AN ALGEBRAICALLY DERIVED $q$-ANALOGUE OF A CHARACTER SUM ASSOCIATED WITH A CLASS OF SEMIREGULAR PERMUTATIONS

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The group algebra of the symmetric group can be used to determine the cycle structure of permutations which are obtained as products of designated conjugacy classes. Such matters arise, for example, in certain topological questions and in the embedding of graphs on orientable surfaces. We consider a set of permutations restricted by cycle structure, and use basic hypergeometric series to derive $q$-analogues associated with the generating functions for the numbers of such permutations. The expressions which are derived pose a number of combinatorial questions about their connexion with the Hecke algebra of the symmetric group.

1. Introduction and background to the problem. A permutation is said to be $p$-semiregular if all of its cycles have the same length $p$. In this paper we derive a $q$-analogue for the number $e(k, p)$ of $p$-semiregular permutations, with $k$ cycles, which are the product of a designated full-cycle and a fixed point free involution. Such permutations occur in several areas of combinatorial theory ([7], [8]).

The $q$-analogue involves a new summable almost poised terminating $3\phi_2$, discovered independently by Bressoud [4]. This (see (9)) is given in §2, together with other known basic hypergeometric summation theorems included for completeness. In §§3, 4 and 5 we consider the cases $p = 3, 4, 6$, respectively. In a sense to be explained in §6, these are the most interesting cases. Of the expressions we give for $q$-analogues, namely, $M_q$ in Theorem 3.1, $J_q$ in Theorem 4.1, $G_q$ in Theorem 4.2 and $L_q$ in Theorem 5.1, the one which specialises precisely when $q = 1$ to the correct expression (1) is given in Theorem 4.2.

For permutation problems, $q$-analogues of generating series often appear when a set of permutations is enumerated with respect to the inversion number or the major index (see [6]), marked by $q$. Since these are not class functions for the symmetric group, they cannot be used in conjunction with the group algebra of $S_n$ to derive our result. However, the group algebra of the symmetric group is abstractly
isomorphic to the Hecke algebra associated with $S_n$, and explicit (in-
equivalent) isomorphisms have been given by Wenzl [12] and Lusztig
[10]. The latter involves the Kazhdan-Lusztig polynomials. Our result
indicates that combinatorial information may be preserved by one or
the other of these explicit isomorphisms.

If $\theta$ is a partition of $N$ we write $\theta \vdash N$, and the corresponding
conjugacy class if $S_N$ is denoted by $\mathcal{C}_\theta$. This is the set of all per-
mutations in $S_N$ with $i_j$ $j$-cycles, $j = 1, \ldots$, where $\theta = [1^i_12^i_2\ldots]$. The size of $\mathcal{C}_\theta$ is denoted by $h^\theta$. The irreducible (ordinary) character
associated with $\mathcal{C}_\theta$ is denoted by $\chi^\theta$, its value at any element of $\mathcal{C}_\theta$ where $\alpha \vdash N$ is denoted by $\chi^\alpha$, and its degree is denoted by $f^\theta$.

To derive an expression for $e(k, p)$, we use the following combina-
torial facts which can be deduced from the group algebra $\mathbb{C}S_N$ over
$\mathbb{C}$. The proofs are given in [8] where use is made of the fact that
$K_\theta = \sum_{g \in \mathcal{C}_\theta} g \in \mathbb{C}S_n$ can be expressed as a linear combination of orthogonal idempotents in the centre of $\mathbb{C}S_n$ since the latter is semi-
simple [11]. Explicit use is also made of properties of $\chi^\theta$ at $g \in \mathcal{C}_{\lfloor kp \rfloor}$, $g \in \mathcal{C}_{\lfloor k^p \rfloor}$, and $g \in \mathcal{C}_{\lfloor 2 \frac{k}{p} \rfloor}$.

