

# Pacific Journal of Mathematics

## **PRODUCTS OF POSITIVE REFLECTIONS IN REAL ORTHOGONAL GROUPS**

DRAGOMIR Z. DJOKOVIC

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Let  $O(f)$  be the orthogonal group of a symmetric bilinear form  $f$  defined on a finite-dimensional real vector space  $V$ . If  $f$  is indefinite then  $O(f)$  has two conjugacy classes of reflections, one of which consists of so called positive reflections. We denote by  $G^+$  the subgroup of  $O(f)$  generated by all positive reflections. In this paper we describe this subgroup and solve the length problem in  $G^+$  with respect to the distinguished set of generators. When  $f$  is non-degenerate this problem was solved by J. Malzan. Our proof (in the case of arbitrary  $f$ ) is shorter and completely different from his proof.

**Introduction.** Let  $O(f)$  be the orthogonal group of a symmetric bilinear form  $f$  defined on a finite-dimensional real vector space  $V$ . If  $f$  is indefinite then  $O(f)$  has two conjugacy classes of reflections, one of which consists of so called positive reflections. We denote by  $G^+$  the subgroup of  $O(f)$  generated by all positive reflections. In this paper we solve the length problem in  $G^+$  with respect to the distinguished set of generators. When  $f$  is non-degenerate this problem was solved by J. Malzan. Our proof (in the case of arbitrary  $f$ ) is shorter and completely different from his proof.

A non-isotropic vector  $a$  determines a unique orthogonal reflection  $R_a$  and we say that  $R_a$  is positive if  $f(a, a) > 0$ . The weak orthogonal group  $O^*(f)$  consists of all isometries which fix every vector in  $\text{Rad } V$ . To avoid trivial and known cases let us assume that  $f$  is indefinite, i.e., that  $f(x, x)$  takes both positive and negative values. Then  $O^*(f) \supset G^+ \supset O_1^*(f)$  where  $O_1^*(f)$  denotes the identity component of  $O^*(f)$ . Moreover  $O^*(f)/O_1^*(f) \cong Z_2 \times Z_2$  and  $G^+/O_1^*(f) \cong Z_2$ .

Our main theorem (Theorem 2) gives explicit formulas for the length of any  $u \in G^+$  with respect to the generating set consisting of all positive reflections. When  $f$  is nondegenerate this result is due to J. Malzan [5]. The proof is based on some earlier results of M. Götzky [3] on  $O^*(f)$ . One should point out that Götzky considers also weak unitary groups and his underlying field  $F$  is arbitrary ( $\text{char } F \neq 2$  in the case of  $O^*(f)$ ).

The main idea of the proof is to take a shortest representation of  $u \in G^+$  as a product of reflections and then try to convert all reflections

into positive ones. This method is effective in the generic case; the exceptional cases are treated separately.

**1. Weak orthogonal groups in general.** Let  $V$  be a finite-dimensional vector space over a field  $F$ ,  $\text{char } F \neq 2$ , and let  $f$  be a symmetric bilinear form on  $V$ . An automorphism  $u$  of  $V$  is called an *isometry* if  $f(u(x), u(y)) = f(x, y)$  for all  $x, y \in V$ . The group of all isometries will be denoted by  $O(f)$  and we refer to it as the *orthogonal group* of the form  $f$ . (Note that we allow  $f$  to be degenerate.)

The *weak orthogonal group*  $O^*(f)$  is the subgroup of  $O(f)$  consisting of all isometries which fix every vector in the radical  $\text{Rad } V = \{x \in V: f(x, y) = 0, \forall y \in V\}$ .

