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**SHADOW AND INVERSE-SHADOW INNER PRODUCTS FOR A  
CLASS OF LINEAR TRANSFORMATIONS**

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# SHADOW AND INVERSE-SHADOW INNER PRODUCTS FOR A CLASS OF LINEAR TRANSFORMATIONS

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Suppose  $\{H, (\cdot, \cdot)\}$  is a complete inner product space and  $H_1$  is a dense subspace of  $H$ . In case  $T$  is a linear transformation from  $H_1$  to  $H_1$  (perhaps not bounded), a necessary and sufficient condition is obtained in Theorem 1 for the existence of an inner product  $(\cdot, \cdot)_1$  for  $H_1$  such that (i) the identity is continuous from  $\{H_1, (\cdot, \cdot)_1\}$  to  $\{H, (\cdot, \cdot)\}$  and (ii)  $T$  is bounded in  $\{H_1, (\cdot, \cdot)_1\}$ . When this condition holds, the inverse-shadow inner product is defined on  $H_1$ , for sufficiently large positive numbers  $\beta$ , by  $(x, y)_{\beta, T} = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$ . An extension of Theorem 1 provides a necessary and sufficient condition for the existence of an inner product  $(\cdot, \cdot)_1$  for  $H_1$  such that  $\{H_1, (\cdot, \cdot)_1\}$  is complete and (i) and (ii) hold. This latter condition, stated in Theorem 5 in terms of a pair of inverse-shadow inner products, depends on a description of those complete inner product spaces  $\{H_1, (\cdot, \cdot)_1\}$ , with  $H_1$  dense in  $H$ , for which (i) holds. According to this description, given in Theorem 4, each such inner product  $(\cdot, \cdot)_1$  is a scalar-multiple of an inverse-shadow inner product  $(\cdot, \cdot)_{\delta, C}$ , where  $C$  is a bounded operator on  $H$  mapping  $H_1$  to  $H_1$  and  $\delta = 1$ .

This pattern was developed in an investigation, other results of which are in [4]. If  $H_1$  is a linear subspace of  $H$ ,  $(\cdot, \cdot)_1$  is an inner product for  $H_1$ , and the identity is continuous from  $\{H_1, (\cdot, \cdot)_1\}$  to  $\{H, (\cdot, \cdot)\}$ ,  $\{H_1, (\cdot, \cdot)_1\}$  is said in [6] to be continuously situated in  $\{H, (\cdot, \cdot)\}$ . The setting in Theorem 4 of a pair of complete inner product spaces, one continuously situated in the other, is discussed in [1], [2], [6], and [7]. Additional results in Theorems 2 and 3 relate the shadow inner product, the inner product  $((1 - T^*T/\beta^2)\cdot, \cdot)'$  in those theorems, and the inverse-shadow inner product  $(\cdot, \cdot)_{\beta, T}$ . In contrast to Theorem 4, an example at the end of the paper shows that  $\{H_1, (\cdot, \cdot)_{\beta, T}\}$  may be complete even when the closure in  $H \times H$  of  $T$  is not a function.

Here is an example to which Theorem 1 applies (with  $H = H_1$ ). Start with a complete infinite dimensional inner product space  $\{H', (\cdot, \cdot)'\}$ , a one-to-one (continuous) operator  $T$  on  $H'$  with range a dense, proper subspace of  $H'$ , and a closed subspace  $Z$  of  $H'$  such that  $Z \cap T(H')$  is  $\{0\}$ . Now, with  $P$  the orthogonal projection of  $H'$  onto  $Z^\perp$ , there is, by the Axiom of Choice, an algebraic complement  $H_1$  of  $Z$  in  $H'$  of which  $T(H')$  is a subspace and, with  $(\cdot, \cdot)$  the inner product on  $H_1$  such that  $(x, y) = (Px, Py)'$ ,  $\{H_1, (\cdot, \cdot)\}$  is com-

plete and for  $x$  in  $H_1$   $(x, x) \leq (x, x)'$ . Yet the restriction of  $T$  to  $H_1$  is not continuous in  $\{H_1(\cdot, \cdot)\}$ . Of course, the above construction uses the Axiom of Choice, as the result of [8] implies it must. However, this use is not in constructing  $T$  but in selecting the subspace  $H_1$  of  $H'$ .

Throughout the paper,  $\{H, (\cdot, \cdot)\}$  is a complete infinite dimensional inner product space and  $H_1$  a dense subspace of  $H$ . If some variation of the symbols ' $\cdot, \cdot$ ' denotes an inner product for the space  $S$ , then the corresponding variation of ' $\|\cdot\|$ ' denotes the corresponding norm for  $S$ . For instance,  $\|x\|_{\beta, T} = [(x, x)_{\beta, T}]^{1/2}$ . An operator on  $\{H, (\cdot, \cdot)\}$  is a continuous linear transformation from all of  $H$  to (into)  $H$ . A closed operator in  $\{H, (\cdot, \cdot)\}$  is a linear transformation from a dense subspace of  $H$  to  $H$  whose graph is closed in  $H \times H$ . If  $Z$  and  $Z'$  are two subspaces of  $H$  such that  $Z \cap Z'$  is  $\{0\}$  and  $H$  is the linear span of  $Z$  and  $Z'$ , then  $Z$  is said to be an algebraic complement in  $H$  of  $Z'$  and that linear transformation  $\phi$  on  $H$  such that  $\phi$  is the identity 1 on  $Z$  and 0 on  $Z'$  is called the algebraic projection of  $H$  onto  $Z$  with kernel  $Z'$ . If  $Z$  is a subset of  $H$ ,  $\bar{Z}$  is the closure of  $Z$  in  $H$ .

