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**CHARACTERIZING THE DIVIDED DIFFERENCE WEIGHTS
FOR EXTENDED COMPLETE TCHEBYCHEFF SYSTEMS**

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CHARACTERIZING THE DIVIDED DIFFERENCE WEIGHTS FOR EXTENDED COMPLETE TCHEBYCHEFF SYSTEMS

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Newman and Rivlin have shown that there is a 1-1 correspondence between the nodes and weights of the n th order divided difference of n th degree polynomials. Their method applies only to polynomials. In this paper we develop a new approach and apply it to extend their results to the setting of Extended Complete Tchebycheff Systems.

0. Introduction. In [7] Newman and Rivlin (see also the reference there to S. Karlin's results) were able to characterize the weights which appear in the n th order divided difference formula with respect to the base functions $\{u_j(x) = x^j\}_{j=0}^n$ and to establish a 1-1 correspondence between these weights and the corresponding set of nodes, $0 = x_0 < x_1 < \dots < x_n$, used in the formula. We propose in this paper to extend this result to the setting where the family $\{u_j(x)\}_{j=0}^n$ forms an Extended Complete Tchebycheff System (E.C.T.S.) on $[0, \infty)$. This means for each k , where $0 \leq k \leq n$, any non-trivial linear combination of the functions $\{u_0, \dots, u_k\}$ has at most k zeros (including multiplicities) in $[0, \infty)$ where each $u_j \in C^n[0, \infty)$. We further assume that $u_0(x) \equiv 1$. For the remainder of this paper we shall postulate that these basic hypotheses concerning $\{u_j\}_{j=0}^n$ hold.

Among the E.C.T.S. for which these results are valid, we will highlight the families generated by the Cauchy Kernel and the Exponential Kernel.

1. Statement of problem. Let

$$(1) \quad S = \{\mathbf{x} = (x_1, \dots, x_n) \subset R^n: 0 < x_1 < \dots < x_n\}, \quad x_0 \equiv 0.$$

A is defined to be the set of all $\mathbf{a} = (a_0, \dots, a_n) \in R^{n+1}$ such that the following properties are valid

$$(2) \quad \begin{aligned} & \text{(i)} \quad (-1)^{n-i} a_i > 0 \quad (i = 0, 1, \dots, n); \\ & \text{(ii)} \quad \sum_{i=0}^n a_i = 0; \\ & \text{(iii)} \quad (-1)^{n-j} \sum_{i=j}^n a_i > 0, \quad j = 1, \dots, n. \end{aligned}$$

The sets S and A are related through the classical concept of divided differences. For each $\mathbf{x} \in S$ and each real-valued function f defined on $[0, \infty)$, consider the n th order divided difference of f with respect to the points (x_0, x_1, \dots, x_n) defined as follows.

$$(3) \quad f[x_0, \dots, x_n] = \frac{U \begin{bmatrix} u_0, \dots, u_{n-1}, f \\ x_0, \dots, x_n \end{bmatrix}}{U \begin{bmatrix} u_0, \dots, u_n \\ x_0, \dots, x_n \end{bmatrix}},$$

where

$$U \begin{bmatrix} q_0, \dots, q_n \\ x_0, \dots, x_n \end{bmatrix} = \det\{q_i(x_j); i, j = 0, 1, \dots, n\}.$$

We then set

$$(4) \quad a_i = (-1)^{n+i} \frac{U \begin{bmatrix} u_0 & \dots & u_{n-1} \\ x_0, \dots, x_{i-1}, x_{i+1}, \dots, x_n \end{bmatrix}}{U \begin{bmatrix} u_0, \dots, u_n \\ x_0, \dots, x_n \end{bmatrix}}, \quad i = 0, 1, \dots, n.$$

Clearly,

$$f[x_0, \dots, x_n] = \sum_{i=0}^n a_i f(x_i).$$

The $\{a_i\}$ are called the weights of the divided difference formula. Cramer's Rule, together with (3), (4), shows that for a given $\mathbf{x} \in S$, $\mathbf{a} = (a_0, \dots, a_n)$ satisfies (4) iff

$$(5) \quad \sum_{i=0}^n a_i u_j(x_i) = \delta_{nj}, \quad j = 0, 1, \dots, n,$$

where δ_{nj} is the Kronecker delta symbol.

