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**APÉRY BASIS AND POLAR INVARIANTS OF PLANE CURVE  
SINGULARITIES**

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## APÉRY BASIS AND POLAR INVARIANTS OF PLANE CURVE SINGULARITIES

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Let  $C$  be an irreducible plane algebroid curve singularity over an algebraically closed field  $K$ , defined by a power series  $f \in K[[X, Y]]$ . In this paper, we study those power series  $h \in K[[X, Y]]$  for which the intersection multiplicity  $(f \cdot h) = \dim_K(K[[X, Y]]/(f, y))$  is an element of the Apéry basis of the value semigroup for  $C$ . We prove a factorization theorem for these power series, obtaining strong properties of their irreducible factors. In particular we show that some results by M. Merle and R. Ephraïm are a special case of this theorem.

**Introduction.** In this paper we denote by  $K$  an algebraically closed field of arbitrary characteristic.

Let  $C$  be an irreducible plane algebroid curve over  $K$  (i.e.  $C = \text{Spec}(R)$ , where  $R = K[[X, Y]]/(f)$ , with  $f$  irreducible). We will suppose  $f \notin YK[[X, Y]]$  and we will write  $n = \text{Ord}_x(f(X, 0))$ .

We will denote by  $S(C)$  the semigroup of values of  $C$  (see [2], 11.0.1 and [3], 4.3.1), by  $A_n = \{0 = a_0 < a_1 < \dots < a_{n-1}\} = \{\min(S(C)n(k + n\mathbf{Z}_+); 0 \leq k \leq n - 1\}$  the Apéry basis of  $S(C)$  relative to  $n$  (see [2], 1.1.1) and by  $\{v_0, \dots, v_r\}$  the  $n$ -sequence in  $S(C)$ , where  $v_0 = n$ , and  $v_i = \min\{v \in S(C); \gcd(v_0, v_1, \dots, v_{i-1}) > \gcd(v_0, v_1, \dots, v_{i-1}, v)\}$ ,  $1 \leq i \leq r$  (see [1], 6.6, [2], 1.3.2 and [6]). (Note that  $\gcd(v_0, \dots, v_r) = 1$ .)

The main objective of this work is the proof of the following theorem.

**FACTORIZATION THEOREM.** *Let  $h \in K[[X, Y]]$  be such that  $0 \leq k = \text{Ord}_x(h(X, 0)) \leq n - 1$ . Then  $(f \cdot h) \leq a_k$ . Suppose  $(f \cdot h) = a_k$ . If  $k = \sum_{0 \leq q \leq r} s_q(n/d_{q-1})$ , where  $d_q = \gcd(v_0, \dots, v_q)$ , ( $d_0 = v_0 = n, d_r = 1$ ),  $0 \leq s_q \leq r$  and  $0 \leq s_q \leq d_{q-1}/d_q$ , then*

$$h = \prod_{1 \leq i \leq r} h_i \quad \text{and} \quad h_i = \prod_{1 \leq j \leq m_i} h_{ij},$$

with  $h_{ij}$  either irreducible or unit in  $K[[X, Y]]$ ,  $1 \leq j \leq m_i$ ,  $1 \leq i \leq r$ , and

$$(1) \sum_{1 \leq j \leq m_i} \text{Ord}_x(h_j(X, 0)) = s_i(n/d_{i-1}), \quad 1 \leq i \leq r.$$

(2)  $(f \cdot h_{ij}(X, 0)) = d_{i-1}v_i/n$  if  $s_i \neq 0$  and  $h_{ij}$  is a unit in  $K[[X, Y]]$  if  $s_i = 0$ ,  $1 \leq j \leq m$ ,  $1 \leq i \leq r$ .

Here  $(f \cdot h)$  denotes, for two power series  $f$  and  $h$ , the intersection multiplicity of the algebroid cycles defined, respectively, by  $f$  and  $h$ .

In the fourth section we see that the polars of an irreducible complex analytic germ of a plane curve singularity satisfy the hypotheses of the above theorem for  $k = n-1$ . Thus, the Theorem 3.1 of [5] and Lemma 1.6 of [4] follow from the above Factorization Theorem.

**1. Apéry basis and the  $n$ -sequence.** In this section we will summarize some properties of the Apéry basis. For other properties you can see [2] and [6].

