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ISOMETRIES OF TRIDIAGONAL ALGEBRAS

YOUNG SOO JO

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Let $\text{Alg } \mathcal{L}$ be a tridiagonal algebra which was introduced by F. Gilfeather and D. Larson. In this paper it is proved that if $\varphi: \text{Alg } \mathcal{L} \rightarrow \text{Alg } \mathcal{L}$ is a linear surjective isometry, then there exist unitary operators W and V such that $\varphi(A) = WAV$ for all $A \in \text{Alg } \mathcal{L}$.

Introduction. The study of reflexive, but not necessarily self-adjoint, algebras of Hilbert space operators has become one of the fastest-growing specialties in operator theory. In this paper we study the linear surjective isometries of a certain class of reflexive algebras, which were introduced by F. Gilfeather, A. Hopenwasser and D. Larson [5]. These algebras have been found to be useful counterexamples to a number of plausible conjectures. In particular, these algebras have non-trivial cohomology [5], and they admit automorphisms which are not spatially implemented [2].

First we introduce the notation which is used in this paper. Let $\{e_1, e_2, \dots, e_{2n}\}$ and $\{e_1, e_2, \dots\}$ be fixed bases of $2n$ -dimensional complex Hilbert space and separable infinite dimensional Hilbert space, respectively. If x_1, x_2, \dots, x_k are vectors in some Hilbert space, we denote by $[x_1, x_2, \dots, x_k]$ the closed subspace spanned by the vectors x_1, x_2, \dots, x_k .

Let x and y be two vectors in some Hilbert space. Then (x, y) means the inner product of the vectors x and y .

Let H_{2n} be $2n$ -dimensional Hilbert space. We denote by \mathcal{L}_{2n} the subspace lattice generated by the subspaces $[e_1], [e_3], [e_5], \dots, [e_{2n-1}], [e_1, e_2, e_3], [e_3, e_4, e_5], \dots, [e_{2n-3}, e_{2n-2}, e_{2n-1}], [e_1, e_{2n-1}, e_{2n}]$.

By $\text{Alg } \mathcal{L}_{2n} = \Phi_{2n}$ we mean the algebra of bounded operators which leave invariant all of the subspaces in \mathcal{L}_{2n} . It is easy to see that all

such operators have the matrix form

$$\begin{bmatrix} * & * & & & & & * \\ & * & & & & & \\ & & * & * & * & & \\ & & & * & & & \\ & & & & * & & \\ & & & & & \cdot & \\ & & & & & & \cdot & \\ & & & & & & & \cdot & \\ & & & & & & & & \cdot & * \\ & & & & & & & & & * \\ & & & & & & & & & * \end{bmatrix},$$

where all non-starred entries are zero. Note that all diagonal operators and the identity operator I lie in $\text{Alg } \mathcal{L}_{2n}$.

Let H_∞ represent infinite-dimensional separable Hilbert space, and let \mathcal{L}_∞ be the lattice of subspaces generated by $[e_1], [e_3], [e_5], \dots, [e_1, e_2, e_3], [e_3, e_4, e_5], \dots$

Let $\Phi_\infty = \text{Alg } \mathcal{L}_\infty$ be the algebra of bounded operators leaving every subspace of \mathcal{L}_∞ invariant. Matricially, such operators have the form

$$\begin{bmatrix} * & * & & & & & \\ & * & & & & & \\ & & * & * & * & & \\ & & & * & & & \\ & & & & * & & \\ & & & & & \cdot & \\ & & & & & & \cdot & \\ & & & & & & & \cdot & \\ & & & & & & & & \cdot & \\ & & & & & & & & & \cdot \end{bmatrix},$$

where all non-starred entries are zero.

By an isometry of an operator algebra Φ we mean a linear map $\varphi: \Phi \rightarrow \Phi$ such that $\|\varphi(A)\| = \|A\|$ for every A in Φ . We do not assume any algebraic properties for isometries, although the main theorem will imply that such properties may exist.

Let i and j be two non-zero natural numbers. Then E_{ij} is the matrix whose (i, j) -component is 1 and all other entries are zero.

In this paper we will prove the following theorem.

THEOREM. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry and let $\varphi(I) = U$. Then U and U^* are in $\text{Alg } \mathcal{L}_{2n}$, and U is unitary. Let $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be the surjective isometry defined by $\varphi_1(A) = U^* \varphi(A)$ for all A in $\text{Alg } \mathcal{L}_{2n}$. Then either $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$ or $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. If $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$, then there exists a unitary operator W such that $\varphi_1(A) = WAW^*$ for all A in $\text{Alg } \mathcal{L}_{2n}$. If $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$, then there exist a conjugation J and a unitary operator W such that $\varphi_1(A) =$*

JWA^*W^*J for all A in $\text{Alg } \mathcal{L}_{2n}$. Let $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ be a surjective isometry and let $\varphi(I) = U$; then U and U^* are in $\text{Alg } \mathcal{L}_\infty$ and U is unitary. Let $\varphi_1: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ be the surjective isometry defined by $\varphi_1(A) = U^*\varphi(A)$ for all A in $\text{Alg } \mathcal{L}_\infty$. Then $\varphi_1(I) = I$, $\varphi_1(E_{ii}) = E_{ii}$ for all i ($i = 1, 2, \dots$), $\varphi_1(\mathcal{L}_\infty) = \mathcal{L}_\infty$, and there are diagonal unitary operators W and V such that $\varphi_1(A) = WAV$ for all A in $\text{Alg } \mathcal{L}_\infty$.

1. Examples of isometries.

EXAMPLE 1. Let the Hilbert space be separable with an orthonormal basis $\{e_k: k = 1, 2, \dots\}$ and let U be a diagonal unitary operator whose (i, i) -component is u_{ii} such that $|u_{ii}| = 1$ for all i . Define $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ by $\varphi(A) = U^*AU$ for all A in $\text{Alg } \mathcal{L}_\infty$. Then φ is a surjective isometry such that $\varphi(I) = I$, the (i, i) -component of $\varphi(A)$ is the same as the (i, i) -component of A and if $A = (a_{ij})$ is in $\text{Alg } \mathcal{L}_\infty$, then the $(2i + 1, 2i + 1)$ -component of $\varphi(A)$ is $u_{2i+1, 2i+1}a_{2i+1, 2i}a_{2i, 2i}$ and the $(2i + 1, 2i + 2)$ -component of $\varphi(A)$ is $u_{2i+1, 2i+1}a_{2i+1, 2i+2}a_{2i+2, 2i+2}$.

In Examples 2 and 3, the Hilbert space is $2n$ -dimensional with an orthonormal basis $\{e_1, e_2, \dots, e_{2n}\}$.

EXAMPLE 2. Let D_n be the $n \times n$ matrix with 1 the $(i, n - i + 1)$ -component ($i = 1, 2, \dots, n$) and 0 elsewhere. Let $U_{2i+1} = D_{2i+1} \oplus D_{2n-2i-1}$. Define $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi(A) = U_{2i+1}AU_{2i+1}^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$. It is straightforward to show that $U_{2i+1}AU_{2i+1}^*$ and $U_{2i+1}^*AU_{2i+1}$ are in $\text{Alg } \mathcal{L}_{2n}$ for every A in $\text{Alg } \mathcal{L}_{2n}$. So φ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{11}) = E_{2i+1, 2i+1}$, $\varphi(E_{22}) = E_{2i, 2i}, \dots$, $\varphi(E_{2i-1, 2i-1}) = E_{33}$, $\varphi(E_{2i, 2i}) = E_{22}$, $\varphi(E_{2i+1, 2i+1}) = E_{11}$, $\varphi(E_{2i+2, 2i+2}) = E_{2n, 2n}$, $\varphi(E_{2i+3, 2i+3}) = E_{2n-1, 2n-1}, \dots$, $\varphi(E_{2n, 2n}) = E_{2i+2, 2i+2}$. Moreover, it is easy to check that $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$.

