

# Pacific Journal of Mathematics

**HOMOTOPY DIMENSION OF SOME ORBIT SPACES**

VO THANH LIEM

# HOMOTOPY DIMENSION OF SOME ORBIT SPACES

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The homotopy dimension of a compact absolute neighborhood retract space  $X$  is defined to be the least dimension among all the finite CW-complexes which have the same homotopy type of  $X$ . We show that actions of finite groups or actions of tori (with finite orbit types) on a finite-dimensional compact absolute neighborhood retract  $X$  do not raise homotopy dimension if the homotopy dimension of  $X$  is not two.

1. Introduction and preliminaries. Through this note, all actions are of finite types.

In [7], Oliver gave an affirmative answer to Conner's conjecture: "The orbit space of an action of a compact Lie group on a finite-dimensional AR is an AR". From West [10], it follows that every compact absolute neighborhood retract  $X$  (CANR  $X$ ) has the homotopy type of a finite complex. So, we can define the homotopy dimension (h.d.) of a CANR  $X$  by

$$\text{h.d.}(X) = \min \{ \dim K \mid K \text{ is a finite complex and } K \cong X \} .$$

On the other hand, Conner [5] has shown that the orbit space of an action of a compact Lie group on a finite-dimensional CANR is a CANR. It is natural to wonder whether the actions of a compact Lie group on a CANR can raise the homotopy dimension. We will show that the homotopy dimension does not increase when  $\text{h.d.}(X) \neq 2$  and when the action comes from either a finite group or a toral group.

Combining a well-known result of Wall (Thm. F, [8]) and the result of West [10] (mentioned above), we can easily obtain the following lemma that will be needed in the sequel.

LEMMA 0. A CANR has the homotopy type of a  $k$ -dimensional finite complex if and only if  $H_q(\tilde{X}; Z) = 0$  for all  $q > k$  and  $H^{k+1}(X; \beta) = 0$  for every coefficient bundle  $\beta$  of  $Z\pi_1(X)$ -modules over  $X$  if  $k \neq 2$ . Moreover, if  $H_q(\tilde{X}; Z) = 0$  for  $q > 2$  and  $H^2(X; \beta) = 0$ ; then  $\text{h.d.}(X) \leq 3$ .

2. Orbits of action of finite groups. Let  $G$  be a cyclic group of order  $p$  with a generator  $g$ . The notation in [1] will be used as follows  $1 - g$  and  $1 + g + \dots + g^{p-1}$  will be denoted respectively by  $\tau$  and  $\sigma$ . If one of these is denoted by  $\rho$ , the other will be denoted

by  $\bar{\rho}$ . If  $\beta$  is a sheaf of  $Z_p$ -modules over  $X/G$ , let  $\underline{A}$  denote the sheaf

$$\{H^0(\pi^{-1}y; \pi^*\beta | \pi^{-1}y) | y \in X/G\} \text{ over } X/G,$$

where  $\pi^*\beta$  is the pull back of  $\beta$  associated with the orbit map  $\pi: X \rightarrow X/G$ . If  $U$  is an open subset of  $X/G$ , let  $\underline{A}_U$  denote the sheaf

$$[\cup \{H^0(\pi^{-1}y; \pi^*\beta | \pi^{-1}y) | y \in U\}] \cup \{0_y | y \in X/G\}$$

and let  $\underline{A}_F$  ( $F$  closed in  $X/G$ ) denote  $\underline{A}/\underline{A}_{(X/G)-F}$  (refer to page 41 of [1]).

It will be convenient to establish the following preliminary lemmas before we begin the proof of the main result.

LEMMA 1. *Let  $G = Z_p$ ,  $p$  prime, act on a CANR  $X$  with fixed point set  $F$ . Assume that  $m = \dim X < \infty$  and that  $\beta_p$  is a bundle of coefficients of  $Z_p\pi_*(X/G)$ -modules over  $X/G$ . If h.d.  $(X) \leq k$ , then  $H^q(X/G; \beta_p) = 0$  for all  $q \geq k + 1$ .*

