

Pacific Journal of Mathematics

ON THE RESULTANT HYPERSURFACE

A. D. RAZA CHOUDARY

ON THE RESULTANT HYPERSURFACE

A. D. R. CHOUDARY

The *resultant* $R(f, g)$ of two polynomials f and g is an irreducible polynomial such that $R(f, g) = 0$ if and only if the equations $f = 0$ and $g = 0$ have one common root.

When $g = f'/p$, then $D(f) = R(f, g)$ is called the *discriminant* of f and the *discriminant hypersurface* $D_p = \{f \in \mathbb{C}^p, D(f) = 0\}$ can be identified to the discriminant of a versal deformation of the simple hypersurface singularity $A_{p-1}: x^p = 0$. In particular, the fundamental group $\pi = \pi_1(\mathbb{C}^p \setminus D_p)$ is the famous *braid group* and $\mathbb{C}^p \setminus D_p$ in fact a $K(\pi, 1)$ space.

Here we prove the following.

THEOREM. $\pi_1(\mathbb{C}^{p+q} \setminus R_{p,q}) = \mathbb{Z}$.

As $\mathbb{C}^p \setminus D_p$ can be regarded as a linear section of $\mathbb{C}^{p+q} \setminus R_{p,q}$, this theorem shows that by a nongeneric linear section the fundamental group may change drastically, in contrast with the case of generic section.

Let $f = x^p + a_1x^{p-1} + \dots + a_p$ and $g = x^q + b_1x^{q-1} + \dots + b_q$ be two monic polynomials with complex coefficients of degree p and q respectively.

The *resultant* of them $R(f, g)$ is an irreducible polynomial in the coefficients a_i, b_j such that $R(f, g) = 0$ if and only if the equations $f = 0$ and $g = 0$ have at least one common root. Explicitly, the resultant is given by the next formula (see for instance [5], p. 136):

$$R(f, g) = R(a, b) = \left. \begin{array}{cccccccc} 1 & a_1 & \cdot & \cdot & \cdot & \cdot & a_p & \cdot & \cdot & \cdot & 0 & \cdot & \cdot & \cdot & 0 \\ & 1 & a_1 & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & \cdot & a_p & \cdot & \cdot & \cdot & 0 \\ & & & & & & & & & & 1 & \cdot & \cdot & \cdot & a_p \\ 1 & b_1 & & & & & & & & & & 0 & \cdot & \cdot & \cdot & 0 \\ & 1 & b_1 & & \cdot & \cdot & \cdot & & & & & b_q & \cdot & \cdot & \cdot & 0 \\ & & & & & & & & & & & & & & & 1 & \cdot & b_q \end{array} \right\} \begin{array}{l} q \text{ lines} \\ p \text{ lines} \end{array}$$

When $g = f'/p$, then $D(f) = (f, g)$ is called the *discriminant* of the polynomial f and the *discriminant hypersurface* $D_p = \{f \in \mathbb{C}^p, D(f) = 0\}$ has occurred several times in Singularity Theory, since it can be identified to the discriminant of a versal deformation of the simple hypersurface singularity $A_{p-1}: x^p = 0$, see for instance [1], [3], [9]. In

particular, the fundamental group $\pi = \pi_1(\mathbb{C}^p \setminus D_p)$ is the famous *braid group* [1] (with p strings) and $\mathbb{C}^p \setminus D_p$ is in fact a $K(\pi, 1)$ space.

In this note we consider the analogous *resultant hypersurface*

$$R_{p,q} = \{(f, g) \in \mathbb{C}^{p+q}; R(f, g) = 0\}$$

and prove the following.

THEOREM. $\pi_1(\mathbb{C}^{p+q} \setminus R_{p,q}) = Z$.

Since $\mathbb{C}^p \setminus D_p$ can be regarded as a linear section of $\mathbb{C}^{p+q} \setminus R_{p,q}$, this theorem shows that by a nongeneric linear section the fundamental group may change drastically, in contrast with the case of generic section [4].