## THEOREMS AND EXAMPLES

**THEOREM 1.** *Suppose that  $T$  is a linear transformation from  $H_1$  to  $H_1$ . In order that there be a norm  $\|\cdot\|_1$  for  $H_1$  such that (i) there is a positive number  $c$  such that  $\|\cdot\| \leq c\|\cdot\|_1$  on  $H_1$  and (ii)  $T$  is continuous in  $\{H_1, \|\cdot\|_1\}$  it is necessary and sufficient that there be a positive number  $\beta$  such that for  $x$  in  $H_1$   $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges. In case there is such a norm  $\|\cdot\|_1$ , if  $\beta$  is a number exceeding the operator-norm for  $T$  in  $\{H_1, \|\cdot\|_1\}$  then for  $x$  and  $y$  in  $H_1$  the formula  $(x, y)_{\beta, T} = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$  defines an inner product  $(x, y)_{\beta, T}$  for  $H_1$  such that*

- (1) *there is a positive number  $d$  such that for  $x$  in  $H_1$   $\|x\| \leq \|x\|_{\beta, T} \leq d\|x\|_1$ ,*
- (2) *for  $x$  in  $H_1$   $\lim_{p \rightarrow \infty} \|(T/\beta)^p x\|_{\beta, T} = 0$ , and*
- (3) *for  $x$  and  $y$  in  $H_1$   $(Tx, Ty)_{\beta, T} = \beta^2[(x, y)_{\beta, T} - (x, y)]$ .*

*Proof.* In case there is a positive number  $\beta$  for which  $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges on  $H_1$ , we have for  $x$  and  $y$  in  $H_1$  and  $n$  a positive integer,

$$\begin{aligned} & \sum_{p=0}^n |((T/\beta)^p x, (T/\beta)^p y)| \\ & \leq \sum_{p=0}^n \|(T/\beta)^p x\| \|(T/\beta)^p y\| \end{aligned}$$

$$\leq \left( \sum_{p=0}^n \| (T/\beta)^p x \|^2 \right)^{1/2} \left( \sum_{p=0}^n \| (T/\beta)^p y \|^2 \right)^{1/2},$$

so that  $\sum_{p=0}^{\infty} \langle (T/\beta)^p x, (T/\beta)^p y \rangle$  converges absolutely. Moreover, the formula  $(x, y)_{\beta, T} = \sum_{p=0}^{\infty} \langle (T/\beta)^p x, (T/\beta)^p y \rangle$  defines an inner product for  $H_1$ .

Suppose that there is a norm  $\|\cdot\|_1$  for  $H_1$  for which (i) and (ii) hold. Suppose  $n$  is a positive integer,  $\beta$  is a positive number, and  $r$  is a number greater than 1 such that for  $x$  in  $H_1$   $r\|Tx\|_1 \leq \beta\|x\|_1$ . Then for  $x$  and  $y$  in  $H_1$

$$\begin{aligned} & \sum_{p=0}^n | \langle (T/\beta)^p x, (T/\beta)^p y \rangle | \\ & \leq \sum_{p=0}^n \| (T/\beta)^p x \| \| (T/\beta)^p y \| \\ (A) \quad & \leq c^2 \sum_{p=0}^n \| (T/\beta)^p x \|_1 \| (T/\beta)^p y \|_1 \\ & \leq c^2 \sum_{p=0}^n \| x \|_1 \| y \|_1 (1/r^{2p}) \\ & = c^2 \| x \|_1 \| y \|_1 r^2 / (r^2 - 1). \end{aligned}$$

Thus, for  $x$  and  $y$  in  $H_1$  the series  $\sum_{p=0}^{\infty} \langle (T/\beta)^p x, (T/\beta)^p y \rangle$  converges absolutely and, replacing  $y$  by  $x$  in (A), we have

$$(B) \quad \sum_{p=0}^n \| (T/\beta)^p x \|^2 \leq c^2 (\|x\|_1)^2 r^2 / (r^2 - 1).$$

Note that (1) follows from (B) with  $d = cr/(r^2 - 1)^{1/2}$ . To establish (2), observe that for  $x$  in  $H_1$

$$\begin{aligned} (\| (T/\beta)^p x \|_{\beta, T})^2 &= \sum_{q=0}^{\infty} \| (T/\beta)^{p+q} x \|^2 \longrightarrow 0 \\ &\text{as } p \longrightarrow \infty, \end{aligned}$$

since  $\sum_{q=0}^{\infty} \| (T/\beta)^q x \|^2$  converges. The equality (3) is established by noting that

$$\begin{aligned} & (Tx, Ty)_{\beta, T} \\ &= \sum_{p=0}^{\infty} \langle (T/\beta)^p Tx, (T/\beta)^p Ty \rangle \\ &= \beta^2 \sum_{p=1}^{\infty} \langle (T/\beta)^p x, (T/\beta)^p y \rangle \\ &= \beta^2 \left[ \sum_{p=0}^{\infty} \langle (T/\beta)^p x, (T/\beta)^p y \rangle - \langle x, y \rangle \right] \\ &= \beta^2 [(x, y)_{\beta, T} - \langle x, y \rangle]. \end{aligned}$$

The following example is offered in connection with Lemma 1. This lemma is useful in the proof of Theorems 3 and 4.

EXAMPLE 1. Suppose that  $S$  is the subspace of  $L^2[0, 1]$  of all absolutely continuous  $f$  on  $[0, 1]$  such that  $f'$  is in  $L^2[0, 1]$  and for such  $f$   $Tf = f'$ , so that  $T$  is a closed operator in  $L^2[0, 1]$ . Suppose  $H_1$  is the set of all  $f$  in  $S$  such that for  $p \geq 0$   $T^p f$  is in  $S$  and  $\sum_{p=0}^{\infty} \int_0^1 |T^p f|^2$  converges. Then  $H_1$  is a dense subspace of  $L^2[0, 1]$  and, with  $\beta = 1$  and  $(f, g)_{\beta, T} = \sum_{p=0}^{\infty} \int_0^1 [T^p f][T^p g]^*$  on  $H_1$ ,  $\{H_1, (\cdot, \cdot)_{\beta, T}\}$  is complete.