**PROPOSITION 1.** *If  $M_j = K[[Y]] + K[[Y]]X + \cdots + K[[Y]]X^j$ ,  $0 \leq j \leq n-1$ , then:*

$$(1) \{a_j\} = v(M_{j-1} + X^j) - v(M_{j-1}), \quad 1 \leq j \leq n-1,$$

$$(2) v(M_j) = \bigcup_{0 \leq i \leq j} (a_i + n\mathbf{Z}_+), \quad 0 \leq j \leq n-1,$$

$$(3) a_i + a_j \leq a_{i+j}, \quad 0 \leq i + j \leq n-1,$$

where  $v(M_i) = \{(f \cdot g); g \in M_i - \{0\}\}$ ,  $0 \leq i \leq n-1$  and  $v(M_{i-1} + X^i) = \{(f \cdot (g + X^i)); g \in M_{i-1}\}$ ,  $1 \leq i \leq n-1$ .

*Proof.* See [2], Satz 3 and [6], Proposition 2.

**REMARK 2.** Note that in the above proposition  $a_j \geq (f \cdot (g + X^j))$  for each  $g \in M_{j-1}$ ,  $1 \leq j \leq n-1$ . (If  $(f \cdot (g + X^j)) > a_j$ , then there exists  $g_{j-1} \in M_{j-1}$  such that  $(f \cdot (g_{j-1} + X^j)) = a_j$ , so  $a_j = (f \cdot (g - g_{j-1}))$  and we get a contradiction.)

**PROPOSITION 3.** *One has*

$$a_{s_1(d/d_0) + \cdots + s_j(d/d_{j-1})} = s_1v_1 + \cdots + s_jv_j,$$

and  $v_{j+1} > (d_{j-1}/d_j)v_j$ ,  $0 \leq j \leq r-1$ , with  $0 \leq s_i \leq (d_{i-1}/d_i)$ ,  $1 \leq i \leq r$ .

*Proof.* See [2], Satz 2 and [6], Proposition 1.

**REMARK 4.** Note that  $v_j = a_{d/d_j}$ ,  $1 < j < r$  and

$$A_n = \{a_{s_1(d/d_0) + \cdots + s_r(d/d_{r-1})}; 0 \leq s_i < (d_{i-1}/d_i), 1 < i < r\}.$$

**EXAMPLE 5.** Here we give some examples of different possibilities for the Apéry basis and  $n$ -sequences. Let us consider the curves



The following proposition is an easy consequence of the Hamburger-Noether expansion and the formula for Zariski exponents of a plane curve (see [3] 4.2.7 and 4.3.10).

**PROPOSITION 6.** *With the above notations one has:*

- (1)  $n_0 = \min(S(C) - \{0\})$ ,
- (2)  $n_0 \leq n = v_0 \leq h_0 n_0 + n_1$ ,
- (3)(i) *If  $v_0 \leq v_1$ , then  $r = g$ ,  $v_0 = n_0$  and*

$$v_{i+1} = (1/n_{s_i}) \sum_{0 \leq j \leq s_i} h_j n_j^2 + n_{s_i+1},$$

$0 \leq i \leq r-1$ , ( $s_0 = 0$ ). Moreover  $a_{01} \neq 0$ .

(ii) *If  $v_0 > v_1$  and  $d_1 = v_1$ , then  $r = g+1$ ,  $v_0 = k_0 v_1$ ,  $k_0 \geq 2$ ,  $v_1 = n_0$  and*

$$v_{i+2} = (1/n_{s_i}) \sum_{0 \leq j \leq s_i} h_j n_j^2 + n_{s_i+1},$$

$0 \leq i \leq r-1$ , ( $s_0 = 0$ ). Moreover  $a_{0j} = 0$ ,  $1 \leq j < k_0$  and  $a_{1k_0} \neq 0$ .

(iii) *If  $v_0 > v_1$  and  $d_1 < v_1$ , then  $r = g$ ,  $v_1 = n_0$ ,  $v_0 = h_0 n_0 + n_1$  and*

$$v_{i+1} = (1/n_{s_i}) \sum_{0 \leq j \leq s_i} h_j n_j^2 + n_{s_i+1},$$

$0 \leq i \leq r-1$ , ( $s_0 = 0$ ). Moreover  $a_{0j} = 0$ ,  $1 \leq j \leq h_0$ .

*Proof.* (1) and (2) are obvious from the Hamburger-Noether expansions. We must only prove (3).