EXAMPLE 3. We denote the identity on n -dimensional Hilbert space by I_n . Let

$$V_{2i+1} = \begin{bmatrix} 0 & I_{2i} \\ I_{2n-2i} & 0 \end{bmatrix}.$$

Then V_{2i+1} is a unitary operator. Define $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi(A) = V_{2i+1}AV_{2i+1}^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$. It is straightforward to show that $V_{2i+1}AV_{2i+1}^*$ and $V_{2i+1}^*AV_{2i+1}$ are in $\text{Alg } \mathcal{L}_{2n}$ for every A in $\text{Alg } \mathcal{L}_{2n}$. So φ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{11}) = E_{2i+1, 2i+1}$, $\varphi(E_{22}) = E_{2i+2, 2i+2}, \dots$, $\varphi(E_{2n-2i, 2n-2i}) = E_{2n, 2n}$, $\varphi(E_{2n-2i+1, 2n-2i+1}) = E_{11}$, $\varphi(E_{2n-2i+2, 2n-2i+2}) = E_{22}, \dots$, $\varphi(E_{2n, 2n}) = E_{2i, 2i}$. Moreover, it is easy to check that $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$.

EXAMPLE 4. Let $\varphi: \text{Alg } \mathcal{L}_4 \rightarrow \text{Alg } \mathcal{L}_4$ be defined by $\varphi(A) = A_f$ for every A in $\text{Alg } \mathcal{L}_4$, where if

$$A = \begin{bmatrix} a_{11} & a_{12} & 0 & a_{14} \\ 0 & a_{22} & 0 & 0 \\ 0 & a_{32} & a_{33} & a_{34} \\ 0 & 0 & 0 & a_{44} \end{bmatrix}; \quad \text{then } A_f = \begin{bmatrix} a_{44} & a_{34} & 0 & a_{14} \\ 0 & a_{33} & 0 & 0 \\ 0 & a_{32} & a_{22} & a_{12} \\ 0 & 0 & 0 & a_{11} \end{bmatrix}.$$

Define $J: \mathbf{C}^4 \rightarrow \mathbf{C}^4$ by $J(x_1, x_2, x_3, x_4)^t = (\overline{x_4}, \overline{x_3}, \overline{x_2}, \overline{x_1})^t$ for every $(x_1, x_2, x_3, x_4)^t$ in \mathbf{C}^4 .

Then J is a conjugation; that is,

- (1) J is bijective.
- (2) $J(x + y) = Jx + Jy$ for x, y in \mathbf{C}^4 .
- (3) $J(\alpha x) = \bar{\alpha}Jx$ for every α in \mathbf{C} and every x in \mathbf{C}^4 .
- (4) $J^2 = I$.
- (5) $(Jx, y) = (Jy, x)$ for x, y in \mathbf{C}^4 .

It is easy to check that $\varphi(A) = JA^*J$; φ is a surjective isometry by (5) and $\varphi(I) = I$. This isometry is not implemented by any unitary operator. The algebra $\text{Alg } \mathcal{L}_{2n}$ admits this kind of isometry for other values of n . Note that in this example, if E is in \mathcal{L}_{2n} , then $\varphi(E)^\perp$ is in \mathcal{L}_{2n} , that is, $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.

2. General theorems. We want to show that every surjective isometry on $\text{Alg } \mathcal{L}_{2n}$ or $\text{Alg } \mathcal{L}_\infty$ is a composition of the types mentioned in the examples. Our first task is to show that the image of the identity under a surjective isometry of $\text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty$) must be a unitary operator.

Let x and y be two non-zero vectors in a Hilbert space H . Then $x^* \otimes y$ is a rank one operator defined by $x^* \otimes y(h) = (h, x)y$ for every h in H .

LEMMA 1 (Longstaff [9]). *Let \mathcal{L} be a commutative lattice and let x and y be two vectors. Then $x^* \otimes y$ is in $\text{Alg } \mathcal{L}$ if and only if there exists E in \mathcal{L} such that y is in E and x is in E^\perp (E^\perp means $(E_-)^\perp$), where $E_- = V\{F: F \text{ is in } \mathcal{L} \text{ and } F \not\leq E\}$.*

The following lemma appears in an unpublished paper. We include the proof for the convenience of the reader.

LEMMA 2 (Moore and Trent [10]). *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a linear surjective isometry. If $A = \varphi(I)$ and if $x^* \otimes x$ is in $\text{Alg } \mathcal{L}_{2n}$, then $\|Ax\| = \|x\|$.*

Proof. Without loss of generality, we may assume that $\|x\| = 1$. Since $x^* \otimes Ax = A(x^* \otimes x)$, the operator $x^* \otimes Ax$ lies in $\text{Alg } \mathcal{L}_{2n}$, and there is an operator R in $\text{Alg } \mathcal{L}_{2n}$ for which $\varphi(R) = x^* \otimes Ax$. For any complex α ,

$$\begin{aligned} \|I + \alpha R\|^2 &= \|A + \alpha(x^* \otimes Ax)\|^2 \\ &= \|(A + \alpha(x^* \otimes Ax))(A^* + \bar{\alpha}((Ax)^* \otimes x))\| \\ &= \|AA^* + (2 \operatorname{Re} \alpha + |\alpha|^2)((Ax)^* \otimes Ax)\| \\ &\leq 1 + \|Ax\|^2 |2 \operatorname{Re} \alpha + |\alpha|^2|. \end{aligned}$$

By choosing $\alpha = -it$ purely imaginary, and by letting $R = H + iK$ and $\delta \in \sigma(K)$, we find that $|1 + t\delta|^2 \leq 1 + t^2\|Ax\|^2$, or $(\|Ax\|^2 - \delta^2)t^2 - 2\delta t \geq 0$ for all real t , and it is easy to see that this condition implies that $\delta = 0$. Thus, $\sigma(K) = \{0\}$, $K = 0$, and R is Hermitian. Now let $\tau \in \sigma(R)$ and let $\alpha = t$ be real and deduce that $|1 + t\tau|^2 \leq 1 + \|Ax\|^2 |2t + t^2|$, or $2t\tau + t^2\tau^2 \leq \|Ax\|^2 |2t + t^2|$. Choose $t = -2$ to get $\tau^2 \leq \tau$, which means that $\tau \geq 0$ (and hence R is a positive operator). Finally, let $t \rightarrow 0^+$ and conclude that $\tau \leq \|Ax\|^2$, and, consequently, that $\|R\| \leq \|Ax\|^2$. But $\|R\| = \|\varphi(R)\| = \|x^* \otimes Ax\| = \|x\| \|Ax\| = \|Ax\|$. Thus, $\|Ax\| \leq \|Ax\|^2$ and it follows that $\|Ax\| \geq 1$. On the other hand, $\|A\| = 1$, so $\|Ax\| = 1$ and we are done.

In particular, since $e_i^* \otimes e_i$ is in $\text{Alg } \mathcal{L}_{2n}$, $\|Ae_i\| = \|e_i\| = 1$ by Lemma 2 for every $1 \leq i \leq 2n$.

