

Pacific Journal of Mathematics

ESTIMATES OF MEROMORPHIC FUNCTIONS AND SUMMABILITY THEOREMS

ANDREI SHKALIKOV

ESTIMATES OF MEROMORPHIC FUNCTIONS AND SUMMABILITY THEOREMS

A. A. SHKALIKOV

The main goal of this paper is to prove the following theorem.

THEOREM 1. Let L be an unbounded operator in a Hilbert space \mathfrak{H} , having a discrete spectrum $\{\lambda_j\} \subset G = B_R \cup P_{q,h}$, where $B_R = \{\lambda: |\lambda| \leq R\}$, $P_{q,h} = \{\lambda: \operatorname{Re} \lambda \geq 0, |\lambda| > 1, |\operatorname{Im} \lambda| \leq h(\operatorname{Re} \lambda)^q, h > 0, -\infty < q < 1\}$, and for some $\gamma < \infty$, $L^{-1} \in \sigma_\gamma$. Also let the estimate

$$\|(I\lambda - L)^{-1}\| \leq Cd^{-1}(\lambda, G), \lambda \in G$$

hold outside the domain $G' = B_R \cup P_{q,2h}$, and for some $a > 0$, $p > 0$

$$\sum_{|\lambda_j| \leq t} 1 = n(t) \leq dt^p$$

provided t is sufficiently large.

Then $L \in A(\alpha, \mathfrak{H})$ for any $\alpha > \max 0, p - (1 - q)$.

Besides, if the numbers a or h can be chosen arbitrarily small and $p - (1 - q) > 0$, then $\alpha = p - (1 - q)$ is admissible.

Introduction. Let L be an unbounded linear operator in a separable complex Hilbert space \mathfrak{H} with domain of definition $\mathcal{D}(L)$ which is dense in \mathfrak{H} , having a discrete spectrum $\sigma(L)$. Let $\{e_j\}_{j=1}^\infty$ be a sequence consisting of bases in the root subspaces of L , where e_j is a root vector corresponding to the eigenvalue λ_j . To each vector $x \in \mathfrak{H}$ we associate its Fourier series $\sum (x, e_j^*)e_j$ with respect to this system (not necessarily convergent), where $\{e_j^*\}$ is a system which is biorthogonal to $\{e_j\}$.

We write $L \in \mathcal{A}(\alpha, \mathfrak{M}, \mathfrak{H})$ if for an arbitrary vector x in \mathfrak{M} , where \mathfrak{M} is some linear manifold in \mathfrak{H} , the Fourier series $\sum (x, e_j^*)e_j$ is summable in \mathfrak{H} to x by the Abel method of order α with parenthesis.

If we suppose that L has no associated vectors and all its eigenvalues $\{\lambda_j\}$ lie in the sector $A_\theta = \{\lambda: |\arg \lambda| \leq \pi/2\theta, 1/2 \leq \theta < \infty\}$ then the Abel method of summability of order α ($\alpha \leq \theta$) consists in replacing the series $\sum (x, e_j^*)e_j$ by series

$$(1) \quad u_x(t) = \sum_{j=1}^\infty e^{-\lambda_j^\alpha t} (x, e_j^*)e_j;$$

it is required that for any $t > 0$ after possible recombination of its terms and appropriate use of parenthesis (not depending on $x \in \mathfrak{M}$, or $t > 0$) this series converges in \mathfrak{H} and its sum $u_x(t)$ converges

to x in \mathfrak{H} as $t \rightarrow +0$. The branch of the function λ^α in (1) is selected so that $\lambda^\alpha > 0$ if $\lambda > 0$. In the general case, when there do exist associated vectors, the factors for the vectors e_j in the series (1) are defined by calculating the integral

$$\frac{1}{2\pi i} \int e^{-\lambda^\alpha t} (\lambda I - L)^{-1} x d\lambda$$

along a contour which surrounds a corresponding eigenvalue (see [9], where the Abel method was first introduced).

By σ_p we denote the collection of all compact operators A , for which $\sum s_j^2(A) < \infty$, where $s_j(A)$ are eigenvalues of operator $(AA^*)^{1/2}$, and by σ_∞ the collection of all compact operators.

The following result combines those of many authors.

THEOREM. *Let L be an unbounded operator in a Hilbert space \mathfrak{H} having a discrete spectrum $\{\lambda_j\} \subset G = B_R \cup A_\theta$, where $B_R = \{\lambda: |\lambda| \leq R\}$, $A_\theta = \{\lambda: |\arg \lambda| \leq \pi/2\theta\}$, and its inverse operator $L^{-1} \in \sigma_\gamma$ for some $\gamma < \theta$. If the estimate*

$$\|(\lambda I - L)^{-1}\| \leq Cd^{-1}(\lambda, G), \quad \lambda \in G$$

holds outside the domain G , where $d(\lambda, G)$ is the distance between λ and G , then

(1) *the system of root vectors of operator L is complete in the space \mathfrak{H} .*

(2) *$L \in \mathcal{A}(\alpha, \mathfrak{H})$, if $\alpha \in (\gamma, \theta)$.*

M. V. Keldysh [6], [7] proved the first assertion in the case $L = (I + V)H$, where $H = H^* > 0$, $V \in \sigma_\infty$. Subsequently, the Keldysh method was generalized by many authors, in particular, in a similar form the first assertion was proved by S. Agmon [1], by I. C. Gohberg and M. G. Krein [3]. The second assertion is much stronger. In [9] V. B. Lidskii proved, that $L \in \mathcal{A}(\alpha, \mathcal{D}(L), \mathfrak{H})$, if $\alpha \in (\gamma, \theta)$. Recently V. I. Macaev noticed that, indeed, the second assertion holds.¹

In many cases the spectrum of operator L lies asymptotically in an arbitrarily small sector A_θ , i.e., the number θ may be chosen arbitrarily large. Such cases occur for some differential operators and are valid for operators which can be represented in the form $L = (I + V)H$, where $H > 0$ and $V \in \sigma_\infty$. In this situation the interval for α is equal to (γ, ∞) . For applications (see [9]) the most important case is when the order of summability $\alpha = 1$. In

¹ This note is reported in the appendix to the book [5]. The appendix is written by M. S. Agranovich.

this connection it is highly important to clear up the general conditions under which the interval for α can be extended. Indeed, it can be extended if the spectrum of operator L lies asymptotically not only in an arbitrarily small sector but in some domain which is bounded by parabolas, lines, or hyperbolas.

