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## **A SPECTRAL MAPPING THEOREM FOR LOCALLY COMPACT GROUPS OF OPERATORS**

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# A SPECTRAL MAPPING THEOREM FOR LOCALLY COMPACT GROUPS OF OPERATORS

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 LASZLO ZSIDO

**If  $U$  is a suitably continuous representation of a locally compact abelian group  $G$  by means of isometries on a Banach space  $X$ ,  $\mu \rightarrow U(\mu)$  its extension to a representation of the convolution algebra  $M(G)$  and  $\text{sp}(U)$  the spectrum of  $U$ , then the spectrum of  $U(\mu)$  is not always equal to  $\hat{\mu}(\text{sp}(U))^-$ , but it is so if the continuous part of  $\mu$  is absolutely continuous.**

1. **Introduction.** To be more explicit, given a representation  $U$  of  $G$  as above one forms a representation of  $M(G)$ , the Banach algebra of bounded regular measures on  $G$ , given by

$$U: \mu \in M(G) \longrightarrow U(\mu) = \int U(g) d\mu(g) \in B(X) .$$

In particular, if  $G = \mathbf{R}$ ,  $U(\mu)$  can be interpreted in a more classical way as a function of the infinitesimal generator  $D = i(d/dg)U(g)|_{g=0}$  and denoted by  $\hat{\mu}(D)$ , where  $\hat{\mu}$  is the Fourier transform of  $\mu$ . Notice that in this case  $\sigma(D) = \text{sp}(U)$  [5, 9], where  $\sigma$  is the usual spectrum of the linear operator  $D$  and  $\text{sp}(U)$  is the spectrum of the representation  $U$  (see [2]).

Thus it is natural to study how far this functional calculus can be extended and a spectral mapping theorem holds. The setting of our study will be the algebra of local multipliers of  $L^1(G)$ .

If  $\mu$  is a Dirac measure, A. Connes [3] proved that

$$\sigma(U(\mu)) = \hat{\mu}(\text{sp}(U))^- .$$

Even if such a result does not always extend (we shall exhibit counterexamples) we prove it for the class of measures whose continuous part belongs to  $L^1(G)$ .

2. **Statement of the main result.** Let  $G$  be a locally compact abelian group; by a representation  $U$  of  $G$  on a Banach space  $X$  we mean a pointwise  $\sigma(X, X^*)$ -continuous homomorphism of  $G$  into the group of  $\sigma(X, X^*)$ -continuous isometries of  $X$ , where  $X^*$  is the dual of  $X$  or  $X$  is the dual of  $X^*$ . The case of bounded representations reduces to this one.

Let  $M(G)$  be the Banach algebra of all bounded regular measures on  $G$ . Given any algebra  $L^1(G) \subset M \subset M(G)$ , we can form the representation of  $M$  induced by  $U$ :

$$(2.1) \quad U(\mu) = \int U(g)d\mu(g), \quad \mu \in M.$$

The spectrum of  $U$  is a closed subset of the dual  $\hat{G}$  of  $G$  defined by means of Fourier transforms (see [2] for this and related matter)

$$(2.2) \quad \text{sp}(U) = \{p \in \hat{G} / U(\mu) = 0 \implies \hat{\mu}(p) = 0, \quad \mu \in M\}$$

and it does not depend on  $M$ .

The following lemma will be later generalized.

**LEMMA 1.** *For every  $\mu \in M(G)$  we have  $\sigma(U(\mu)) \supset \hat{\mu}(\text{sp}(U))^-$ , where  $\sigma$  denotes the usual spectrum in  $B(X)$ .*