*Proof.* Think of  $\rho$  and  $\bar{\rho}$  as endomorphisms of the sheaf  $\underline{A}$  and denote their images respectively by  $\rho\underline{A}$  and  $\bar{\rho}\underline{A}$ . Since  $Z_p$  is a field, it follows that the following sequence of sheaves over  $X/G$

$$0 \longrightarrow \bar{\rho}\underline{A} \longrightarrow \underline{A} \xrightarrow{\rho \oplus \eta} \rho\underline{A} \oplus \underline{A}_F \longrightarrow 0$$

is exact, where  $\bar{\rho}\underline{A} \rightarrow \underline{A}$  is the inclusion and where  $\eta: \underline{A} \rightarrow \underline{A}_F$  is the quotient homomorphism (Lemma 4.1 of [1]). This sequence induces an exact cohomology sequence

$$\begin{aligned} \dots &\longrightarrow H^n(X/G; \bar{\rho}\underline{A}) \longrightarrow H^n(X/G; \underline{A}) \\ &\longrightarrow H^n(X/G; \rho\underline{A}) \oplus H^n(X/G; \underline{A}_F) \longrightarrow \dots \end{aligned}$$

Let  $H^n(\rho)$  denote  $H^n(X/G; \rho\underline{A})$ . Observe  $H^n(X/G; \underline{A}_F) = H^n(F; \beta_p | F)$ ; then, from the above cohomology sequence and the fact that  $H^n(X/G; \underline{A}) \cong H^n(X; \pi^*\beta_p)$  (see page 35, [1]), there are the following exact sequences:

$$\begin{aligned} H^q(X; \pi^*\beta_p) &\longrightarrow H^q(\sigma) \oplus H^q(F; \beta_p | F) \longrightarrow H^{q+1}(\tau), \\ H^{q+1}(X; \pi^*\beta_p) &\longrightarrow H^{q+1}(\tau) \oplus H^{q+1}(F; \beta_p | F) \longrightarrow H^{q+2}(\sigma), \\ \vdots &\qquad \qquad \qquad \vdots \qquad \qquad \qquad \vdots \\ H^m(X; \pi^*\beta_p) &\longrightarrow H^m(\rho) \oplus H^m(F; \beta_p | F) \longrightarrow H^{m+1}(\bar{\rho}). \end{aligned}$$

Since h.d.  $(X) \leq k$ , it follows from Lemma 0 that  $H^n(X, \pi^*\beta_p) = 0$ , for all  $n \geq q \geq k + 1$ . On the other hand,  $H^{m+1}(\bar{\rho}) = 0$  since  $\dim X = m < \infty$ . Thus, we can show inductively that

- (1)  $H^q(X/G, F; \beta_p) = H^q(\sigma) = 0$ , and
- (2)  $H^q(F; \beta_p | F) = 0$ .

Hence, from the exact sequence of the pair  $(X/G, F)$ ,

$$\cdots \longrightarrow H^q(X/G, F; \beta_p) \longrightarrow H^q(X/G; \beta_p) \longrightarrow H^q(F; \beta_p|_F) \longrightarrow \cdots ,$$

it follows that  $H^q(X/G; \beta_p) = 0$ ; and the proof of lemma is complete.

**LEMMA 2.** *Let  $G = Z_p$ ,  $p$  prime, act on a CANR  $X$  with fixed point set  $F \neq \emptyset$ . Assume that  $\dim X = m < \infty$  and that  $\beta$  is a bundle of coefficients of  $Z\pi_1$ -modules over  $X/G$ . Then  $H^q(X/G; \beta) = 0$  for all  $q \geq k + 1$ , if h.d.  $(X) \leq k$ .*

*Proof.* Consider the following diagram

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H^q(X/G; \beta) & \xrightarrow{\times p} & H^q(X/G; \beta) & \longrightarrow & H^q(X/G; \beta_p) \longrightarrow \cdots \\ & & \searrow \pi^* & & \nearrow \mu^* & & \\ & & & & & & H^q(X; \pi^*\beta) = 0 \end{array}$$

where  $\mu^*$  is the transfer map [1] and where the horizontal exact sequence is from the exact sequence of bundles of coefficients over  $X/G$ :

$$0 \longrightarrow \beta \xrightarrow{\times p} \beta \longrightarrow \beta_p \longrightarrow 0 .$$

So, it follows easily that  $H^q(X/G; \beta) = 0$  if  $q \geq k + 1$ , since  $H^q(X; \pi^*\beta) = 0$  by Lemma 0 and  $H^q(X/G; \beta_p) = 0$  by Lemma 1. The proof is now complete.