**Proposition 1.1.** Let

$$T(k, p) = \sum_{\theta \vdash kp} \frac{1}{f^\theta} \chi_{\lfloor kp \rfloor}^{\theta} \chi_{\lfloor k^p \rfloor}^{\theta} \chi_{\lfloor 2 \frac{k}{p} \rfloor}^{\theta}.$$ 

Then

$$e(k, p) = h^{\lfloor kp/2 \rfloor} h^{\lfloor k^p \rfloor} \frac{T(k, p)}{(kp)!}.$$ 

**Lemma 1.2.**

1. $T(k, p)$

$$= \sum_{i=0}^{kp-1} \frac{(-1)^i}{(kp-1)} \left[ y^i \frac{(1-y^p)^{\frac{k}{2}}}{1+y} \right] \left[ y^i \frac{(1-(y)^p)^{k}}{1+y} \right]$$

Explicit expressions for $e(k, p)$ can be deduced from (1). For
example

2. $T(2n-1, 4) = 4^{3n-2} \binom{2n}{n} \binom{8n-4}{4n-2}^{-1},$

3. $T(4n+2, 3) = 12(2^4 \cdot 3^3)^n \binom{4n+2}{n+1} \binom{12n+6}{6n+3}^{-1}.$
Our purpose now is to derive a $q$-analogue of the expression for $T(k, p)$. We shall see that Theorem 5.2 is an exact $q$-analogue of Lemma 2.2. For this, the basic hypergeometric summation theorems given in §2 are needed.

2. Basic hypergeometric formulas. To evaluate the sums considered in the later sections, we require some observations on the basic hypergeometric series $\Phi_2$ defined by

$$3\phi_2\left(a, b, c; q, t \middle| d, e \right) = \sum_{n=0}^{\infty} \frac{(a; q)_n (b; q)_n (c; q)_n t^n}{(q; q)_n (d; q)_n (e; q)_n},$$

where

$$\frac{(A; q)_n}{(A)_n} = (1 - A) (1 - Aq) \cdots (1 - Aq^{n-1}).$$

We also require the $q$-binomial theorem (Thm. 2.1, [3]);

$$\binom{N}{j}_r = \frac{(1 - q^{N-r}) (1 - q^{N-1-r}) \cdots (1 - q^{N-j+1-r})}{(1 - q^r) (1 - q^{j-1-r}) \cdots (1 - q^r)},$$

and we write just $\binom{n}{j}$ when $r = 1$.

Two $3\phi_2$ summations are needed. The first is the $q$-analogue of Dixon’s Theorem ([5], [9], [2, eq. (5.7), p. 216]). If $N$ is even and $\alpha$ is 2 or 4 then

$$3\phi_2\left(q^{-N}, b, c; q, q^{(\alpha-N)/2}/bc \right)_{q^{1-N}/b, q^{1-N}/c} = \frac{q^{N(\alpha-4)/4} (q^{1+N/2})^{N/2} (q^{N/2}bc)^{N/2}}{(bq^{N/2})^{N/2} (cq^{N/2})^{N/2}}.$$

The second result is due to Bressoud ([4]; eq. (1.4) for $\alpha = 1$, eq. (1.10) for $\alpha = 3$). If $N$ is odd and $\alpha$ is 1 or 3 then

$$3\phi_2\left(q^{1-N}, b, c; q, q^{(\alpha-N)/2}/bc \right)_{q^{1-N}/b, q^{1-N}/c} = \frac{(q^{(N+1)/2})_{(N-1)/2} (q^{(N+1)/2}bc)^{(N-1)/2}}{(bq^{(N+1)/2})_{(N-1)/2} (cq^{(N+1)/2})_{(N-1)/2}}.$$
3. The modulus 3 case. We begin by considering $\varepsilon(n, i)$ for integers $n \geq 1$ and $i \geq 0$ which satisfy the following conditions: for all $n \geq 1$:

\[(10) \quad \varepsilon(n, 3i + 2) = \varepsilon(n, 3(n - i - 1)) + 6 \left( \frac{n-i}{2} \right) - 6 \left( \frac{i+1}{2} \right)
= \varepsilon(n, 3(n - i - 1)) + 6 \left( \frac{n}{2} \right) - 6ni;
\]

for $n$ even and positive

\[(11) \quad \varepsilon(n, 3i + 1) = \varepsilon(n, 3(n - i - 1)) + 6 \left( \frac{n-i}{2} \right) - 6 \left( \frac{i+1}{2} \right)
= \varepsilon(n, 3(n - i - 1)) + 6 \left( \frac{n}{2} \right) - 6ni;
\]

for $n$ odd and positive with $\alpha = 1$ or 3

\[(12) \quad \varepsilon(n, 3i) = -6(n-1)i - 6i + 3i(3n-1)
- 3\left( \frac{3i}{2} \right) + \frac{3}{2}(\alpha-n)i - 3i
= \frac{3}{2}(\alpha+n)i - \left( \frac{3i}{2} \right) - 6i;
\]

and for $n$ odd and positive with $\beta = 2$ or 4

\[(13) \quad \varepsilon(n, 3i + 1) = -6(n-1)i + (3i+1)(3n-1)
- 6i - \left( \frac{3i+1}{2} \right) + \frac{3}{2}(\beta-n+1)i - 6i
= \frac{3}{2}(\beta+n-1)i + 3n-1 - \left( \frac{3i+1}{2} \right) - 6i.
\]