THEOREM 3. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry, then $\varphi(I)$ is a unitary operator in $\text{Alg } \mathcal{L}_{2n}$.*

Proof. Let $\varphi(I) = A = (a_{ij})$. Then $|a_{ii}| = 1$ by the above statement for all odd numbers i ; $1 \leq i \leq 2n$. But $\|A\| = \|I\| = 1$, so $a_{12} = a_{1,2n} = 0$, $a_{32} = a_{34} = 0$, $a_{54} = a_{56} = 0, \dots, a_{2n-1,2n-2} = a_{2n-1,2n} = 0$. Thus, $\varphi(I) = A$ is a diagonal matrix whose components have absolute value 1 and hence $A = \varphi(I)$ is a unitary operator in $\text{Alg } \mathcal{L}_{2n}$.

Similarly, we can get the following theorem.

THEOREM 4. *If $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ is a surjective isometry, then $\varphi(I)$ is a unitary operator in $\text{Alg } \mathcal{L}_\infty$.*

Let $\varphi(I) = U$. Then UA and U^*A are in $\text{Alg } \mathcal{L}_{2n}$ (resp. $\text{Alg } \mathcal{L}_\infty$) if A is in $\text{Alg } \mathcal{L}_{2n}$ (resp. $\text{Alg } \mathcal{L}_\infty$). Define $\hat{\varphi}: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\hat{\varphi}(A) = U^*\varphi(A)$ for every A in $\text{Alg } \mathcal{L}_{2n}$ or $\hat{\varphi}: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ by

$\hat{\varphi}(A) = U^* \varphi(A)$ for every A in $\text{Alg } \mathcal{L}_\infty$. Then $\hat{\varphi}$ is a surjective isometry such that $\hat{\varphi}(I) = I$.

Let $\Omega = \{A: A \text{ is a diagonal matrix in } \text{Alg } \mathcal{L}_{2n} \text{ (or } \text{Alg } \mathcal{L}_\infty)\}$. Then it is easy to check that Ω is the smallest von Neumann algebra containing \mathcal{L}_{2n} (or \mathcal{L}_∞) and $\Omega = \text{Alg } \mathcal{L}_{2n} \cap (\text{Alg } \mathcal{L}_{2n})^*$ (or $\Omega = \text{Alg } \mathcal{L}_\infty \cap (\text{Alg } \mathcal{L}_\infty)^*$).

We will require the following facts, first proved by Kadison.

LEMMA 5 (Kadison [8]). *A linear map φ of one C^* -algebra into another which carries the identity into the identity and is isometric on normal elements preserves adjoints, i.e., $\varphi(A^*) = (\varphi(A))^*$.*

DEFINITION 6. Let Φ_1 and Φ_2 be C^* -algebras. A Jordan isomorphism or C^* -isomorphism $\varphi: \Phi_1 \rightarrow \Phi_2$ is a bijective linear map such that if A is self-adjoint in Φ_1 , then $\varphi(A)$ is also self-adjoint in Φ_2 and $\varphi(A^n) = (\varphi(A))^n$.

LEMMA 7 (Kadison [8]). (a) *A linear bijection φ of one C^* -algebra Φ_1 onto another Φ_2 which is isometric is a C^* -isomorphism followed by left multiplication by a fixed unitary operator, viz, $\varphi(I)$.*

(b) *A C^* -isomorphism φ of a C^* -algebra Φ_1 onto a C^* -algebra Φ_2 is isometric and preserves commutativity.*

LEMMA 8. $\hat{\varphi}(\Omega) = \Omega$, (where $\hat{\varphi}$ and Ω are defined above).

Proof. Since $\hat{\varphi}|_\Omega$ preserves adjoints by Lemma 5, $\hat{\varphi}(\Omega)$ is contained in Ω . Similarly, $\hat{\varphi}^{-1}(\Omega)$ is contained in Ω . Hence $\hat{\varphi}(\Omega) = \Omega$.

Since $\hat{\varphi}: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$) is a surjective isometry, just like φ , and since the main theorem would be true of φ if it were true of $\hat{\varphi}$, we now work exclusively with $\hat{\varphi}$ and drop the “ $\hat{}$ ” symbol. Equivalently we assume that $\varphi(I) = I$.

Then we can get the following corollary.

COROLLARY 9. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$) is a surjective isometry such that $\varphi(I) = I$, then $\varphi(\Omega) = \Omega$.*

LEMMA 10. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$) be a surjective isometry such that $\varphi(I) = I$. Then E is a projection in Ω if and only if $\varphi(E)$ is a projection in Ω .*

Proof. First, suppose that E is a projection in Ω . Since $\varphi|_\Omega$ is a Jordan isomorphism, $\varphi(E) = \varphi(E^*) = \varphi(E)^*$ and $\varphi(E) = \varphi(E^2) =$

$\varphi(E)^2$. So $\varphi(E)$ is a projection in Ω because $\varphi(\Omega) = \Omega$. Suppose that $\varphi(E)$ is a projection in Ω . Then since $\varphi^{-1}|_{\Omega}$ is a Jordan isomorphism, by the above argument $\varphi^{-1}\varphi(E) = E$ is a projection in Ω .

LEMMA 11 (*Kadison [8]*). *If φ is a Jordan isomorphism from a C^* -algebra Φ_1 onto a C^* -algebra Φ_2 , then $\varphi(BAB) = \varphi(B)\varphi(A)\varphi(B)$ with A and B in Φ_1 .*

THEOREM 12. *Let $\varphi: \text{Alg } \mathcal{L}_{\infty} \rightarrow \text{Alg } \mathcal{L}_{\infty}$ be a surjective isometry such that $\varphi(I) = I$. Let $\{e_i: i = 1, 2, \dots\}$ be the orthonormal basis for which the generators of the lattice are $[e_1], [e_3], \dots, [e_{2n-1}], \dots, [e_1, e_2, e_3], [e_3, e_4, e_5], \dots, [e_{2n-3}, e_{2n-2}, e_{2n-1}], \dots$. Then $\varphi([e_i])$ is rank-one for each $i; i = 1, 2, \dots$.*

Proof. Let $E_k = \varphi^{-1}([e_k])$ for each $k; k = 1, 2, \dots$, that is, $\varphi(E_k) = [e_k]$. Then E_k is a projection in Ω by Lemma 10. If E_k is not a rank 1 projection, then $E_k = E + F$ with E, F on $\text{Alg } \mathcal{L}_{\infty}$, both non-zero projections. But then $[e_k] = \varphi^{-1}(E) + \varphi^{-1}(F)$ expresses $[e_k]$ as a sum of 2 non-zero projections.

With the same proof as Theorem 12, we can get the following theorem.

THEOREM 13. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$. Then $\varphi([e_i])$ is rank-one in Ω for each $i; i = 1, 2, \dots, 2n$.*

LEMMA 14. *Let R be an operator and suppose that there is a non-negative number M and a positive number N such that, for all complex numbers α with $|\alpha| \geq N$, we have $\|R + \alpha I\|^2 \leq M^2 + |\alpha|^2$. Then $R = 0$.*

Proof. Choose x in the Hilbert space H , with $\|x\| = 1$. We have $\|Rx + \alpha x\|^2 \leq M^2 + |\alpha|^2$, or $\|Rx\|^2 + |\alpha|^2 + 2 \text{Re } \bar{\alpha} \overline{(Rx, x)} \leq M^2 + |\alpha|^2$, or $2 \text{Re } \bar{\alpha} \overline{(Rx, x)} \leq M^2 - \|Rx\|^2$. Choosing $\alpha = t \overline{(Rx, x)}$ for positive t , we get $2t|(Rx, x)|^2 \leq M^2 - \|Rx\|^2$ for all $t > N$. This is impossible unless $(Rx, x) = 0$. The fact that this equation holds for all x means that $R = 0$.