The following theorem, which can be considered as the continuation of the previous theorem, formulates the exact result.

THEOREM 1. *Let L be an unbounded operator in a Hilbert space \mathfrak{H} , having a discrete spectrum $\{\lambda_j\} \subset G = B_R \cup P_{q,h}$, where $B_R = \{\lambda: |\lambda| \leq R\}$, $P_{q,h} = \{\lambda: \operatorname{Re} \lambda \geq 0, |\lambda| > 1, |\operatorname{Im} \lambda| \leq h (\operatorname{Re} \lambda)^q, h > 0, -\infty < q < 1\}$, and for some $\gamma < \infty$, $L^{-1} \in \sigma_\gamma$. Also let the estimate*

$$\|(I\lambda - L)^{-1}\| \leq Cd^{-1}(\lambda, G), \lambda \in G$$

hold outside the domain $G' = B_R \cup P_{q,2h}$, and for some $a > 0$, $p > 0$

$$\sum_{|\lambda_j| \leq t} 1 = n(t) \leq \alpha t^p$$

provided t is sufficiently large.

Then $L \in \mathcal{A}(\alpha, \mathfrak{H})$ for any $\alpha > \max 0, p - (1 - q)$.

Besides, if the numbers a or h can be chosen arbitrarily small and $p - (1 - q) > 0$, then $\alpha = p - (1 - q)$ is admissible.

Some results about extension of the interval for α were obtained by V. B. Lidskii [10], by V. E. Katznelson and M. S. Agranovich (see [2]). All these results dealt with operators which can be represented in the form of a weakly perturbed self-adjoint positive operator, and the proofs of the appropriate statements used the specific properties of those operators.

Theorem 1 includes and generalizes these results. For its proof we use another more general method, where new estimates for meromorphic functions play the basic role. These estimates have independent significance; the following theorem formulates the relevant result.

THEOREM 2. *Let $F(\lambda)$ be a meromorphic function of finite order γ in the sector Λ_θ , and its poles $\{\lambda_j\}$ lie in the domain $P_{q,h} = \{\lambda: \operatorname{Re} \lambda > 0, |\lambda| > 1, |\operatorname{Im} \lambda| < h (\operatorname{Re} \lambda)^q, h > 0, -\infty < q < 1\}$. Also let the estimate $|F(\lambda)| \leq C$ hold on the boundary of the domain $P_{q,2h}$ and for some $a > 0$, $p > 0$*

$$\sum_{|\lambda_j| \leq t} 1 = n(t) \leq at^p$$

provided t is sufficiently large.

Then there exists a sequence $r_1 < r_2 < \dots < r_k \rightarrow \infty$, such that the estimate

$$|F(\lambda)| \leq C \exp(\sigma a h |\lambda|^{p-(1-q)})$$

holds for all $|\lambda| = r_k$, $\lambda \in P_{q,2h}$, where the constants C , σ do not depend on λ , a , h , if $0 < h < h_0$ and h_0 is any fixed number.

In the case when the function $F(\lambda)$ is meromorphic in the whole complex plane and has the finite order γ , and when its poles $\{\lambda_j\}$ are scattered in the sector A_θ , $\theta > \gamma$, $n(t) \leq at^p$ and $|F(\lambda)| \leq C$ on ∂A_θ one can obtain, using the well-known theorem of Titchmarsh (see for example [1], p. 278), the following estimate

$$|F(\lambda)| \leq C \exp |\lambda|^{p+\varepsilon}, \quad \varepsilon > 0, \quad |\lambda| = r_k, \quad \lambda \in A_\theta,$$

where $r_1 < r_2 < \dots < r_k \rightarrow \infty$. This estimate was used by V. B. Lidskii [9] for his summability theorem.

We note that in the case when $\{\lambda_j\}$ are concentrated close to the real axis, namely, in some domain $P_{q,h}$, $q < 1$, Theorem 2 gives a much sharper estimate.

Proof of Theorems 1 and 2. In this section we will denote

1. $A_\theta = \{\lambda: \arg |\lambda| \leq \pi/2\theta\}$,
2. $P_{q,h} = \{\lambda: \operatorname{Re} \lambda > 0, |\lambda| > 1, |\operatorname{Im} \lambda| < h(\operatorname{Re} \lambda)^q, h > 0, -\infty < q < 1\}$,
3. $P_{q,h}^+ = \{\lambda: \operatorname{Re} \lambda > 0, |\lambda| > 1, 0 < |\operatorname{Im} \lambda| < h(\operatorname{Re} \lambda)^q, h > 0, -\infty < q < 1\}$,
4. $B(z, r) = \{\lambda: |\lambda - z| \leq r\}$, $B(0, r) = B_r$.

If the sequence $\{\lambda_n\}$ lies in the upper half-plane ($\operatorname{Im} \lambda_n > 0$) and

$$\sum_{n=1}^{\infty} \frac{\operatorname{Im} \lambda_n}{1 + |\lambda_n|^2} < \infty,$$

then the product

$$B(\lambda) = \prod_{n=1}^{\infty} \frac{\lambda - \lambda_n}{\lambda - \bar{\lambda}_n} \frac{1 + \lambda_n^2}{1 + \lambda_n^2}$$

converges and is called Blaschke product for the sequence $\{\lambda_n\}$.

We will start with the proof of the Theorem 2. The estimates of Blaschke product will play the main role in proving this theorem. First we will establish several lemmas.

LEMMA 1. *Given any number $\varepsilon > 0$ and complex numbers a_1, a_2, \dots, a_N , there is a system of circles in the complex plane, with the sum of the radii not greater than $2\varepsilon N$, such that for each*

point z lying outside these circles one has the inequalities

$$|z - a_k| \geq k\varepsilon, \quad n = 1, \dots, N,$$

if the numbers a_k have been enumerated in increasing order of $|z - a_k|$.

Proof. This lemma is essentially equivalent to H. Cartan's well-known theorem about estimating from below the modulus of a polynomial, and its proof can be obtained by following the proof of Cartan's theorem (see [8], Chap. 1, § 7).

Let E be a set in the complex plane and suppose, that for any r sufficiently large the set $E \cap B_r$ may be covered by a system of circles, such that the total sum of their radii is not greater than δr , where the number δ does not depend on r and $0 < \delta < 1$. The number δ_0 which is the minimum of such δ , we will define as the linear density of the set E .