For  $u \in O(f)$  we define its *fixed space*  $\text{Fix } u$  and its *residual space*  $\text{Res } u$  by

$$\text{Fix } u = \text{Ker}(u - 1), \quad \text{Res } u = \text{Im}(u - 1).$$

We also define the *residue*  $r(u)$  and the *radical residue*  $r_0(u)$  of  $u$  to be

$$r(u) = \dim \text{Res } u, \quad r_0(u) = \dim(\text{Res } u \cap \text{Rad } V).$$

If  $a$  is a non-isotropic vector, i.e.,  $f(a, a) \neq 0$ , then the transformation  $R_a: V \rightarrow V$  defined by

$$R_a(x) = x - 2f(a, x)f(a, a)^{-1}a$$

belongs to  $O^*(f)$  and is called a *reflection*. We have

$$\text{Fix } R_a = \langle a \rangle^\perp, \quad \text{Res } R_a = \langle a \rangle$$

and  $R_a(a) = -a$ . (For any subspace  $W$  of  $V$  we denote by  $W^\perp$  the orthogonal complement of  $W$  with respect to the form  $f$ .)

We shall now state some results of M. Götzky [3] concerning the group  $O^*(f)$ . (In his paper he also treats the weak unitary groups but we shall not need those results.) For further results and generalizations we refer the reader to a paper of E. Ellers [2].

Every  $u \in O^*(f)$  can be expressed as a product of reflections

$$(1) \quad u = R_{a_1} R_{a_2} \cdots R_{a_m}.$$

Since  $\det R_a = -1$  for every reflection  $R_a$ , it follows that  $\det u = \pm 1$  for all  $u \in O^*(f)$ . Moreover the subgroup

$$SO^*(f) = \{u \in O^*(f): \det u = 1\}$$

has index 2 in  $O^*(f)$ .

For  $u \in O^*(f)$  we shall denote by  $l(u)$  the length of  $u$  with respect to the generating set consisting of all reflections. Thus  $l(u)$  is the smallest integer  $m(\geq 0)$  for which a factorization (1) exists.

**THEOREM 1.** (*M. Götzky*) For  $u \in O^*(f)$  we have  $l(u) = r(u) + r_0(u)$  except when  $(\text{Fix } u)^\perp$  is totally isotropic and  $u \neq 1$ . In the exceptional case we have  $l(u) = r(u) + r_0(u) + 2$ .

When  $f$  is non-degenerate, i.e.,  $\text{Rad } V = 0$ ; this theorem is due to P. Scherk [6].

**2. Real case and the statement of the main result.** From now on we shall assume that  $F$  is the real field  $R$ . A vector  $x$  is called *positive* (resp. *negative*) if  $f(x, x) > 0$  (resp.  $f(x, x) < 0$ ). We shall denote by  $n$  the dimension of  $V$  and by  $(p, q, s)$  the signature of  $f$ . This means that every orthogonal basis of  $V$  consists of  $p$  positive vectors,  $q$  negative vectors, and  $s$  isotropic vectors.

A reflection  $R_a$  is *positive* (resp. *negative*) if  $a$  is positive (resp. negative). It follows from Witt's theorem that all positive (resp. negative) reflections are conjugate in  $O^*(f)$ . We shall denote by  $G^+$  (resp.  $G^-$ ) the subgroup of  $O^*(f)$  generated by all positive (resp. negative) reflections. If  $p = 0$ , i.e.,  $f$  is negative semidefinite then there are no positive reflections and we have  $G^+ = \{1\}$  and  $G^- = O^*(f)$ . If  $q = 0$  then  $G^+ = O^*(f)$  and  $G^- = \{1\}$ .

In view of these remarks and Theorem 1 we shall assume throughout that  $f$  is indefinite, i.e.,  $p \geq 1$  and  $q \geq 1$ . Clearly  $O(f)$  and  $O^*(f)$  are real algebraic groups and so Lie groups. Let  $O_1^*(f)$  be the identity component of  $O^*(f)$  viewed as a Lie group.

Let  $V = V_1 \oplus \text{Rad } V$  and let  $f_1$  be the restriction of  $f$  to  $V_1 \times V_1$ . Clearly  $f_1$  is a non-degenerate symmetric bilinear form on  $V_1$  of signature  $(p, q, 0)$ . Then the elements  $u$  of  $O(f)$  are represented by matrices

$$u = \begin{pmatrix} u_1 & 0 \\ v & u_0 \end{pmatrix}$$

where  $u_1 \in O(f_1)$ ,  $u_0$  is an automorphism of  $\text{Rad } V$  and  $v: V_1 \rightarrow \text{Rad } V$  is an arbitrary linear map. We have  $u \in O^*(f)$  if and only if  $u_0 = 1$ .