The first portion of each of (10)-(13) is designed to facilitate our proof of Theorem 3.1. We also note that (10) and (11) do not fully define $\varepsilon(n, i)$ when $n$ is even; indeed, when $n$ is even the $\varepsilon(n, i)$ can take any values which fulfill (10) and (11).

**Theorem 3.1.** Let $\alpha = 1$ or 3, $\beta = 2$ or 4 and

\[(14) \quad M_q(n) = \sum_{i=0}^{3n-1} \frac{(-1)^i}{[3n-1]_i} \left\{ y^i \frac{(y^3; q^3)_n}{1-y} \right\}^2 q^{\varepsilon(n, i)}
\]

where the $\varepsilon(n, i)$ satisfy (10)-(13). Then, for $n$ even

\[(15) \quad M_q(n) = 0,
\]
and for $n$ odd

\begin{equation}
M_q(n) = \left( 2 - \frac{(1 - q) q^{(3/4)(n-1)\beta + 2}}{1 - q^{(3n+1)/2}} \right) \times \frac{q^{(3/2)(n+1)}; q^3}{q^{(3n+1)/2}; q^3} \frac{(q^{(3/2)(n+1)}; q^3)_{(n-1)/2}}{(q^{(3n+5)/2}; q^3)_{(n-1)/2}}.
\end{equation}

Proof. Clearly

\begin{equation}
M_q(n) = \sum_{i=0}^{3n-1} \frac{(-1)^i q^{e(n,i)}}{\left[ \frac{3n-1}{3i} \right]} \left\{ [y^i](1 + y + y^2)(y^3 q^3; q^3)_{n-1} \right\}^2
= \sum_{i=0}^{n-1} \frac{(-1)^i q^{e(n,3i)}}{\left[ \frac{3n-1}{3i} \right]} \left( \left[ \begin{array}{c} n - 1 \\ i \end{array} \right] q^{3(t^*_i)} \right)^2
- \sum_{i=0}^{n-1} \frac{(-1)^i q^{e(n,3i+1)}}{\left[ \frac{3n-1}{3i+1} \right]} \left( \left[ \begin{array}{c} n - 1 \\ i \end{array} \right] q^{3(t^*_i)} \right)^2
+ \sum_{i=0}^{n-1} \frac{(-1)^i q^{e(n,3i+2)}}{\left[ \frac{3n-1}{3i+2} \right]} \left( \left[ \begin{array}{c} n - 1 \\ i \end{array} \right] q^{3(t^*_i)} \right)^2.
\end{equation}

By (10), we see that when $n$ is even the $(n - i - 1)$th term in the third sum cancels the $i$th term in the first sum, while the $i$th and $(n - i - 1)$st terms in the second sum cancel. Hence (15) is true.

On the other hand, when $n$ is odd the first sum is identical with the third. Furthermore, we may rewrite each sum in terms of rising $q$-factorials since

\begin{equation}
\left[ \begin{array}{c} A \\ B \end{array} \right]_r = \frac{(q^{-rA}; q^r)_B}{(q^r; q^r)_B} (-1)^B q^{rAB - r(r^*_2)}
\end{equation}

and

\begin{equation}
(A; q)_{3m+t} = (A)_t (Aq^t)_3 m
= (A)_t (Aq^t; q^3)_m (Aq^{t+1}; q^3)_m (Aq^{t+2}; q^3)_m.
\end{equation}
Therefore, when \( n \) is odd

\[
M_q(n) = 23 \phi_2 \left( \frac{q^{3(1-n)}}{q^{2-3n}}, \frac{q^2}{q^{1-3n}}, \frac{q^3}{q^{4-3n}} \right) + \frac{(1 - q)}{(1 - q^{1-3n})}
\]

by (9) and (8) respectively. 

4. The modulus 4 case. We begin by considering \( \lambda(n, i) \), which may be arbitrary for \( i \) odd and is given by

\[
\lambda(n, 4i) = -8i^2 + 2i + 2ni,
\]

\[
\lambda(n, 4i + 2) = -8i^2 - 2i + 2ni
\]

for \( i \) even.