LEMMA 15 (*Moore and Trent [10]*). *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_{\infty} \rightarrow \text{Alg } \mathcal{L}_{\infty}$) be a surjective isometry such that $\varphi(I) = I$. Let P be*

a projection in Ω and let T be in $\text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty$) with $T = PTP^\perp$. Then we have $\varphi(T) = \varphi(P)\varphi(T)\varphi(P)^\perp + \varphi(P)^\perp\varphi(T)\varphi(P)$.

Proof. We begin by writing $\varphi(T)$ as 2×2 matrix, using the decomposition $I = \hat{P} + \hat{P}^\perp$:

$$\varphi(T) = \begin{bmatrix} R_1 & R_2 \\ R_3 & R_4 \end{bmatrix}, \quad \hat{P} = \begin{bmatrix} I & 0 \\ 0 & 0 \end{bmatrix} \quad \text{and} \quad \hat{P}^\perp = \begin{bmatrix} 0 & 0 \\ 0 & I \end{bmatrix},$$

where $\hat{P} = \varphi(P)$. Then, for all complex α ,

$$\|T + \alpha P\| = \|\varphi(T) + \alpha \hat{P}\| = \left\| \begin{bmatrix} R_1 + \alpha & R_2 \\ R_3 & R_4 \end{bmatrix} \right\|.$$

On the other hand, T , written using “ $I = P + P^\perp$ ”, is the matrix $T = \begin{bmatrix} 0 & S \\ 0 & 0 \end{bmatrix}$. So

$$\begin{aligned} \|T + \alpha P\|^2 &= \left\| \begin{bmatrix} \alpha & S \\ 0 & 0 \end{bmatrix} \right\|^2 = \left\| \begin{bmatrix} \alpha & S \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \alpha & S \\ 0 & 0 \end{bmatrix}^* \right\| \\ &= \left\| \begin{bmatrix} 0 & 0 \\ 0 & |\alpha|^2 + SS^* \end{bmatrix} \right\| = |\alpha|^2 + \|S\|^2 \end{aligned}$$

since SS^* is a positive operator. Thus, $\|R_1 + \alpha\|^2 \leq |\alpha|^2 + \|S\|^2$, and Lemma 14 tells us that $R_1 = 0$. Similarly, by considering $\|t + \alpha P^\perp\|$, we can show that $R_4 = 0$. So $\varphi(T) = \hat{P}\varphi(T)\hat{P}^\perp + \hat{P}^\perp\varphi(T)\hat{P}$.

THEOREM 16. Let $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ be a surjective isometry such that $\varphi(I) = I$. Let $\varphi(E_{2i-1,2i-1}) = E_{jj}$ and let $\varphi(E_{2i,2i}) = E_{kk}$. Then $|k - j| = 1$.

Proof. Since

$$\begin{aligned} E_{2i,2i}^\perp E_{2i-1,2i} E_{2i,2i} &= E_{2i-1,2i} \quad \text{and} \\ E_{2i-1,2i-1} E_{2i-1,2i} E_{2i-1,2i-1}^\perp &= E_{2i-1,2i}, \end{aligned}$$

Lemma 15 tells us that

$$\begin{aligned} \varphi(E_{2i,2i})^\perp \varphi(E_{2i-1,2i}) \varphi(E_{2i,2i}) \\ + \varphi(E_{2i,2i}) \varphi(E_{2i-1,2i}) \varphi(E_{2i,2i})^\perp &= \varphi(E_{2i-1,2i}) \end{aligned}$$

and

$$\begin{aligned} \varphi(E_{2i-1,2i-1}) \varphi(E_{2i-1,2i}) \varphi(E_{2i-1,2i-1})^\perp \\ + \varphi(E_{2i-1,2i-1})^\perp \varphi(E_{2i-1,2i}) \varphi(E_{2i-1,2i-1}) &= \varphi(E_{2i-1,2i}). \end{aligned}$$

Then

$$(*) \quad E_{kk}^\perp \varphi(E_{2i-1,2i}) E_{kk} + E_{kk} \varphi(E_{2i-1,2i}) E_{kk}^\perp = \varphi(E_{2i-1,2i})$$

and

$$E_{jj}\varphi(E_{2i-1,2i})E_{jj}^{\perp} + E_{jj}^{\perp}\varphi(E_{2i-1,2i})E_{jj} = \varphi(E_{2i-1,2i}).$$

So we can get the following from the second equation of (*);

(1) If j is 1, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(1, 2)$ -component and the $(1, 2n)$ -component.

(2) If j is an odd number and $j \neq 1$, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(j, j-1)$ -component and the $(j, j+1)$ -component.

(3) If j is 2, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(1, 2)$ -component and the $(3, 2)$ -component.

(4) If j is an even number and $j \neq 2$, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(j-1, j)$ -component and the $(j+1, j)$ -component.

(α) From the first equation of (*) we know the following: If k is 1, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(1, 2)$ -component.

(β) If k is an odd number and $k \neq 1$, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(k, k-1)$ -component and the $(k, k+1)$ -component.

(τ) If k is 2, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(1, 2)$ -component and the $(3, 2)$ -component.

(δ) If k is an even number and $k \neq 2$, then $\varphi(E_{2i-1,2i})$ is a matrix all of whose entries are zero except for the $(k-1, k)$ -component and the $(k+1, k)$ -component.

Then the following cannot happen at the same time;

- (1) and (α) because $j \neq k$.
- (1) and (β) because $j = 1$ and $k \geq 3$.
- (1) and (δ) because $k > 2$.
- (2) and (α) because $j \neq 1$.
- (2) and (β) because $j \neq k$.
- (3) and (τ) because $j \neq k$.
- (3) and (δ) because $k > 2$.
- (4) and (α) because $j > 2$.
- (4) and (τ) because $j > 2$.
- (4) and (δ) because $j \neq k$.

Then the following can happen at the same time;

- (1) and (τ) if $|k-j| = 1$.
- (2) and (τ) if $j = 3$ and so $|j-k| = 1$.
- (2) and (δ) if $|j-k| = 1$.

(3) and (α) if $|j - k| = 1$.

(3) and (β) if $k = 3$ and so $|j - k| = 1$.

(4) and (τ) if $|j - k| = 1$.

So we can get the result of the theorem.

Note that in all cases, $\varphi(E_{2i-1,2i})$ is a scalar multiple of E_{kj} or E_{jk} . From this theorem, we can get the following corollary.

COROLLARY 17. *Let $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ be a surjective isometry such that $\varphi(I) = I$. Then (1) $\varphi(E_{ii}) = E_{ii}$ for all i ; $i = 1, 2, 3, \dots$ and (2) $\varphi(\mathcal{L}_\infty) = \mathcal{L}_\infty$.*

Proof. Suppose that $\varphi(E_{11}) = E_{ii}$ for $i \neq 1$. Then $\varphi(E_{22}) = E_{i-1,i-1}$ or $\varphi(E_{22}) = E_{i+1,i+1}$ by Theorem 16. If $\varphi(E_{22}) = E_{i-1,i-1}$, then $\varphi(E_{33}) = E_{i-2,i-2}$, and by continuing we get $\varphi(E_{ii}) = E_{11}$. Let $\varphi(E_{i+1,i+1}) = E_{kk}$. Then since $k \geq i + 1$, $k - 1 \neq 1$, contradicting Theorem 16. If $\varphi(E_{22}) = E_{i+1,i+1}$, then by Theorem 16 $\varphi(E_{33}) = E_{i+2,i+2}, \dots, \varphi(E_{kk}) = E_{i+k-1,i+k-1}, \dots (*)$. But since φ is a surjective isometry, $\varphi(E_{jj}) = E_{11}$ for some j . But $\varphi(E_{jj}) = E_{i+j-1,i+j-1}$ by $(*)$. Then $i + j - 1 = 1$. So $j = 2 - i$, which is impossible because $i \geq 2$. Thus $\varphi(E_{11}) = E_{11}$ and hence $\varphi(E_{ii}) = E_{ii}$ for all i by Theorem 16. By (1) $\varphi(\mathcal{L}_\infty) = \mathcal{L}_\infty$.