**LEMMA 1.**  $O^*(f)/O_1^*(f) \cong Z_2 \times Z_2$ .

*Proof.* If  $s = 0$  this is well known, see e.g. [4, Lemma 2.4(b), p. 451]. In general the assertion follows from this special case and the above matrix description of elements of  $O^*(f)$ .

**COROLLARY.**  $G^+ \cdot O_1^*(f)/O_1^*(f)$  and  $G^- \cdot O_1^*(f)/O_1^*(f)$  are cyclic groups of order two. The three subgroups  $G^+ \cdot O_1^*(f)$ ,  $G^- \cdot O_1^*(f)$ , and  $SO^*(f)$  are distinct.

*Proof.* Since all positive (resp. negative) reflections are conjugate in  $O^*(f)$ , they lie in a single connected component of  $O^*(f)$ . This implies the first assertion. We have  $G^+ \cdot O_1^*(f) \neq G^- \cdot O_1^*(f)$  because  $O^*(f)$  is generated by reflections. These two groups are different from  $SO^*(f)$  because  $\det R = -1$  for each reflection  $R$ .

For  $u \in G^+$  we shall denote by  $l^+(u)$  the length of  $u$  with respect to the generating set consisting of all positive reflections. We can now state our main result.

**THEOREM 2.** We have  $G^+ \supset O_1^*(f)$ . For  $u \in G^+$  we have  $l^+(u) = r(u) + r_0(u)$  except in the following cases:

- (i) The subspace  $(\text{Fix } u)^\perp$  is negative semidefinite and  $u \neq 1$ ,
- (ii)  $u^2 = 1$  and  $u(x) = -x$  for some negative vector  $x$ .

In the exceptional cases we have  $l^+(u) = r(u) + r_0(u) + 2$ .

When  $f$  is non-degenerate this theorem is due to J. Malzan [5]. Our proof below even in the more general case is simpler and more elementary than his. For instance we do not need the detailed knowledge of the conjugacy classes of  $O(f)$ , which is heavily used in [5] in the case when  $f$  is non-degenerate.

**3. Proofs.** We shall assume that the reader is familiar with Götzky's paper [3] and we shall use some of his technical lemmas in addition to Theorem 1. The main tool in our proof is the following technical lemma.

**LEMMA 2.** Let  $a, b, c$  be linearly independent vectors with  $a$  positive and  $b$  and  $c$  negative. If the sequence  $a, b, c$  is not orthogonal then the isometry  $u = R_a R_b R_c$  can be written as a product of three positive reflections.

*Proof.* Without any loss of generality we may assume that  $f(a, a) = 1$  and  $f(b, b) = f(c, c) = -1$ . Set  $f(a, b) = \alpha$ ,  $f(a, c) = \beta$ , and  $f(b, c) = \gamma$ . By hypothesis at least one of  $\alpha, \beta, \gamma$  is non-zero. Since  $R_b R_c = R_d R_b$

where  $d = R_b(c)$ , we may assume that in fact  $\beta$  or  $\gamma$  is non-zero. Then for  $e = (\eta - \alpha\xi)a + \xi b$  we have

$$f(e, e) = (\eta - \alpha\xi)^2 - \xi^2 + 2\alpha\xi(\eta - \alpha\xi) = \eta^2 - (1 + \alpha^2)\xi^2,$$

and

$$\Delta = \begin{vmatrix} f(c, c) & f(c, e) \\ f(e, c) & f(e, e) \end{vmatrix} = (1 + \alpha^2)\xi^2 - \eta^2 - (\beta\eta + (\gamma - \alpha\beta)\xi)^2.$$

Since  $\beta$  or  $\gamma$  is not zero, we can choose  $\xi$  and  $\eta$  so that  $f(e, e) = -1$  and  $\Delta < 0$ . By Dreispiegelungssatz [1, Proposition 6.1] the product  $R = R_a R_b R_e$  is a reflection. Since  $b$  and  $e$  are negative vectors, we have  $R_b R_e \in O_1^*(f)$  and so  $R$  must be a positive reflection by Lemma 1, Cor. We have  $u = RR_e R_c$  where  $R_e$  and  $R_c$  are negative reflections. Since  $\Delta < 0$  the space  $W = \langle c, e \rangle$  is a hyperbolic plane. We claim that  $R_e R_c$  is a product of two positive reflections. To prove this it suffices to consider the restrictions of  $R_e$  and  $R_c$  to  $W$ . Then in  $W$  the operators  $-R_e$  and  $-R_c$  are positive reflections whose product is  $R_e R_c$ . This completes the proof.

