

*Proof.* By Lemma 1 we can choose  $s$  and  $t \in R_{nm}^{++}$  so that for  $x \in [0, 1]$ ,

$$|p(x) - s(x)| \leq \frac{nx^m p(x)}{(1-x)^n}$$

and

$$|q(x) - t(x)| \leq \frac{nx^m q(x)}{(1-x)^n}.$$

Then, for  $x \in [0, 1]$ ,

$$\begin{aligned} \left| \frac{p(x)}{q(x)} - \frac{s(x)}{t(x)} \right| &= \left| \frac{p(x)}{q(x)} - \frac{s(x)}{q(x)} + \frac{s(x)}{q(x)} - \frac{s(x)}{t(x)} \right| \\ &\leq \left| \frac{p(x) - s(x)}{q(x)} \right| + \left| \frac{s(x)(q(x) - t(x))}{t(x)q(x)} \right| \\ &\leq \frac{nx^m}{(1-x)^n} \left| \frac{p(x)}{q(x)} \right| + \frac{nx^m}{(1-x)^n} \left| \frac{s(x)}{t(x)} \right| \\ &\leq \frac{2nx^m}{(1-x)^n} \left| \frac{p(x)}{q(x)} \right| + \frac{nx^m}{(1-x)^n} \left| \frac{p(x)}{q(x)} - \frac{s(x)}{t(x)} \right|. \end{aligned}$$

The result follows with  $r = s/t$ .

We now prove an analogue of Theorem 4 for rationals in the class R.P.C. Define a diamond-shaped region in the complex plane  $G(\alpha)$  by

$$G(\alpha) = \{z: |\arg(z)| \leq \alpha\} \cap \{z: |\arg(1-z)| \leq \alpha\}.$$

LEMMA 3. Let  $\varepsilon > 0$ . Suppose  $p_n \in \prod_n$  has no roots in the region  $G(1/h)$  and  $p_n(x) > 0$  for  $x \in [0, 1]$ . Then  $p_n(x) = r_m(x)$  where



$r_m(x)$  is a R.P.C. of degree  $m$ ,  $m \leq B_\varepsilon h^{(1+\varepsilon)} \cdot n$  and  $B_\varepsilon$  is the same constant as appears in Theorem 4.

*Proof.* We write  $p_n(x) = s_k(x)t_{n-k}(x)$  where  $s_k \in \Pi_k$  has no roots in  $\{z: |\arg(z)| \leq 1/h\}$  and  $t_{n-k} \in \Pi_{n-k}$  has no roots in  $\{z: |\arg(1-z)| \leq 1/h\}$ . By Theorem 4,

$$s_k(x) = U_j(x) \in R_j^{++} \text{ where } j \leq B_\varepsilon h^{(1+\varepsilon)} k$$

and since  $t_{n-k}(x) = q_{m-k}(1-x)$  where  $q_{m-k}(1-x)$  has no roots in  $\{z: \arg(z) \leq 1/h\}$ ,

$$t_{n-k}(x) = V_i(1-x) \text{ where } V_i(x) \in R_i^{++} \text{ and } i \leq B_\varepsilon h^{(1+\varepsilon)}(n-k).$$

We set  $r_m(x) = U_j(x)V_i(1-x)$  to complete the result.

LEMMA 4. Let  $\varepsilon > 0$ . If  $p_n \in \Pi_n$  has no roots in the region  $G(1/h)$  and  $p_n(x) > 0$  for  $x \in [0, 1]$ , then there exists  $r \in R_{2cn}^{++}$  where  $c = B_\varepsilon h^{(1+\varepsilon)}$  so that for  $x \in [0, 1]$ ,

$$|p(x) - r(x)| \leq \frac{2nx^m |p(x)|}{(1-x)^{cn} - cnx^m}$$

provided  $(1-x)^{cn} \geq cnx^m$ .