It is also interesting to note that the complements $F_{p,q} = \mathbb{C}^{p+q} \setminus R_{p,q}$ have already occurred in an important topological problem [7], going back to certain questions in Control Theory [2]. In short, consider the space of rational *real* functions of the form

$$\phi = \frac{x^n + \alpha_1 x^{n-1} + \dots + \alpha_n}{x^n + \beta_1 x^{n-1} + \dots + \beta_n}$$

with $\alpha_i, \beta_j \in \mathbb{R}$ and the numerator and the denominator having no common root. Then ϕ induces a continuous map $P^1(\mathbb{C}) = \mathbb{C} \cup \{\infty\} \rightarrow \mathbb{C} \cup \{\infty\} = P^1(\mathbb{C})$ of degree n and its restriction to the equator $R \cup \{\infty\} = S^1 \subset S^2 = P^1(\mathbb{C})$ gives a map $S^1 \rightarrow S^1$ having degree r such that $-n \leq r \leq n$ and $n - r \equiv 0 \pmod{2}$. Let E_{n-r} denote the space of these mappings with n and r fixed, with the obvious topology. Then Segal has shown in [7] that $E_{n,r}$ is homeomorphic to $F_{p,q}$ with $p+q = n$ and $p - q = r$. He has also proved our Theorem in the special case $p = q$, by a method completely different from ours.

We derive our Theorem from some basic properties of the resultant hypersurface (which are also interesting in themselves) combined with a deep result of Lê-Saito [6] on the connectivity of the Milnor fiber of non-isolated singularity.

LEMMA 1. $R \in \mathbb{C}[a, b]$ is a weighted homogeneous polynomial of degree pq with respect to the weights $\text{wt}(a_i) = \text{wt}(b_i) = i$.

Proof. Note that the polynomial $t \cdot f = x^p + ta_1 x^{p-1} + \dots + t^p a_p$ has as roots the elements tx_i , where x_i are the roots of f , for any $t \in \mathbb{C}^*$. Then, using [5], p.137, we get $R(t \cdot f, t \cdot g) = \prod_{i,j} (tx_i - ty_j) = t^{pq} \prod_{i,j} (x_i - y_j) = t^{pq} R(f, g)$, where y_j are the roots of g . \square

The key remark in the proof is that the resultant hypersurface has a *smooth normalization* ν which can be described explicitly as follows:

$$\nu = \mathbf{C} \times \mathbf{C}^{p-1} \times \mathbf{C}^{q-1} \rightarrow R_{p,q} \subset \mathbf{C}^{p+q}$$

$\nu(t, \alpha, \beta) = ((x-t)f_\alpha, (x-t)g_\beta)$, where $f_\alpha = x^{p-1} + \alpha_1 x^{p-2} + \dots + \alpha_{p-1}$, $g_\beta = x^{q-1} + \beta_1 x^{q-2} + \beta_2 x^{q-2} + \dots + \beta_{q-1}$. Then ν is clearly surjective onto $R_{p,q}$ and the cardinal of a fiber $\nu^{-1}(f, g)$ is equal to the number of common roots of the equations $f = 0, g = 0$, counted without taking their multiplicities into account. Hence ν is a finite morphism which is generically one-to-one so that ν is indeed a normalization for $R_{p,q}$.

We use ν to investigate the singularities of the hypersurface $R_{p,q}$. To do this, we first compute the differential of ν at a point (t_0, α_0, β_0) :

$$\begin{aligned} d\nu(t_0, \alpha_0, \beta_0)(t, \alpha, \beta) \\ = ((x - t_0)(f_\alpha - x^{p-1}) - t f_{\alpha_0}, (x - t_0)(g_\beta - x^{q-1}) - t g_{\beta_0}). \end{aligned}$$

Assume that t_0 is not a root for f_{α_0} and g_{β_0} simultaneously. Then it follows that $d\nu(t_0, \alpha_0, \beta_0)$ is an injective linear map and its image (which is a hyperplane in the vector space V of all the pairs (A, B) , with $A, B \in \mathbf{C}[x], \deg A \leq p-1, \deg B \leq q-1$) is given by the equation

$$f_{\alpha_0}(t_0)B(t_0) - g_{\beta_0}(t_0)A(t_0) = 0.$$

Let $d(f, g)$ be the greatest common divisor of the polynomials f and g . The above computation gives us the next