*Proof.* We have to show that if  $p \in \text{sp}(U)$ , then  $\hat{\mu}(p) \in \sigma(U(\mu))$ . Indeed if  $p \in \text{sp}(U)$  there exists a net  $\{x_i\} \subset X$ ,  $\|x_i\| = 1$ , such that  $\|U(g)x_i - (p, g)x_i\| \rightarrow 0$  uniformly for  $g$  varying in a compact subset  $K \subset G$ . Let  $\varepsilon > 0$  and  $K \subset G$  such that  $|\mu|(G \setminus K) < \varepsilon/4$  and choose  $x \in \{x_i\}$  such that

$$\left\| \int_K U(g)d\mu(g)x - \int_K (p, g)d\mu(g)x \right\| < \varepsilon/2$$

then

$$\begin{aligned} \|U(\mu)x_i - \hat{\mu}(p)x_i\| &\leq \left\| \int_K U(g)d\mu(g)x_i - \int_K (p, g)d\mu(g)x_i \right\| \\ &\quad + \left\| \int_{G \setminus K} U(g)d\mu(g)x_i - \int_{G \setminus K} (p, g)d\mu(g)x_i \right\| < \varepsilon \end{aligned}$$

that entails the lemma.  $\square$

**REMARK 1.** The reverse inclusion in the above lemma is not true for every  $\mu \in M(G)$ : in fact, if  $G$  is not discrete,  $X = L^1(G)$  and  $U(g)$  is the translation by  $g$ , then, due to asymmetry of  $M(G)$ , there exists  $\mu_0 \in M(G)$  such that  $\sigma(U(\mu_0)) \neq \hat{\mu}_0(\hat{G})^-$  (see [11]). By the same reasoning we can give a counterexample for automorphism groups of factors. Indeed let  $\alpha = U'$  be the transposed action on  $L^\infty(G)$ ; we have  $\hat{\mu}_0(\hat{G}) \not\subseteq \sigma(U(\mu_0)) = \sigma(U'(\mu_0)) = \sigma(\alpha(\mu_0))$ . If  $\tilde{\alpha}$  is an extension of  $\alpha$  to  $B(L^2(G))$ , then  $\hat{\mu}_0(\hat{G}) \not\subseteq \sigma(\alpha(\mu_0)) \subset \sigma(\tilde{\alpha}(\mu_0))$ .

**THEOREM 1.** *For every  $\mu \in M(G)$  whose continuous part belongs to  $L^1(G)$  we have  $\sigma(U(\mu)) = \hat{\mu}(\text{sp}(U))^-$ .*

The proof of this theorem requires some lemmas.

**3. Identification of spectra.** Let  $M$  be a subset of  $M(G)$ ; by

$A(M)$  we denote the closure in  $B(X)$  of  $\{U(\mu): \mu \in M\}$ . We recall the following identification of  $\text{sp}(U)$ . [3, Prop. 2.3.7].

PROPOSITION 2. *The map  $p \in \text{sp}(U) \rightarrow j(p) \in \sigma(A(L^1(G)))$  defined by*

$$(3.1) \quad j(p)(U(f)) = \hat{f}(p) \quad f \in L^1(G)$$

*establishes an homeomorphism of  $\text{sp}(U)$  onto the spectrum of  $A(L^1(G))$ .*

If  $M$  is a Banach algebra and  $L^1(G) \subset M \subset M(G)$  we split  $\sigma(A(M))$  into two disjoint sets  $\sigma(A(M)) = H(M) \cup \Omega(M)$  where  $H(M) = \{\chi \in \sigma(A(M)) / \chi \upharpoonright A(L^1(G)) = 0\}$  and  $\Omega(M)$  is the complementary subset.

LEMMA 3. (i) *The map  $\chi \in \Omega(M) \rightarrow \chi \upharpoonright A(L^1(G))$  is an homeomorphism of  $\Omega(M)$  onto the spectrum of  $A(L^1(G))$ .*

(ii) *Let  $\pi: A(M) \rightarrow A(M)/A(L^1(G))$  be the quotient map. Then  $\varphi \in \sigma(A(M)/A(L^1(G))) \rightarrow \varphi \cdot \pi$  is an homeomorphism of  $\sigma(A(M)/A(L^1(G)))$  onto  $H(M)$ .*

*Proof.* (i) Let  $\chi_0 \in \sigma(A(L^1(G)))$ . By Proposition 2 there exists  $p \in \text{sp}(U)$  such that  $j(p) = \chi_0$ . It is enough to show that  $\chi_0$  uniquely extends to  $\chi \in \sigma(A(M))$  determined by  $\chi(U(\mu)) = \hat{\mu}(p)$ . In fact let  $f \in L^1(G)$ ,  $\hat{f}(p) \neq 0$ . Then  $\chi(U(\mu)U(f)) = \chi(U(\mu^*f))$ ,  $\mu \in M$ , thus  $\chi(U(\mu))\hat{f}(p) = \hat{\mu}(p)\hat{f}(p)$  and  $\chi(U(\mu)) = \hat{\mu}(p)$  for any extension  $\chi$  of  $\chi_0$ .