LEMMA 2. Let $\{\lambda_k\}$ be a sequence in the complex plane, such that for all t sufficiently large

$$\sum_{|\lambda_k| \leq t} 1 = n(t) \leq at.$$

Given any number $0 < \delta < 1$, there exists the set E of linear density $\leq \delta$, such that for all $z \in D$ one has the inequalities

$$(3) \quad |z - \lambda_k| \geq \frac{\delta k}{4a}, \quad k = 1, 2, \dots,$$

if the numbers λ_k have been enumerated in order of increasing $|z - \lambda_k|$.

Proof. Let $\lambda_1, \dots, \lambda_{k_0}$ be the points of the sequence $\{\lambda_k\}$ lying in the circle B_{2r} . From the inequality $n(t) \leq at$, ($t > t_0$) it follows that $k_0 \leq 2ar$. Fix any δ , $0 < \delta < 1$. According to Lemma 1 (in this lemma we put $\varepsilon = \delta/4a$) there exists a set E_r which consists of the circles with total sum of the radii $\leq 2\varepsilon k_0 \leq \delta r$, such that for all $z \in E_r$ one has the inequalities

$$(4) \quad |z - \lambda_k| \geq \frac{\delta k}{4a}, \quad k = 1, 2, \dots, k_0,$$

if the numbers $\lambda_1, \dots, \lambda_{k_0}$ have been enumerated in order of increasing $|z - \lambda_k|$.

By the inequality $n(t) \leq at$, we conclude $|\lambda_k| \geq k/a$ for all $\lambda_k \in$

B_{2r} . Consequently, if $|z| \leq r$, then

$$(5) \quad |z - \lambda_k| \geq \frac{|\lambda_k|}{2} \geq \frac{k}{2a}, \quad k = k_0 + 1, k_0 + 2, \dots$$

Let $G_r = B_r \setminus E_r$, $G = \bigcup_{r > t_0} G_r$, $E = C \setminus G$, where by C we denote the complex plane. According to (4), (5), the inequalities (3) hold, if $z \in G_r$ for all $r > t_0$, consequently, they hold for $z \in G$, i.e., for $z \in E$.

Evidently, $B_r \cap E \subset E_r$; therefore the set $B_r \cap E$ may be covered by a system of circles with sum of the radii $\leq \delta r$. Hence the linear density of the set E is not greater than δ . Thus Lemma 2 is proved.

LEMMA 3. Let the sequence $\{\lambda_k\}$ lie in the domain $P_{q,h}^+$ and

$$\sum_{|\lambda_k| \leq t} 1 = n(t) \leq at$$

provided t is sufficiently large.

Given any number $0 < \delta < 1$ there exists a set E , such that its linear density $\leq \delta$ and for all $\lambda \in P_{q,h}^+ \setminus E$ one has the inequality

$$|B(\lambda)| \geq \exp(-\sigma ah \delta^{-1} |\lambda|^q), \quad \lambda \in P_{q,h}^+ \setminus E,$$

where the function $B(\lambda)$ is defined by (2), and the constant σ does not depend on λ, a, h, δ , if $0 < h < h_0$ and h_0 is any fixed number.

Proof. Denote $\lambda = \mu + i\nu$, $\lambda_n = \mu_n + i\nu_n$. Then

$$\begin{aligned} |B(\lambda)|^{-2} &= \prod_{k=1}^{\infty} \left| \frac{\lambda - \bar{\lambda}_k}{\lambda - \lambda_k} \right| = \prod_{k=1}^{\infty} \frac{(\mu - \mu_k)^2 + (\nu + \nu_k)^2}{|\lambda - \lambda_k|^2} \\ &= \prod_{k=1}^{\infty} \left(1 + \frac{4\nu\nu_k}{|\lambda - \lambda_k|^2} \right). \end{aligned}$$

Taking into account that $\nu \leq h\mu^q$, $\nu_k \leq h\mu_k^q$, $|\lambda - \lambda_k| \geq |\mu - \mu_k|$, $q < 1$, we obtain

$$\begin{aligned} |B(\lambda)|^{-2} &\leq \prod_{k=1}^{\infty} \left(1 + \frac{4h^2\mu^q\mu_k^q}{|\lambda - \lambda_k|^2} \right) \leq \prod_{k=1}^{\infty} \left(1 + \frac{4h^2\mu^q[(\mu_k - \mu) + \mu]^q}{|\lambda - \lambda_k|^2} \right) \\ &\leq \prod_{k=1}^{\infty} \left(1 + \frac{4h^2\mu^{2q}}{|\lambda - \lambda_k|^2} \right) \prod_{k=1}^{\infty} \left(1 + \frac{4h^2\mu^q}{|\lambda - \lambda_k|^{2-q}} \right). \end{aligned}$$

According to Lemma 2, there exists a set E such that its linear density $\leq \delta$ and for all $\lambda \in E$ one has the inequalities (3) after enumerating the sequence $\{\lambda_k\}$ properly. Hence, if $\lambda \in P_{q,h}^+ \setminus E$, then

$$\begin{aligned}
& -2\ln|B(\lambda)| \\
& \leq \sum_{k=1}^{\infty} \ln\left(1 + \frac{64a^2h^2\mu^{2q}}{\delta^2k^2}\right) + \ln\left(1 + \frac{4(4a)^{2-q}h^2\mu^q}{\delta^{2-q}k^{2-q}}\right) \\
& < \int_{1/2}^{\infty} \left[\ln\left(1 + \frac{64a^2h^2\mu^{2q}}{\delta^2x^2}\right) + \ln\left(1 + \frac{4(4a)^{2-q}h^2\mu^q}{\delta^{2-q}x^{2-q}}\right) \right] dx \\
(6) \quad & \leq x \ln\left(1 + \frac{64a^2h^2\mu^{2q}}{\delta^2x^2}\right) \Big|_{1/2}^{\infty} + x \ln\left(1 + \frac{4(4a)^{2-q}h^2\mu^q}{\delta^{2-q}x^{2-q}}\right) \Big|_{1/2}^{\infty} \\
& + \int_{1/2}^{\infty} \left[\frac{128a^2h^2\mu^{2q}}{\delta^2x^2 + 64a^2h^2\mu^{2q}} + \frac{4(2-q)(4a)^{2-q}h^2\mu^q}{\delta^{2-q}x^{2-q} + 4(4a)^{2-q}h^2\mu^q} \right] dx \\
& \leq \ln(1 + C_1a^2h^2\delta^{-2}\mu^{2q}) + \ln(1 + C_2a^{2-q}\delta^{q-2}h^2\mu^q) + C_3ah\delta^{-1}\mu^q \\
& + C_4ah^{2/2-q}\delta^{-1}\mu^{q/2-q} \leq Cah\delta^{-1}\mu^q \leq Cah\delta^{-1}|\lambda|^q,
\end{aligned}$$