**LEMMA 3.** *Let a finite group  $G$  act on  $X$  with fixed point set  $F \neq \emptyset$ . If  $X$  has the homotopy type of a simplicial complex  $K^k$ , then  $H_q(\widetilde{X}/G; Z) = 0$  for all  $q > k$ .*

*Proof.* Let  $\pi^*(\widetilde{X}/G)$  be the pullback of the universal covering space  $p: \widetilde{X}/G \rightarrow X/G$  associated with the orbit map  $\pi: X \rightarrow X/G$ . Then, the induced map  $\bar{P}: \pi^*(\widetilde{X}/G) \rightarrow X$  is a covering map and the lifting map  $\pi^*$  of  $\pi$  is the orbit map of the induced action of  $G$  on  $\pi^*(\widetilde{X}/G)$ . Now, since  $X \cong K^k$ , it follows that  $H_q(\pi^*(\widetilde{X}/G), Z) = 0$  for  $q \geq k + 1$ . Then, the Smith theorem in the integral homology theory shows that  $H_q(\widetilde{X}/G, Z) = 0$  for  $q \geq k + 1$ . (Similar to the proof of Lemma 2 above by use of the transfer map  $\mu_*$  on page 119 of [3].) Hence, the proof is complete.

**THEOREM 1.** *Suppose that a finite group  $G$  acts on a finite dimensional CANR  $X$ . If h.d.  $(X) \leq k$  and  $k \neq 2$ , then h.d.  $(X/G) \leq k$ . If  $k = 2$ , h.d.  $(X/G) \leq 3$ .*

*Proof. Step 1.  $G = Z_p, p$  prime.*

*Case 1.  $F = \emptyset$ . See Lemma 2 of [6].*

*Case 2.  $F \neq \emptyset$ . It follows from Lemma 2 and Lemma 3 above that*

(1)  $H^q(X/G; \beta) = 0, q \geq k + 1$  and for any bundle coefficient  $\beta$  over  $X/G$ ,

(2)  $H_q(X/G; Z) = 0, q \geq k + 1$ .

So, it follows from Lemma 0 that  $\text{h.d.}(X) \leq k$ .

*Step 2.  $G$  is cyclic of order  $p^n, p$  prime.* We prove inductively on  $|G|$ , the order of  $G$ . Let  $H$  be a subgroup of  $G$  of order  $p^{n-1}$  then,  $\text{h.d.}(X/H) \leq k$  by induction hypothesis and the proof is complete by Step 1.

*Step 3.  $G$  is a finite  $p$ -group.* First, by an inductive proof as in Step 2 we may assume that  $G$  is abelian, since  $G$  is solvable. Therefore, we can write  $G = Z_p^{n_1} \oplus \dots \oplus Z_p^{n_k}$ . Then, again an inductive proof as above will complete the proof for this case.

*Step 4. General case.* The proof will be similar to that of Theorem III. 5.2 in [1].

Suppose that  $|G| = p_1^{n_1} \dots p_s^{n_s}$  and that  $K_j$  is a  $p_j$ -Sylow subgroups of  $G$ , and denote  $\pi_{2,j}$  the canonical map  $X/K_j \rightarrow X/G$  for  $j = 1, 2, \dots, s$  as in [1]. Define  $\pi': H^*(X/G; \beta) \rightarrow \sum_{j=1}^s H^*(X/K_j; \pi_{2,j}^* \beta)$  by

$$\pi' = \pi_{2,1}^* + \dots + \pi_{2,s}^* .$$

Observe that  $H^q(X/K_j; \pi_{2,j}^* \beta) = 0$  for  $q \geq k + 1$  and  $j = 1, 2, \dots, s$  by Step 3 above. Hence, if we can show that  $\pi'$  is injective, then  $H^q(X/G; \beta) = 0$  for  $q \geq k + 1$ . Therefore, the theorem will follow by Lemma 0 and Lemma 3 above.

Now, let  $\mu'_j: H^*(X/K_j; \pi_{2,j}^* \beta) \rightarrow H^*(K/G; \beta)$  be the transfer map [1] such that  $\mu'_j \pi_{2,j}^*$  is the multiplication by  $|G|/|K_j|$ . If  $r \in \text{Ker } \pi'$ , then we have  $|G|/|K_j| \cdot r = \mu'_j \pi_{2,j}^*(r) = 0$  for each  $j = 1, 2, \dots, s$ , since  $\pi_{2,j}^* = 0$ . Therefore, for each  $j = 1, 2, \dots, s$

$$(p_1^{n_1} \dots p_{j-1}^{n_{j-1}} p_{j+1}^{n_{j+1}} \dots p_s^{n_s}) \cdot r = 0 .$$

Since the family  $p_1^{n_1} \dots p_{j+1}^{n_{j+1}} p_{j+1}^{n_{j+1}} \dots p_s^{n_s}, j = 1, 2, \dots, s$ , is relatively prime, it follows that  $r = 0$ , and the proof is now complete.