(ii) This fact is known to be valid in more general situations [10, §15]. □

Let  $G_d$  be the group obtained equipping  $G$  with the discrete topology and  $U_d$  the representation of  $G_d$  naturally derived by  $U$ . It follows that  $\text{sp}(U_d) = \text{sp}(U)^-$  [2], where the closure will be always taken in  $\hat{G}_d$  the Bohr compactification of  $\hat{G}$ . Proposition 2, with  $G = G_d$ , gives rise to a natural identification of  $\text{sp}(U_d)$  with  $\sigma(A(M_d(G)))$

$$(3.2) \quad \begin{aligned} p \in \text{sp}(U_d) &\longrightarrow j_d(p) \in \sigma(A(M_d)) \\ j_d(p)(U(\mu)) &= \hat{\mu}(p) \end{aligned}$$

where  $M_d(G) = M(G_d) = L^1(G_d)$  is the Banach algebra of discrete measure on  $G$  and  $\hat{\mu}$  is the Fourier transform of  $\mu$  as an element of  $L^1(G_d)$ .

The Banach algebra of measures of interest to us will be

$$\mathcal{M} = \{\mu \in M(G) / \mu_c \in L^1(G)\}$$

where  $\mu_c$  is the continuous part of  $\mu$ . Let  $\mathcal{A} = A(\mathcal{M})$ ,  $\mathcal{H} = H(\mathcal{M})$  and  $\Omega = \Omega(\mathcal{M})$  which is homeomorphic to  $\text{sp}(U)$ . We define

$$(3.3) \quad \text{sp}_d(U) = \{p \in \text{sp}(U_a) / \exists \chi \in \mathcal{H} \text{ s.t. } j_d(p) = \chi \upharpoonright A(M_d)\}.$$

LEMMA 4. *If  $G$  is nondiscrete  $\text{sp}_d(U)$  is naturally homeomorphic to  $\mathcal{H}$  by the following map:*

$$(3.4) \quad p \in \text{sp}_d(U) \longrightarrow \chi \upharpoonright A(M_d) = j_d(p).$$

*Proof.* If  $\chi \in \mathcal{H}$  and  $\chi \upharpoonright A(M_d) \neq 0$ , then by (3.2) there exists  $p \in \text{sp}(U_a)$  such that  $\chi(U(\mu)) = \hat{\mu}(p)$  for every  $\mu \in M(G_d)$ . Obviously  $j_d(p) = \chi \upharpoonright A(M_d)$ , therefore  $p \in \text{sp}_d(U)$  by definition, and the map in (3.4) is continuous. On the other hand for any  $p \in \text{sp}_d(U)$ ,  $j_d(p)$  extends to  $\chi \in \mathcal{H}$  by  $\chi(U(\mu)) = \hat{\mu}(p)$  establishing a continuous inverse of the above map.  $\square$

4. **Topological lemmas.** Let  $G$  and  $G_d$  be as above. We shall identify  $M_d(G)$  and  $L^1(G_d)$ . No confusion will arise since, if  $\mu \in L^1(G_d)$ , then  $\hat{\mu} \upharpoonright \hat{G}$  is the Fourier transform of  $\mu$  as an element of  $M_d(G)$ .

