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A NOTE ON M_1 -SPACES

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A mapping $f: X \rightarrow Y$ is called quasi-open if the interior of $f(U)$ is non-void for any non-void open subsets U of X . The main result in this paper is that the image of an M_1 -space under a quasi-open, countably bi-quotient closed mapping is an M_1 -space; it follows that the locally finite regular closed sum of M_1 -spaces is an M_1 -space.

In 1961, J. Ceder [4] defined the M_i -spaces ($i = 1, 2, 3$). From the definitions, it is clear that $M_1 \rightarrow M_2 \rightarrow M_3$. Recently, G. Gruenhagen [6] and H. Junnila [8] independently proved that the stratifiable (M_3 -) spaces coincide with the M_2 -spaces. Whether stratifiable spaces are M_1 -spaces still remains open. Moreover, it is still unknown if the closed image of an M_1 -space is an M_1 -space. It is known that irreducible perfect mappings preserve M_1 -spaces (Borges-Lutzer [2]). The main result in this paper is that the quasi-open (Definition 1), countably bi-quotient closed mappings preserve M_1 -spaces (Theorem 1), which improves the above result as well as the result of R. F. Gittings [5], and from the main result it follows that the locally finite regular closed sum of M_1 -spaces is an M_1 -space which partially answers the problem posed by Ceder [4]. On the other hand, we generalize the theorem of Gruenhagen [7], which proves that σ -discrete stratifiable spaces are M_1 .

In this paper, regular, normal spaces are assumed to be T_1 , and all mappings are continuous and surjective. Let \mathcal{U} be a collection of subsets of X , the union $\cup \{U: U \in \mathcal{U}\}$ is denoted by \mathcal{U}^* .

A collection \mathcal{U} of subsets of X is closure preserving if for any $\mathcal{U}' \subset \mathcal{U}$, $\overline{\mathcal{U}'^*} = \cup \{\bar{U}: U \in \mathcal{U}'\}$. \mathcal{U} is hereditarily closure preserving if for any choice of a subset $S(U) \subset U$, $U \in \mathcal{U}$, the resulting collection $\{S(U): U \in \mathcal{U}\}$ is closure preserving.

A space X is an M_1 -space if X is regular and has a σ -closure preserving base.

DEFINITION 1. A mapping $f: X \rightarrow Y$ is called quasi-open if the interior of $f(U)$ (denoted by $\text{Int } f(U)$) is non-void for any non-void open subsets U of X .

Clearly, open mappings are quasi-open and quasi-open mappings are preserved by composition and cartesian products.

DEFINITION 2. A mapping $f: X \rightarrow Y$ is called pseudo-open if for any $y \in Y$ and any open subset $U \supset f^{-1}(y)$, $y \in \text{Int } f(U)$.

It is well known that every closed mapping is pseudo-open.

DEFINITION 3. A mapping $f: X \rightarrow Y$ is called irreducible if f maps no proper closed subspace of X onto Y .

LEMMA 1. *Irreducible pseudo-open mappings are quasi-open.*

Proof. Let $f: X \rightarrow Y$ be an irreducible pseudo-open mapping. Let U be any non-void open subset of X . Since f is irreducible, $U \supset f^{-1}(y)$ for some $y \in Y$, otherwise $f(X - U) = Y$ would be contrary to the irreducibility of the mapping f . Since f is pseudo-open, $y \in \text{Int } f(U)$. This shows that f is a quasi-open mapping.

LEMMA 2. *Let $f: X \rightarrow Y$ be a quasi-open closed mapping. Let \mathfrak{B} be a closure preserving collection of open subsets of X . Then $\mathcal{C} = \{\text{Int } f(U): U \in \mathfrak{B}\}$ is a closure preserving collection of open subsets of Y .*

Proof. Let $\mathfrak{B}' \subset \mathfrak{B}$ and let $y \in \overline{\bigcup \{\text{Int } f(U): U \in \mathfrak{B}'\}}$. Since $f(U) \supset \text{Int } f(U)$, we have

$$f(\overline{\mathfrak{B}'^*}) \supset f(\mathfrak{B}'^*) \supset \bigcup \{\text{Int } f(U): U \in \mathfrak{B}'\}.$$

Since f is a closed mapping, $f(\overline{\mathfrak{B}'^*})$ is a closed set; therefore

$$f(\overline{\mathfrak{B}'^*}) \supset \overline{\bigcup \{\text{Int } f(U): U \in \mathfrak{B}'\}}.$$