COROLLARY 2. *The point (f, g) is nonsingular on the hypersurface $R_{p,q}$ if and only if $\deg d(f, g) = 1$.*

Proof. Use the fact that a point $(f, g) \in R_{p,q}$ is nonsingular if and only if $\nu^{-1}(f, g)$ consists of one point, say y , and the corresponding germ $\nu: (\mathbf{C}^{p+q}, y) \rightarrow (R_{p,q}, (f, g))$ is an isomorphism. □

We have also the more general result.

PROPOSITION 3. *Assume that $d(f, g) = (x - t_1) \dots (x - t_s)$ is a product of s linear distinct factors. Then the germ $(R_{p,q}, (f, g))$ consists of s smooth hypersurface germs passing through (f, g) with normal crossings.*

Proof. In this case the fiber $\nu^{-1}(f, g)$ consists of s points, say y_k with $k = 1, \dots, s$. Moreover, the germs $\nu_i: (\mathbf{C}^{p+q-1}, y_i) \rightarrow (R_{p,q}, (f, g)) \subset (\mathbf{C}^{p+q}, (f, g))$ induced by ν are all imbeddings and $H_i = \text{im}(\nu_i)$ are pre-

cisely the (smooth) irreducible components of the germ $(R_{p,q}, (f, g))$. The corresponding tangent spaces are $T_k = T_{(f,g)}H_k: \bar{f}(t_k)B(t_k) - \bar{g}(t_k)A(t_k) = 0$ for $K - 1, \dots, s$ and $\bar{f} = f/d(f, g), \bar{g} = g/d(f, g)$. The condition of normal crossing in this case means that $\text{codim}(\bigcap_{k=1,s} T_k) = s$.

But this intersection corresponds to the kernel of the following linear map. $T: V \simeq \mathbf{C}^{p+q} \rightarrow \mathbf{C}[x]/(d(f, g)) \simeq \mathbf{C}^s$ such that the k th component of $T(A, B)$ is just the evaluation on t_k of $(\bar{f} \cdot B - \bar{g} \cdot A)$, for $k = 1, \dots, s$. It is easy to check that T is a surjective map and hence $\text{codim}(\bigcap_{k=1,s} T_k) = \text{codim}(\ker T) = s$.

COROLLARY 4. *The hypersurface $R_{p,q}$ has only normal crossings singularities in codimension 1 and hence $\pi_1(\mathbf{C}^{p+q} \setminus R_{p,q}) = \mathbf{Z}$.*

Proof. The singularities of $R_{p,q}$ which are not normal crossings (as described in Proposition 3) lie in the image of the map

$$\tau: \mathbf{C} \times \mathbf{C}^{p-2} \times \mathbf{C}^{q-2} \rightarrow R_{p,q},$$

$$\tau(t, \alpha, \beta) = ((x - t)^2 \tilde{f}_\alpha, (x - t)^2 \tilde{g}_\beta)$$

with $\tilde{f}_\alpha, \tilde{g}_\beta$ having a meaning similar to f_α, g_β . But $\dim(\text{im } \tau) \leq p + q - 3 = \dim R_{p,q} - 2$ which proves the first assertion above. Next consider the fibration $F \rightarrow \mathbf{C}^{p+q} \setminus R_{p,q} \rightarrow \mathbf{C}^*$ with $F = F^{-1}(1) = \{(f, g) \in \mathbf{C}^{p+q}; R(f, g) = 1\}$. Using the weighted homogeneity of R given by Lemma 1, we can identify this fibration with the Milnor fibration of the hypersurface singularity $(R_{p,q}, (x^p, y^q))$. It follows by [6] that $\prod_1(F) = 0$ and hence we get an isomorphism

$$R_\# = \prod_1(\mathbf{C}^{p+q} \setminus R_{p,q}) \rightarrow \prod_1(\mathbf{C}^*) = \mathbf{Z}.$$

This ends the proof of this corollary as well as giving a more precise version of our Theorem above.