LEMMA 5. *For each compact subset  $K$  in  $\text{sp}(U)$  we have*

$$\text{sp}_d(U) \subset \overline{\text{sp}(U) \setminus K}.$$

*Proof.* Let us assume that there is a  $p \in \text{sp}_d(U)$  such that  $p$  does not belong to  $\overline{\text{sp}(U) \setminus K}$ . This will lead to a contradiction. Indeed if the thesis is not fulfilled there is an open set  $V$  in  $\hat{G}_d$  such that  $V$  contains  $p \in \text{sp}_d(U)$  and  $V \cap \overline{\text{sp}(U) \setminus K} = \emptyset$ . This means that  $V \cap \overline{\text{sp}(U)} \subset K$ . Let  $\mu$  be a measure in  $L^1(G_d) = M(G_d)$  such that  $\text{supp}(\hat{\mu}) \subset V$ ,  $\hat{\mu}(p) = 1$ . Therefore  $\text{supp}(\hat{\mu}) \cap \text{sp}(U) \subset K$ . As  $K$  is compact there exists  $f \in L^1(G)$  such that  $U(\mu) = U(f)$ . If  $\chi \in H$  is the character corresponding to  $p \in \text{sp}_d(U)$  as in (2.4), then  $\chi(U(f)) = 0$  and  $0 = \chi(U(f)) = \chi(U(\mu)) = 1$ .  $\square$

The following lemma can be proved by elementary consideration.

LEMMA 6. *Let  $K$  be a compact set,  $F$  a closed set with  $K \subset F \subset \hat{G}$ . Then  $\overline{F \setminus K} \subset \overline{F} \setminus \overline{K}$ , where, as always, the closure are taken in  $\hat{G}_d$ . In particular for any compact set  $K \subset \text{sp}(U)$ , we have  $\text{sp}_d(U) \subset \overline{\text{sp}(U) \setminus K}$ .*

5. **Proof of Theorem 1.** Let  $\mu \in M(G)$  be such that  $\mu = \mu_e + \mu_d$  with  $\mu_e \in L^1(G)$  and  $\mu_d \in M_d(G)$ . We have to show that  $\hat{\mu}(\text{sp}(U))^- \supset \sigma(U(\mu))$ . Since  $\sigma(U(\mu)) \subset \sigma_A(U(\mu))$  (where  $\sigma_A$  is the spectrum relative to  $\mathcal{A}$ ), it is sufficient to prove that

$$\hat{\mu}(\text{sp}(U))^- \supset \sigma_A(U(\mu)).$$

It is enough to show that if  $0 \notin \widehat{\mu}(\text{sp}(U))^-$  then  $U(\mu)$  is invertible in  $\mathcal{A}$ . That is  $\chi(U(\mu)) \neq 0$  for every  $\chi \in \sigma(\mathcal{A})$ . Assume  $0 \notin \widehat{\mu}(\text{sp}(U))^-$  and let  $\varepsilon_0 > 0$  be such that

$$(5.1) \quad |\widehat{\mu}(p)| \geq \varepsilon_0 \quad p \in \text{sp}(U) .$$

If  $\chi \in \sigma(\mathcal{A})$  there are two possibilities,  $\chi \in \Omega$  or  $\chi \in \mathcal{H}$  (see 3).

(a) If  $\chi \in \Omega$  then there exists  $p \in \text{sp}(U)$  such that  $\chi(U(\mu)) = \widehat{\mu}(p)$  for every  $\mu \in \mathcal{M}$ , therefore

$$|\chi(U(\mu))| = |\widehat{\mu}(p)| \geq \varepsilon_0 > 0 .$$

(b) If  $\chi \in \mathcal{H}$  let  $p_0 \in \text{sp}_d(U)$  be such that (cf Lemma 4)

$$(5.2) \quad \chi(U(\mu)) = \widehat{\mu}_d(p_0)$$

where  $\mu_d$  is considered as an element of  $L^1(G_d)$ . Let

$$K = \{p \in \text{sp}(U) / |\widehat{\mu}_d(p)| \geq \varepsilon_0/2\} ,$$

then, since  $\widehat{\mu}_d$  vanishes at infinity,  $K$  is compact. Since  $|\widehat{\mu}_d(p) + \widehat{\mu}_d(p)| \geq \varepsilon_0$  for  $p \in \text{sp}(U)$ , we have  $|\widehat{\mu}_d(p)| \geq \varepsilon_0/2$  for  $p \in \text{sp}(U) \setminus K$ . Since  $\widehat{\mu}_d$  is continuous on  $\widehat{G}_d$  we have  $|\widehat{\mu}_d(p)| \geq \varepsilon_0/2$  for every  $p$  in  $\overline{\text{sp}(U) \setminus K} \supset \text{sp}_d(U)$ , and therefore, by (4.2),  $|\chi(U(\mu))| = |\widehat{\mu}_d(p_0)| \geq \varepsilon_0/2 > 0$  because  $p_0 \in \text{sp}_d(U)$ .  $\square$