Thus for each $\mathbf{x} \in S$, we can associate an \mathbf{a} via the relationship (4). Let g be the map defined by (4), that is $g(\mathbf{x}) = \mathbf{a}$. The main purpose of this paper is to show that g is a 1-1 map of S onto A . As we indicated in the introduction, Newman and Rivlin proved this result for the special case of polynomials; that is, where $u_i = x^i$.

LEMMA 1. g maps S into A .

Proof. Since (u_0, \dots, u_n) form an Extended Complete Tchebycheff System (E.C.T.S.), it is clear from the definition of the weights a_i in (4) that $\mathbf{a} = g(\mathbf{x})$ satisfies (i) and (ii). (In this regard recall that $u_0 \equiv 1$.)

To prove (iii), for $0 \leq j \leq n - 1$ pick $u^{(j)}$ in the linear subspace U spanned by (u_0, \dots, u_n) with the properties

$$(a) \ u^{(j)}(x_i) = 1, \ i = 0, 1, \dots, j,$$

$$(b) \ u^{(j)}(x_i) = 0, \ i = j + 1, \dots, n.$$

Using (5) and the above it follows that

$$\sum_{i=0}^j a_i = \sum_{i=0}^n a_i u^{(j)}(x_i) = b_n,$$

where b_n is the coefficient of u_n in the expansion of $u^{(j)}$. From [5, p. 379] we infer that $\{(d/dx)u_j(x)\}_{j=1}^n$ forms an E.C.T.S. Thus by *Rolle's Theorem* $(d/dx)u^{(j)}(x)$ has a maximum set of $n - 1$ simple zeros consisting of j zeros in (x_0, x_j) and $(n - j - 1)$ zeros in (x_{j+1}, x_n) . Further, since $u^{(j)}(x_j) = 1$ and $u^{(j)}(x_{j+1}) = 0$, $du^{(j)}/dx < 0$ in $[x_j, x_{j+1}]$ and thus $(-1)^{n-j}(du^{(j)}/dx)(x_n) > 0$. Using as data these $n - 1$ zeros of $(d/dx)u^{(j)}(x)$ and x_n , we conclude by Cramer's Rule that $\text{sgn}(d/dx)u^{(j)}(x_n) = \text{sgn } b_n$; that is,

$$(-1)^{n-j} \sum_{i=0}^j a_i > 0.$$

By (2)(ii),

$$\sum_{i=0}^j a_i = \left(\sum_{i=0}^n a_i - \sum_{i=j+1}^n a_i \right) = - \sum_{i=j+1}^n a_i.$$

Finally, then

$$(-1)^{n-(j+1)} \sum_{i=j+1}^n a_i > 0. \quad \square$$

LEMMA 2. Let $\{\mathbf{x}^{(v)}\}_{v=1}^{\infty} \subset S$ be a sequence with the property that the corresponding sequence $\{\mathbf{a}^{(v)}\} \subset A$ (where $\mathbf{a}^{(v)} = g(\mathbf{x}^{(v)})$) has the feature that $\mathbf{a}^{(v)} \rightarrow \mathbf{a} \in A$. Then if $\mathbf{x}^{(v)} \rightarrow \mathbf{x}$, we can conclude that $\mathbf{x} \in S$.

Proof. Assume the result is false. We treat two cases. Case (1): $x_i^{(v)} \rightarrow x_0 \equiv 0$ for all i . Thus using (5) for $j = n$ we find the limit function satisfies

$$\sum_{i=0}^n a_i u_n(0) = 1,$$

which contradicts (2)(ii). Case (2): For some i where $1 \leq i \leq n-1$, $x_0 < x_i = x_{i+1}$. Thus by exploiting the fact that \mathbf{a} satisfies (2)(iii) and (5), we can find a set of numbers $\{b_j\}_{j=0}^k$, where $b_k \neq 0$ with $0 \leq k \leq n-1$ so that for the $k+1$ distinct components of the limit vector \mathbf{x} , say $\{x_{l_0}, \dots, x_{l_k}\}$, we have

$$\sum_{i=0}^k b_i u_j(x_{l_i}) = 0 \quad (j = 0, 1, \dots, n-1).$$

This contradicts the fact that $\{u_j\}_{j=0}^{n-1}$ form an E.C.T.S. Thus the proof is complete. \square

2. Main results. In this section we will develop the topological tools which we will use to prove our principal result; that is, g is a 1-1 map of S onto A . We will employ a differential equation approach which has been exploited by Fitzgerald and Schumaker [4]; Barrar, Loeb and Werner [2]; Barrar and Loeb [1, 3].