LEMMA 1. Suppose that  $T$  is a closed operator in  $\{H, (\cdot, \cdot)\}$  and  $\beta > 0$ . Then the set  $H_2$  of all  $x$  in  $H$  such that for  $p > 0$   $x$  is in the domain of  $T^p$  and  $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges is a linear space such that  $T(H_2)$  lies in  $H_2$ . Also, if  $(\cdot, \cdot)_{\beta, T}$  is the inner product for  $H_2$  given, as in Theorem 1, by  $(x, y)_{\beta, T} = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$  then  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$  is complete. In case  $T$  is self-adjoint in  $\{H, (\cdot, \cdot)\}$ , then the restriction of  $T$  to  $H_2$  is self-adjoint in  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$ .

The following argument is offered. In general (when  $T$  is only closed and not defined everywhere),  $H_2$  need not be dense in  $H$ . Suppose  $x$  is in  $H_2$ . Then  $\sum_{p=0}^{\infty} \|(T/\beta)^p Tx\|^2 = \beta^2 \sum_{p=1}^{\infty} \|(T/\beta)^p x\|^2$ , so that  $Tx$  is in  $H_2$ . To show that  $H_2$  is a linear space, suppose  $S_1$  is the linear space of all  $H$ -valued sequences,  $S_2$  is the subspace of  $S_1$  to which  $z$  belongs only in case  $\sum_{p=0}^{\infty} \|z_p\|^2$  converges, and for  $z$  and  $w$  in  $S_2$   $\langle z, w \rangle = \sum_{p=0}^{\infty} \langle z_p, w_p \rangle$ , so that  $\{S_2, \langle \cdot, \cdot \rangle\}$  is a complete inner product space. Suppose  $D$  is the set of all  $x$  in  $H$  such that for  $p > 0$   $x$  is in the domain of  $T^p$  and  $\tilde{T}$  the linear transformation from  $D$  to  $S_1$  such that for  $p \geq 0$   $(\tilde{T}x)_p = (T/\beta)^p x$ . Note that  $H_2 = \tilde{T}^{-1}(S_2)$ , a linear space, and that  $\tilde{T}$ , restricted to  $H_2$ , is a linear isometry from  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$  onto a subspace of  $S_2$ . Suppose  $y$  is a convergent sequence in  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$ . Then  $\tilde{T}y$  is convergent in  $S_2$ , with limit  $z$  in  $S_2$ . Since, for  $p \geq 0$  the sequence  $\{(T/\beta)^p y, (T/\beta)^{p+1} y\}$  has values in the closed transformation  $T/\beta$  and limit  $\{z_p, z_{p+1}\}$  in  $H \times H$ ,  $z_{p+1} = (T/\beta)z_p$ . Thus, for  $p \geq 0$   $z_p = (T/\beta)^p z_0$ , so that  $z = \tilde{T}z_0$ . Since  $\tilde{T}$  is an isometry,  $y$  has limit  $z_0$  in  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$ . Suppose  $T$  is self-adjoint in  $\{H, (\cdot, \cdot)\}$ . Then for  $x$  and  $y$  in  $H_2$

$$\begin{aligned} (Tx, y)_{\beta, T} &= \sum_{p=0}^{\infty} ((T/\beta)^p Tx, (T/\beta)^p y) \\ &= \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p Ty) = (x, Ty)_{\beta, T}, \end{aligned}$$

so that  $T$  is self-adjoint on the complete space  $\{H_2, (\cdot, \cdot)_{\beta, T}\}$ .

EXAMPLE 2. This example shows that in case  $\{H, (\cdot, \cdot)\}$  is separable the set of linear transformations  $T$  with domain  $H$  and

range lying in  $H$  for which there is a positive number  $\beta$  such that  $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges on  $H$  is not a linear space.

Suppose  $y$  is in  $H$ ,  $\|y\| = 1$ , and  $Y$  is the linear span of  $\{y\}$ . Suppose  $\{e_m\}_1^{\infty}$  is a complete orthonormal sequence in  $H \ominus Y$ . Suppose for  $m > 0$   $u_m = e_m + (m!)y$ . The linear span  $U$  of  $\{u_m\}_1^{\infty}$  is dense in  $H$ . One sees this by noting that  $y = \lim_{m \rightarrow \infty} (u_m/m!)$ . Hence, for  $p > 0$   $e_p = u_p - (p!)y$  is in  $\bar{U}$ . Thus, the linear space  $\bar{U}$  includes both  $Y$  and  $H \ominus Y$ . Suppose that  $Z$  is an algebraic complement of  $Y$  in  $H$  of which  $U$  is a subspace. Suppose  $\phi$  is the algebraic projection of  $H$  onto  $Z$  with kernel  $Y$  and that  $C$  is the operator on  $H$  such that  $Cy = 0$  and for  $m$  a positive integer  $Ce_m = e_{m+1}$ . Since the operator-norm of  $C$  is 1,  $\sum_{p=0}^{\infty} \|(C/2)^p x\|^2$  converges on  $H$ . Since for  $p > 0$   $(\phi - 1)^p = (-1)^{p+1}(\phi - 1)$ ,  $\sum_{p=0}^{\infty} \|[(\phi - 1)/2]^p x\|^2$  converges on  $H$ .

Suppose  $T$  is  $C + (\phi - 1)$  and  $m$  is the number-sequence such that  $m_1 = 1$  and for  $n > 0$   $m_{n+1} = (n + 1)! - m_n$ . Then for  $n > 0$   $T^n(e_1) = e_{n+1} + m_n y$  and  $\|T^n e_1\|^2 = 1 + m_n^2$ . Note that for  $n \geq 1$   $n! - (n - 1)! \leq m_n \leq n!$ , so that  $m_{n+1} \geq n!$ . Thus, for  $\beta > 0$   $\sum_{p=0}^{\infty} \|(T/\beta)^p e_1\|^2$  diverges.