LEMMA 18. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$. Let $\varphi(E_{11}) = E_{ii}$ and let $\varphi(E_{22}) = E_{kk}$. If $1 < i < 2n$, then $|i - k| = 1$.*

Proof. Since $E_{11}E_{12}E_{11}^\perp = E_{12}$ and $E_{22}^\perp E_{12}E_{22} = E_{12}$, $E_{ii}\varphi(E_{12})E_{ii}^\perp + E_{ii}^\perp\varphi(E_{12})E_{ii} = \varphi(E_{12})$ and $E_{kk}^\perp\varphi(E_{12})E_{kk} + E_{kk}\varphi(E_{12})E_{kk}^\perp = \varphi(E_{12})$.

(1) If i is an odd number, then $\varphi(E_{12})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(i, i - 1)$ -component and the $(i, i + 1)$ -component.

(2) If i is an even number, then $\varphi(E_{12})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(i - 1, i)$ -component and the $(i + 1, i)$ -component.

(α) If k is an odd number, the $\varphi(E_{12})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(k, k - 1)$ -component and the $(k, k + 1)$ -component.

(β) If k is an even number, then $\varphi(E_{12})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(k - 1, k)$ -component and the $(k + 1, k)$ -component.

Then the following combinations are impossible;

(1) and (α) because $i \neq k$.

(2) and (β) because $i \neq k$.

The following combinations are possible;

(1) and (β) if $|i - k| = 1$.

(2) and (α) if $|i - k| = 1$.

By an argument similar to Lemma 18, we can get the following lemma.

LEMMA 19. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$. Let $\varphi(E_{2i-1,2i-1}) = E_{jj}$ and let $\varphi(E_{2i,2i}) = E_{kk}$. If $1 < j < 2n$, then $|j - k| = 1$.*

From Lemma 18 and Lemma 19, we can get the following corollary.

COROLLARY 20. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ (or $\text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$) be a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{2i-1,2i-1}) = E_{jj}$ and $\varphi(E_{2i,2i}) = E_{kk}$. If $1 < j < 2n$, then $\varphi(E_{2i-1,2i-2})$ and $\varphi(E_{2i-1,2i})$ have the form*

$$\begin{bmatrix} \cdot & & & & \\ & \cdot & & & \\ & & 0 & * & \\ & & & 0 & \\ & & & & \cdot \\ & & & & & 0 \end{bmatrix} \quad \text{or} \quad \begin{bmatrix} \cdot & & & & \\ & \cdot & & & \\ & & 0 & & \\ & & * & 0 & \\ & & & & \cdot \\ & & & & & 0 \end{bmatrix}.$$

In particular, if $\varphi(E_{ii}) = E_{ii}$ for each i ($i = 1, 2, \dots, 2n$), then there exists a complex number α_{ij} such that $\varphi(E_{ij}) = \alpha_{ij}E_{ij}$ for each E_{ij} in $\text{Alg } \mathcal{L}_{2n}$ (or E_{ij} in $\text{Alg } \mathcal{L}_\infty$).

In the following, we will investigate $\varphi(\mathcal{L}_{2n})$ case by case.

LEMMA 21. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi(I) = I$ and if $\varphi(E_{11}) = E_{11}$, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$.*

Proof. Since $E_{11}E_{12}E_{11}^\perp = E_{12}$, $E_{11}\varphi(E_{12})E_{11}^\perp + E_{11}^\perp\varphi(E_{12})E_{11} = \varphi(E_{12})$. So $\varphi(E_{12})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(1, 2)$ -component and the $(1, 2n)$ -component. Set $\varphi(E_{22}) = E_{kk}$. Since $E_{22}^\perp E_{12} E_{22} = E_{12}$, $E_{kk}^\perp \varphi(E_{12}) E_{kk} + E_{kk} \varphi(E_{12}) E_{kk}^\perp = \varphi(E_{12})$. So the only possibility is $k = 2$ or $k = 2n$. Assume that $k = 2$. Then $\varphi(E_{ii}) = E_{ii}$ for all i by Lemma 19; $i = 1, 2, \dots, 2n$. In this case, $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. Assume that $k = 2n$. Since $E_{22}^\perp E_{32} E_{22} = E_{32}$ and $E_{33} E_{32} E_{33}^\perp = E_{32}$, $E_{2n,2n}^\perp \varphi(E_{32}) E_{2n,2n} + E_{2n,2n} \varphi(E_{32}) E_{2n,2n}^\perp = \varphi(E_{32})$

and $E_{jj}^\perp \varphi(E_{32})E_{jj} + E_{jj} \varphi(E_{32})E_{jj}^\perp = \varphi(E_{32})$, where $E_{jj} = \varphi(E_{33})$. We know that $j \neq 1$ and $j \neq 2n$. By the first equation, $\varphi(E_{32})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(1, 2n)$ -component and the $(2n - 1, 2n)$ -component. If j is an odd number, then $\varphi(E_{32})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(j, j - 1)$ -component and the $(j, j + 1)$ -component. If j is an even number, then $\varphi(E_{32})$ is a $2n \times 2n$ matrix whose entries are zero except for the $(j - 1, j)$ -component and the $(j + 1, j)$ -component. So the only possibility is $j = 2n - 1$, that is, $\varphi(E_{33}) = E_{2n-1, 2n-1}$. By Lemma 19, $\varphi(E_{44}) = E_{2n-2, 2n-2}, \dots, \varphi(E_{2n, 2n}) = E_{22}$. In this case, if $\varphi(E_{kk}) = E_{jj}$, then k and j have the same parity and it is straightforward to see that $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$.

COROLLARY 22. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi(I) = I$ and $\varphi(E_{11}) = E_{2n, 2n}$, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.*

Proof. Let $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be the surjective isometry in Example 4. Then $\varphi_1 \circ \varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi_1 \circ \varphi(I) = I$ and $\varphi_1 \circ \varphi(E_{11}) = \varphi_1(E_{2n, 2n}) = E_{11}$. So $\varphi_1 \circ \varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$ by Lemma 21. Since $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$, $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.

LEMMA 23. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$ and $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. Then $\varphi(\mathcal{L}_{2n}^\perp) = \mathcal{L}_{2n}$.*

Proof. $\varphi(\mathcal{L}_{2n}^\perp) = \varphi(\mathcal{L}_{2n})^\perp = (\mathcal{L}_{2n}^\perp)^\perp = \mathcal{L}_{2n}$.

COROLLARY 24. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$. Let $\varphi(E_{11}) = E_{ii}$; $i \neq 1$ and $i \neq 2n$. If i is an odd number, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. If i is an even number, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.*

Proof. First, let $i = 2k - 1$, for some k . Let φ_1 be the surjective isometry in Example 2; that is, $\varphi_1(E_{11}) = E_{2k-1, 2k-1}$. Then $\varphi_1 \circ \varphi(E_{11}) = \varphi_1(E_{2k-1, 2k-1}) = E_{11}$. By Lemma 21, $\varphi_1 \circ \varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. So $\varphi(\mathcal{L}_{2n}) = \varphi_1^{-1}(\mathcal{L}_{2n})$. Since $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$, $\varphi(\mathcal{L}_{2n}) = \varphi_1^{-1}(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. Let $i = 2k$ for some k . Let us consider $V_{2n-2k+1}$ in Example 3 and let $\varphi_2: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry in Example 3. Then $\varphi_2 \circ \varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi_2 \circ \varphi(I) = I$ and $\varphi_2 \circ \varphi(E_{11}) = \varphi_2(E_{2k, 2k}) = E_{2n, 2n}$. By Corollary 22, $\varphi_2 \circ \varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. So $\varphi(\mathcal{L}_{2n}) = \varphi_2^{-1}(\mathcal{L}_{2n}^\perp)$. Since $\varphi_2(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$, $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.