*Proof.* By Lemma 3, there exists  $s$  an R.P.C. of degree at most  $cn = B_\varepsilon h^{(1+\varepsilon)}n$  so that  $p = s$ . By Lemma 2, there exists  $r \in R_{2cn}^{++}$  so that

$$|p(x) - r(x)| = |s(x) - r(x)| \leq \frac{2nx^m |p(x)|}{(1-x)^{cn} - cnx^m}.$$

4. Approximating analytic functions. Let  $\rho > 1$  and let  $E_\rho$  be the closed ellipse in the complex plane with foci at 0 and 1 and with semiaxes  $1/4(\rho + \rho^{-1})$  and  $1/4|\rho - \rho^{-1}|$ . S.N. Bernstein proved:

THEOREM 5. ([2] p. 76.) If  $f$  is analytic on  $E_\rho$  then there exist polynomials  $p_n \in \Pi_n$  so that

$$\|f - p_n\|_{[0,1]} = O(1/\rho^n)$$

and  $p_n \rightarrow f$  uniformly on  $E_\rho$ .

We show that positive analytic functions can be approximated almost as efficiently by rational functions from the class R.P.C.

THEOREM 6. If  $f$  is analytic and never zero on  $E_\rho$  and  $f(x) > 0$  for  $x \in [0, 1]$ , then there exists a sequence of  $r_n \in \text{R.P.C.}$ ,  $r_n$  of degree

$n$ , so that for each  $\varepsilon > 0$ ,

$$\|f - r_n\|_{[0,1]} = O(1/\rho^{n/c_\varepsilon})$$

where  $c_\varepsilon = B_\varepsilon[(\tan^{-1}(\rho + \rho^{-1})/2)]^{-(1+\varepsilon)}$  and  $B_\varepsilon$  is the same constant as in Theorem 4.

*Proof.* By Theorem 5 there exists a sequence of polynomials  $p_n$  so that

$$(1) \quad \|f - p_n\|_{[0,1]} = O(1/\rho^n)$$

and each  $p_n$  has no zeros on  $E_\rho$ . We note that the region

$$G\left(\tan^{-1}\left(\frac{\rho + \rho^{-1}}{2}\right)\right) \subset E_\rho$$

and hence, by Lemma 3,

$$p_n = r_m \in \text{R.P.C. where } m \leq B_\varepsilon\left(\tan^{-1}\left(\frac{\rho + \rho^{-1}}{2}\right)\right)^{-(1+\varepsilon)} \cdot n.$$

The result is finished by substituting  $r_m$  into (1).

We have the following two theorems for approximating analytic functions by rational functions with positive coefficients.

**THEOREM 7.** *Let  $0 < \rho < 1$ . If  $f$  is analytic and never zero on  $E_\rho$  and  $f(x) > 0$  for  $x \in [0, 1]$ , then there exists a constant  $\gamma$  so that*

$$R_n^{++}(f; [0, \rho]) = O(1/\gamma^{\sqrt{n}})$$

where  $\gamma$  depends only on  $\rho$  and  $\delta$ .

Under stronger assumptions on  $f$  we recover exponential rates of convergence.

**THEOREM 8.** *Let  $0 < \delta < 1$ . Suppose that  $f(z) = \sum a_k z^k$ ,  $a_k$  real, is analytic in a region containing  $\{z: |z| \leq 1\}$  and suppose that*

$$f(x) > 0 \text{ for } x \in [0, 1].$$

Then there exists  $\eta > 1$  so that

$$B_n^{++}(f; [0, \delta]) = O(1/\eta^n)$$

where  $\eta$  is independent of  $n$ .