**THEOREM 2.** *Suppose that  $\{H', (\cdot, \cdot)'\}$  is a complete inner product space,  $T$  is an operator on  $\{H', (\cdot, \cdot)'\}$ , and  $H_1$  is a dense subspace of  $H'$  such that  $T(H_1)$  lies in  $H_1$ . Suppose, moreover, that there is a positive number  $\beta$  such that for each of  $x$  and  $y$  in  $H_1$   $(x, y)' = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$ . Then (i)  $\beta$  is not less than the operator-norm for  $T$  in  $\{H', (\cdot, \cdot)'\}$ , (ii) with  $T^*$  the adjoint of  $T$  in  $\{H', (\cdot, \cdot)'\}$  and  $x$  and  $y$  in  $H_1$   $(x, y) = ((1 - T^*T/\beta^2)x, y)'$ , and (iii) in case  $H' \neq H_1$  and  $\{H_1, (\cdot, \cdot)\}$  is complete, so that  $H = H_1$ , then  $\beta$  is the operator-norm for  $T$  in  $\{H', (\cdot, \cdot)'\}$  and for  $T$  on  $H_1$  in  $\{H_1, (\cdot, \cdot)\}$ .*

*Proof.* Since  $H_1$  is dense in  $H'$  and  $T$  continuous on  $H'$ , the operator-norm for  $T$  in  $\{H', (\cdot, \cdot)'\}$  is the operator-norm for  $T$  on  $H_1$  in  $\{H_1, (\cdot, \cdot)\}$ . Suppose that for  $x$  and  $y$  in  $H_1$   $(x, y)' = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$ . Then for  $x$  in  $H_1$

$$(\|Tx\|')^2 = \beta^2[(\|x\|')^2 - \|x\|^2] \leq \beta^2(\|x\|')^2.$$

Thus,  $\beta$  is not less than the operator-norm for  $T$  in  $\{H', (\cdot, \cdot)'\}$ . Also, on  $H_1$

$$\begin{aligned} (x, y) &= (x, y)' - ((T/\beta)x, (T/\beta)y)' \\ &= ((1 - T^*T/\beta^2)x, y)' , \end{aligned}$$

so that (ii) is established.

To prove (iii), note that, since  $H' \neq H_1$ ,  $H_1$  is not closed in  $H'$ .

Also, the identity function from  $\{H_1, (\cdot, \cdot)'\}$  to  $\{H_1, (\cdot, \cdot)\}$  is continuous. Since  $\{H_1, (\cdot, \cdot)\}$  is complete, the identity function from  $\{H_1, (\cdot, \cdot)\}$  to  $\{H_1, (\cdot, \cdot)'\}$  is not continuous. By the Closed Graph theorem, the set  $Z$  of all  $\|\cdot\|'$ -limits in  $H'$  of  $H_1$ -sequences having  $\|\cdot\|$ -limit 0 is nondegenerate. Since  $Z$  is the kernel of  $(1 - T^*T/\beta^2)^{1/2}$ , there is a nonzero point  $x$  of  $H'$  such that  $x = (T^*T/\beta^2)x$ . Thus,  $(\|Tx\|')^2 = \beta^2(\|x\|')^2$ . In view of (i), (iii) is established.

REMARK. Here I will describe why I call an inner product,  $((1 - T^*T/\beta^2)\cdot, \cdot)'$ , a shadow inner product. The point of view taken by the author is that one starts with  $\{H, (\cdot, \cdot)\}$ , a linear transformation  $T$  from  $H$  to  $H$ , not continuous in  $\{H, (\cdot, \cdot)\}$ , and a positive number  $\beta$  such that  $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges on  $H$ . ( $T$  might be the transformation  $\phi - 1$  of Example 2 with  $\beta = 2$ ). One builds the space  $\{H, (\cdot, \cdot)_{\beta, T}\}$  with a completion  $\{H', (\cdot, \cdot)'\}$  so that  $H$  is a proper subspace of  $H'$ , dense in  $H'$ . Now  $T$  has continuous linear extension to  $H'$ , also denoted by  $T$ , with adjoint  $T^*$  in  $\{H', (\cdot, \cdot)'\}$ . Then by Theorem 2,  $(x, y) = ((1 - T^*T/\beta^2)x, y)'$  on  $H$ . The identity function from  $\{H, (\cdot, \cdot)'\}$  to  $\{H, (\cdot, \cdot)\}$  is continuous. If  $\{H, (\cdot, \cdot)\}$  is complete, by Note 5 of [4], the set  $Z$  of all  $\|\cdot\|'$ -limits in  $H'$  of sequences in  $H$  with  $\|\cdot\|$ -limit 0 is closed in  $H'$  and also an algebraic complement of  $H$  in  $H'$ , and if  $P$  is the orthogonal projection of  $H'$  onto  $Z^\perp$  then  $(\cdot, \cdot)$  is equivalent on  $H$  to  $(P\cdot, P\cdot)'$ . That is, the inner product  $((1 - T^*T/\beta^2)x, y)'$  on  $H$  is equivalent to the inner product  $(Px, Py)'$  on  $H$ , the inner product in  $H'$  of the shadow of  $x$  in  $Z^\perp$  with the shadow in  $Z^\perp$  of  $y$ . Another point of view, starting with a complete space  $\{H', (\cdot, \cdot)'\}$ , an operator  $T$  on  $\{H', (\cdot, \cdot)'\}$ , and a dense, proper subspace  $H_1$  of  $H'$ , and yielding a shadow inner product  $((1 - T^*T)\cdot, \cdot)'$  for  $H_1$  such that  $\{H_1, ((1 - T^*T)\cdot, \cdot)'\}$  is complete, will be pursued in Example 3.