For this, if one writes  $\bar{\beta}_0 = n_0$  and

$$\bar{\beta}_i = (1/n_{s_i}) \sum_{0 \leq j \leq s_i} h_j n_j^2 + n_{s_i+1},$$

$0 \leq i \leq g-1$ , then one has

(I)  $\bar{\beta}_0 = \min(S(C) - \{0\})$  and  $\bar{\beta}_i = \min\{\bar{\beta} \in S(C); \gcd(\bar{\beta}_0, \dots, \bar{\beta}_{i-1}) > \gcd(\bar{\beta}_0, \dots, \bar{\beta}_{i-1}, \bar{\beta})\}$ ,  $1 \leq i \leq g$  (see [3], 4.2.7 and 4.3.10).

On the other hand, note that one has the equalities

(II)  $v_0 = n$  and  $v_i = \min\{v \in S(C); \gcd(v_0, \dots, v_{i-1}) > \gcd(v_0, \dots, v_{i-1}, v)\}$ ,  $1 \leq i \leq r$ .

We distinguish the following three possibilities:

(i)  $n_0 = n < h_0 n_0 + n_1$ . In that case  $a_{01} \neq 0$ ,  $v_0 = n_0$  and it follows from (I) and (II) that  $r = g$  and  $v_i = \bar{\beta}_i$ ,  $1 \leq i \leq g$ .

(ii)  $n_0 < n = k_0 n_0 < h_0 n_0 + n_1$ . Then  $a_{0j} = 0$ ,  $1 \leq j \leq k_0$ ,  $a_{0k_0} \neq 0$ ,  $v_0 = k_0 n_0$ ,  $v_1 = n_0$  and it follows from (I) and (II) that  $r = g+1$  and  $v_{i+1} = \bar{\beta}_i$ ,  $1 \leq i \leq r-1$ .

(iii)  $n_0 < n = h_0 n_0 + n_1$ . Now  $a_{0j} = 0, 1 \leq j \leq h_0, v_0 = h_0 n_0 + n_1, v_1 = n_0$  and it follows from (I) and (II) that  $r = g$  and  $v_i = \bar{\beta}_i, 2 \leq i \leq r$ .

**3. Infinitely near points and intersection multiplicity.** Now consider another irreducible plane algebraoid curve over  $K, C' = \text{Spec}(R'),$  with  $R' = K[[X, Y]]/(f'), C' \neq C$  and  $f' \notin YK[[X, Y]]$ . Let  $x'$  and  $y'$  be the residue classes of  $X$  and  $Y$ , respectively, in  $R'$ . We denote by

$$\begin{aligned}
 y' &= a'_{01}x' + \cdots + a'_{0h'_0}x'^{h'_0} + x'^{h'_0}z'_1, \\
 x' &= z'^{h'_1}_1 z'_2, \\
 &\dots\dots\dots \\
 z'_{s'_1-1} &= a'_{s'_1k'_1}z'^{k'_1}_{s'_1} s'_1 + \cdots + a'_{s'_1h'_1}z'^{h'_1}_{s'_1} + z'^{h'_1}_{s'_1} z'_{s'_1+1}, \\
 &\dots\dots\dots \\
 z'_{s'_g-1} &= a'_{s'_gk'_g}z'^{k'_g}_{s'_g} + \cdots
 \end{aligned}$$

the Hamburger-Noether expansion of  $C$  in the basis  $(x', y')$ . We also put  $n'_i = \text{Ord}_{z'_{s'_i}}(z'_i), 0 \leq i \leq s'_g, (x' = z'_0)$  and  $n' = \text{Ord}_x(f'(X, 0)) = \text{Ord}_{z'_{s'_g}}(y')$ .

Let  $N$  be the number of infinitely near points that  $C$  and  $C'$  have in common (i.e.  $N = h_0 + h_1 + \cdots + h_{s-1} + i - 1, s$  being the largest integer for which  $h_q = h'_q, 0 \leq q \leq s - 1,$  and  $a_{jk} = a'_{jk}, i \leq k \leq h_j, 0 \leq j \leq s - 1,$  and  $i$  being the least index such that  $a_{si} \neq a'_{si} (i \leq h_s + 1, i \leq h'_s + 1)$ ) (see [3] 2.3.2).