where the constant C does not depend on λ, a, h, δ , if $0 < h < h_0$ and h_0 is any fixed number. We note that in (6) the following estimates were used:

$$\begin{aligned}
\int_{1/2}^{\infty} \frac{\omega^2 dx}{\delta^2 x^2 + \omega^2} &= \omega \delta^{-1} \arctg \left| \frac{\omega}{\delta^2 x^2 + \omega^2} \right|_{1/2}^{\infty} < \frac{\pi}{2} \omega \delta^{-1}; \\
\int_{1/2}^{\infty} \frac{\omega dx}{\delta^{2-q} x^{2-q} + \omega} &\leq \frac{\omega}{\delta^{2-q}} \left[\int_{1/2}^{\omega^{1/2-q}\delta^{-1}} \frac{dx}{x^{2-q} + \omega \delta^{q-2}} + \int_{\omega^{1/2-q}\delta^{-1}}^{\infty} \frac{dx}{x^{2-q} + \omega \delta^{q-2}} \right] \\
&< \frac{\omega}{\delta^{2-q}} \left[\int_{1/2}^{\omega^{1/2-q}\delta^{-1}} \frac{dx}{\omega \delta^{q-2}} + \int_{\omega^{1/2-q}\delta^{-1}}^{\infty} \frac{dx}{x^{2-q}} \right] \\
&< \omega^{1/2-q}\delta^{-1} + \frac{1}{1-q} \omega^{1/2-q}\delta^{-1} = \frac{2-q}{1-q} \omega^{1/2-q}\delta^{-1}.
\end{aligned}$$

The estimates (6) prove Lemma 3.

LEMMA 4. *Let the sequence $\{\lambda_k\}$ lie in the domain $P_{q,h}$ and*

$$(7) \quad \sum_{|\lambda_k| \leq t} 1 = n(t) \leq at^p.$$

Then there exists a holomorphic function $\Delta(\lambda)$ in the domain $P_{q,2h}$, such that

(a) $\Delta(\lambda_k) = 0$ for all points of the sequence $\{\lambda_k\}$, and λ_k is an s -multiple root of $\Delta(\lambda)$, if it is repeated in the sequence s times.

(b) $|\Delta(\lambda)| \leq 1$, if $\lambda \in P_{q,2h}$.

(c) given $\delta > 0$ there exists a set E , such that its linear density $\leq \delta$ and for $\lambda \in P_{q,2h} \setminus E$ one has the estimate

$$(8) \quad |\Delta(\lambda)| \geq \exp(-\sigma ah \delta^{-\beta} |\lambda|^{p-(1-q)}),$$

where the constants $\sigma, \beta > 0$ do not depend on λ, a, h, δ , if $0 < h < h_0$ and h_0 is any fixed number.

Proof. Let us consider the function $\rho(\lambda) = [\lambda^{1-q} + 3h(1-q)i + \tau]^{p/1-q}$. It is easy to verify, that provided τ is sufficiently large, the function $\rho(\lambda)$ maps the domain $P_{q,2h}$ inside the domain $P_{q',h'}^+$, i.e., $\rho(P_{q,h}) \subset P_{q',h'}^+$, where $q' = 1 - (1-q)/p$, $h' = 6ph$. Hence, the sequence $\{\rho_n\} = \{\rho(\lambda_n)\}$ lies in the domain $P_{q',h'}^+$ and furthermore, according to (7), we have

$$\begin{aligned} \sum_{|\rho_n| \leq t} 1 &= \sum_{|\lambda_n^{1-q} + 3h(q-1)i + \tau|^{p/1-q} \leq t} 1 \\ &\leq \sum_{|\lambda_n|^{p \leq 2t}} 1 = \sum_{|\lambda_n| \leq 2^{1/p} t^{1/p}} 1 \leq 2at. \end{aligned}$$

According to Lemma 3, given any number $\delta_1 > 0$, there exists a set E , such that its linear density $\leq \delta_1$ and for $\lambda \in P_{q,h}^+ \setminus E$ one has the estimate

$$(9) \quad |B(\rho)| \geq \exp[-\sigma ah \delta_1^{-1} |\rho|^{q'}],$$

where $B(\rho) = \Pi(\rho - \rho_k)(\rho - \bar{\rho}_k)^{-1}$. Evidently, $|B(\rho)| < 1$ if $\text{Im } \rho > 0$. Taking into account that $\rho^{-1}(P_{q',h'}^+) \supset P_{q,2h}$, we find that the function $\Delta(\lambda) = B(\rho(\lambda))$ is holomorphic in the domain $P_{q,2h}$ and satisfies conditions (a) and (b). By virtue of (9), we have

$$\begin{aligned} |\Delta(\lambda)| &\geq \exp(-\sigma ah \delta_1^{-1} |\lambda^{1-q} + 3h(1-q)i + \tau|^{pq'/1-q}) \\ &\geq \exp(-\sigma_1 ah \delta_1^{-1} |\lambda|^{p-(1-q)}), \end{aligned}$$

if $\lambda \in \rho^{-1}(P_{q',h'}^+) \setminus \rho^{-1}(E) \supset P_{q,2h} \setminus \rho^{-1}(E)$. Thus, the proof of Lemma 4 will be complete if we show that the set $\rho^{-1}(E)$ has the linear density $\leq C\delta_1^{1/\beta}$, where the constants $C > 0$, $\beta > 0$ do not depend on a, h, δ_1 . Then, supposing $\delta = C\delta_1^{1/\beta}$, we will obtain the estimate (8).

It is sufficient to show that if the set \mathfrak{M} has linear density $\leq \varepsilon < 1$, then its image $\xi(\mathfrak{M})$ under the map $\xi(\lambda) = \lambda^\kappa$ has the linear density $\leq C\varepsilon^{\kappa'}$, where $\kappa' = \min(\kappa, 1)$ and the constant C does not depend on ε .