REMARK 5. There is a natural \mathbf{C} -action on \mathbf{C}^{p+q} leaving the resultant hypersurface $R_{p,q}$ invariant. Namely we define the translation of an element (f, g) by the complex number λ to be the element (f^λ, g^λ) where

$$f^\lambda = \prod_{i=1,p} (x - x_i - \lambda), \quad g^\lambda = \prod_{j=1,q} (x - y_j - \lambda)$$

with x_i (resp. y_j) being the roots of f (resp. g). Since the hyperplane $a_1 = 0$ is clearly transversal to all the C -orbits, it follows that

$$R_{p,q} = \bar{R}_{p,q} \times \mathbf{C} \quad \text{with} \quad \bar{R}_{p,q} = R_{p,q} \cap \{a_1 = 0\}.$$

The first non-trivial case of a resultant hypersurface is for $p = q = 2$. Then $\bar{R}_{2,2}$ is just the Whitney umbrella $W: \bar{b}_2^2 - b_1^2 a_2 = s$, with $\bar{b}_2 = b_2 - a_2$, called also a D_∞ -surface singularity for a pinch point. It follows that $\mathbf{C}^4 \setminus R_{2,2} = (\mathbf{C}^3 \setminus W) \times \mathbf{C}$ and the homotopy groups of $\mathbf{C}^3 \setminus W$ can be derived from the Milnor fibration $F_\infty \rightarrow \mathbf{C}^3 \setminus W \rightarrow \mathbf{C}^*$ associated to the D_∞ -singularity [8]. It is known that F_∞ has the homotopy type of the 2-sphere S^2 and hence

$$\prod_k (\mathbf{C}^4 \setminus R_{2,2}) = \prod_k (S^2) \quad \text{for } k \geq 2.$$

In particular $\mathbf{C}^4 \setminus R_{2,2}$ is not a $K(Z, 1)$ space, since $\Pi_2(\mathbf{C}^4 \setminus R_{2,2}) = \mathbf{Z}$.

REFERENCES

- [1] E. Brieskorn *Sur les groupes de tresses* (d'après V. I. Arnold), Séminaire Bourbaki 1971/72, Exposées 400–417, p. 21–44, Lecture Notes in Mathematics 314, Springer 1973.
- [2] R. Brockett, *Some geometric questions in the theory of linear systems*, IEEE Trans. of Automatic Control, bf21 (1976), 449–455
- [3] A. Dimca and R. Rosianu, *The Samuel stratification of the discriminant is Whitney regular*, Geom. Dedicata, **17** (1984), 181–184.
- [4] H. Hamm and D.-T. Lê, *Un théorème de Zariski du type de Lefschetz*, Ann. Sci. Ec. Norm. Sup. **6** (1973), 317–366.
- [5] S. Lang, *Algebra*, Addison-Wesley (1965), Reading, Massachusetts.
- [6] D. T. Lê and K. Saito, *The local \prod_1 of the complement of a hypersurface with normal crossings in codimension 1 is abelian*, Ark. Mat., **22** (1984), 1–24.
- [7] G. Segal, *The topology of spaces of rational functions*, Acta Math., **143** (1979), 39–72.
- [8] D. Siersma, *Isolated line singularities*, Proc. Symp. Pure Math., **40** (1983) part 2, p. 485–496, Amer. Math. Soc., 1983.
- [9] B. Teissier, *The hunting of invariants in the geometry of discriminants*, in *Real and complex Singularities* (Oslo, 1976), Sythoff and Noordhoff (1977), 566–677.

Received April 29, 1988.