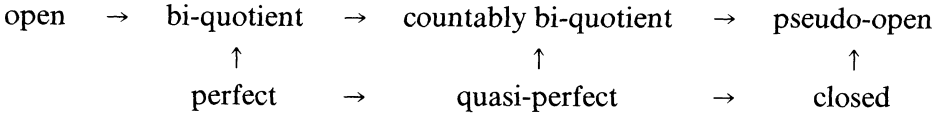
It follows $f^{-1}(y) \cap \overline{\mathfrak{B}'^*} \neq \emptyset$. Because \mathfrak{B}' is closure preserving, there exists $U' \in \mathfrak{B}'$ such that $f^{-1}(y) \cap \overline{U'} \neq \emptyset$. Let V be any open neighborhood of y . Then $f^{-1}(V) \cap U' \neq \emptyset$. Since f is quasi-open, the interior of the image of the non-void open set $f^{-1}(V) \cap U'$ is non-void. According to

$$\text{Int } f(f^{-1}(V) \cap U') \subset \text{Int}[V \cap f(U')] = V \cap \text{Int } f(U'),$$

$V \cap \text{Int } f(U')$ is non-void. It shows that any open neighborhood V of y intersects $\text{Int } f(U')$. Therefore $y \in \overline{\text{Int } f(U')}$. Thus we have proved that \mathcal{C} is a closure preserving collection of open subsets of Y .

DEFINITION 4. A mapping $f: X \rightarrow Y$ is called bi-quotient if, whenever $y \in Y$ and \mathcal{U} is a collection of open subsets of X such that $\mathcal{U}^* \supset f^{-1}(y)$, there exists finite subcollection $\mathcal{U}' \subset \mathcal{U}$ such that $y \in \text{Int } f(\mathcal{U}'^*)$. If \mathcal{U} is any countable collection of open subsets then the mapping f is called countably bi-quotient.

It is well known that



and all the implications cannot be reversed.

THEOREM 1. *The image of an M_1 -space under a quasi-open, countably bi-quotient closed mapping is an M_1 -space.*

Proof. Let f be a quasi-open, countably bi-quotient closed mapping from an M_1 -space X onto a topological space Y . Let $\mathfrak{B} = \bigcup_{i=1}^{\infty} \mathfrak{B}_i$ be a σ -closure preserving base for X . Note that if \mathfrak{U} is a closure preserving collection of sets and $\tilde{\mathfrak{U}}$ is the collection of all unions of all subcollections of \mathfrak{U} then $\tilde{\mathfrak{U}}$ is also closure preserving. Therefore we may assume that the union of any subcollection of \mathfrak{B}_i is a member of \mathfrak{B}_i . Moreover, without loss of generality, we also assume $\mathfrak{B}_i \subset \mathfrak{B}_{i+1}$ ($i = 1, 2, \dots$). Put $\mathcal{C} = \{\text{Int } f(B) : B \in \mathfrak{B}\}$. According to Lemma 2, \mathcal{C} is a σ -closure preserving collection of open subsets of Y .

For each $y \in Y$, let V be an open neighborhood of y . Since \mathfrak{B} is a base for X , there exists $\mathfrak{B}' \subset \mathfrak{B}$ such that $f^{-1}(y) \subset \mathfrak{B}'^* \subset f^{-1}(V)$. Put $\mathfrak{B}'_i = \mathfrak{B}' \cap \mathfrak{B}_i$, then $\mathfrak{B}' = \bigcup_{i=1}^{\infty} \mathfrak{B}'_i$, $f^{-1}(y) \subset \bigcup_{i=1}^{\infty} \mathfrak{B}'_i^* \subset f^{-1}(V)$. According to $\mathfrak{B}_i \subset \mathfrak{B}_{i+1}$ ($i = 1, 2, \dots$), the sequence $\{\mathfrak{B}'_i^*\}$ is increasing. Since f is a countably bi-quotient mapping, there exists a natural number n such that $y \in \text{Int } f(\mathfrak{B}'_n^*) \subset V$. By hypothesis, there exists $B \in \mathfrak{B}_n \subset \mathfrak{B}$ such that $B = \mathfrak{B}'_n^*$. Therefore $\text{Int } f(B) \in \mathcal{C}$ and $y \in \text{Int } f(B) \subset V$. So \mathcal{C} is a base for Y , which is σ -closure preserving. Clearly, Y is regular (closed mappings preserve T_1 and normality). Therefore Y is an M_1 -space.