For any r sufficiently large, there exists circles $B(z_i, \varepsilon_i)$, such that they cover the set $\mathfrak{M} \cap B_r$ and $\sum \varepsilon_i \leq \varepsilon r$. If $\varepsilon_i \leq |z_i|$, then

$$(10) \quad \begin{aligned} |(z_i + \varepsilon_i e^{i\phi})^\kappa - z_i^\kappa| &\leq |z_i|^\kappa |(1 + \varepsilon_i e^{i\phi} z_i^{-1})^\kappa - 1| \\ &\leq C_1 \varepsilon_i |z_i|^{\kappa-1} \end{aligned}$$

by virtue of the simple inequality $|(1+z)^\kappa - 1| \leq C_1(\kappa)|z|$, which holds for $|z| \leq 1$. If $|z_i| < \varepsilon_i$, then $B(z_i, \varepsilon_i) \subset B(0, 2\varepsilon_i) \subset B(0, 2r\varepsilon)$. Taking into account (10), we find that the set $\xi(\mathfrak{M}) \cap B(0, r^\kappa)$ may be covered by circles with the sum of the radii not greater than $(2r\varepsilon)^\kappa + C_1 \sum \varepsilon_i |z_i|^{\kappa-1} \leq (2r\varepsilon)^\kappa + C_1 r^{\kappa-1} \sum \varepsilon_i \leq C_2 r^\kappa (\varepsilon^\kappa + \varepsilon)$.

This means that the set $\xi(\mathfrak{M})$ has linear density $\leq 2C_2 \varepsilon^{\kappa'}$, where $\kappa' = \min(\kappa, 1)$. Hence Lemma 4 is established.

LEMMA 5. *Let the sequence $\{\lambda_k\}$ lie in the domain $P_{q,h}$ and*

$$(11) \quad \sum_{|\lambda_k| \leq t} 1 = n(t) \leq at^p ,$$

provided t is sufficiently large.

Then outside the domain $P_{q,2h}$ one has the estimate

$$(12) \quad |V(\lambda)| \geq C \exp(-|\lambda|^{p+\varepsilon}), \quad \varepsilon > 0, \quad \lambda \in C \setminus P_{q,2h} ,$$

where the function $V(\lambda)$ is the canonical product for the sequence $\{\lambda_k\}$

$$(13) \quad V(\lambda) = \prod_{k=1}^{\infty} \left(1 - \frac{\lambda}{\lambda_k}\right) \exp\left(\frac{\lambda}{\lambda_k} + \dots + \frac{\lambda^{\nu}}{\nu \lambda_k^{\nu}}\right), \quad \nu = [p] .$$

Proof. If $\pm \lambda \in P_{q,2h}$, $\lambda_n \in P_{q,h}$, then there exist the constants C_1, C_2 depending only on h, q , such that

$$(14) \quad |\lambda - \lambda_k| \geq C_1 |\operatorname{Im} \lambda| \geq C_1 C_2 |\lambda|^q .$$

If $-\lambda \in P_{q,2h}$, then the estimate (14) holds for $q = 1$, and consequently (14) holds for all $\lambda \in P_{q,2h}$.

It follows from condition (11), that $|\lambda_{k+1}| \geq a^{-1/p} k^{1/p}$. Taking into account that

$$\sum_{k=1}^N k^{\kappa} \leq \begin{cases} 2N^{\kappa+1} & \text{if } \kappa \neq -1 \\ 2\ln N & \text{if } \kappa = -1 \end{cases} ,$$

we obtain the estimate

$$(15) \quad \begin{aligned} \sum_{|\lambda_k| \leq 2|\lambda|} \ln \left| \exp\left(\frac{\lambda}{\lambda_k} + \dots + \frac{\lambda^{\nu}}{\nu \lambda_k^{\nu}}\right) \right| &\geq - \sum_{k=1}^{n(2|\lambda|)} \left| \frac{\lambda}{\lambda_k} \right| + \dots \\ &+ \frac{1}{\nu} \left| \frac{\lambda}{\lambda_k} \right|^{\nu} \geq -C_3 \sum_{s=1}^{\nu} \sum_{k=1}^{n(2|\lambda|)} |\lambda|^s k^{-s/p} \\ &\geq -2C_3 \sum_{j=1}^{\nu} |\lambda|^s n(2|\lambda|)^{1-s/p} \ln n(2|\lambda|) \\ &\geq -C_4 \sum_{s=1}^{\nu} |\lambda|^s |\lambda|^{p-s} \ln |\lambda| = -\nu C_4 |\lambda|^p \ln |\lambda| . \end{aligned}$$

Further, using (14), we have

$$\begin{aligned} \sum_{|\lambda_k| < 2|\lambda|} \ln \left| 1 - \frac{\lambda}{\lambda_k} \right| &\geq \sum_{|\lambda_k| < 2|\lambda|} \ln \frac{C_1 C_2 |\lambda|^q}{|\lambda_k|} \\ &\geq n(2|\lambda|) \ln \frac{1}{2} C_1 C_2 |\lambda|^{q-1} \geq -C_5 |\lambda|^p \ln |\lambda| . \end{aligned}$$

Since

$$\sum_{|\lambda_k| > 2|\lambda|} \ln \left(1 - \frac{\lambda}{\lambda_k} \right) + \frac{\lambda}{\lambda_k} + \dots + \frac{\lambda^\nu}{\nu \lambda_k^\nu} = - \sum_{|\lambda_k| > 2|\lambda|} \sum_{s=\nu+1}^{\infty} \frac{\lambda^s}{s \lambda_k^s},$$

we finally get the estimate

$$\begin{aligned} & \prod_{|\lambda_k| > 2|\lambda|} \left| \left(1 - \frac{\lambda}{\lambda_k} \right) \exp \left(\frac{\lambda}{\lambda_k} + \dots + \frac{\lambda^\nu}{\nu \lambda_k^\nu} \right) \right| \\ & \geq \prod_{|\lambda_k| > 2|\lambda|} \exp \left(- \sum_{s=\nu+1}^{\infty} \frac{1}{s} \left| \frac{\lambda}{\lambda_k} \right|^s \right) \\ & \geq \prod_{|\lambda_k| > 2|\lambda|} \exp \left(- \left| \frac{\lambda}{\lambda_k} \right|^{\nu+1} \left(1 - \left| \frac{\lambda}{\lambda_k} \right| \right)^{-1} \right) \\ (17) \quad & \geq \prod_{|\lambda_k| > 2|\lambda|} \exp \left(- 2 \left| \frac{\lambda}{\lambda_k} \right|^{\nu+1} \right) \\ & = \exp \left(- 2 \sum_{|\lambda_k| > 2|\lambda|} \left| \frac{\lambda}{\lambda_k} \right|^{\nu+1} \right) \\ & \geq \exp \left(- \sum_{|\lambda_k| > 2|\lambda|} \left| \frac{\lambda}{\lambda_k} \right|^{\rho+\varepsilon/2} \right) \\ & \geq C_6 \exp \left(- |\lambda|^{\rho+\varepsilon} \right), \end{aligned}$$

if $\varepsilon > 0$ is chosen, such that $\rho + \varepsilon/2 \leq \nu + 1$, and $|\lambda|$ is sufficiently large. The estimates (15)–(17) prove Lemma 5.