### 3. Orbits of actions of total groups.

LEMMA 4. *Suppose that the circle group  $S^1$  acts on a finite-*

dimensional CANR  $X$ . If  $\text{h.d.}(X) \leq k$ , then  $H^q(X/S^1; \beta) = 0$  for all  $q \geq k + 1$  and for all bundles of coefficients  $\beta$  over  $X/S^1$ .

*Proof.* Assume that  $H_1, \dots, H_s$  are finite isotropy subgroups of the action. Let  $G$  be a finite cyclic subgroup of  $S^1$  such that  $H_1, \dots, H_s$  are subgroups of  $G$ . Then  $\text{h.d.}(X/G) \leq k$  by the theorem above. So, we may assume that the action is semi-free, i.e., it has only two orbit types  $\{e\}$  and  $S^1$ . Let  $\beta$  be a bundle of coefficients of  $Z\pi_1$ -modules over  $X/S^1$ , where  $\pi_1 = \pi_1(X/S^1)$ . From Lemma 0, it follows that  $H^q(X; \pi^*\beta) = 0$  for all  $q \geq k + 1$ , where  $\pi: X \rightarrow X/S^1$  is the orbit map.

*Case 1.*  $F = \emptyset$ . Since the action is free,  $\{H^0(\pi^{-1}y; \pi^*\beta): y \in X/S^1\} = \beta$  and  $\{H^1(\pi^{-1}y; \pi^*\beta): y \in X/S^1\} = \beta$ . An observations on Leray spectral sequence (as in Case 2) proves the lemma for this case.

*Case 2.*  $F \neq \emptyset$ . Since  $\pi^{-1}(y) = \{e\}$  or  $S^1$ , we have

- (1)  $E_2^{q,0} = H^q(X/S^1; H^0(\pi^{-1}y; \pi^*\beta | \pi^{-1}y)) = H^q(X/S^1; \beta)$ ,
- (2)  $E_2^{q,1} = H^q(X/S^1; H^1(\pi^{-1}y; \pi^*\beta | \pi^{-1}y)) = H^q(X/S^1, F; \beta)$ , and
- (3)  $E_2^{s,s} = 0$  if  $s \geq 2$ .

We now proceed by induction on  $q$ . Since  $\dim X < \infty$ , we may assume that  $H^q(X/S^1; \beta) = 0$  for  $q \geq k + 2$ , then we will show that  $H^{k+1}(X/S^1; \beta) = 0$ .

*Step 1.* To show that  $H^q(X/S^1, F; \beta) = 0$  for  $q \geq k + 1$ . By the induction hypothesis, we observe that for each  $q \geq k + 2$ , the  $E_2$ -term,  $E_2^{q,0}$ , of the Leray spectral sequence for the map  $\pi$  (page 140, [2]) is trivial, since  $E_2^{q,0} = H^q(X/S^1; \beta)$  by (1). Observing the Leray spectral sequence  $\{E_2^{q,s}\}$  of  $\pi$ , we can show that for all  $r \geq 2$

(a)  $E_r^{k+1,1} = E_2^{k+1,1}$ ,

and

(b)  $E_r^{k+2,0} = 0$ ;

therefore,

(a<sup>1</sup>)  $E_\infty^{k+1,1} = H^{k+1}(X/S^1, F; \beta)$  by (2),

and

(b<sup>1</sup>)  $E_\infty^{k+2,0} = 0$ .

Now, from the convergence of  $\{E_2^{q,s}\}$  to  $H^*(X; \pi^*\beta)$  and from the fact that  $H^{k+2}(X; \pi^*\beta) = 0$  by Lemma 0, we can show that  $H^{k+1}(X/S^1, F; \beta) = 0$ .