*Proof of Theorem 2.* Let  $u \in G^+ \cdot O_1^*(f)$ .

*Case 1.*  $u$  is not exceptional, i.e., neither (i) nor (ii) holds.

Clearly  $l^+(u) \geq l(u)$  and by Theorem 1,  $l(u) = r(u) + r_0(u)$ . Write  $m = l(u)$  and let (1) be a factorization of  $u$  into a product of  $m$  reflections containing a maximal number, say  $k$ , of positive reflections. We have to prove that  $k = m$ .

This is clear if  $m = 0$ , i.e.,  $u = 1$ . Otherwise we prove first that  $k \geq 1$ . Since (i) does not hold there exists a positive vector  $a \in (\text{Fix } u)^\perp$ . It follows from [3, Hilfssatz 2.1, p. 385] that for  $v = R_a u$  we have  $r(v) = r(u)$  and  $r_0(v) = r_0(u) - 1$ . By Theorem 1  $l(v) = m - 1$  and since  $u = R_a v$  we have  $k \geq 1$ . We may assume that the vectors  $a_i$  are positive for  $1 \leq i \leq k$  and negative for  $k < i \leq m$ .

Now assume that  $k < m$ . By Lemma 1, Cor.  $m - k$  must be even, and so  $k \leq m - 2$ . Assume that for every pair of indices  $(i, j)$  such that  $1 \leq i < j \leq m$  and  $j > k$  we have  $a_i \perp a_j$ . Since (ii) does not hold there must exist a pair of indices  $(i, j)$  such that  $1 \leq i < j \leq k$  and  $f(a_i, a_j) \neq 0$ . Without any loss of generality we may assume that  $f(a_{k-1}, a_k) \neq 0$ . Let

$b \in \langle a_k, a_{k+1} \rangle$  be a positive vector such that  $b \notin \langle a_k \rangle$ . By Dreispiegelungssatz the product  $R_b R_{a_k} R_{a_{k+1}}$  is a reflection, say  $R_c$ , and by Lemma 1, Cor. it is a negative reflection. Thus we can replace in (1) the product  $R_{a_k} R_{a_{k+1}}$  by  $R_b R_c$ . Note that  $f(a_{k-1}, c) \neq 0$ . This shows that we may assume that there exists a pair of indices  $(i, j)$  such that  $1 \leq i < j \leq m$ ,  $j > k$  and  $f(a_i, a_j) \neq 0$ . Without any loss of generality we may in fact assume that the sequence  $a_k, a_{k+1}, a_{k+2}$  is not orthogonal. By Lemma 2 the product  $R_{a_k} R_{a_{k+1}} R_{a_{k+2}}$  can be replaced by a product of three positive reflections. This contradicts the maximality of  $k$ .

Hence we have shown that  $k = m$ , and in particular  $u \in G^+$ .

*Case 2.* (i) or (ii) holds. Let  $m = r(u) + r_0(u)$ . We prove first that  $l^+(u) \geq m + 2$ . This is clear if  $l(u) = m + 2$ . Otherwise we have  $l(u) = m$  and since  $\det u = (-1)^m$ , it suffices to show that  $u$  cannot be written as a product of  $m$  positive reflections. Assume that it can and let (1) be such a factorization.

We claim that  $a_k \in (\text{Fix } u)^\perp$  for all  $k$ . It suffices to prove this for  $k = 1$ . Thus let us assume that  $a_1 \notin (\text{Fix } u)^\perp$ . Then by [3, Proposition 2.1.3] for  $v = R_{a_1} u$  we have  $\text{Res } v = \text{Res } u \oplus \langle a_1 \rangle$ , and consequently  $r(v) = r(u) + 1$  and  $r_0(v) = r_0(u)$ . It follows that

$$l(v) = r(v) + r_0(v) = r(u) + r_0(u) + 1 = m + 1.$$

This is a contradiction since  $v$  is a product of  $m - 1$  reflections. Hence our claim is proved.