If we summarize lemmas and corollaries, then we can get the following theorem.

THEOREM 25. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$. Let $\varphi(E_{11}) = E_{ii}$. If i is an odd number, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. If i is an even number, then $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$.*

Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$ and $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. If J is the bijective conjugation which is defined below, then for all x, y in \mathbf{C}^{2n} and all α in \mathbf{C}

- (1) $J(x + y) = Jx + Jy$,
- (2) $J(\alpha x) = \bar{\alpha} Jx$,
- (3) $(Jx, Jy) = (y, x)$,
- (4) $(Jx, y) = (Jy, x)$ and
- (5) $J^2 = I$.

Define

$$J(x_1, x_2, \dots, x_{2n})^t = (\bar{x}_{2n}, \bar{x}_{2n-1}, \dots, \bar{x}_1)^t$$

for every $(x_1, x_2, \dots, x_{2n})^t$ in \mathbf{C}^{2n} .

If A is in $\text{Alg } \mathcal{L}_{2n}$, then the map $A \rightarrow JA^*J$ is linear and “flips” A across the northeast-southwest diagonal (see Example 4).

Define $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi_1(A) = JA^*J$ for every A in $\text{Alg } \mathcal{L}_{2n}$. Then φ_1 is well-defined by the above statement, linear, φ_1 is a surjective isometry, and $\varphi_1(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. If $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$, then define $\tilde{\varphi} = \varphi_1 \circ \varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$. Then $\tilde{\varphi}(\mathcal{L}_{2n}) = \varphi_1 \circ \varphi(\mathcal{L}_{2n}) = \varphi_1(\mathcal{L}_{2n}^\perp) = \mathcal{L}_{2n}$ by Lemma 23.

Since $(JAJ)^* = JA^*J$, $\varphi_1^{-1} = \varphi_1$ and we can get the following theorem.

THEOREM 26. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(I) = I$ and $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}^\perp$. Then, there exist unitary operators U and V such that $\tilde{\varphi}(A) = UAV$ if and only if $\varphi(A) = JV^*A^*U^*J$ for every A in $\text{Alg } \mathcal{L}_{2n}$.*

Let $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ be a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{ii}) = E_{ii}$ for each i ; $i = 1, 2, \dots$ and $\varphi(\mathcal{L}_\infty) = \mathcal{L}_\infty$. Then by Corollary 20, there exists α_{ij} in \mathbf{C} such that $\varphi(E_{ij}) = \alpha_{ij}E_{ij}$ for all E_{ij} in $\text{Alg } \mathcal{L}_\infty$ ($|i - j| = 1$). Then we claim that there exists a diagonal unitary U such that $\varphi(E_{ij}) = UE_{ij}U^*$ for all E_{ij} in $\text{Alg } \mathcal{L}_\infty$ ($|i - j| = 1$). Let U be a diagonal matrix whose (j, j) -component is $e^{i\theta_j}$ for all j ($j = 1, 2, \dots$).

Then the equation $\varphi(E_{ij}) = UE_{ij}U^*$ holds for all E_{ij} in $\text{Alg } \mathcal{L}_\infty$ provided the following system can be solved

$$\begin{aligned} e^{i(\theta_1 - \theta_2)} &= \alpha_{12}. \\ e^{i(\theta_3 - \theta_2)} &= \alpha_{32}. \\ e^{i(\theta_3 - \theta_4)} &= \alpha_{34}. \\ &\vdots \end{aligned}$$

The equation can be solved recursively (θ_1 may be set equal to 0). From these facts, we can get the following theorem.

THEOREM 27. *If $\varphi: \text{Alg } \mathcal{L}_\infty \rightarrow \text{Alg } \mathcal{L}_\infty$ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{ii}) = E_{ii}$ for all i ($i = 1, 2, \dots$) and $\varphi(\mathcal{L}_\infty) = \mathcal{L}_\infty$, then there exists a diagonal unitary operator U whose (j, j) -component is $e^{i\theta_j}$ for all j ($j = 1, 2, \dots$) such that $\varphi(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_\infty$.*

For the rest we will consider a surjective isometry such that $\varphi(\mathcal{L}_{2n}) = \mathcal{L}_{2n}$. As a special case, we first consider $n = 1$.

THEOREM 28. *Let $\varphi: \text{Alg } \mathcal{L}_2 \rightarrow \text{Alg } \mathcal{L}_2$ be a surjective isometry such that $\varphi(I) = I$ and $\varphi(E_{ii}) = E_{ii}$; $i = 1, 2$. Then there exists a unitary operator U such that $\varphi(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_2$.*

Proof. Let

$$U = \begin{bmatrix} e^{i\theta_1} & 0 \\ 0 & e^{i\theta_2} \end{bmatrix}.$$

Let

$$A = \begin{bmatrix} a_{11} & a_{12} \\ 0 & a_{22} \end{bmatrix} \quad \text{and} \quad \varphi(A) = \begin{bmatrix} a_{11} & b_{12} \\ 0 & a_{22} \end{bmatrix}.$$

Then there exists a complex number α such that $a_{12} = \alpha b_{12}$. This α depends only on φ (by linearity), not on the matrix entries. Note that $|\alpha| = 1$ because φ is an isometry. If we fix $e^{i\theta_1}$ and if we determine $e^{i\theta_2}$ such that $e^{i\theta_1}e^{-i\theta_2} = \alpha$, then $\varphi(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_2$.

LEMMA 29. *Let U be a unitary operator. Then $\|I + U\| = 2$ if and only if 1 is in $\sigma(U)$.*

PROPOSITION 30. *Let A be an $n \times n$ matrix ($n \geq 2$) with 1 on the diagonal and just below it, 1 the $(1, n)$ -component and 0 elsewhere. Then $\|A\| = 2$.*

Proof. Let U be an $n \times n$ matrix with 1 just below the diagonal, 1 the $(1, n)$ -component and 0 elsewhere. Since $U(x_1, x_2, \dots, x_n)^t =$

$(x_n, x_1, \dots, x_{n-1})^t$ for every vector $(x_1, x_2, \dots, x_n)^t$ in \mathbf{C}^n , U is a unitary operator. Then $A = I + U$. Let X be a vector in \mathbf{C}^n all of whose entries are 1. Then since $UX = X$, 1 is in $\sigma(U)$. So $\|A\| = 2$ by Lemma 29.