*Proof of Theorem 7.* By Theorem 4, there exists a sequence of polynomials  $p_n \in \Pi_n$  so that

$$(1) \quad \|f - p_n\|_{[0,1]} = O(1/\rho^n)$$

where each  $p_n$  has no roots in  $E_\rho$ . Since  $G(\tan^{-1}[(\rho + \rho^{-1})/2]) \subset E_\rho$  we deduce, from Lemma 4 with  $h = 1/\tan^{-1}[(\rho + \rho^{-1})/2]$  and  $m = in$ , that there exists  $r_{k_n} \in R_{2icn^2}^+$  so that

$$(2) \quad |p_n - r_{k_n}| \leq \frac{2nx^{in} \|p\|_{[0,1]}}{(1-x)^{en} - cnx^{in}}.$$

From (1) and (2) we have, for fixed  $i$  sufficiently large,

$$\|f - r_{k_n}\|_{[0,\delta]} = 0 \left[ \frac{1}{\rho^n} + \frac{n\delta^{in}}{(1-\delta)^{en}} \right].$$

Since  $k_n \leq 2icn^2$ , the result follows.

We need the next lemma in the proof of Theorem 8. Let  $D_\alpha$  be the open disc of radius  $\alpha$  centered at the origin.

LEMMA 5. *Let  $\beta > \alpha$ . Suppose  $f(z) = \sum_{k=0}^{\infty} a_k z^k$  is analytic on  $D_\beta$ . Then, for  $z \in D_\alpha$ ,*

$$f(z) = \frac{\sum_{k=0}^{\infty} (s_k(f; \alpha)/\alpha^k) z^k}{\sum_{k=0}^{\infty} z^k/\alpha^k}$$

where  $s_k(f; \alpha)$  is the  $k$ th Taylor polynomial of  $f$  evaluated at  $\alpha$ .

*Proof.* Let

$$g(z) = \frac{1}{1 - z/\alpha} = \sum_{k=0}^{\infty} z^k/\alpha^k.$$

Then,

$$\begin{aligned} f(z)g(z) &= \sum_{k=0}^{\infty} \left( \sum_{m=0}^k \frac{a_m}{\alpha^{k-m}} \right) z^k \\ &= \sum_{k=0}^{\infty} \frac{1}{\alpha^k} \left( \sum_{m=0}^k a_m \alpha^m \right) z^k \\ &= \sum_{k=0}^{\infty} \left[ \frac{s_k(f; \alpha)}{\alpha^k} \right] z^k. \end{aligned}$$

*Proof of Theorem 8.* By assumption,  $f$  is analytic in some disc  $D_\beta$  where  $\beta > 1$ . Setting  $\alpha = 1$  in Lemma 5 yields, for  $z \in D_1$ ,

$$f(z) = \frac{\sum_{k=0}^{\infty} s_k(f; 1) z^k}{\sum_{k=0}^{\infty} z^k}.$$

Since  $f(x) > 0$  for  $x \in [0, 1]$ , there exist  $N$  so that for  $n \geq N$ ,  $s_n(f; 1) > 0$  and so that  $\sum_{k=0}^N s_k(f; 1)x^k$  is strictly positive on  $[0, \infty]$ . For  $m \geq N$  set

$$(1) \quad r_m(z) = \frac{\sum_{k=0}^N s_k(f; 1)z^k}{\sum_{k=0}^m z^k} + \frac{\sum_{k=N+1}^m s_k(f; 1)z^k}{\sum_{k=0}^m z^k}.$$

The second term of the right side of (1) is an element of  $R_m^{++}$ . The first term has a fixed numerator which is positive on  $[0, \infty]$  and by Theorem 4, there exists a constant  $A$ , independent of  $m$ , so that this term is an element of  $R_{Am}^{++}$ . Thus, there exists  $A$  so that for each  $m \geq N$

$$r_m \in R_{Am}^{++}.$$

We finish the proof by observing that

$$\begin{aligned} \|f - r_m\|_{[0, \delta]} &= \left\| \frac{\sum_{k=0}^{\infty} s_k(f; 1)z^k}{\sum_{k=0}^{\infty} z^k} - \frac{\sum_{k=0}^m s_k(f; 1)z^k}{\sum_{k=0}^m z^k} \right\|_{[0, \delta]} \\ &\leq \left| \sum_{k=m+1}^{\infty} s_k(f; 1)\delta^k \right| + \|f\|_{[0, \delta]} \cdot \left| \sum_{k=m+1}^{\infty} \delta^k \right| \\ &= O(\delta^m). \end{aligned}$$