THEOREM 3. Suppose, as in Theorem 2, that  $\{H', (\cdot, \cdot)'\}$  is a complete inner product space, that  $H_1$  is a dense subspace of  $H'$ , and that  $T$  is an operator on  $\{H', (\cdot, \cdot)'\}$  such that  $T(H_1)$  lies in  $H_1$ . Suppose that  $\beta$  is a positive number and that, with  $T^*$  the adjoint of  $T$  in  $\{H', (\cdot, \cdot)'\}$ , (i)  $\beta$  is not less than the operator-norm for  $T$  in  $\{H', (\cdot, \cdot)'\}$  and (ii)  $1 - T^*T/\beta^2$  is a one-to-one transformation on  $H_1$ . Then for  $x$  and  $y$  in  $H_1$  the formula  $(x, y)'' = ((1 - T^*T/\beta^2)x, y)'$  defines an inner product  $(\cdot, \cdot)''$  for  $H_1$  such that if  $(\cdot, \cdot)$  denotes  $(\cdot, \cdot)''$  on  $H_1$  then for  $x$  in  $H_1$   $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2$  converges, with limit not exceeding  $(\|x\|')^2$ . In case  $\lim_{p \rightarrow \infty} (\|(T/\beta)^p x\|') = 0$  on  $H_1$ , then on  $H_1$   $(x, y)' = (x, y)_{\beta, T}$  and if, in addition,  $\{H_1, (\cdot, \cdot)\}$  is complete, so that  $(1 - T^*T/\beta^2)^{1/2}(H_1)$  is closed in  $H'$ , and  $H' \neq H_1$  then the restriction of  $T$  to  $H_1$  is not continuous in  $\{H_1, (\cdot, \cdot)\}$ . (Despite the conven-

tion of the introduction, here  $(\cdot, \cdot)$  is not given beforehand).

*Proof.* Note that, since  $1 - T^*T/\beta^2$  is a one-to-one function when restricted to  $H_1$ ,  $\{H_1, (\cdot, \cdot)''\}$  is isometrically isomorphic to the subspace  $(1 - T^*T/\beta^2)^{1/2}(H_1)$  of  $\{H', (\cdot, \cdot)'\}$ . Thus, writing  $(\cdot, \cdot)$  in place of  $(\cdot, \cdot)''$ ,  $\{H_1, (\cdot, \cdot)\}$  is complete if and only if  $(1 - T^*T/\beta^2)^{1/2}(H_1)$  is closed in  $H'$ . Suppose  $n$  is a positive integer and each of  $x$  and  $y$  is in  $H_1$ . We have

$$\begin{aligned}
 (C) \quad & \sum_{p=0}^n \langle (T/\beta)^p x, (T/\beta)^p y \rangle \\
 &= \sum_{p=0}^n \langle (T/\beta)^p x, (T/\beta)^p y \rangle' \\
 &\quad - \sum_{p=0}^n \langle (T/\beta)^{p+1} x, (T/\beta)^{p+1} y \rangle' \\
 &= (x, y)' - \langle (T/\beta)^{n+1} x, (T/\beta)^{n+1} y \rangle'.
 \end{aligned}$$

Hence, in case  $\lim_{p \rightarrow \infty} \|(T/\beta)^p x\|' = 0$  on  $H_1$  then on  $H_1$   $(x, y)' = (x, y)_{\beta, T}$ . Now for  $x$  in  $H_1$  the number-sequence  $\{\|(T/\beta)^p x\|'\}_{p=0}^\infty$  is non-increasing with limit  $\alpha_x$ . By (C), for  $x$  in  $H_1$

$$\begin{aligned}
 & \sum_{p=0}^\infty \|(T/\beta)^p x\|^2 \\
 &= (\|x\|')^2 - (\alpha_x)^2 \leq (\|x\|')^2.
 \end{aligned}$$

Suppose  $H' \neq H_1$ ,  $(x, y)' = (x, y)_{\beta, T}$  on  $H_1$ , and  $\{H_1, (\cdot, \cdot)\}$  is complete. Then, by Lemma 1, in case  $T$  on  $H_1$  is continuous in  $\{H_1, (\cdot, \cdot)\}$ ,  $\{H_1, (\cdot, \cdot)'\}$  is complete, so that  $H_1$  is closed in  $H'$ . Since  $H_1$  is dense in  $H'$  and  $H_1 \neq H'$ ,  $H_1$  is not closed in  $H'$ . Hence,  $T$  on  $H_1$  is not continuous in  $\{H_1, (\cdot, \cdot)\}$ .

EXAMPLE 3. Suppose that on  $l^2$   $\langle f, g \rangle = \sum_{p=0}^\infty f_p g_p^*$  and that  $y$  is the point of  $l^2$  such that  $y_0 = 1$  and for  $p > 0$   $y_p = 0$ . Suppose  $Y$  is the linear span of  $\{y\}$ ,  $P$  the orthogonal projection of  $l^2$  onto  $Y^\perp$ , and  $T$  the operator on  $l^2$  such that  $T(c)$  is the sequence  $d$ , with  $d_0 = \sum_{p=1}^\infty c_p/2^{p+1}$ ,  $d_1 = c_0$ , and for  $p > 1$   $d_p = c_{p-1}/2^{2p-1}$ . Now  $T^*(c)$  is the sequence  $e$  such that  $e_0 = c_1$  and for  $p > 0$   $e_p = c_0/2^{p+1} + c_{p+1}/2^{2p+1}$  and  $T^*T(c)$  the sequence  $f$  such that  $f_0 = c_0$  and for  $p > 0$   $f_p = [\sum_{q=1}^\infty c_q/2^{q+1}]/2^{p+1} + c_p/2^{4p+2}$ . Hence,