If (i) holds then since  $a_k \in (\text{Fix } u)^\perp$  for all  $k$ , we conclude that all reflections in (1) are negative, contrary to our hypothesis. Thus if (i) holds then  $l^+(u) \geq m + 2$ .

Now assume that (ii) holds. Since  $u^2 = 1$  we have  $V = \text{Fix } u \oplus \text{Res } u$  and  $\text{Fix } u \perp \text{Res } u$ . Since  $\text{Rad } V \subset \text{Fix } u$ , it follows that  $\text{Res } u$  is non-degenerate,  $r_0(u) = 0$ , and so  $m = r(u)$ . From (1) it follows that  $\text{Res } u \subset \langle a_1, \dots, a_m \rangle$ , see e.g. [2, §3]. Since  $r(u) = m$ , we conclude that  $a_1, \dots, a_m$  is a basis of  $\text{Res } u$ .

We claim that this basis is orthogonal. It suffices to show that  $a_1 \perp a_i$  for  $2 \leq i \leq m$ . Let  $b$  be a non-zero vector in  $\text{Res } u$  such that  $b \perp a_i$  for  $2 \leq i \leq m$ . Since  $u$  is  $-1$  on  $\text{Res } u$ , we have  $u(b) = -b$ . On the other hand it follows from (1) that  $u(b) = R_{a_1}(b)$ . Hence we have  $R_{a_1}(b) = -b$  and so  $a_1 \in \langle b \rangle$ . This proves our claim.

Since the basis  $a_1, \dots, a_m$  of  $\text{Res } u$  is orthogonal and each of these vectors is positive, we conclude that  $\text{Res } u$  is a positive definite subspace.

This contradicts (ii). Hence also in the case (ii) we must have  $l^+(u) \geq m + 2$ .

It remains to show that  $l^+(u) \leq m + 2$ , i.e., that  $u$  can be written as a product of  $m + 2$  positive reflections.

Assume first that (i) holds. Since the positive vectors form an open set in  $V$ , we can choose a positive vector  $a$  such that  $a \notin \text{Fix } u$ . Since (i) holds we have also  $a \notin (\text{Fix } u)^\perp$ . Therefore  $\text{Fix } u$  is not invariant under  $R_a$ . Hence we can choose  $x \in \text{Fix } u$  such that  $R_a(x) \notin \text{Fix } u$ . Let  $v = R_a u$  and note that

$$v^2(x) = R_a u R_a(x) \neq R_a R_a(x) = x,$$

and so  $v^2 \neq 1$ . By [3, Proposition 2.1.3] we have  $\text{Res } v = \text{Res } u \oplus \langle a \rangle$ , and so  $r(v) = r(u) + 1$  and  $r_0(v) = r_0(u)$ . Thus  $v$  is non-exceptional and by the result of Case 1 we have

$$l^+(v) = l(v) = r(v) + r_0(v) = m + 1.$$

Since  $u = R_a v$ ,  $u$  is a product of  $m + 2$  positive reflections.

Now assume that (ii) holds. Choose an orthogonal basis  $a_1, \dots, a_m$  of  $\text{Res } u$  such that  $a_1, \dots, a_k$  are positive and  $a_{k+1}, \dots, a_m$  are negative vectors. It follows from (ii) that  $k < m$ . Let

$$v = R_{a_1} \cdots R_{a_k} u.$$

This  $v$  satisfies (i) and we have  $l(v) = m - k$ . Hence  $l^+(v) = m - k + 2$  by the result just proved above, and so  $l^+(u) \leq m + 2$ .

This completes the proof of Theorem 2.

REMARK. It is easy to modify Theorem 2 so that it applies to the case when  $V$  is infinite-dimensional. Clearly if  $u \in G^+$  then  $r(u) < \infty$ . The length formulas of Theorem 2 remain valid.

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