REMARK. According to Titchmarsh's theorem, there exists a sequence $r_1 < r_2 < \dots < r_k \rightarrow \infty$, such that the estimate (12) holds not only for $\lambda \in C \setminus P_{q,2k}$, but also for $|\lambda| = r_k$.

LEMMA 6.² Let the function $f(\lambda)$ be holomorphic in the sector $A_\theta = \{\lambda: |\arg \lambda| < \pi/2\theta, \theta \geq 1/2\}$, let f have no zeros inside this sector and let the order of its growth be $\rho < \infty$. Then for any given $\delta > 0$ inside the sector $A_{\theta+\delta}$ one has the estimate

$$(18) \quad |f(\lambda)| \geq \exp(-\sigma |\lambda|^{\max(\rho+\delta, \theta)}), \quad \lambda \in A_{\theta+\delta}, \quad |\lambda| > 1,$$

where the constant σ does not depend on λ .

Proof. If the function $\psi(z)$ is holomorphic in the circle $|z| \leq 1$ and has no zeros in this circle, then its modulus satisfies the inequality ([8], Chap. 1, § 6):

$$\ln |\psi(z)| \geq - \frac{c|z|}{1-|z|}, \quad |z| < 1,$$

where $c = 2\psi^{-1}(0) \ln \max_{|z| \leq 1} |\psi(z)|$. The function $z(\mu) = (\mu-1)(\mu+1)^{-1}$

² A similar lemma (unpublished) was obtained by another method by G. V. Radzievskii.

maps the right half-plane into the unit circle, and as $|\mu| \rightarrow \infty$ we have asymptotically ($\mu = |\mu|e^{i\phi}$)

$$\frac{\left| \frac{\mu-1}{\mu+1} \right|}{1 - \left| \frac{\mu-1}{\mu+1} \right|} = \frac{\sqrt{|\mu|^2 + 1 - 2|\mu|\cos\phi}}{\sqrt{|\mu|^2 + 1 + 2|\mu|\cos\phi} - \sqrt{|\mu|^2 + 1 - 2|\mu|\cos\phi}} \\ = \frac{|\mu| - \cos\phi + O(|\mu|^{-1})}{2\cos\phi + O(|\mu|^{-1})}.$$

Hence, the function $g(\mu)$, which is holomorphic, bounded and has no zeros in the right half-plane, satisfies the inequality

$$(19) \quad \ln|g(\mu)| \geq \frac{-C|\mu|}{2\cos(\arg \mu)}, \quad \text{if } \mu \in A_{1+\delta}, \quad |\mu| > 1.$$

Suppose that $\theta > \rho$. Then $\theta - \tau > \rho$ for some $\tau > 0$. In this case the function $f(\lambda)\exp(-\lambda^{\theta-\tau})$ is holomorphic and bounded in the sector A_θ . If $\lambda = \mu^{1/\theta}$, then the function $g(\mu) = f(\mu^{1/\theta})\exp(-\mu^{\theta-\tau/\theta})$ satisfies (19) and one has the estimate

$$(20) \quad \ln|f(\lambda)| \geq -\sigma|\lambda|^\theta, \quad \lambda \in A_{\theta+\delta}, \quad |\lambda| > 1.$$

In case $\theta \leq \rho$ we consider the function $f_\phi(\lambda) = f(\lambda)\exp(-e^{i\phi\pi/2}\lambda^{\rho+\delta})$, which is holomorphic and bounded in the sector $A_{\rho+2\delta}^\phi = \{\lambda: -\pi/2((1/\rho + 2\delta) + \phi) < \arg \lambda < \pi/2((1/\rho + 2\delta) - \phi)\} \subset A_\theta$, if $1/(\rho + 2\delta) - 1/\theta < \phi < 1/\theta - 1/(\rho + 2\delta)$. All sectors $A_{\rho+2}^\phi$ cover the sector A_θ , when ϕ changes in the indicated limits. Therefore, it is sufficient to show that the function $f(\lambda)$ satisfies (18) in every sector $A_{\rho+3\delta}^\phi$.

The function $\mu(\lambda) = (e^{i\pi/2}\lambda)^{\rho+2\delta}$ maps the sector $A_{\rho+2}^\phi$ into the right half-plane. Then the function $g(\mu) = f_\phi(e^{-i\phi\pi/2}\mu^{1/(\rho+2\delta)})$ is holomorphic and bounded in the right half-plane, and hence its modulus satisfies (19). From this inequality we obtain

$$(21) \quad \ln f(\lambda) \geq -\sigma|\lambda|^{\rho+2\delta}, \quad \lambda \in A_{\rho+3\delta}^\phi, \quad |\lambda| > 1.$$

The inequalities (20), (21) prove Lemma 6.

Now let us go on to the proof of Theorem 2.

Proof of Theorem 2. Let $F(\lambda) = F_1(\lambda)(F_2(\lambda))^{-1}$, where $F_1(\lambda)$, $F_2(\lambda)$ are holomorphic functions of finite order $\leq \gamma$ in the sector A_θ , and $\{\lambda_n\}$ are the zeros of the function $F_2(\lambda)$. According to Lemma 4, there exists a function $A(\lambda)$, which satisfies the condition (a)–(c) of this lemma. By $V(\lambda)$ we denote the canonical product (13) for the sequence $\{\lambda_n\}$. Let us consider the function

$$G(\lambda) = F(\lambda)\Delta(\lambda) = \frac{F_1(\lambda)}{\phi(\lambda)}\psi(\lambda),$$

where

$$\phi(\lambda) = \frac{F_2(\lambda)}{V(\lambda)}, \quad \psi(\lambda) = \frac{\Delta(\lambda)}{V(\lambda)}.$$