**5. Approximating continuous functions.** We prove the following three theorems:

**THEOREM 9.** *If  $f \in C[0, 1/2]$  and  $f \geq 0$  on  $[0, 1/2]$  then*

$$R_{m_n}^{++}(f; [0, 1/2]) \leq \|f\|_{[0, 1/2]} n 2^{n-m} + 2\omega(f, 1/\sqrt{n}).$$

**THEOREM 10.** *If  $f \in C[0, 1/2]$ ,  $f \geq 0$  on  $[0, 1/2]$  then for each  $\delta > 0$  there exists  $A_\delta$  depending only on  $\delta$  so that*

$$R_n^{++}(f; [0, 1/2]) \leq A_\delta \omega(f, 1/n^{1/(4+\delta)}).$$

**THEOREM 11.** *If  $f \in C^k[0, 1/2]$ ,  $f > 0$  on  $[0, 1/2]$  and  $f^{(k)} \in \text{lip } \alpha$ ,  $0 < \alpha < 1$ , then for each  $\delta > 0$  there exists  $A_\delta$  so that*

$$R_n^{++}(f; [0, 1/2]) \leq A_\delta \left[ \frac{1}{n^{1/(4+\delta)}} \right]^{k+\alpha},$$

where  $A_\delta$  is independent of  $n$ .

We have use the notation  $\omega(f, \cdot)$  for the modulus of continuity

of  $f$ .

We now collect the results we need to prove the above theorems. For  $f \in C[0, 1]$  we define the  $n$ th Bernstein polynomial by

$$B_n(x) = B_n(f; x) = \sum_{k=0}^n f(k/n) \binom{n}{k} x^k (1-x)^{n-k}.$$

**THEOREM 12.** ([5] p. 15.) *If  $f \in C[0, 1]$  then*

$$\|f(x) - B_n(f; x)\|_{[0,1]} \leq 2\omega(f, 1/\sqrt{n}).$$

**THEOREM 13** (Lorentz [1].) *If  $f \in C^k[0, 1]$ ,  $f > 0$  on  $[0, 1]$  and  $f^{(k)} \in \text{lip } \alpha$ ,  $0 < \alpha \leq 1$ , then there exists  $p_n$  a P.P.C. of degree  $n$  so that*

$$\|f(x) - p_n(x)\|_{[0,1]} \leq C \left( \frac{1}{\sqrt{n}} \right)^{k+\alpha}$$

where  $C$  is independent of  $n$ .

*Proof of Theorem 9.* We extend  $f$  to a continuous function on  $[0, 1]$  by setting, for  $x \in [0, 1/2]$

$$f\left(x + \frac{1}{2}\right) = f\left(x - \frac{1}{2}\right).$$

Then the modulus of continuity of  $f$  on  $[0, 1]$  is the same as the modulus of continuity of  $f$  on  $[0, 1/2]$ .

Consider  $B_n$  the  $n$ th Bernstein polynomial for  $f$ . Since  $f$  is nonnegative on  $[0, 1]$ ,  $B_n$  is a P.P.C. of degree  $n$  and  $\|B_n\|_{[0,1/2]} \leq \|f\|_{[0,1]}$ . Thus, by Lemma 1 with  $x \leq 1/2$  and Theorem 12,

$$\begin{aligned} R_{m+n}^{++}\left(f; \left[0, \frac{1}{2}\right]\right) &\leq R_{m+n}^{++}\left(B_n; \left[0, \frac{1}{2}\right]\right) + \|B_n - f\|_{[0,1/2]} \\ &\leq \|f\|_{[0,1]} n 2^{n-m} + 2\omega(f, 1/\sqrt{n}). \end{aligned}$$