$$\begin{aligned}
 & \langle (1 - T^*T)c, c \rangle \\
 &= \sum_{p=1}^\infty [1 - 1/2^{4p+2}] |c_p|^2 - \sum_{p=1}^\infty \left\{ \left[ \sum_{q=1}^\infty c_q/2^{q+1} \right] c_p^*/2^{p+1} \right\} \\
 &= \sum_{p=1}^\infty [1 - 1/2^{4p+2}] |c_p|^2 - \left| \sum_{p=1}^\infty c_p/2^{p+1} \right|^2 \\
 &\geq (63/64) \sum_{p=1}^\infty |c_p|^2 - \left[ \sum_{p=1}^\infty |c_p|^2 \right] \left[ \sum_{p=1}^\infty 1/2^{2p+2} \right]
 \end{aligned}$$



$$\geq (1/2) \sum_{p=1}^{\infty} |c_p|^2.$$

By the above inequality,

$$(D) \quad \langle Pc, Pc \rangle \geq \langle (1 - T^*T)c, c \rangle \geq (1/2) \langle Pc, Pc \rangle.$$

Since  $\langle c, c \rangle - \langle Tc, Tc \rangle \geq 0$  on  $l^2$ , the operator-norm for  $T$  does not exceed 1. However,  $T^2(c) = g$ , where  $g_0 = c_0/4 + \sum_{p=2}^{\infty} (c_{p-1})/2^{3p}$ ,  $g_1 = \sum_{p=1}^{\infty} c_p/2^{p+1}$ ,  $g_2 = c_0/8$ , and for  $p > 2$   $g_p = (c_{p-2})/2^{4p-4}$ . Computation reveals that the operator-norm for  $T^2$  does not exceed 1/2. Hence,  $\lim_{p \rightarrow \infty} \langle T^p c, T^p c \rangle$  is 0 on  $l^2$ . Note that  $T(l^2) \cap Y$  is  $\{0\}$ . Also, with  $z$  the  $l^2$ -sequence such that for  $p \geq 0$   $z_p$  is the sequence  $w$  with  $w_q = 2^{p+1}$  or 0 accordingly as  $q = p$  or not,  $Tz$  has limit  $y$  in  $l^2$ . Hence,  $y$  is in  $\overline{T(l^2)}$ . Since  $\overline{PT(l^2)}$  is  $Y^\perp$ , we conclude that  $T(l^2)$  is dense in  $l^2$ .

Suppose  $H_1$  is an algebraic complement of  $Y$  in  $l^2$  and  $T(l^2)$  is a subspace of  $H_1$ . Then the formula  $\langle x, y \rangle'' = \langle Px, Py \rangle$  defines an inner product for  $H_1$  such that  $\{H_1, (\cdot, \cdot)''\}$  is complete. By (D), the formula  $\langle x, y \rangle = \langle (1 - T^*T)x, y \rangle$  defines an inner product for  $H_1$  equivalent to  $(\cdot, \cdot)''$ . Of course, with  $\beta = 1$ , by Theorem 3  $\langle \cdot, \cdot \rangle = (\cdot, \cdot)_{\beta, T}$  on  $H_1$ . It is of interest to note that  $[(x, y)']_{\beta, T}$  ( $= \sum_{p=0}^{\infty} \langle PT^p x, PT^p y \rangle$ ) is equivalent to  $\langle \cdot, \cdot \rangle$  on  $H_1$ . For

$$(1/2)[\|x\|'']^2 \leq \|x\|^2 \leq [\|x''\|]^2$$

implies

$$(1/2)[(x, x)']_{\beta, T} \leq (x, x)_{\beta, T} \leq [(x, x)']_{\beta, T}$$

on  $H_1$ .

*Note 1.* An argument for most of the following, known to the author through work of MacNerney [6], may be found in [1] (Lemma, p. 316), in which it is partly attributed to Friedrichs [3]. No argument will be offered here.

Suppose  $\{H_1, (\cdot, \cdot)'\}$  is complete and continuously situated in  $\{H, (\cdot, \cdot)\}$ , in the sense that  $H_1$  lies in  $H$  and there is a positive number  $c$  such that  $\|\cdot\| \leq c \|\cdot\|'$  on  $H_1$ , that  $H_1$  is dense in  $H$ , and that  $B$  is the adjoint of the identity function from  $\{H_1, (\cdot, \cdot)'\}$  to  $\{H, (\cdot, \cdot)\}$ , so that  $B$  is that linear transformation from  $H$  to  $H_1$  such that for  $x$  in  $H_1$  and  $y$  in  $H$   $(x, y) = (x, By)'$ . Suppose  $C$  is an operator on  $\{H, (\cdot, \cdot)\}$ . Then

(1)  $B$  is positive definite in  $\{H, (\cdot, \cdot)\}$  and the operator-norm for  $B$  in  $\{H, (\cdot, \cdot)\}$  does not exceed  $c$ ;

(2) with  $B^{1/2}$  the positive definite square-root of  $B$  in  $\{H, (\cdot, \cdot)\}$

and  $B^{-1/2} = (B^{1/2})^{-1}$ ,  $H_1 = B^{1/2}(H)$  and  $(\cdot, \cdot)' = (B^{-1/2}\cdot, B^{-1/2}\cdot)$  on  $H_1$ ;

(3) if  $C(H)$  lies in  $H_1$  then  $C$  is continuous from  $\{H, (\cdot, \cdot)\}$  to  $\{H_1, (\cdot, \cdot)'\}$ ;