The function $G(\lambda)$ is holomorphic in the domain $P_{q,2h}$; we want to show that it has growth of finite order in that domain. Let $\mathcal{D}_k = P_{q,2h} \cap B_{r_k}$ where r_k are the numbers that were mentioned in the remark after Lemma 5. According to Lemma 5 and Titchmarsh's theorem, we have

$$|\phi(\lambda) \exp(-\lambda^{r'})|_{\partial \mathcal{D}_k} \leq C, \quad |\psi(\lambda) \exp(-\lambda^{r'})|_{\partial \mathcal{D}_k} \leq C,$$

if $\gamma' > \max(\gamma, \theta)$, and the constant C does not depend on k . By virtue of the maximum principle, we have the functions $\phi(\lambda) \exp(-\lambda^{r'})$ and $\psi(\lambda) \exp(-\lambda^{r'})$ are bounded in the domain $P_{q,2h}$, i.e., the functions $\phi(\lambda)$, $\psi(\lambda)$ have finite order $\leq \gamma'$ in the domain $P_{q,2h}$. By inequality (12) we find that the function $\phi(\lambda)$ has order $\leq \gamma'$ in the domain Λ_θ . As soon as $\phi(\lambda)$ has no zeros in the sector Λ_θ , we conclude from Lemma 6 that the function $\phi^{-1}(\lambda)$ has order $\leq \gamma'$ in sector $\Lambda_{\theta+\delta}$, $\delta > 0$. Hence, the function $G(\lambda)$ has order $\leq \gamma'$ in domain $P_{q,2h}$.

For $\lambda \in \partial P_{q,2h}$ we have the inequality $|G(\lambda)| \leq C$, insofar as

$$|F(\lambda)|_{\partial P_{q,2h}} \leq C, \quad |\Delta(\lambda)|_{\partial P_{q,2h}} \leq 1.$$

Using the Phragmen-Lindelöf principle (see, for example [8], chap. 1, § 14), we have $|G(\lambda)| \leq C$ for all $\lambda \in P_{q,2h}$. Hence, according to Lemma 6,

$$(22) \quad |F(\lambda)| \leq C |\Delta(\lambda)|^{-1} \leq C \exp(-\sigma a h \delta^{-\beta} |\lambda|^{p-(1-q)}),$$

if $\lambda \in P_{q,2h} \setminus E$, where the set E has linear density $\leq \delta$; the constants C, σ, β do not depend on λ, a, h, δ , and one can choose δ arbitrarily small.

Obviously, if the set E has linear density $< 1/2$, then there exist numbers $0 < r_1 < r_2 < 1 \dots < r_k \rightarrow \infty$, such that the circles $|\lambda| = r_k$ do not intersect the set E . Then, the assertion of theorem 2 follows from the estimate (22).

Essentially, Theorem 1 may be considered as a corollary of the Theorem 2.

Proof of Theorem 1. Fix the number α , such that $p-(1-q) < \alpha$. All eigenvalues of the operator L , except for a finite number, lie in the sector $\Lambda_{\alpha+\varepsilon}$, $\varepsilon > 0$. Without loss of generality, we suppose

that there are no eigenvalues of the operator L outside the sector $A_{\alpha+\varepsilon}$.

Let $x \in \mathfrak{S}$. Consider the integral

$$u_x(t) = \frac{1}{2\pi i} \int_{\Gamma} e^{-\lambda^{\alpha t}} (\lambda I - L)^{-1} x d\lambda, \quad t > 0,$$

where the contour Γ is the boundary of the sector $A_{\alpha+\varepsilon}$. Since $\|(\lambda I - L)^{-1}\| \leq C|\lambda|^{-1}$ for $\lambda \in \Gamma$, the function $u_x(t)$ is correctly defined for all $t > 0$. For the proof of the theorem we have to show that

(a) the function $u_x(t)$ can be represented in the form (1) and the series (1) converges in \mathfrak{S} after some rearrangement of parentheses not depending on t and x .

(b) $\lim_{t \rightarrow +0} u_x(t) = x$.

It follows from $L \in \sigma_{\gamma}$, that $(\lambda I - L)^{-1}$ is a meromorphic function of order $\leq \gamma$ (see, for example, [9]). According to Theorem 2, there exists a sequence $r_1 < r_2 < \dots < r_k \rightarrow \infty$, such that for $|\lambda| = r_k$ one has the estimate

$$(23) \quad \|(\lambda I - L)^{-1}\| \geq \exp(-\sigma a h |\lambda|^{p-(1-q)}),$$

where the constant σ does not depend on $a, h, 0 < h < h_0$.

Let $K_n = \{\lambda: r_n \leq |\lambda| \leq r_{n+1}\}$, $\mathscr{D}_n = A_{\alpha+\varepsilon} \cap K_n$. It follows from estimate (23), that for any $t > 0$ ($\alpha > p - (1 - q)$)

$$(24) \quad u_x(t) = \sum_{n=1}^{\infty} \int_{\partial \mathscr{D}_n} e^{-\lambda^{\alpha t}} (I\lambda - L)^{-1} x d\lambda,$$

and the series converges in \mathfrak{S} .

Calculating the integrals in (24), we obtain the assertion (a). We note also that in the case when either α , or h can be chosen arbitrarily small and $p - (1 - q) > 0$, the assertion (a) is valid for $\alpha = p - (1 - q)$.

As was mentioned before, under assumption $\|(I\lambda - L)^{-1}\| \leq Cd^{-1}(\lambda, A_{\alpha+\varepsilon})$, the assertion (b) was proved by V. B. Lidskii [9] for any $x \in \mathscr{D}(L)$, and by V. I. Macaev (see [5]) for any $x \in \mathfrak{S}$.

We note only that (b) is valid for x , which can be represented as a finite linear combination of eigenvectors of the operator L , and all such x are closed in \mathfrak{S} . Consequently, the assertion (b) is valid for all x , if $\|u_x(t)\| \leq C\|x\|$, where the constant C does not depend on $t, 0 < t \leq 1$. But this fact may be proved also by using the ideas from a theorem of E. Hille about the generation of holomorphic semi-groups (see [4], § 12.8).

As was shown in [9], the summability theorems have an important role in solving some nonstationary differential equations. The applications of Theorem 1 to such problems will be considered by

the author in a subsequent paper.