Theorem 10 is a corollary to Theorem 9. We observe that it suffices to prove Theorem 10 under the assumption that  $f$  has a zero on  $[0, 1/2]$  and that under this assumption  $2\omega(f, 1/\sqrt{n}) \geq (1/n)\|f\|_{[0,1]}$ . The result is now completed by choosing  $m = n^\delta$  for small  $\delta$ , and applying Theorem 9.

Theorem 11 is proved analogously to Theorems 9 and 10. We first extend  $f$  to  $[0, 1]$  in such a way that  $f > 0$  on  $[0, 1]$  and so that  $f \in C^k[0, 1]$  with  $f^{(k)} \in \text{lip } \alpha$ . We now approximate this extended  $f$  by a P.P.C. as guaranteed by Theorem 13 and proceed as in the proofs of Theorem 9 and Theorem 10.

6. Remarks.

(1) D. J. Newman and A. R. Reddy [4] show that the best approximant to  $x^{n+1}$  from  $R_n^{++}$  on  $[0, 1]$  is a monomial  $\alpha x^n$  and that

$$R_n^{++}(x^{n+1}; [0, 1]) = \Pi_n^+(x^{n+1}; [0, 1]) \sim c/n .$$

This should be compared to the fact ([2] p. 31) that

$$\Pi_n(x^{n+1}; [0, 1]) = \frac{1}{2^{2n+1}} .$$

(2) The restriction that  $f$  be strictly positive is essential in Theorems 7 and 11.

LEMMA 6. Let  $0 < \alpha < \beta$ . If  $f(\alpha) = 0$

$$R_n^{++}(f; [\alpha, \beta]) \geq \frac{f(\beta)}{(1 + \beta^n/\alpha^n)} .$$

*Proof.* Let  $p_n/q_n$  be a best approximant to  $f$  from  $R_n^{++}$  on  $[\alpha, \beta]$ . Then we can write

$$p_n(x) = \sum_{k=0}^n a_k x^k \text{ where } a_k \geq 0 .$$

We have

$$p_n(\beta) = \sum_{k=0}^n a_k \beta^k = \sum_{k=0}^n \frac{\beta^k}{\alpha^k} a_k \alpha^k \leq \frac{\beta^n}{\alpha^n} p_n(\alpha)$$

and hence,

$$\begin{aligned} R_n^{++}(f; [\alpha, \beta]) &\geq f(\beta) - \frac{p_n(\beta)}{q_n(\beta)} \\ &\geq f(\beta) - \frac{\beta^n}{\alpha^n} \frac{p_n(\alpha)}{q_n(\alpha)} . \end{aligned}$$

Since

$$\frac{p_n(\alpha)}{q_n(\alpha)} \leq f(\alpha) + R_n^{++}(f; [\alpha, \beta])$$

we have

$$R_n^{++}(f; [\alpha, \beta]) \geq f(\beta) - \frac{\beta^n}{\alpha^n} R_n^{++}(f; [\alpha, \beta]) .$$

Suppose that  $f$  is continuous on  $[0, 1]$  and  $f(1/2) = 0$ . If we set  $\alpha = 1/2$  and  $\beta = 1/2 + 1/2n$  in Lemma 6 then

$$R_n^{++}(f: [0, 1]) \geq R_n^{++}\left(f: \left[\frac{1}{2}, \frac{1}{2} + \frac{1}{2}n\right]\right) \geq \frac{f(1/2 + 1/2n)}{(1 + e)}.$$

In particular

$$R_n^{++}\left(\left(x - \frac{1}{2}\right)^2: [0, 1]\right) \geq \frac{1}{4n^2} \frac{1}{(1 + e)}.$$

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