Our approach, in contrast to other attacks on these types of problems, has the important property that it does not require any type of a priori uniqueness. In this regard see Fitzgerald, Schumaker [4] or Newman, Rivlin [7] where such information is used.

Consider a fixed $\mathbf{z}^* \in A$. We want to demonstrate that there is exactly one $\mathbf{x}^* \in S$ which satisfies

$$\sum_{i=0}^n a_i^* u_j(x_i) = \delta_{nj} \quad (j = 0, 1, \dots, n).$$

Since $\sum_{i=0}^n a_i^* = 0$ and $u_0 \equiv 1$, this is equivalent to demonstrating it for the system

$$(6) \quad \sum_{i=1}^n a_i^* (u_j(x_i) - u_j(x_0)) = \delta_{nj}, \quad j = 1, \dots, n.$$

For each $\mathbf{x} \in S$, consider the system of n ordinary differential equations

$$(7) \quad \frac{d}{d\tau} \left[\sum_{i=1}^n ((1-\tau)a_i + \tau a_i^*) (u_j(x_i(\tau)) - u_j(x_0)) \right] = 0, \\ j = 1, \dots, n,$$

where $\mathbf{a} = g(\mathbf{x})$ and the initial conditions are $\mathbf{x}(0) = \mathbf{x} = (x_1, \dots, x_n)$. Here τ is the independent variable, $\mathbf{x}(\tau) = (x_1(\tau), \dots, x_n(\tau))$, and $\mathbf{a} = (a_0, \dots, a_n)$. Integrating (7) we find that

$$(8) \quad \sum_{j=1}^n ((1-\tau)a_i + \tau a_i^*) (u_j(x_i(\tau)) - u_j(x_0)) \equiv c_j, \quad j = 1, \dots, n.$$

We evaluate the constants c_j by setting $\tau = 0$. One finds using (6) that

$$\delta_{nj} = \sum_{i=1}^n a_i (u_j(x_i) - u_j(x_0)) = c_j, \quad j = 1, \dots, n,$$

and indeed at $\tau = 1$,

$$\sum_{i=1}^n a_i^* (u_j(x_i(1)) - u_j(x_0)) = \delta_{nj} \quad (j = 1, \dots, n).$$

Thus, one notes that $\mathbf{a}^* = g(\mathbf{x}(1))$ and $\mathbf{x}(1)$ is a desired solution for \mathbf{a}^* . We see then that our main problem is to show that the system of differential equations has a solution in the interval $[0, 1]$. We proceed toward this goal.

For many important families of functions we will be able to verify the following assumption.

Assumption A. If $\{\mathbf{x}^{(v)}\}_{v=1}^\infty \subset S$ has the characteristic that $\mathbf{a}^{(v)} \equiv g(\mathbf{x}^{(v)}) \rightarrow \mathbf{a} \in A$ as $v \rightarrow \infty$, then $\{\mathbf{x}^{(v)}\}_{v=1}^\infty$ are bounded.

For the remainder of this section we shall postulate that *Assumption A* is valid for the E.C.T.S. $\{u_i\}_{i=0}^n$ on $[0, \infty]$ where $u_0 \equiv 1$.

Expanding (7) we obtain

$$(9) \quad \sum_{i=1}^n [\tau a_i^* + (1 - \tau) a_i] u_j'(x_i(\tau)) \frac{dx_i}{d\tau}(\tau) \\ = \sum_{i=1}^n (a_i - a_i^*) [u_j(x_i(\tau)) - u_j(x_0)] \quad (i = 1, \dots, n)$$

$$\text{with } u_j'(x) = \frac{d}{dx} u_j(x).$$

It is important to note that for $\tau \in [0, 1]$ and $\mathbf{x}(\tau) \in S$, the Jacobian matrix of the system (9),

$$(10) \quad J(\tau) = \{(\tau a_i^* + (1 - \tau) a_i) u_j'(x_i(\tau)); i, j = 1, \dots, n\},$$

is non-singular. This follows from the fact that $\{u_j'\}_{j=1}^n$ form a E.C.T.S. and that $(\tau \mathbf{a}^* + (1 - \tau) \mathbf{a})$ satisfies (2)(i) when $\tau \in [0, 1]$.