According to Lemma 1 and the fact that perfect mappings are countably bi-quotient closed mappings, we obtain the following result.

COROLLARY 1 (*Borges-Lutzer [2]*). *The image of an M_1 -space under an irreducible perfect mapping is an M_1 -space.*

There exists an open (hence quasi-open, countably bi-quotient), closed mapping which is neither irreducible nor perfect (let X be a countably compact but non-compact space, Y be a space satisfying first axiom of countability and f be the projection of the product space $X \times Y$ onto Y). Therefore Theorem 1 improves Borges-Lutzer's theorem.

COROLLARY 2. *The image of an M_1 -space under an open, closed mapping is an M_1 -space.*

A mapping $f: X \rightarrow Y$ is called k -to-one, if for each $y \in Y$, $f^{-1}(y)$ consists of exactly k points in X .

COROLLARY 3. (*R. F. Gittings [5]*). *The image of an M_1 -space under a k -to-one, open mapping is an M_1 -space.*

Proof. Let f be a k -to-one, open mapping from an M_1 -space X onto a space Y . According to Lemmas 1 and 2 of Arhangel'skii [1], f is closed, and hence by Corollary 2, Y is an M_1 -space.

D. Burke, R. Engelking and D. Lutzer [3] proved that a regular space X is metrizable if and only if X has a σ -hereditarily closure preserving base. Using the above theorem we may easily obtain E. Michael's elegant theorem which effectively improved the famous theorem of Morita-Hanai-Stone (see [10]).

COROLLARY 4 (*E. Michael [9]*). *The image of a metrizable space under a countably bi-quotient closed mapping is a metrizable space.*

Proof. By the same argument in the proof of Theorem 1 we need only prove that if $f: X \rightarrow Y$ is a closed mapping, \mathfrak{B} is a hereditarily closure preserving collection of open subsets of X , then $\mathcal{C} = \{\text{Int } f(U) : U \in \mathfrak{B}\}$ is a hereditarily closure preserving collection of open subsets of Y .

Whenever $S(U) \subset \text{Int } f(U)$ is chosen for each $U \in \mathfrak{B}$, let $R(U) = U \cap f^{-1}(S(U))$. Then $R(U) \subset U$ and $f(R(U)) = S(U)$. Since the collection $\{R(U) : U \in \mathfrak{B}\}$ is closure preserving and f is a continuous closed mapping, the collection $\{S(U) : U \in \mathfrak{B}\}$ is also closure preserving. Therefore $\mathcal{C} = \{\text{Int } f(U) : U \in \mathfrak{B}\}$ is a hereditarily closure preserving collection of open subsets of Y .

THEOREM 2. *Let X be a paracompact σ -space. Let $f: X \rightarrow Y$ be a quasi-open, closed mapping. If $f^{-1}(F)$ has a σ -closure preserving neighborhood base for each closed subset F of Y , then Y is an M_1 -space.*

Proof. Since f is closed, the space Y is a paracompact σ -space. Let F be an arbitrary closed subset of Y , let \mathfrak{B} be a σ -closure preserving neighborhood base of $f^{-1}(F)$. By the Lemma 2, $\mathcal{C} = \{\text{Int } f(U) : U \in \mathfrak{B}\}$ is a σ -closure preserving collection of open subsets of Y . For any open

subset $V \supset F, f^{-1}(F) \subset f^{-1}(V)$, there exists $U \in \mathfrak{B}$ such that $f^{-1}(F) \subset U \subset f^{-1}(V)$. Since f is closed, there exists an open subset U' such that $f^{-1}(y) \subset U' \subset U$ and $f(U')$ is an open subset of Y . Hence $f(U') \subset \text{Int } f(U) \subset f(U) \subset V$, and $F \subset \text{Int } f(U) \subset V$. Therefore \mathcal{C} is a σ -closure preserving neighborhood base of the closed subset F .

Thus we have proved that every closed subset F of the paracompact σ -space Y has a σ -closure preserving neighborhood base. According to Borges-Lutzer's result (Remark 2.7 of [2]), Y is an M_1 -space.

COROLLARY. *Let X be an M_1 -space with every closed subset having a σ -closure preserving neighborhood base. Let $f: X \rightarrow Y$ be a quasi-open closed mapping. Then Y is an M_1 -space.*

This corollary improves a result of Borges-Lutzer (Remark 3.5 of [2]).