**PROPOSITION 7.** *If*

$$\sum_{0 \leq q \leq s_{i-1}-1} h_q + k_{i-1} - 1 < N \leq \sum_{0 \leq q \leq s_i-1} h_q + k_i - 1,$$

$1 \leq i \leq g, (s_0 = 0),$  then  $(f \cdot f') \leq n'd_{j-1}v_j/n,$  where  $j = i$  if  $v_0 < v_1$  or  $v_0 > v_1, d_1 < v_1,$  and  $j = i + 1$  if  $v_0 > v_1, d_1 = v_1.$  Furthermore, if  $(f \cdot f') < n'd_{j-1}v_j/n,$  then  $d_{j-1}$  divides  $(f \cdot f')$ .

*Proof.* One has  $n = h_{q+1}n_{q+1} + n_{q+2}, s_j \leq q \leq s_{j+1} - 2, n_{s_{j+1}-1} = k_{j+1}n_{s_{j+1}}, 0 < j \leq g - 1,$  and  $n'_p = h'_{p+1}n'_{p+1} + n'_{p+2}, s'_j \leq p \leq s'_{j+1} - 2, n'_{s'_{j+1}-1} = k'_{j+1}n'_{s'_{j+1}}, 0 < j \leq g' - 1.$

So  $n_{s_i}$  divides  $n_i$ , and  $n'_{s'_j}$  divides  $n'_k$  for  $i < s_j$  and  $k < s'_j$ . On the other hand, since

$$\sum_{0 \leq q \leq s_{i-1}-1} h_q + k_{i-1} \leq N$$

then  $h_q = h'_q$ ,  $0 \leq q \leq s_{i-1} - 1$  and  $k_{i-1} = k'_{i-1}$ , so

$$(III) \ n/n_{s_{i-1}}, n_q/n_{s_{i-1}} = n'_q/n'_{s_{i-1}}, \ 0 \leq q \leq s_{i-1}.$$

From Proposition 5 we see that

$$(IV) \ d_{j-1} = n_{s_{i-1}}.$$

Thus, one can compute  $(f \cdot f')$  in terms of the possible values of  $N$  (see [3], 2.3.2 and 2.3.3). Namely, one has the following possibilities:

$$(A) \ N = \sum_{0 \leq q \leq s_{i-1}-1} h_q + k_{i-1}, \text{ with } k_{i-1} < k < \min(h_{s_{i-1}}, h'_{s_{i-1}}).$$

In that case one has

$$\begin{aligned} (f \cdot f') &= \sum_{0 \leq q < s_{i-1}-1} h_q n_q n'_q + k n_{s_{i-1}} n'_{s_{i-1}} \\ &< \sum_{0 \leq q \leq s_{i-1}} h_q n_q n'_q + n_{s_{i-1}+1} n'_{s_{i-1}} = \alpha, \end{aligned}$$

so  $d_{j-1}$  divides  $(f \cdot f')$  by (IV), and  $\alpha = n' d_{j-1} v_j / n$ , by (III), (IV) and Proposition 6.

$$(B) \ N = \sum_{0 \leq q \leq s} h_q, \text{ with } s_{i-1} \leq s < \min(s_i, s'_i) \text{ and } h_s < h'_s.$$

Now one has

$$\begin{aligned} (f \cdot f') &= \sum_{0 \leq q \leq s} h_q n_q n'_q + n_{s+1} n'_s \\ &< \sum_{0 \leq q \leq s-1} h_q n_q n'_q + h'_s n_s n'_s + n_s n'_{s+1} = \beta. \end{aligned}$$

(Note that  $h_s < h'_s$ , so  $n_{s-1} n'_s = h_s n_s n'_s + n_{s+1} n'_s < (h_s + 1) n_s n'_s \leq h'_s n_s n'_s < h'_s n_s n'_s + n_s n'_{s+1}$ .) By (III), (IV) and Proposition 6, it follows that

$$(f \cdot f') = \sum_{0 \leq q < s_{i-1}} h_q n_q n'_q + n_{s_{i-1}+1} n_{s_{i-1}} = n' d_{j-1} v_j / n, \quad \text{or}$$

$$(f \cdot f') = \sum_{0 \leq q < s_{i-1}} h_q n_q n'_q + n_{s_{i-1}} n'_{s_{i-1}+1} < \beta = n' d_{j-1} v_j / n,$$

and  $d_{j-1}$  divides  $(f \cdot f')$ .