**6. Functional calculus for local multipliers.** We consider now an involutive algebra  $\mathfrak{M} = \mathfrak{M}(G, U)$  of local multipliers for  $L^1(G)$ , namely  $\widehat{F} \in \mathfrak{M}$  iff  $\widehat{F}$  is a complex function defined on a neighborhood of  $\text{sp}(U)$  and locally belongs to  $L^1(G)$  at every point  $p \in \text{sp}(U)$ .

Let  $D_0(U)$  be the union of the spectral subspaces  $X(E, U)$  of  $U$  corresponding to compact subsets  $E$  of  $G$  (cf [2, 12])

$$(6.1) \quad D_0(U) = \bigcup_E X(E, U) , \quad E \text{ compact subset of } G .$$

Owing to the regularity of  $L^1(G)$ , we can define, for every  $\widehat{F} \in \mathfrak{M}$ , the linear operator  $U(\widehat{F}): D_0(U) \subset X \rightarrow X$  by

$$(6.2) \quad U(\widehat{F})x = U(f)x , \quad x \in X(E, U) , \quad E \text{ compact}$$

where  $f$  is an arbitrary element of  $L^1(G)$  such that  $\widehat{f}$  is equal to  $\widehat{F}$  on a neighborhood of  $E$ . In such a way  $\{U(\widehat{F}), \widehat{F} \in \mathfrak{M}\}$  becomes an involutive algebra of operators of  $X$  on the common dense invariant domain  $D_0(U)$  (with involution given by  $U(\widehat{F}) \rightarrow U(\overline{\widehat{F}})$ ). Every  $U(\widehat{F})$  is closable because  $D(U(\widehat{F})')$ , the domain of the transposed of  $U(\widehat{F})$ , is dense in  $X^*$ , as shown in the following lemma. Note that  $U'$ , the transposed representation of  $U$ , is  $\sigma(X^*, X)$ -continuous; if  $\mu \in$

$M(G)$ ,  $U'(\mu)$  is a bounded linear operator of  $X^*$  and  $(U(\mu))' = U'(\mu)$ . Define  $D_0(U') \subset X^*$  as in (6.1).

LEMMA 7.  $D_0(U')$  is contained in  $D(U(F)')$  for every  $\hat{F} \in \mathfrak{M}$ .

*Proof.* Fix a compact  $E \subset \hat{G}$  and  $\varphi \in X^*(E, U')$ . If  $x \in D_0(U)$  there exists  $K$  compact  $K \subset \hat{G}$  such that  $x \in X(K, U)$ . Let  $f \in L^1(G)$  such that  $\hat{f}(p) = \hat{F}(p)$  if  $p$  belongs to a neighborhood of  $E \cup K$ ; we have

$$(U(F)x, \varphi) = (U(f)x, \varphi) = (x, U(f)'\varphi) = (x, U'(f)\varphi) = (x, U'(F)\varphi)$$

that shows  $U(F)' \supset U'(F)$ .  $\square$

We recall that if  $T$  is a linear operator on a Banach space  $X$ , the extended spectrum  $\Sigma(T)$  is defined as the set of the singularities of the resolvent of  $T$  in  $\mathbf{C} \cup \{\infty\}$ .

LEMMA 8. For every  $\hat{F} \in \mathfrak{M}$  we have  $\hat{F}(\text{sp}(U))^- \subset \Sigma(U(F))$ .