Ceder [4] proved the locally finite closed sum theorem for M_2 and M_3 spaces (Theorem 2.8 of [4]), and asked if this theorem remained valid for M_1 -spaces. In the following, we give two locally finite sum theorems for M_1 -spaces. Theorem 3 improves Ceder's theorem for locally M_1 -spaces (Theorem 2.6 of [4]). Theorem 4 gives a partial answer to Ceder's question.

THEOREM 3. *Let X be a normal space. Let $\mathcal{U} = \{U_\alpha\}_{\alpha \in A}$ be a locally finite open covering of X . If each U_α ($\alpha \in A$) be an M_1 -space then X is an M_1 -space.*

Proof. Let $\mathfrak{B}^\alpha = \bigcup_{i=1}^\infty \mathfrak{B}_i^\alpha$ be a σ -closure preserving base for open subspace U_α ($\alpha \in A$). By the regularity of X , we may assume $\bar{B} \subset U_\alpha$ for each $B \in \mathfrak{B}^\alpha$.

By the normality of X , there exists an open covering $\{V_\alpha\}_{\alpha \in A}$ of X such that $\bar{V}_\alpha \subset U_\alpha$ ($\alpha \in A$). Since the open subspace of an M_1 -space is an M_1 -space, V_α ($\alpha \in A$) is an M_1 -space, and we may choose

$$\mathcal{C}^\alpha = \bigcup_{i=1}^\infty \mathcal{C}_i^\alpha$$

as the base for subspace V_α , where

$$\mathcal{C}_i^\alpha = \{B: B \in \mathfrak{B}_i^\alpha, \bar{B} \subset V_\alpha\}$$

is closure preserving in subspace V_α . We are going to prove \mathcal{C}_i^α is also closure preserving in space X .

Let $\mathcal{C}' \subset \mathcal{C}_i^\alpha$, we need to prove

$$(1) \quad \bigcup \{\bar{B}: B \in \mathcal{C}'\} = \overline{\bigcup \{B: B \in \mathcal{C}'\}}.$$

Since U_α is an M_1 -space, $V_\alpha \subset U_\alpha$, $\mathcal{C}' \subset \mathcal{C}'_\alpha \subset \mathfrak{B}'_\alpha$, and \mathfrak{B}'_α is closure preserving in subspace U_α , therefore

$$\bigcup \{\bar{B} : B \in \mathcal{C}'\} = \overline{\bigcup \{B : B \in \mathcal{C}'\}} \cap U_\alpha.$$

According to $\bigcup \{B : B \in \mathcal{C}'\} \subset V_\alpha$, $\overline{\bigcup \{B : B \in \mathcal{C}'\}} \subset \bar{V}_\alpha \subset U_\alpha$. It follows

$$\overline{\bigcup \{B : B \in \mathcal{C}'\}} \cap U_\alpha = \overline{\bigcup \{B : B \in \mathcal{C}'\}}.$$

Hence (1) is proved. Since $\{V_\alpha\}_{\alpha \in A}$ is locally finite, we can easily prove $\mathcal{C}'_i = \bigcup_{\alpha \in A} \mathcal{C}'_{i,\alpha}$ is a closure preserving collection of space X . Moreover, it is easy to verify $\mathcal{C}' = \bigcup_{i=1}^\infty \mathcal{C}'_i$ is a base for X . Therefore X is an M_1 -space.

COROLLARY (Ceder [4]). *Let X be a paracompact and locally M_1 -space. Then X is an M_1 -space.*

THEOREM 4. *Let $\mathcal{U} = \{U_\alpha\}_{\alpha \in A}$ be a locally finite open covering of space X . If each \bar{U}_α ($\alpha \in A$) be an M_1 -space, then X is an M_1 -space.*

Proof. For each $\alpha \in A$, let X_α be a copy of \bar{U}_α and f_α be the homeomorphism from X_α onto \bar{U}_α . Let