Further, it is easy to check using Assumption A and Lemma 2 that $\{\mathbf{x}(\tau); \tau \in [0, 1]\}$ is bounded, and if $\{\tau_v\}_{v=1}^\infty \subset [0, 1]$ has the property that $\mathbf{x}(\tau_v) \rightarrow \mathbf{x}$, then $\mathbf{x} \in S$. These facts can be used to show that the system of differential equations has a solution over $[0, 1]$. The basic ingredients of such an existence proof are enunciated in [1, 2].

For each $\mathbf{x} \in S$, let Φ be the map from $S \rightarrow B$ defined by $\Phi(\mathbf{x}) = \mathbf{x}(1)$ for $\mathbf{x} \in S$ where $B = \{\mathbf{x} \in S: g(\mathbf{x}) = \mathbf{a}^*\}$. If $\mathbf{x} \in B$, it is easy to verify

that $\mathbf{x}(\tau) \equiv \mathbf{x}$ is a solution of (9) and, indeed, by the uniqueness of the solution of the system of differential equations, the only one. Thus Φ maps S onto B and since by the theory of differential equations Φ is continuous, Φ maps the connected set S onto the connected set B .

Let $\mathbf{x}^* \in B$. Then \mathbf{x}^* is a solution of the non-linear system (6). Further, the Jacobian matrix of the system is

$$\{a_i^* u_j'(x_i^*); i, j = 1, \dots, n\}.$$

Since \mathbf{a}^* satisfies (2)(i) and $\{u_j'(x)\}_{j=1}^n$ form a E.C.T.S., the matrix is non-singular. We can conclude by the *implicit function theorem* that \mathbf{x}^* is an isolated point of B . Since \mathbf{x}^* is an arbitrary point of the connected set B , it follows that B consists of exactly one point. Summarizing,

MAIN THEOREM. *For each $\mathbf{a}^* \in A$, there is exactly one \mathbf{x}^* in S which satisfies*

$$\sum_{i=0}^n a_i^* u(x_i^*) = \delta_{j,n} \quad (i = 0, 1, \dots, n),$$

and the map g defined by (4) is a 1-1 map which takes S onto A .

3. Applications. In this section we present some examples of E.C.T.S. which satisfy Assumption A and thus satisfy the hypothesis of the Main Theorem.

Consider the exponential kernel $K(\lambda, x) = e^{\lambda x}$ and any set of n positive numbers $0 < \lambda_1 < \lambda_2 < \dots < \lambda_n$ with $\lambda_0 = 0$. Then we set

$$(11) \quad u_i(x) = K(\lambda_i, x), \quad i = 0, 1, \dots, n.$$

LEMMA 3. *The exponential family of functions defined in (11) has the property that if a sequence $\{\mathbf{x}^{(v)}\}_{v=1}^\infty \subset S$ yields a sequence $\{\mathbf{a}^{(v)} = g(\mathbf{x}^{(v)})\}_{v=1}^\infty$ with the characteristic that $\mathbf{a}^{(v)} \rightarrow \mathbf{a} \in A$, then the $\{\mathbf{x}^{(v)}\}_{v=0}^\infty$ are bounded.*

Proof. Let us assume that the components of $\mathbf{x}^{(v)}$ are not bounded. Then by going to a subsequence if necessary we can develop the following situation:

$$(12) \quad \begin{aligned} (a) \quad & \lim_{v \rightarrow \infty} x_n^{(v)} = \infty; \\ (b) \quad & \lim_{v \rightarrow \infty} (x_n^{(v)} - x_i^{(v)}) = c_i, \quad i = l, \dots, n, \text{ where} \\ & l \geq 1 \quad \text{and} \quad c_i \geq c_{i+1}, \quad i = l, \dots, n-1, \text{ with } c_i \text{ finite;} \\ (c) \quad & \lim_{v \rightarrow \infty} (x_n^{(v)} - x_i^{(v)}) = \infty, \quad i = 1, \dots, l-1. \end{aligned}$$