The other cases can be proved in a similar way:

$$(B') \ N = \sum_{0 \leq q \leq s-1} h_q + h'_s, \text{ with } s_{i-1} \leq s < \min(s_i, s'_i) \text{ and } h'_s < h_s.$$

$$(C.1) \ N = \sum_{0 \leq q \leq s_{i-1}} h_q + k_i - 1, \text{ with } s_i < s'_i \text{ and } k_i < h'_{s_i}.$$

$$(C.2) \ N = \sum_{0 \leq q \leq s_{i-1}} h_q + h'_{s_i}, \text{ with } s_i < s'_i \text{ and } h'_{s_i} < k_i.$$

- (C'.1)  $N = \sum_{0 \leq q \leq s'_i-1} h_q + k'_i - 1$ , with  $s'_i < s_i$  and  $k'_i < h_{s'_i}$ .  
 (C'.2)  $N = \sum_{0 \leq q \leq s'_i-1} h_q + h_{s'_i}$ , with  $s'_i < s_i$  and  $h'_{s'_i} < k'_i$ .  
 (D)  $N = \sum_{0 \leq q < s_i-1} h_q + k_i - 1$ , with  $s_i = s'_i$  and  $k_i < k'_i$ .  
 (D')  $N = \sum_{0 \leq q \leq s_i-1} h_q + k_i - 1$ , with  $s_i = s'_i$  and  $k'_i < k_i$ .  
 (E)  $N = \sum_{0 \leq q < s_i-1} h_q + k_i - 1$ , with  $s_i = s'_i$ ,  $k_i = k'_i$  and  $a_{s_i k_i} \neq a'_{s_i k_i}$ .

**COROLLARY 8.** *For each nonnegative integer  $j$ ,  $1 \leq j \leq r$ , the following statements are equivalent:*

- (1)  $(f \cdot f') > n'd_{j-1}v_j/n$ ,  
 (2)  $N = \sum_{0 \leq q < s_i-1} h_q + k_i - 1$ ,

where  $i = j$  if  $v_0 < v_1$  or  $v_0 > v_1$  and  $d_1 < v_1$ , and  $i = j-1$ ,  $k_0 = v_0/v_1$  if  $v_0 > v_1$  and  $d_1 = v_1$ . In particular, if either (1) or (2) is true then  $n' = n'_{s_i}n/d_j$ .

*Proof.* (1)  $\Rightarrow$  (2). If  $v_0 > v_1$ ,  $d_1 = v_1$  and  $(f \cdot f') > n'v_1$  then  $N > k_0 - 1$ . Indeed, suppose  $N \leq k_0 - 1$ . Then  $a_{0q} = a'_{0q}$ , for  $q \leq N$  and  $a_{0N+1} \neq a'_{0N+1}$ . If  $a'_{0N+1} \neq 0$  then  $(N+1)n_0 = n'$  and if  $a'_{0N+1} = 0$  then  $N+1 = k_0$  and  $(N+1)n'_0 \leq n'$ , so in any case  $(f \cdot f') = (N+1)n_0n'_0 \leq n'v_1$  and we get a contradiction.

Now suppose  $(f \cdot f') > n'd_{j-1}v_j/n$  and

$$\sum_{0 \leq q \leq s_i-1} h_q + k_i - 1 < N$$

with  $j \geq 1$  if  $v_0 < v_1$  or  $v_0 > v_1$  and  $d_1 < v_1$ , and with  $j \geq 2$  if  $v_0 > v_1$  and  $d_1 = v_1$ . Then we can assume

$$\sum_{0 \leq q \leq s_{p-1}-1} h_q + k_{p-1} < N \leq \sum_{0 \leq q \leq s_{p-1}} h_q + k_p - 1,$$

with  $1 \leq i \leq p$ . It follows from Proposition 7 that  $(f \cdot f') \leq n'd_{s-1}v_s/n$ , with  $s \leq j$  and  $d_{s-1}v_s \leq d_{j-1}v_j$  (see [2], Satz 2) which is a contradiction.

(2)  $\Rightarrow$  (1). If  $v_0 > v_1$ ,  $d_1 = v_1$  and  $N > k_0 - 1$ , then  $(f \cdot f') > k_0n_0n'_0$ , and  $n' = k_0n'_0$ , ( $a_{0k_0} = a'_{0k_0}$ ), so one has  $(f \cdot f') > n'v_1$  ( $n_0 = v_1$ ).