**Theorem 4.1.** Let

\[
J_q(n) = \sum_{i=0}^{4n-2} \frac{(-1)^i q^{\lambda(n, i)}}{[4n-2]_i} \left\{ [y^i](y^2; q^2)_{2n-1} \right\}
\]

\[
\times \left\{ [y^i](y^4; q^4)_{n-1}(1 + y^2) \right\}.
\]

Then \( J_q(n) \) is zero if \( n \) is odd, and if \( n \) is even

\[
J_q(n) = \frac{2q \left( \frac{q^{2n}}{q^2} \right)_{n/2} \left( \frac{q^{2n+4}}{q^4} \right)_{(n-2)/2}}{\left( \frac{q^{2n+1}}{q^2} \right)_{n-1}}.
\]

**Proof.** The terms in \( J_q(n) \) with \( i \) odd must be zero since each term in braces is the \( i \)th coefficient of an even polynomial. Furthermore, the final term in brackets has a different form depending on whether
\[ i \equiv 0 \text{ or } 2 \pmod{4}. \] Therefore

\[ J_q(n) = \sum_{i=0}^{n-1} (-1)^i q^{i(n, 4i)+6i^2-4i} \frac{n-1}{i} \frac{2n-1}{2i} \frac{4n-2}{i} \]

Now let us replace \( i \) by \( n - i - 1 \) in the second sum. Thus

\[ J_q(n) = (1 - (-1)^{n-1}) \sum_{i=0}^{n-1} (-1)^i q^{2i(n-i-1)} \frac{n-1}{i} \frac{2n-1}{2i} \frac{4n-2}{i} \]

Thus \( J_q(n) = 0 \) if \( n \) is odd. If \( n \) is even,

\[ J_q(n) = 2 \phi_2 \left( q^{-4n+4}, q, q^3, q^4, q^{-2n} \right) \]

by (8), and this establishes (24).

While Theorem 4.1 relied only on the \( q \)-analogue of Dixon's summation, Theorem 4.2 requires the additional summation theorem given in (9).

Here we must define \( \psi(n, i) \) for \( n \geq 1, \ i \geq 0 \) by

\[ \psi(n, 4i) = 2i(\alpha + n - 4i - 3), \]
\[ \psi(n, 4i + 1) = 2i(-\beta + n - 4i) + (n - 1)(\beta - 2), \]
\[ \psi(n, 4i + 2) = 2i(\beta + n - 4i - 8) - (n - 1)(\beta - 4) - 2, \]
\[ \psi(n, 4i + 3) = 2i(-\alpha + n - 4i - 5) + 2\alpha(n - 1) - 2. \]

**Theorem 4.2.** Let \( \alpha \) be either 1 or 3, and \( \beta \) be either 2 or 4, and let

\[ G_q(n) = \sum_{i=0}^{4n-1} \frac{(-1)^i \psi(n, i)}{4n-1} \left\{ [y^i] \frac{(y^2; q^2)_{2n}}{1+y} \right\} \left\{ [y^i] \frac{(y^4; q^4)_n}{1+y} \right\}. \]
Then \(G_q(n) = 0\) if \(n\) is even, and if \(n\) is odd

\[
G_q(n) = 2q \left(1 - q^{2n}\right) \frac{(q^{2n+2}; q^4)_{(n-1)/2} (q^{2n+6}; q^4)_{(n-1)/2}}{(q^{2n+3}; q^4)_{(n-1)/2} (q^{2n+1}; q^4)_{(n+1)}}.
\]

**Proof.** Noting that

\[
[y^i] \frac{(y^2; q^2)_{2n}}{1 + y} = [y^i](1 - y)(y^2 q^2; q^2)_{2n-1}
\]

and

\[
[y^i] \frac{(y^4; q^4)_n}{1 + y} = [y^i](1 + y^2)(1 - y)(y^4 q^4; q^4)_{n-1},
\]

we see that we must split the sum for \(G_q(n)\) into four parts depending on the residue of \(i \pmod{4}\). Hence

\[
G_q(n) = \sum_{i=0}^{n-1} (-1)^i q^{2i(\alpha+n-i-1)} \frac{[n-1]_4 [2n-1]_2}{[4n-1]_4 [2i]_2} - \sum_{i=0}^{n-1} (-1)^i q^{2i(-\beta+n-i+2)+(\beta+2)(n-1)} \frac{[n-1]_4 [2n-1]_2}{[4n-2]_2 [4i+1]} - \sum_{i=0}^{n-1} (-1)^i q^{2i(\beta+n-i-4)-(n-1)(\beta-8)} \frac{[n-1]_4 [2n-1]_2}{[4i+2]_2} + \sum_{i=0}^{n-1} (-1)^i q^{2i(-\alpha+n-i-1)+2\alpha(k-1)} \frac{[n-1]_4 [2n-1]_2}{[4n-1]_2 [4i+3]_2}.
\]