*Proof.* As  $\Sigma(U(F))$  is closed, it is enough to prove that  $\Sigma(U(F)) \supset \hat{F}(\text{sp}(U))$ . To show this, we consider the representation  $U^E: g \in G \rightarrow U(g) \upharpoonright X(E, U)$  obtained by reducing  $U$  to the spectral subspace relative to  $E \subset \hat{G}$ . Let  $E \subset \hat{G}$  be a compact set and  $f \in L^1(G)$  such that  $\hat{f} = \hat{F}$  on a neighborhood of  $E$ , so that  $U(F) \upharpoonright X(E, U) = U(f) \upharpoonright X(E, U) = U^E(f)$ . Owing to the regularity of  $L^1(G)$  we have  $\text{sp}(U^E) \subset \text{sp}(U) \cap E$ , hence

$$\Sigma(U(F)) \supset \Sigma(U(F)) \upharpoonright X(E, U) = \Sigma(U^E(f)) = \hat{f}(\text{sp}(U^E)) = \hat{F}(\text{sp}(U^E))$$

where the second equality is justified by Theorem 1. Since

$$\text{sp}(U) = \bigcup_E \text{sp}(U^E), \quad E \text{ compact subset of } G,$$

the lemma is proved.  $\square$

The reverse inclusion in the above lemma cannot be proved for every bounded  $\hat{F}$ .

PROPOSITION 9. Let  $\hat{F}$  be a bounded continuous function in  $\mathfrak{M}$  which is not Fourier transform of a measure of  $M(G)$ . If  $U$  is the representation of  $G$  on  $L^1(G)$  by translations given by  $(U(g)f)(h) = f(g^{-1}h)$ ,  $f \in L^1(G)$  then  $\Sigma(U(F)) \not\cong \hat{F}(\text{sp}(U))$ .

*Proof.* We shall derive from our hypotheses that  $\Sigma(U(F))$  cannot be compact. Assuming the contrary there exists a regular

closed Jordan curve  $\Gamma$  containing  $\Sigma(U(F))$  in the interior  $\dot{\Gamma}$ . Let  $P = (-1/2\pi i) \oint_{\Gamma} (U(F) - \lambda)^{-1} d\lambda$ .  $P$  is a projection of  $B(L^1(G))$  that commutes with  $U(g)$ ,  $g \in G$  and decomposes  $U(F)$  according to  $U(F) = U(F)P + U(F)(I - P)$ . We have  $\Sigma(U(F) \upharpoonright PX) = \Sigma(U(F)) \cap \dot{\Gamma} = \Sigma(U(F))$ ,  $\Sigma(U(F) \upharpoonright (I - P)X) = \Sigma(U(F)) \cap (C\dot{\Gamma}) = \emptyset$ . As  $U(F)P$  is bounded and commutes with  $U(g)$ ,  $g \in G$ ,  $U(F)P$  is a multiplier of  $L^1(G)$  [11]. Therefore  $U(F)(I - P) = U(F) - U(F)P$  is a local multiplier. As

$$\Sigma(U(F)(I - P)) \subset \{0\},$$

by Lemma 8 we have that  $U(F)(I - P)$  is a multiplier by a function vanishing on  $\text{sp}(U) = \hat{G}$ , thus  $U(F)(I - P) = 0$ .  $\square$

REMARK 2. The case of unbounded local multiplier  $F$  often reduces to that of a bounded one, for example  $(\hat{F} - \lambda)^{-1}$ , if  $\lambda$  does not belong to the closure of the range of  $F$ . Note that if  $G = \mathbf{R}$  and  $D$  is the generator of  $U$ , the spectral mapping theorem for  $\hat{F}(D) = U(F)$  assumes the usual form  $\Sigma(\hat{F}(D)) = \hat{F}(\Sigma(D))$ .

Some functions may be of particular interest. If  $\hat{F}(t) = e^t + e^{-t}$ , the closure of  $\hat{F}(D)$  is the inverse of the symmetric resolvent of  $D$  [1]. If  $\hat{F}(t) = e^t$ , then  $\hat{F}(D)$  is the analytic generator of  $U$  [1]; in this case the spectral mapping theorem does not hold [4, 13], indeed either  $\Sigma(\hat{F}(D)) = \hat{F}(\Sigma(D))$  or  $\Sigma(\hat{F}(D)) = \mathbf{C}$ . The second alternative being true for every nontrivial one parameter \*-automorphism group of a commutative  $C^*$ -algebra.

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