Now if

$$\sum_{0 \leq q \leq s_i-1} h_q + k_i - 1 < N$$

with  $i \geq 1$  then  $n/n_{s_i} = n'/n'_{s_i}$ ,  $n_q/n_{s_i} = n'_q/n'_{s_i}$ ,  $0 \leq q \leq s_i$  and

$$(f \cdot f') = \sum_{0 \leq q \leq s_i-1} h_q n_q n'_q + k_i n_{s_i} n'_{s_i} = \gamma.$$

By Proposition 6

$$(n'/n)d_{j-1}v_j = (n'_{s_{i-1}}/n_{s_{i-1}}) \left( \sum_{0 \leq q \leq s_{i-1}} h_q n_q^2 + n_{s_{i-1}+1} n_{s_{i-1}} \right).$$

Now

$$\gamma = \sum_{0 \leq q \leq s_{i-1}} h_q n_q n'_q + k_i n_{s_i} n'_{s_i} = (n_{s_{i-1}}/n_{s_{i-1}}) \left( \sum_{0 \leq q \leq s_{i-1}} h_q n_q^2 + k_i n_{s_i}^2 \right).$$

Thus we have to show that

$$\sum_{0 \leq q \leq s_{i-1}} h_q n_q^2 + n_{s_{i-1}+1} n_{s_{i-1}} = \sum_{0 \leq q \leq s_{i-1}} h_q n_q^2 + k_i n_{s_i}^2.$$

But this follows by repeated application of the identities  $n_{q-1} = h_q n_q + n_{q+1}$ , since  $k_i n_{s_i} = n_{s_{i-1}}$ .

**COROLLARY 9.** For  $1 \leq j \leq r$ , if  $(f \cdot f') < n'd_{j-1}v_j/n$ , then  $d_{j-1}$  divides  $(f \cdot f')$ .

*Proof.* If  $v_0 > v_1$ ,  $d_1 = v_1$  and  $(f \cdot f') < n'v_1$  then  $N \leq k_0 - 1$  (Corollary 8). Thus, if  $a_{0q} = a'_{0q}$ ,  $1 \leq q \leq N$ , and  $a_{0N+1} \neq a'_{0N+1}$  then  $N+1 = k_0$  and  $(f \cdot f') = (N+1)n_0 n'_0 = n'_0 v_0$ . (For if  $N+1 < k_0$  then  $(f \cdot f') = n'v_1$  which is a contradiction.)

Now we can assume  $(f \cdot f') < n'd_{j-1}v_j/n$ , with  $j \geq 1$  if  $v_0 < v_1$  or  $v_0 > v_1$  and  $d_1 < v_1$ , and  $j \geq 2$  if  $v_0 > v_1$  and  $d_1 = v_1$ . By Corollary 8 one has

$$\sum_{0 \leq q \leq s_{i-1}} h_q + k_i - 1 \geq N$$

with  $i = j$  if  $v_0 < v_1$  or  $v_0 > v_1$  and  $d_1 < v_1$ , and with  $i = j - 1$  if  $v_0 > v_1$  and  $d_1 = v_1$ . So, by Proposition 7,  $d_{j-1}$  divides  $(f \cdot f')$ .

**4. Proof of the Factorization Theorem.** As  $\text{Ord}_x(h(X, 0)) = k$  we can write  $h = uh'$ , with  $h' \in M_{k-1} + X^k$  and  $u \in K[[X, Y]]$  being a unit. So  $(f \cdot h) = (f \cdot h') \leq a_k$ .

Also, we can write  $a_k = \sum_{0 \leq q \leq e} s_q v_q$  and  $k = \sum_{0 \leq q \leq r} s_q (d/d_q)$ , with  $0 \leq s_q < d_{q-1}/d_q$  (see Remark 4). Let  $q$  be the greatest index such that  $s_q \neq 0$  and let

$$h = \prod_{0 \leq j \leq m} h_j$$

be the factorization of  $h$  as a product of irreducible elements in  $K[[X, Y]]$ .

If for any  $j$

$$(f \cdot h_j) / \text{Ord}_x(h_j(X, 0)) > d_{q-1}v_q/n$$

then, by Corollary 8,  $\text{Ord}_x(h_j(X, 0)) = an/d_q$  ( $a \neq 0$ ), but  $k < n/d_q$  which is a contradiction. (Note that  $s_p = 0$  for  $p > q$  and

$$k \leq \sum_{1 \leq p \leq q} ((d_{p-1}/d_p) - 1) = (d/d_q) - 1 < d/d_q = n/d_q.$$

On the other hand, if for  $1 \leq j \leq m$

$$(f \cdot h_j) / \text{Ord}_x(h_j(X, 0)) < d_{q-1}v_q/n$$

then  $d_{q-1}$  divides  $(f \cdot h)$  by Corollary 9. So  $d_{q-1}/d_q$  divides  $s_q$ , and hence  $s_q = 0$  since  $0 \leq s_q < d_{q-1}/d_q$ , and we get a contradiction.