CENTRAL WASHINGTON UNIVERSITY
ELLENSBURG, WA 98926

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

V. S. VARADARAJAN
(Managing Editor)
University of California
Los Angeles, CA 90024-1555-05

HERBERT CLEMENS
University of Utah
Salt Lake City, UT 84112

THOMAS ENRIGHT
University of California, San Diego
La Jolla, CA 92093

R. FINN
Stanford University
Stanford, CA 94305

HERMANN FLASCHKA
University of Arizona
Tucson, AZ 85721

VAUGHAN F. R. JONES
University of California
Berkeley, CA 94720

STEVEN KERCKHOFF
Stanford University
Stanford, CA 94305

ROBION KIRBY
University of California
Berkeley, CA 94720

C. C. MOORE
University of California
Berkeley, CA 94720

HAROLD STARK
University of California, San Diego
La Jolla, CA 92093

ASSOCIATE EDITORS

R. ARENS

E. F. BECKENBACH
(1906–1982)

B. H. NEUMANN

F. WOLF
(1904–1989)

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF ARIZONA
UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA, RENO
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY

UNIVERSITY OF OREGON
UNIVERSITY OF SOUTHERN CALIFORNIA
STANFORD UNIVERSITY
UNIVERSITY OF HAWAII
UNIVERSITY OF TOKYO
UNIVERSITY OF UTAH
WASHINGTON STATE UNIVERSITY
UNIVERSITY OF WASHINGTON

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be in typed form or offset-reproduced (not dittoed), double spaced with large margins. Please do not use built up fractions in the text of the manuscript. However, you may use them in the displayed equations. Underline Greek letters in red, German in green, and script in blue. The first paragraph must be capable of being used separately as a synopsis of the entire paper. In particular it should contain no bibliographic references. Please propose a heading for the odd numbered pages of less than 35 characters. Manuscripts, in triplicate, may be sent to any one of the editors. Please classify according to the scheme of Math. Reviews, Index to Vol. 39. Supply name and address of author to whom proofs should be sent. All other communications should be addressed to the managing editor, or Elaine Barth, University of California, Los Angeles, California 90024-1555-05.

There are page-charges associated with articles appearing in the *Pacific Journal of Mathematics*. These charges are expected to be paid by the author's University, Government Agency or Company. If the author or authors do not have access to such Institutional support these charges are waived. Single authors will receive 50 free reprints; joint authors will receive a total of 100 free reprints. Additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is issued monthly as of January 1966. Regular subscription rate: \$190.00 a year (6 Vols., 12 issues). Special rate: \$95.00 a year to individual members of supporting institutions.

Subscriptions, orders for numbers issued in the last three calendar years, and changes of address should be sent to Pacific Journal of Mathematics, P.O. Box 969, Carmel Valley, CA 93924, U.S.A. Old back numbers obtainable from Kraus Periodicals Co., Route 100, Millwood, NY 10546.

The Pacific Journal of Mathematics at P.O. Box 969, Carmel Valley, CA 93924 (ISSN 0030-8730) publishes 6 volumes per year. Second-class postage paid at Carmel Valley, California 93924, and additional mailing offices. Postmaster: send address changes to Pacific Journal of Mathematics, P.O. Box 969, Carmel Valley, CA 93924.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

Copyright © 1990 by Pacific Journal of Mathematics

Pacific Journal of Mathematics

Vol. 142, No. 2

February, 1990

Christopher J. Bishop , Bounded functions in the little Bloch space	209
Lutz Bungart , Piecewise smooth approximations to q -plurisubharmonic functions	227
Donald John Charles Bures and Hong Sheng Yin , Outer conjugacy of shifts on the hyperfinite II_1 -factor	245
A. D. Raza Choudary , On the resultant hypersurface	259
Luis A. Cordero and Robert Wolak , Examples of foliations with foliated geometric structures	265
Peter J. Holden , Extension theorems for functions of vanishing mean oscillation	277
Detlef Müller , A geometric bound for maximal functions associated to convex bodies	297
John R. Schulenberger , Time-harmonic solutions of some dissipative problems for Maxwell's equations in a three-dimensional half space	313
Mark Andrew Smith and Barry Turett , Normal structure in Bochner L^p -spaces	347
Jun-ichi Tanaka , Blaschke cocycles and generators	357
R. Z. Yeh , Hyperholomorphic functions and higher order partial differential equations in the plane	379