REFERENCES

1. S. Agmon, *Lectures on elliptic boundary value problem*, New York, 1965.
2. M. S. Agranovich, *Summability of series in root vectors of non-self-adjoint elliptic operators*, Funktsional. Anal. i ego Prilozhen., **10**, No. 3, 1-12, (1976).
3. I. C. Gohberg and M. G. Krein, *Introduction to the theory of linear non-self-adjoint operators*, Transl. Amer. Math. Soc., (1969).
4. E. Hille and R. S. Phillips, *Functional analysis and semi-groups*, Amer. Math. Soc., (1957).
5. B. S. Kazenelenbaum, A. N. Sivov and N. N. Voitovich, *Generalized method of oscillation in diffraction theory*, Moscow, Nauka, (1977) (Russian).
6. M. V. Keldysh, *Eigenvalues and eigenfunctions of certain classes of non-self-adjoint operators*, Dokl. Akad. Nauk SSSR, **77** (1951), No. 1, 11-14 (Russian).
7. ———, *On completeness of eigenfunctions of certain classes of non-self-adjoint operators*, Uspekhi Mathem. Nauk, **26** No. 4, (1971), 15-41.
8. B. Ia. Levin, *Distribution of zeros of entire functions*, Transl. of American Math. Society, (1964).
9. V. B. Lidskii, *On summability of series in principal vectors of non-self-adjoint operators*, Trudy Mosk. Mathem. ob-va, **11** (1962), 3-35 (Russian).
10. ———, *Expansions in Fourier series in principal functions of a non-self-adjoint elliptic operator*, Mathem. Sb., **57** (1962), 137-150 (Russian).

Received April 14, 1980.

MOSCOW STATE UNIVERSITY
Moscow, 117234, USSR

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

DONALD BABBITT (Managing Editor)

University of California
Los Angeles, CA 90024

HUGO ROSSI

University of Utah
Salt Lake City, UT 84112

C. C. MOORE and ARTHUR AGUS

University of California
Berkeley, CA 94720

J. DUGUNDJI

Department of Mathematics
University of Southern California
Los Angeles, CA 90007

R. FINN and J. MILGRAM

Stanford University
Stanford, CA 94305

ASSOCIATE EDITORS

R. ARENS

E. F. BECKENBACH

B. H. NEUMANN

F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA

UNIVERSITY OF BRITISH COLUMBIA

CALIFORNIA INSTITUTE OF TECHNOLOGY

UNIVERSITY OF CALIFORNIA

MONTANA STATE UNIVERSITY

UNIVERSITY OF NEVADA, RENO

NEW MEXICO STATE UNIVERSITY

OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON

UNIVERSITY OF SOUTHERN CALIFORNIA

STANFORD UNIVERSITY

UNIVERSITY OF AAWAII

UNIVERSITY OF TOKYO

UNIVERSITY OF UTAH

WASHINGTON STATE UNIVERSITY

UNIVERSITY OF WASHINGTON

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies,

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be in typed form or offset-reproduced, (not dittoed), double spaced with large margins. Please do not use built up fractions in the text of the manuscript. However, you may use them in the displayed equations. Underline Greek letters in red, German in green, and script in blue. The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. Please propose a heading for the odd numbered pages of less than 35 characters. Manuscripts, in triplicate, may be sent to any one of the editors. Please classify according to the scheme of Math. Reviews, Index to Vol. **39**. Supply name and address of author to whom proofs should be sent. All other communications should be addressed to the managing editor, or Elaine Barth, University of California, Los Angeles, California, 90024.

50 reprints to each author are provided free for each article, only if page charges have been substantially paid. Additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is issued monthly as of January 1966, Regular subscription rate: \$114.00 a year (6 Vol., 12 issues). Special rate: \$57.00 a year to individual members of supporting institution.

Subscriptions, orders for numbers issued in the last three calendar years, and changes of address should be sent to Pacific Journal of Mathematics, P.O. Box 969, Carmel Valley, CA 93924, U.S.A. Old back numbers obtainable from Kraus Periodicals Co., Route 100, Millwood, NY 10546.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.).
8-8, 3-chome, Takadanobaba, Shinjuku-ku, Tokyo 160, Japan.

Copyright © 1982 by Pacific Journal of Mathematics
Manufactured and first issued in Japan

Pacific Journal of Mathematics

Vol. 103, No. 2

April, 1982

Alberto Alesina and Leonede De Michele , A dichotomy for a class of positive definite functions	251
Kahtan Alzubaidy , Rank ₂ p -groups, $p > 3$, and Chern classes	259
James Arney and Edward A. Bender , Random mappings with constraints on coalescence and number of origins	269
Bruce C. Berndt , An arithmetic Poisson formula	295
Julius Rubin Blum and J. I. Reich , Pointwise ergodic theorems in l.c.a. groups	301
Jonathan Borwein , A note on ε -subgradients and maximal monotonicity	307
Andrew Michael Brunner, Edward James Mayland, Jr. and Jonathan Simon , Knot groups in S^4 with nontrivial homology	315
Luis A. Caffarelli, Avner Friedman and Alessandro Torelli , The two-obstacle problem for the biharmonic operator	325
Aleksander Całka , On local isometries of finitely compact metric spaces	337
William S. Cohn , Carleson measures for functions orthogonal to invariant subspaces	347
Roger Fenn and Denis Karmen Sjerve , Duality and cohomology for one-relator groups	365
Gen Hua Shi , On the least number of fixed points for infinite complexes	377
George Golightly , Shadow and inverse-shadow inner products for a class of linear transformations	389
Joachim Georg Hartung , An extension of Sion's minimax theorem with an application to a method for constrained games	401
Vikram Jha and Michael Joseph Kallagher , On the Lorimer-Rahilly and Johnson-Walker translation planes	409
Kenneth Richard Johnson , Unitary analogs of generalized Ramanujan sums	429
Peter Dexter Johnson, Jr. and R. N. Mohapatra , Best possible results in a class of inequalities	433
Dieter Jungnickel and Sharad S. Sane , On extensions of nets	437
Johan Henricus Bernardus Kemperman and Morris Skibinsky , On the characterization of an interesting property of the arcsin distribution	457
Karl Andrew Kosler , On hereditary rings and Noetherian V -rings	467
William A. Lampe , Congruence lattices of algebras of fixed similarity type. II	475
M. N. Mishra, N. N. Nayak and Swadeenananda Pattanayak , Strong result for real zeros of random polynomials	509
Sidney Allen Morris and Peter Robert Nickolas , Locally invariant topologies on free groups	523
Richard Cole Penney , A Fourier transform theorem on nilmanifolds and nil-theta functions	539
Andrei Shkalikov , Estimates of meromorphic functions and summability theorems	569
László Székelyhidi , Note on exponential polynomials	583
William Thomas Watkins , Homeomorphic classification of certain inverse limit spaces with open bonding maps	589
David G. Wright , Countable decompositions of E^n	603
Takayuki Kawada , Correction to: "Sample functions of Pólya processes"	611
Z. A. Chanturia , Errata: "On the absolute convergence of Fourier series of the classes $H^\omega \cap V[v]$ "	611