Thus, there exists  $h_{j_0}$  such that

$$(f \cdot h_{j_0}) / \text{Ord}_x(h_{j_0}(X, 0)) = d_{q-1}v_q/n.$$

Moreover, if  $q \geq 2$  then  $\text{Ord}_x(h_{j_0}(X, 0)) = an/d_{q-1}$  by Corollary 8, as  $d_{q-1}v_q > d_qv_{q-1}$  (see Proposition 3). If  $q = 1$  then  $(f \cdot h_{j_0}) = \text{Ord}_x(h_{j_0}(X, 0)) = an/d_{q-1}$ . In any case  $\text{Ord}_x(h_{j_0}(X, 0)) = an/d_{q-1}$  with  $0 \leq a \leq s_q$ .

(Note that  $k \leq \sum_{1 \leq p \leq q-1} ((d_{p-1} - 1) - 1)(d/d_{p-1}) + s_q d/d_{q-1} < (d/d_{q-1}) + s_q d/d_{q-1} = (s_q + 1)d/d_{q-1} = (s_q + 1)n/d_{q-1}$ .)

So  $h' = h/h_{j_0}$  satisfies  $\text{Ord}_x(h'(X, 0)) = k' = k - an/d_{q-1}$  and  $(f \cdot h') = a_k - a(n/d_{q-1})d_{q-1}v_q/n = a_k - av_q = a_{k'}$ ; hence the Theorem follows by iterating the above reasoning using  $h'$  instead of  $h$  in the next step.

**5. The complex analytic case.** In this section,  $C$  is assumed to be an irreducible complex analytic germ at  $0 \in C^2$  of a plane curve singularity.

Let  $n$  be the multiplicity of  $C$  and let  $P(C)$  be a general polar of  $C$  (i.e.  $P(C)$  is defined by a reduced element  $h = \lambda(\partial f/\partial X) - \mu(\partial f/\partial Y)$  of  $C\{X, Y\}$ , and  $n - 1$  is the multiplicity of  $P(C)$ ). M. Merle in [5] has proved that  $P(C)$  descomposes into  $g$  curves  $\Gamma_{(1)}, \dots, \Gamma_{(g)}$ , where  $\Gamma_{(g)}$  ( $1 \leq q \leq g$ ) is such that

- (1) its multiplicity is  $(n/e_{q-1})((e_{q-1}/e_q) - 1)$ ,
- (2) every irreducible component of  $\Gamma_{(q)}, \Gamma_{(q)i}$  has a contact of order  $\beta_q$  with  $C$  and  $(\Gamma_{(q)i} \cdot C)/m(\Gamma_{(q)i}) = \bar{\beta}_q/(n/e)$ .

Here  $\{\bar{\beta}_0, \dots, \bar{\beta}_g\}$  is the minimal system of generators of  $S(C)$ ,  $e_q = \gcd(\bar{\beta}_0, \dots, \bar{\beta}_q)$ ,  $0 \leq q \leq g$ ,  $\beta_0 < \beta_1 < \dots < \beta_g$  are the Puiseux exponents and  $m(\Gamma_{(q)i})$  denotes the multiplicity of  $\Gamma_{(q)i}$ .

Without loss of generality, we may assume that  $n = \text{Ord}_x(f(X, 0))$ , and therefore  $n - 1 = \text{Ord}_x(h(X, 0))$ .

On the other hand,

$$(f \cdot h) = \sum_{0 \leq q \leq g} ((e_{q-1}/e_q) - 1) \bar{\beta}_q.$$

and hence  $(f \cdot h) = a_{n-1}$ , since  $\{\bar{\beta}_0, \dots, \bar{\beta}_g\}$  is the  $n$ -sequence in  $S(C)$  (see [2], Satz 2 and [5], Prop. 1.1).

Thus,  $h$  satisfies the hypotheses of the Factorization Theorem for  $k = n - 1$ , and the above Theorem 3.1 of [5] is a special case of ours. (Note that  $\Gamma_{(q)i}$  has a contact of order  $\beta_q$  with  $C$  if and only if  $(\Gamma_{(q)i} \cdot C)/m(\Gamma_{(q)i}) = \bar{\beta}_q/(n/e_{q-1})$ , see [5], Prop. 2.4.)