$$X^* = \sum_{\alpha \in A} X_\alpha$$

be the (disjoint) topological sum of X_α 's. Evidently X^* is an M_1 -space. Let $f: X^* \rightarrow X$ be the mapping defined as follows: for each $x \in X^*$, $f(x) = f_\alpha(x)$, if $x \in X_\alpha$. By the local finiteness of $\{\bar{U}_\alpha\}_{\alpha \in A}$, it can be easily verified that f is a finite to one, closed continuous mapping. Moreover, f is quasi-open, it is proved as follows. Because of the definition of topological sum, we need only prove that the interior of the image of non-void subset E ($E \subset X_\alpha$) which is relatively open in subspace X_α is non-void. Since f_α is the homeomorphism from X_α onto \bar{U}_α , $f_\alpha(E)$ is relatively open in \bar{U}_α . There exists an open subset G such that $f_\alpha(E) = G \cap \bar{U}_\alpha$. Let $x \in f_\alpha(E) \subset G$. There exists an open neighborhood $V(x)$ of x such that $V(x) \subset G$. On the other hand, $x \in f_\alpha(E) \subset \bar{U}_\alpha$, $V(x) \cap U_\alpha \neq \emptyset$. Since $V(x) \cap U_\alpha \subset f_\alpha(E)$ and $V(x) \cap U_\alpha$ is a non-void open set, therefore $\text{Int } f_\alpha(E) \neq \emptyset$.

Thus f is a quasi-open, finite to one, closed continuous mapping from X^* onto X . According to Theorem 1, X is an M_1 -space.

Subset F of space X is called regular closed, if $F = \overline{\text{Int } F}$. Evidently, F is regular closed if and only if F is the closure of an open subset. By means of this concept, above Theorem 4 may be stated as follows:

“Let $\{F_\alpha\}_{\alpha \in A}$ be a locally finite regular closed covering of space X . If each F_α ($\alpha \in A$) is an M_1 -space, then X is an M_1 -space.”

Whether every stratifiable space is an M_1 -space, the partial result in this direction is due to G. Gruenhagen [7].

THEOREM (Gruenhagen). *Every stratifiable space which has a countable covering consisting of closed discrete subsets of X , is an M_1 -space.*

Gruenhagen’s theorem may be stated in a more general form as follows.

THEOREM 5. *Every stratifiable space, which has a σ -hereditarily closure preserving covering consisting of closed discrete subsets of X , is an M_1 -space.*

The proof of Theorem 5 follows from the following lemmas.

LEMMA 3. *Let F be a closed discrete subset of X . Then $\{\{x\}: x \in F\}$ is a discrete collection of subsets of X . If the space X is T_1 , the converse is also true.*

LEMMA 4. *If X is T_1 space, the subset of a closed discrete subset of X is a closed discrete subset.*

LEMMA 5. *Let \mathcal{F} be a discrete collection of closed discrete subsets of X . Then \mathcal{F}^* is a closed discrete subset of X .*

The proofs of above lemmas are simple and direct.

LEMMA 6. *Let X be a T_1 space which has a σ -hereditarily closure preserving covering \mathcal{F} consisting of closed discrete subsets of X . Then X has a countable covering consisting of closed discrete subsets of X .*

Proof. Let $\mathcal{F} = \bigcup_{n=1}^{\infty} \mathcal{F}_n$, each \mathcal{F}_n ($n = 1, 2, \dots$) being a hereditarily closure preserving collection consisting of closed discrete subsets of X . Let $\mathcal{F}_n = \{F_{n,\alpha_n}\}_{\alpha_n \in A_n}$, each F_{n,α_n} is closed discrete subset. For each n , put

$$H_n = \mathcal{F}_n^* - \bigcup_{i=1}^{n-1} \mathcal{F}_i^*, \quad H_{n,\alpha_n} = H_n \cap F_{n,\alpha_n} \quad (\alpha_n \in A_n).$$

By well ordering the index set A_n , put

$$F'_{n,\alpha_n} = H_{n,\alpha_n} - \bigcup_{\beta_n < \alpha_n} H_{n,\beta_n}.$$

Clearly $F'_{n,\alpha_n} \subset F_{n,\alpha_n}$. According to Lemma 4, F'_{n,α_n} is a closed discrete subset. $\mathcal{F}'_n = \{F'_{n,\alpha_n}\}_{\alpha_n \in A_n}$ being closure preserving and pairwise disjoint is a discrete collection of closed discrete subsets. Hence, by the Lemma 5, \mathcal{F}'_n^* is a closed discrete subset of X . Furthermore

$$\bigcup_{n=1}^{\infty} \mathcal{F}'_n^* = \bigcup_{n=1}^{\infty} \left(\bigcup_{\alpha_n \in A_n} F'_{n,\alpha_n} \right) = \bigcup_{n=1}^{\infty} H_n = \bigcup_{n=1}^{\infty} \mathcal{F}_n^* = X.$$

Therefore $\{\mathcal{F}'_n^*\}$ is a countable covering of X .

Proof of the Theorem 5. The proof follows from Lemma 6 and Gruenhagen's theorem.

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