Dividing each of the relationships

$$\sum_{i=0}^n a_i^{(v)} e^{\lambda_j x_i^{(v)}} = \delta_{nj}$$

by $e^{\lambda_j x_n^{(v)}}$ and letting $v \rightarrow \infty$, we find that the limits satisfy

$$\sum_{i=l}^n a_i e^{-\lambda_j c_i} = 0 \quad (j = 1, \dots, n).$$

Let $c_{i_1} > c_{i_2} > \dots > c_{i_k} = 0$ be the distinct values of $\{c_i\}_{i=l}^n$ where $k \leq n - l + 1 \leq n$. Then we can find numbers b_1, \dots, b_k so that

$$f(\lambda) \equiv \sum_{i=l}^n a_i e^{-\lambda c_i} \equiv \sum_{m=1}^k b_m e^{-\lambda c_m},$$

where by property (2)(iii), $b_k \neq 0$. Thus since $f(\lambda_i) = 0$, $i = 1, \dots, n$ and $\{e^{-\lambda c_m}\}_{m=1}^k$ form an E.C.T.S., we have reached a contradiction. This completes the proof. \square

We claim that Lemma 3 is also valid for the Cauchy kernel, $K(\lambda, x) = 1/(1 + \lambda x)$.

LEMMA 4. *Let $0 = \lambda_0 < \lambda_1 < \dots < \lambda_n$ be given and set $u_j(x) = 1/(1 + \lambda_j x)$ ($j = 0, 1, \dots, n$). Then Lemma 3 is valid for the $\{u_j\}_{j=0}^n$.*

Proof. Again assuming that $x_n^{(v)} \rightarrow \infty$, we can, by going to a subsequence if necessary, achieve the situation:

- (a) $x_i^{(v)} \rightarrow \infty$, $i = l, \dots, n$, where $l \geq 1$;
- (b) $x_i^{(v)} \rightarrow c_i$, $i = 0, \dots, l - 1$, c_i finite with $c_i \leq c_{i+1}$ and $c_0 = 0$.

For each relationship

$$\sum_{i=0}^n \frac{a_i^{(v)}}{1 + \lambda_j x_i^{(v)}} = 0,$$

letting $v \rightarrow \infty$, we find

$$\sum_{i=0}^{l-1} \frac{a_i}{1 + \lambda_j c_i} = 0 \quad (j = 0, \dots, n - 1).$$

Pick out the distinct elements $0 = c_{i_0} < \dots < c_{i_{k-1}}$ of the set $\{c_i\}_{i=0}^{l-1}$ where $k \leq l \leq n$. Then there are k distinct numbers b_0, \dots, b_{k-1} so that

$$f(\lambda) \equiv \sum_{i=0}^{l-1} \frac{a_i}{1 + c_i \lambda} = \sum_{m=0}^{k-1} \frac{b_m}{1 + c_{i_m} \lambda}$$

and where by properties (2)(i), (ii), (iii), $b_0 \neq 0$. Since $f(\lambda_j) = 0$ ($j = 0, 1, \dots, n-1$) we have contradicted the fact that the family $\{1/(1 + c_{i_m}\lambda)\}_{m=0}^{k-1}$ forms an E.C.T.S. \square

Our results can be extended to treat multiple knots also.

As an example, we have the following result, which includes the results of [7].

LEMMA 5. Let $0 = \lambda_0 < \lambda_1 < \dots < \lambda_r$ be given and consider the functions $\{x^q e^{\lambda_p x}; q = 0, 1, \dots, m_p - 1; p = 0, 1, \dots, r\}$. If $n + 1 = \sum_{p=0}^r m_p$ and if we set $u_j(x) = x^q e^{\lambda_p x}$ with $j = \sum_{i=-1}^{p-1} m_i + q$ and $m_{-1} = 0$, then Lemma 3 is valid for the functions $\{u_j\}_{j=0}^n$. (The λ_p are called the knots and the m_p are designated as the multiplicities of the knots of the kernel $K(x, \lambda) = e^{\lambda x}$. It is well known that this set of functions is a E.C.T.S., see [5, p. 9].)