In general, if  $M$  is a smooth germ of a plane curve singularity defined by  $z \in C\{X, Y\}$ , then the polar of  $C$  with respect to  $M$  is the (possibly nonreduced) germ whose defining ideal is generated by the Jacobian  $J(f, z) = \partial(f, z)/\partial(X, Y)$  (see [4]). In particular, a general polar  $P(C)$  of  $C$  is defined by  $h = J(f, \lambda X + \mu Y)$  with  $(\lambda, \mu)$  general.

Thus, without loss of generality, we may assume that  $z = Y$  (since  $M$  is smooth) and  $J(f, z) = \partial f/\partial X$ .

**PROPOSITION 10.** *Keeping the above notations, one has*

- (a)  $\text{Ord}_x((\partial f/\partial X)(X, 0)) = \text{Ord}_x(f(X, 0)) - 1 = n - 1$ .
- (b)  $(f(\partial f/\partial X)) = a_{n-1}$ .

*Proof.* (a) It is obvious.

(b) If  $n = \text{Ord}_x(f(X, 0)) \geq \text{Ord}_Y(f(0, y)) = m$  then one has a Puiseux type parametrization of  $C$

$$X = t^m, \quad Y = \Psi(t)$$

and we can write (up to multiplication by a unit)

$$f(X, Y) = \prod_{0 \leq q \leq m} (X - \Psi(W^q X^{1/m})),$$

Thus,

$$\begin{aligned} (f \cdot (\partial f/\partial X)) &= \text{Ord}_t((\partial f/\partial X)(t^m, \Psi(t))) \\ &= \text{Ord}_t(\Psi^1(t^m)) + \text{Ord}_t \left( \prod_{1 \leq q \leq m-1} (\Psi(t) - \Psi(W^q t)) \right). \end{aligned}$$

where  $\Psi^1(X^{1/m}) = \partial/\partial X(\Psi(X^{1/m}))$ .

On the other hand, we can write

$$\Psi(X^{1/m}) = \sum_{1 \leq j \leq i_0} a_{0j} X^{jn/m} + \sum_{0 \leq j \leq i_1} a_{1j} X^{(\beta_1 + je_1)/m} + \dots + \sum_{0 \leq j} a_{gj} X^{(\beta_g + je_g)/m},$$

where  $m = \beta_0 < \beta_1 < \dots < \beta_g$  are the Puiseux exponents of  $C$  and  $e_i = \gcd(\beta_0, \dots, \beta_i)$ ,  $1 \leq i \leq g$ .

Then we have  $\text{Ord}_t \Psi^1(X^{1/n}) = n - m$ , and

$$\text{Ord} \left( \prod_{1 \leq q \leq m-1} (\Psi(t) - \Psi(w^q t)) \right) = \sum_{1 \leq q \leq g} (e_{i-1} - e_i) \beta_i.$$

(Note that  $\text{Ord}_t(\Psi(t) - \Psi(w^q t)) = \beta_j$ , if

$$q \in \{k(e_{j-2}/e_{j-1}); 1 \leq k < e_{j-1}\} - \{k(e_{j-1}/e_j); 1 \leq k < e_j\}, \\ 1 \leq j \leq g \quad (e_{-1} = e_0 = m).$$

Now

$$\sum_{1 \leq i \leq g} (e_{i-1} - e_i) \beta_i = c + m - 1,$$

where  $c$  is the conductor of  $S(C)$  (i.e.  $c = \min\{d \in S(C); d + \mathbf{Z}_+ \subset S(C)\}$ , see [3], 4.4) and  $c + n - 1 = a_{n-1}$ , since

$$A_n = \{\min(S(C) \cap (j + n\mathbf{Z}_+); 0 \leq j \leq n - 1\}.$$

Finally, a similar argument shows that  $(f \cdot \partial f / \partial X) = c + n - 1$ , if  $n = \text{Ord}_x(f(X, 0)) < \text{Ord}_Y(f(0, Y))$ .

**REMARK 11.** Proposition 10 shows that if  $h$  defines the polar of  $C$  with respect to  $M$  then  $h$  satisfies the hypotheses in the Factorization Theorem for  $k = n - 1$ , so Lemma 1.6 of [4] is also a special case of (2) in the Factorization Theorem.

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