PROPOSITION 31. *Let U be an $n \times n$ matrix with t_i the $(i+1, i)$ -component and t_n the $(1, n)$ -component ($i = 1, 2, \dots, n-1$). If 1 is in $\sigma(U)$ and $|t_i| = 1$ for every i ; $i = 1, 2, \dots, n$, then U is a unitary operator and $\prod_{i=1}^n t_i = 1$.*

Proof. Since $U(x_1, x_2, \dots, x_n)^t = (t_n x_n, t_1 x_1, t_2 x_2, \dots, t_{n-1} x_{n-1})^t$ for every vector $(x_1, x_2, \dots, x_n)^t$ in \mathbf{C}^n , U is a unitary operator. Since 1 is in $\sigma(U)$, there exists a non zero vector $(x_1, x_2, \dots, x_n)^t$ such that

$$\begin{aligned} U(x_1, x_2, \dots, x_n)^t &= (t_n x_n, t_1 x_1, t_2 x_2, \dots, t_{n-1} x_{n-1})^t \\ &= (x_1, x_2, \dots, x_n)^t. \end{aligned}$$

So $t_n x_n = x_1$, $t_1 x_1 = x_2$, $t_2 x_2 = x_3, \dots, t_{n-1} x_{n-1} = x_n$. If $x_i = 0$ for some i ($1 \leq i \leq n$), then $x_1 = x_2 = \dots = x_n = 0$. So $x_i \neq 0$ for every i ($i = 1, 2, \dots, n$). Then $(\prod_{i=1}^n t_i) \prod_{i=1}^n x_i = \prod_{i=1}^n x_i$. Hence, $\prod_{i=1}^n t_i = 1$.

PROPOSITION 32. *Let A be an $n \times n$ matrix with a_i the (i, i) -component ($i = 1, 2, \dots, n$), s_j the $(j+1, j)$ -component ($j = 1, 2, \dots, n-1$), s_n the $(1, n)$ -component and 0 elsewhere. If $|a_i| = |s_i| = 1$ ($i = 1, 2, \dots, n$) and $\|A\| = 2$, then $\prod_{i=1}^n a_i = \prod_{i=1}^n s_i$.*

Proof. Let U be an $n \times n$ diagonal matrix whose (i, i) -component is a_i^{-1} for all i ($i = 1, 2, \dots, n$). Then UA is the $n \times n$ matrix with 1 on the diagonal, $a_{i+1}^{-1} s_i$ the $(i+1, i)$ -component ($i = 1, 2, \dots, n-1$), $a_1^{-1} s_n$ the $(1, n)$ -component and 0 elsewhere. Let V be an $n \times n$ matrix with $a_{i+1}^{-1} s_i$ the $(i+1, 1)$ -component ($i = 1, 2, \dots, n-1$), $a_1^{-1} s_n$ the $(1, n)$ -component and 0 elsewhere. Then V is a unitary operator and $UA = I + V$. Since U is a unitary operator, $\|UA\| = \|A\| = \|I + V\| = 2$. By Lemma 29, 1 is in $\sigma(V)$. Since

$$|a_1^{-1} s_n| = |a_2^{-2} s_1| = |a_3^{-1} s_2| = \dots = |a_n^{-1} s_{n-1}| = 1,$$

by Proposition 31,

$$\left(\prod_{i=1}^n (a_{i+1})^{-1} s_i \right) a_1^{-1} s_n = \left(\prod_{i=1}^n a_i^{-1} \right) \left(\prod_{i=1}^n s_i \right) = 1.$$

Hence $\prod_{i=1}^n a_i = \prod_{i=1}^n s_i$.

LEMMA 33. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(E_{ii}) = E_{ii}$ for each i ; $i = 1, 2, \dots, 2n$ and $n \geq 2$. Let $\varphi(E_{ij}) = \alpha_{ij}E_{ij}$ for all E_{ij} in $\text{Alg } \mathcal{L}_{2n}$, where $|\alpha_{ij}| = 1$ for all i, j . Then*

$$\alpha_{12}\bar{\alpha}_{32}\alpha_{34}\bar{\alpha}_{54}\alpha_{56}\cdots\alpha_{2n-1,2n}\bar{\alpha}_{1,2n} = 1.$$

Proof. Let A be a $2n \times 2n$ matrix with 1 the $(2i-1, 2i)$ -component ($i = 1, 2, \dots, n$) and the $(2j+1, 2j)$ -component ($j = 1, 2, \dots, n-1$) and the $(1, 2n)$ -component, and 0 elsewhere. Then, by hypothesis, $\varphi(A) = (\alpha_{ij})$. Let B be the $n \times n$ matrix with 1 on the diagonal and just below it, 1 the $(1, n)$ -component and 0 elsewhere. Note that the $n \times n$ matrix B and the $2n \times 2n$ matrix A have the same norm. Let D be the $n \times n$ matrix with $\alpha_{2i-1, 2i}$ the (i, i) -component ($i = 1, 2, \dots, n$), $\alpha_{1, 2n}$ the $(1, n)$ -component, $\alpha_{2j+1, 2j}$ the $(j+1, j)$ -component ($j = 1, 2, \dots, n-1$) and 0 elsewhere. Then $\|D\| = \|\varphi(A)\|$. Since φ preserves norm, $\|A\| = \|\varphi(A)\|$. So $\|B\| = \|D\|$. By Proposition 30 $\|B\| = 2$ and hence $\|D\| = 2$. Since $|\alpha_{2i-1, 2i}| = |\alpha_{2i-1, 2i-2}| = 1$ for each i ; $i = 1, 2, \dots, n$.

$$\alpha_{12}\bar{\alpha}_{32}\alpha_{34}\bar{\alpha}_{54}\alpha_{56}\cdots\bar{\alpha}_{2n-1, 2n-2}\alpha_{2n-1, 2n}\bar{\alpha}_{1, 2n} = 1$$

by Proposition 32.

THEOREM 34. *Let $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ be a surjective isometry such that $\varphi(E_{ii}) = E_{ii}$ for each i ; $i = 1, 2, \dots, 2n$ and $n \geq 2$. Then there exists a unitary operator V such that $\varphi(A) = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.*

Proof. Let $A = (a_{ij})$ be in $\text{Alg } \mathcal{L}_{2n}$ and let $\varphi(E_{ij}) = \alpha_{ij}E_{ij}$ for all E_{ij} in $\text{Alg } \mathcal{L}_{2n}$, where $|\alpha_{ij}| = 1$ for all α_{ij} .

Let V be a $2n \times 2n$ diagonal matrix whose (j, j) -component is $e^{i\theta_j}$ for all j ($j = 1, 2, \dots, 2n$). Then VAV^* is the $2n \times 2n$ matrix with a_{rr} the (r, r) -component ($r = 1, 2, \dots, 2n$), $e^{i\theta_p}a_{p, p+1}e^{-i\theta_{p+1}}$ the $(p, p+1)$ -component ($p = 1, 3, \dots, 2n-1$), $e^{i\theta_q}a_{q, q-1}e^{-i\theta_{q-1}}$ the $(q, q-1)$ -component ($q = 3, 5, \dots, 2n-1$), $e^{i\theta_1}a_{1, 2n}e^{-i\theta_{2n}}$ the $(1, 2n)$ -component and 0 elsewhere.

So the theorem will be proved if we can determine $e^{i\theta_1}, e^{i\theta_2}, \dots, e^{i\theta_{2n}}$ satisfying the following relations;

$$\begin{aligned} e^{i\theta_1}e^{-i\theta_2} &= \alpha_{12}. \\ e^{i\theta_3}e^{-i\theta_2} &= \alpha_{32}. \\ e^{i\theta_3}e^{-i\theta_4} &= \alpha_{34}. \\ &\vdots \\ e^{i\theta_{2n-1}}e^{-i\theta_{2n}} &= \alpha_{2n-1, 2n}. \\ e^{i\theta_1}e^{-i\theta_{2n}} &= \alpha_{1, 2n}. \end{aligned}$$

Let $\alpha_{ij} = e^{i\theta}$ for all i, j such that E_{ij} is in $\text{Alg } \mathcal{L}_{2n}$. Then $\theta_{12}, \theta_{32}, \theta_{34}, \dots, \theta_{2n-1,2n}$ and $\theta_{1,2n}$ are known by $\alpha_{12}, \alpha_{32}, \alpha_{34}, \dots, \alpha_{2n-1,2n}$ and $\alpha_{1,2n}$ respectively. It will suffice to solve the linear system; $(*) \dots, \theta_1 - \theta_2 = \theta_{12}, \theta_3 - \theta_2 = \theta_{32}, \dots, \theta_{2n-1} - \theta_{2n} = \theta_{2n-1,2n}$ and $\theta_1 - \theta_{2n} = \theta_{1,2n}$.