(4) if  $CB = BC$ , then  $CB^{1/2} = B^{1/2}C$  so that  $C(H_1)$  lies in  $H_1$  and for  $x$  and  $y$  in  $H$ , with  $x \neq 0$ ,  $\|CB^{1/2}x\|'/\|B^{1/2}x\|' = \|Cx\|/\|x\|$  and  $(CB^{1/2}x, B^{1/2}y)' = (Cx, y)$ ; hence, the operator-norm in  $\{H_1, (\cdot, \cdot)'\}$  for the restriction  $C_1$  of  $C$  to  $H_1$  is the operator-norm for  $C$  in  $\{H, (\cdot, \cdot)\}$  and if  $C$  is nonnegative in  $\{H, (\cdot, \cdot)\}$   $C_1$  is nonnegative in  $\{H_1, (\cdot, \cdot)'\}$ ; and (5) if  $C(H)$  is dense in  $H$  and  $C$  is one-to-one the formula  $(x, y)'' = (C^{-1}x, C^{-1}y)$  defines an inner product for  $C(H)$  such that  $\{C(H), (\cdot, \cdot)''\}$  is complete and continuously situated in  $\{H, (\cdot, \cdot)\}$  and the adjoint of the identity function from  $\{C(H), (\cdot, \cdot)''\}$  to  $\{H, (\cdot, \cdot)\}$  is  $CC^*$  on  $H$ , where  $C^*$  is the adjoint of  $C$  as an operator of  $H$  into itself. Moreover, for the adjoint  $C^+: C(H) \rightarrow H$  of  $C: H \rightarrow C(H)$  we have  $CC^* = C^+C$  (or  $C^+ = CC^*C^{-1}$ ).

**THEOREM 4.** *Suppose that  $H_1$  is a dense subspace of  $H$ . Then in order that  $(\cdot, \cdot)_1$  be such an inner product for  $H_1$  that  $\{H_1, (\cdot, \cdot)_1\}$  is complete and continuously situated in  $\{H, (\cdot, \cdot)\}$  it is necessary and sufficient that for some operator  $C$  on  $\{H, (\cdot, \cdot)\}$  and positive number  $d$   $H_1$  is the set of all  $x$  in  $H$  such that  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges and, if each of  $x$  and  $y$  is in  $H_1$ ,  $(x, y)_1 = d \sum_{p=0}^{\infty} (C^p x, C^p y)$ .*

*Proof.* The sufficiency of the condition follows from Lemma 1. To argue necessity, let  $e$  be a number such that for  $x$  in  $H_1$   $\|x\|^2 \leq e(\|x\|_1)^2$  and  $(\cdot, \cdot)'$  be  $e(\cdot, \cdot)_1$  on  $H_1$ . Then the complete inner product space  $\{H_1, (\cdot, \cdot)'\}$  is continuously situated in  $\{H, (\cdot, \cdot)\}$  and the operator-norm for the identity function from  $\{H_1, (\cdot, \cdot)'\}$  to  $\{H, (\cdot, \cdot)\}$  does not exceed 1. Hence, with  $B$  as in Note 1, the operator-norm for  $B$  in  $\{H, (\cdot, \cdot)\}$  does not exceed 1. Suppose that  $C$  is  $(1 - B)^{1/2}$  on  $H$ , so that  $B = 1 - C^2$ . Since  $BC = CB$ , by Note 1  $C(H_1)$  lies in  $H_1$ , the restriction of  $C$  to  $H_1$  is nonnegative in  $\{H_1, (\cdot, \cdot)'\}$ , and the operator-norm for this restriction in  $\{H_1, (\cdot, \cdot)'\}$ , does not exceed 1. By Theorem 3,  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges on  $H_1$ . (Note that  $\{H', (\cdot, \cdot)'\}$  in Theorem 3 is replaced by  $\{H_1, (\cdot, \cdot)'\}$  here and that  $T = C$ ,  $1 - T^*T = B$ ,  $((1 - C^2)x, y)' = (Bx, y)' = (x, y)$ .) Suppose that  $\{H'', (\cdot, \cdot)''\}$  is the complete inner product space of all  $x$  in  $H$  for which  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges with  $(x, y)'' = \sum_{p=0}^{\infty} (C^p x, C^p y)$ . Note that, since  $H_1$  lies in  $H''$ ,  $H''$  is dense in  $H$  and  $(1 - C^2)(H)$  lies in  $H''$ . Also, by Lemma 1,  $C(H'')$  lies in  $H''$  and the restriction of  $C$  to  $H''$  is self-adjoint in  $H''$ . By Note 1,  $1 - C^2$  is continuous from  $\{H, (\cdot, \cdot)\}$  to  $\{H'', (\cdot, \cdot)''\}$ . Suppose each of  $x$  and  $y$  is in  $H''$ . Then, by Theorem 2,  $(x, y) = (x, (1 - C^2)y)''$ . (The  $\{H', (\cdot, \cdot)'\}$  of Theorem 2 is  $\{H'', (\cdot, \cdot)''\}$  now,  $\beta = 1$  and  $T = C$ ; the  $H_1$  of Theorem 2 is  $H''$  now.)

Suppose  $z$  is in  $H$ ,  $x$  is in  $H''$ , and  $y$  is a sequence in  $H''$  with limit  $z$  in  $H$ . Then

$$(x, z) = \lim (x, y) = \lim (x, (1 - C^2)y)'' = (x, (1 - C^2)z)'' ,$$

so that  $1 - C^2$  is the adjoint of the identity function from  $\{H'', (\cdot, \cdot)''\}$  to  $\{H, (\cdot, \cdot)\}$ . Hence,  $H'' = (1 - C^2)^{1/2}(H) = H_1$  and for  $x$  and  $y$  in  $H_1$ , by Note 1,

$$\begin{aligned} (x, y)_1 &= (1/e)(x, y)' \\ &= (1/e)((1 - C^2)^{-1/2}x, (1 - C^2)^{-1/2}y) \\ &= (1/e)(x, y)'' \\ &= (1/e) \sum_{p=0}^{\infty} (C^p x, C^p y) . \end{aligned}$$

The theorem is established, taking  $d$  as  $1/e$ .