*Step 2.* To show that  $H^q(X, F; \pi^*\beta) = 0$  for  $q \geq k + 2$ . Consider the Leray spectral sequence (page 140, [2]) of the map of pairs  $\pi: (X, F) \rightarrow (X/S^1, F)$ . First we observe that the sheaf  $\xi = \{H^0(\pi^{-1}y, \pi^{-1}(y \cap F); \pi^*\beta | \pi^{-1}y) | y \in X/S^1\}$  and the sheaf  $\eta = \{H^1(\pi^{-1}y,$

$\pi^{-1}(y \cap F); \pi^*\beta|\pi^{-1}y|y \in X/S^1\}$  are the same over  $X/S^1$ , since  $\pi^{-1}(y) = \{e\}$  or  $S^1$ . Moreover, from the definition of the relative cohomology (Prop. II. 12.2, [2]), it follows that  $H^*(X/S^1, F; \beta) = H^*(X/S^1; \xi)$ . Then, from Step 1 it follows that

$$E_2^{q,s} = \begin{cases} H^q(X/S^1, F; \beta) = 0 & \text{if } q \geq k + 1 \\ 0 & \text{if } s \geq 2. \end{cases}$$

Therefore,  $E_\infty^{q,s} = 0$  when  $q + s \geq k + 2$ . Consequently, for  $q \geq k + 2$   $H^q(X, F; \beta) = 0$ , since  $\{E_2^{q,s}\}$  converges to  $H^*(X, F; \beta)$ .

*Step 3.* To show that  $H^q(X/S^1; \beta) = 0$  for  $q \geq k + 1$ . First, from the exact cohomology sequence of the pair  $(X, F)$  and from the fact of  $H^q(X, F; \pi^*\beta) = 0$  for  $q \geq k + 2$ , it follows that  $H^q(F; \pi^*\beta|F) = 0$  for  $q \geq k + 1$ . Then, we observe that  $H^*(F; \pi^*\beta|F) = H^*(F; \beta|F)$ , since  $F$  is the fixed point set. So,  $H^q(F; \beta|F) = 0$  for  $q \geq k + 1$ . Therefore, the exactness of the cohomology sequence of the pair  $(X/S^1, F)$  shows that  $H^q(X/S^1; \beta) = 0$  for  $q \geq k + 1$ , since  $H^q(X/S^1, F; \beta) = 0$  by Step 1, and the proof of lemma is now complete.

**THEOREM 2.** Suppose that  $T^m$  acts on a finite-dimensional CANR  $X$ . Then

(1)  $\text{h.d.}(X/T^m) \leq \text{h.d.}(X)$  if  $\text{h.d.}(X) \neq 2$ ,

and

(2)  $\text{h.d.}(X/T^m) \leq 3$  if  $\text{h.d.}(X) = 2$ .

*Proof.* By induction  $m$ , without loss of generality we only consider the actions of  $S^1$ . By Lemmas 0 and 4, we only have to show that  $H_q(\widetilde{X/S^1}; Z) = 0$  for all  $q \geq k + 1$ . Again, by Lemma 4 above,  $H^q(\widetilde{X/S^1}; Z) = 0$  for all  $q \geq k + 1$ ; therefore  $\text{Ext}(H_{q-1}(\widetilde{X/S^1}); Z) = 0$  and  $\text{Hom}(H_q(\widetilde{X/S^1}; Z); Z) = 0$  for all  $q \geq k + 1$  by the universal-coefficient theorem (Thm. 5.5.3 in [8]). Hence, for each  $q \geq k + 1$   $\text{Ext}(H_q(\widetilde{X/S^1}; Z); Z) = 0$  and  $\text{Hom}(H_q(\widetilde{X/S^1}; Z); Z) = 0$ ; and it follows from Theorem V. 13.7 in [2] that  $H_q(\widetilde{X/S^1}; Z) = 0$ . The proof is now complete.

**COROLLARY.** Let  $G$  be a compact Lie group such that  $|G/G_0|$  is finite, where  $G_0$  is the torus identity component of  $G$ . Let  $G$  act on a finite-dimensional CANR  $X$ . Then,

(1) if  $\text{h.d.}(X) \neq 2$ , then  $\text{h.d.}(X/G) \leq \text{h.d.}(X)$ ,

(2) if  $\text{h.d.}(X) = 2$ , then  $\text{h.d.}(X/G) \leq 3$ .

We conclude this paper by some remarks.

REMARKS. (1) It is a well-known problem in infinite-dimensional topology to determine whether the orbit space of an action of compact Lie group on the Hilbert cube  $\prod_1^\infty [0, 1]$  is a CAR. This explains (maybe) the condition  $\dim X < \infty$  in the above statements.

(2) The limitation, when  $\text{h.d.}(X) = 2$ , is from an unsettled problem.

(3) The author does not see how to extend these results for the case of actions of compact Lie groups on a CANR.

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