Let A be the matrix of coefficients of $(*)$ and let A^1, A^2, \dots, A^{2n} be the column vectors of A . Let $B = (\theta_{12}, \theta_{32}, \theta_{34}, \dots, \theta_{2n-1,2n}, \theta_{1,2n})^t$. Then the system $(*)$ has solutions if and only if $\text{rank } A = \text{rank}(A^1, A^2, A^3, \dots, A^{2n}, B)$.

It is easy to check that the left hand side is $n - 1$. Thus, the rank of the right hand side must be $n - 1$ and the ranks will be equal if

$$\theta_{12} - \theta_{32} + \theta_{34} - \dots + \theta_{2n-1,2n} - \theta_{1,2n} = 0.$$

But the last equation is the same as $\alpha_{12}\bar{\alpha}_{32}\alpha_{34}\bar{\alpha}_{54}\dots\alpha_{2n-1,2n}\bar{\alpha}_{1,2n} = 1$, which we know to be true by Lemma 33. So $(*)$ has solutions. Hence $\varphi(A) = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.

THEOREM 35. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{11}) = E_{2i+1,2i+1}$, $\varphi(E_{22}) = E_{2i,2i}$, $\varphi(E_{33}) = E_{2i-1,2i-1}, \dots, \varphi(E_{2i-1,2i-1}) = E_{22}$, $\varphi(E_{2i,2i}) = E_{11}$, $\varphi(E_{2i+1,2i+1}) = E_{2n,2n}, \dots, \varphi(E_{2n,2n}) = E_{2i+2,2i+2}$. Then there exists a unitary operator W such that $\varphi(A) = WAW^*$ for all A in $\text{Alg } \mathcal{L}_{2n}$.*

Proof. Let $U_{2i+1} = D_{2i+1} \oplus D_{2n-2i-1}$.

Define $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi_1(A) = U_{2i+1}AU_{2i+1}^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$. where $U_{2i+1} = U_{2i+1}^*$. Then φ_1 is a surjective isometry because $U_{2i+1}AU_{2i+1}$ is in $\text{Alg } \mathcal{L}_{2n}$ for every A in $\text{Alg } \mathcal{L}_{2n}$. See Example 2. Define $\tilde{\varphi} = \varphi_1 \circ \varphi$. Then $\tilde{\varphi}(E_{ii}) = \varphi_1 \circ \varphi(E_{ii}) = E_{ii}$ for each i , $i = 1, 2, 3, \dots, 2n$. So there exists a unitary operator V such that $\tilde{\varphi}(A) = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$ by Theorem 34. Since $\tilde{\varphi}(A) = \varphi_1 \circ \varphi(A) = U_{2i+1}\varphi(A)U_{2i+1}^* = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$, $\varphi(A) = U_{2i+1}^*VAV^*U_{2i+1}$. Set $U_{2i+1}^*V = W$. Then $\varphi(A) = WAW^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.

THEOREM 36. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{11}) = E_{2i+1,2i+1}$,*

$$\begin{aligned} \varphi(E_{22}) &= E_{2i+2,2i+2}, \dots, \varphi(E_{2n-2i,2n-2i}) \\ &= E_{2n,2n}, \varphi(E_{2n-2i+1,2n-2i+1}) \\ &= E_{11}, \dots, \varphi(E_{2n,2n}) = E_{2i,2i}, \end{aligned}$$

then there exists a unitary operator W such that $\varphi(A) = WAW^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.

Proof. Let

$$V_{2n-2i+1} = \begin{bmatrix} 0 & I_{2n-2i} \\ I_{2i} & 0 \end{bmatrix}.$$

Define $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi_1(A) = V_{2n-2i+1}AV_{2n-2i+1}^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$. Then since $V_{2n-2i+1}AV_{2n-2i+1}^*$ and $V_{2n-2i+1}^*AV_{2n-2i+1}$ are in $\text{Alg } \mathcal{L}_{2n}$ for every A in $\text{Alg } \mathcal{L}_{2n}$, φ_1 is a surjective isometry. See Example 3. Define $\tilde{\varphi} = \varphi_1 \circ \varphi$. Then $\tilde{\varphi}(E_{ii}) = E_{ii}$ for each i , $i = 1, 2, \dots, 2n$. So there exists a unitary operator U such that $\tilde{\varphi}(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$ by Theorem 34. Since $\tilde{\varphi}(A) = \varphi_1 \circ \varphi(A) = V_{2n-2i+1}\varphi(A)V_{2n-2i+1}^* = UAU^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$, $\varphi(A) = V_{2n-2i+1}^*UAU^*V_{2n-2i+1}$ for every A in $\text{Alg } \mathcal{L}_{2n}$. Set $V_{2n-2i+1}^*U = W$. Then $\varphi(A) = WAW^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.

THEOREM 37. *If $\varphi: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ is a surjective isometry such that $\varphi(I) = I$, $\varphi(E_{11}) = E_{11}$, $\varphi(E_{22}) = E_{2n,2n}$, $\varphi(E_{33}) = E_{2n-1,2n-1}, \dots$, $\varphi(E_{2i-1,2i-1}) = E_{2n-(2i-1-2),2n-(2i-1-2)}, \dots$, $\varphi(E_{2n,2n}) = E_{22}$, then there exists a unitary operator W such that $\varphi(A) = WAW^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.*

Proof. Let $U = D_1 \oplus D_{2n-1}$. Define $\varphi_1: \text{Alg } \mathcal{L}_{2n} \rightarrow \text{Alg } \mathcal{L}_{2n}$ by $\varphi_1(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$, where $U = U^*$. Then φ_1 is a surjective isometry because UAU is in $\text{Alg } \mathcal{L}_{2n}$ for every A in $\text{Alg } \mathcal{L}_{2n}$. Define $\tilde{\varphi} = \varphi_1 \circ \varphi$. Then $\tilde{\varphi}(E_{ii}) = \varphi_1 \circ \varphi(E_{ii}) = E_{ii}$ for each i , $i = 1, 2, \dots, 2n$. So there exists a unitary operator V such that $\tilde{\varphi}(A) = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$ by Theorem 34. Since $\tilde{\varphi}(A) = \varphi_1 \circ \varphi(A) = U\varphi(A)U^* = VAV^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$, $\varphi(A) = U^*VAV^*U$. Set $U^*V = W$. Then $\varphi(A) = WAW^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$.

The last three theorems exhaust all possible cases where $\varphi(E_{11}) = E_{kk}$ and k is an odd number. Then the last three theorems show that there exists a diagonal unitary operator U such that $\varphi(A) = UAU^*$ for every A in $\text{Alg } \mathcal{L}_{2n}$. If k is an even number, then Theorem 26 and the last three theorems show that there exists a unitary operator W and a conjugation J such that $\varphi(A) = JWA^*W^*J$ for each A in $\text{Alg } \mathcal{L}_{2n}$. If $\varphi(I) = U \neq I$, then the reduction following Lemma 8 shows that there exists a unitary U so that the isometry $\hat{\varphi}(A) = U^*\varphi(A)$ has one of the above two forms. Thus the main theorem has been proved.

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