Proof. Letting

$$f(\lambda, v) = \sum_{i=0}^n a_i^{(v)} e^{\lambda x_i^{(v)}},$$

we have

$$\frac{\partial^q f}{\partial \lambda^q}(\lambda, v) = \sum_{i=0}^n a_i^{(v)} (x_i^{(v)})^q e^{\lambda x_i^{(v)}}.$$

The set of equations corresponding to (5) for $a_i = a_i^{(v)}$, $x_i = x_i^{(v)}$ can be written as

$$(13) \quad \left. \frac{\partial^q}{\partial \lambda^q} f(\lambda, v) \right|_{\lambda=\lambda_p} = \delta_{p,r} \delta_{(q, m_r-1)} \quad q = 0, 1, \dots, m_p - 1;$$

$$p = 0, 1, \dots, r.$$

Assuming $x_n^{(v)} \rightarrow \infty$, if $r \geq 1$, we divide $f(\lambda, v)$ by $e^{\lambda x_n^{(v)}}$, and apply Leibnitz's rule for differentiation of a product to find, using the notation of (12)(a), (b), (c), that in the limit as $v \rightarrow \infty$, (13), for $p \geq 1$, becomes

$$(14) \quad \sum_{i=l}^n a_i c_i^q e^{\lambda_p c_i} = 0, \quad q = 0, 1, \dots, m_p - 1; p = 1, \dots, r.$$

Combining equal c_i 's as in Lemma 3, this becomes

$$(15) \quad \sum_{s=1}^w b_s (c_{i_s})^q e^{\lambda_p c_{i_s}} = 0, \quad q = 0, 1, \dots, m_p - 1; p = 1, \dots, r,$$

where $w \leq n + 1 - l$, $b_w \neq 0$ by (2)(iii), and $l \geq 1$. In (15) we are dealing with an E.C.T.S. of dimension $\leq n + 1 - l$ with typical term $x^q e^{\lambda_p x}$.

Further, the function in (15) has at least $n + 1 - m_0$ zeros. Thus $n + 1 - m_0 < n + 1 - l$, that is,

$$(16) \quad m_0 > l \quad \text{if } r \geq 1.$$

For any r , we divide the equations in (13) for $\lambda = \lambda_0$ by $(x_n^{(v)})^q$ for each $q = 0, 1, \dots, m_0 - 1$, and take the limit as $v \rightarrow \infty$. Using the notation of (12)(a), (b), (c) the result is a set of equations

$$\sum a_i(d_i)^q = 0, \quad d_i \leq d_{i+1}, \quad q = 0, 1, \dots, m_0 - 1.$$

Combining equal d_i 's we obtain a set

$$(17) \quad \sum_{s=1}^g b_{i_s}(d_{i_s})^q = 0, \quad q = 0, 1, \dots, m_0 - 1.$$

Note that $x_i^{(v)} - x_n^{(v)} \rightarrow c_i$ (finite) implies $x_i^{(v)}/x_n^{(v)} \rightarrow d_i = 1$. Thus $d_i = 1$ ($i = l, \dots, n$) with $g \leq l$ and $b_{i_s} \neq 0$. In (17) we are dealing with a non-zero function with m_0 zeros generated from a E.C.T.S. of dimension at most l . Therefore we must have

$$(18) \quad m_0 < l.$$

If $r = 0$, (18) is a contradiction since $m_0 = n + 1$ and $l < n + 1$. If $r \geq 1$ both (16) and (18) must hold, which again is a contradiction. \square

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Richard Blaine Barrar and Henry Loeb , Characterizing the divided difference weights for extended complete Tchebycheff systems	1
Harold Bennett and David John Lutzer , Generalized ordered spaces with capacities	11
Geoffrey J. Butler and Lynn Harry Erbe , Comparison theorems for second-order operator-valued linear differential equations	21
Bohumil Cenkľ and Richard D. Porter , de Rham theorem with cubical forms	35
Zvonko Cerin , Characterizing global properties in inverse limits	49
Thomas Eugene Duchamp and Morris Kalka , Holomorphic foliations and deformations of the Hopf foliation	69
John Paul Hempel , Homology of coverings	83
Gerald Norman Hile and R. Z. Yeh , Inequalities for eigenvalues of the biharmonic operator	115
Kenneth Irwin Joy , A description of the topology on the dual space of a nilpotent Lie group	135
Alex Kumjian , On localizations and simple C^* -algebras	141
Bernardus de Pagter , The space of extended orthomorphisms in a Riesz space	193
Stephen C. Persek , Iterated averaging for periodic systems with hidden multiscale slow times	211
David Francis Rearick , Divisibility of arithmetic functions	237
Masaaki Suzuki , The intrinsic metrics on the circular domains in C^n	249