It may be noted that an argument for Theorem 4 could be based on a theorem, Theorem 2 of [5], of the author and Note 1. The argument given above is more closely related to the other theorems of this paper.

**THEOREM 5.** *Suppose that  $H_1$  is a dense subspace of  $H$  and  $T$  is a linear transformation from  $H_1$  to  $H_1$ . Then in order that there be an inner product  $(\cdot, \cdot)_1$  for  $H_1$  such that  $\{H_1, (\cdot, \cdot)_1\}$  is complete and continuously situated in  $\{H, (\cdot, \cdot)\}$  and  $T$  is continuous in  $\{H_1, (\cdot, \cdot)_1\}$  it is necessary and sufficient that for some pair,  $\beta$  and  $\gamma$ , of positive numbers and some operator  $C$  on  $\{H, (\cdot, \cdot)\}$   $H_1$  is the set of all  $x$  in  $H$  for which  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges and for  $x$  in  $H_1$   $\sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2 \leq \gamma \sum_{p=0}^{\infty} \|C^p x\|^2$ .*

*Proof.* To argue necessity, suppose  $b$  is the operator-norm for  $T$  in  $\{H_1, (\cdot, \cdot)_1\}$  and  $\beta = 2b$ . By Theorem 4, there is an operator  $C$  in  $\{H, (\cdot, \cdot)\}$  and a positive number  $d$  such that  $H_1$  is the set of all  $x$  in  $H$  for which  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges, with limit  $(1/d)(\|x\|_1)^2$ . Now, with  $e = (1/d)^{1/2}$ ,  $\|x\| \leq e \|x\|_1$  and

$$\begin{aligned} \sum_{p=0}^{\infty} \|(T/\beta)^p x\|^2 &\leq e^2 \sum_{p=0}^{\infty} (\|(T/\beta)^p x\|_1)^2 \\ &\leq e^2 (4/3) (\|x\|_1)^2 = (4/3) \sum_{p=0}^{\infty} \|C^p x\|^2 , \end{aligned}$$

on  $H_1$ , so that the condition follows with  $\gamma = 4/3$ .

To argue the sufficiency of the condition, suppose  $(x, y)_1 = \sum_{p=0}^{\infty} (C^p x, C^p y)$  on  $H_1$ , so that  $\{H_1, (\cdot, \cdot)_1\}$  is complete and continuously situated in  $\{H, (\cdot, \cdot)\}$ , and set  $(x, y)_2 = \sum_{p=0}^{\infty} ((T/\beta)^p x, (T/\beta)^p y)$  on

$H_1$ . Now  $T$  on  $H_1$  is continuous in  $\{H_1, (\cdot, \cdot)_1\}$  and  $\|x\|_2 \leq \gamma^{1/2} \|x\|_1$  on  $H_1$ . Suppose  $T$  is not continuous in  $\{H_1, (\cdot, \cdot)_1\}$ . Then, by the Closed Graph theorem, there is an  $H_1$ -sequence  $x$  with limit 0 in  $\{H_1, (\cdot, \cdot)_1\}$  such that  $Tx$  has limit  $y \neq 0$  in  $\{H_1, (\cdot, \cdot)_1\}$ . Since  $\|z\|_2 \leq \gamma^{1/2} \|z\|_1$  on  $H_1$ ,  $x$  has limit 0, and  $Tx$  limit  $y$ , in  $\{H_1, (\cdot, \cdot)_2\}$ . But  $Tx$  has limit 0 in  $\{H_1, (\cdot, \cdot)_2\}$ . Thus,  $y = 0$ . This is a contradiction.

EXAMPLE. There is a dense subspace  $H_1$  of  $H$  and a linear transformation  $T$  on  $H_1$  such that  $T(H_1)$  lies in  $H_1$ , the formula  $(x, y)_1 = \sum_{p=0}^{\infty} (T^p x, T^p y)$  defines on  $H_1$  an inner product such that  $\{H_1, (\cdot, \cdot)_1\}$  is complete, and yet  $T$  is not a closed operator in  $\{H, (\cdot, \cdot)\}$ .

Suppose  $C$  is an operator on  $H$  such that the set  $H_2$  of all  $x$  in  $H$  for which  $\sum_{p=0}^{\infty} \|C^p x\|^2$  converges is a dense proper subspace of  $H$ . Suppose  $y$  is not in  $H_2$ ,  $H_1$  is the linear span of  $\{y\}$  and  $H_2$ , and  $\phi$  is the algebraic projection of  $H_1$  onto  $H_2$  with kernel the linear span  $Y$  of  $\{y\}$ . Suppose  $T$  is  $C\phi + 1/2(1 - \phi)$  on  $H_1$ . Since  $C(H_2)$  lies in  $H_2$ ,  $T^p$  is  $C^p$  on  $H_2$ . Since the set of all  $x$  for which  $\sum_{p=0}^{\infty} \|T^p x\|^2$  converges is a linear space including both  $Y$  and  $H_2$ , this set is  $H_1$ . Define  $(x, y)_1$  to be  $\sum_{p=0}^{\infty} (T^p x, T^p y)$  on  $H_1$ . Then  $H_2$  is a complete subspace of  $\{H_1, (\cdot, \cdot)_1\}$ . Since  $Y$  is one-dimensional,  $\{H_1, (\cdot, \cdot)_1\}$  is complete. Now, since  $y$  is not in  $H_2$ ,  $Cy \neq (1/2)y$  so that  $T$  does not lie in  $C$ . Yet the closure of  $T$  in  $H \times H$  includes  $C$ . Hence, the closure of  $T$  in  $H \times H$  is not a function.

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