If \(i\) is replaced by \(n - i - 1\) in the second and fourth sums, then

\[
G_q(n) = \delta_n \sum_{i=0}^{n-1} (-1)^i q^{2i(\alpha+n-i-1)} \frac{[n-1]_4 [2n-1]_2}{[4n-1]_4 [2i]_2} - \delta_n \sum_{i=0}^{n-1} (-1)^i q^{2i(\beta+n-i-4)-(n-1)(\beta-4)} \frac{[n-1]_4 [2n-1]_2}{[4n-1]_2 [4i+2]_2},
\]

where \(\delta_n = 1 + (-1)^{n-1}\). Therefore \(G_q(n)\) is clearly 0 if \(n\) is even.
If \( n \) is odd,

\[
G_q(n) = 23_\phi_2 \left( \frac{q^{-4n+4}, q, q^3; q^4, q^{2(\alpha-n-2)}}{q^{-4n+3}, q^{-4n+1}} \right) - 2 \frac{q^{(\beta-4)(1-n)} (1-q)}{(1-q^{4n-1})} \frac{q^{2n+2}; q^4 \phi_2 (q^{2n+6}; q^4 \phi_2 (q^{2n+6}; q^4)}{(q^{2n+3}; q^2 \phi_2 (q^{2n+6}; q^4))}
\]

\[
= 2 \frac{(q^{2n+2}; q^4)_{(n-1)/2} (q^{2n+6}; q^4)_{(n-1)/2}}{(q^{2n+3}; q^2)_{n-1}} 
\]

as desired. \( \Box \)

5. The modulus 6 case. We now consider \( \mu(n, i) \), which, for \( n, i \geq 0 \), satisfy the following requirements: if \( n \) is odd, then

\[
\mu(n, 6i) = \mu(n, 6(n-i)) + 15(n-i)^2 - 6(n-i) - 15i^2 + 6i
\]

\[
= \mu(n, 6(n-i)) + 15n^2 - 6n - 30ni.
\]

If \( n \) is even then, for \( \gamma = 2 \) or \( 4 \),

\[
\mu(n, 6i) = 3(\gamma + n)i - \binom{6i+1}{2}.
\]

Theorem 5.1. Let

\[
L_q(n) = \sum_{i=0}^{6n} \frac{(-1)^i q^{\mu(n, i)}}{6n \choose i} \left\{ [y^i](y^3; q^3)_{2n} \right\} \left\{ [y^i](y^2; q^2)_{3n} \right\}.
\]

Then \( L_q(n) \) is zero if \( n \) is odd, and, if \( n \) is even

\[
L_q(n) = \frac{q^{3n(y-4)}(q^{3n+6}; q^6)^2}{(q^{3n+1}; q^6)_{\frac{1}{2}n} (q^{3n+5}; q^6)_{\frac{1}{2}n}}.
\]
Proof. We begin by observing that the \(i\)th term in (37) must be zero unless \(i\) is a multiple of 6. Therefore

\[
L_q(n) = \sum_{i=0}^{n} \frac{\binom{n}{i}}{q^{6i}} \left\{ [y^6i](y^3; q^3)_{2n} \right\} \left\{ [y^6i](y^2; q^2)_{3n} \right\}
\]

\[
= \sum_{i=0}^{n} \frac{(-1)^i \binom{n}{i}}{q^{6i}} \left[ \frac{2n}{3i} \right]_2 q^{3\left(\frac{\binom{i}{2}}{2}\right)+2\left(\frac{3i}{2}\right)}
\]

\[
= \sum_{i=0}^{n} (-1)^i \binom{n}{i+15i-6i} \left[ \frac{2n}{3i} \right]_2 q^{3\left(\frac{\binom{i}{2}}{2}\right)+2\left(\frac{3i}{2}\right)}.
\]

If \(n\) is odd, then by (35), the \(i\)th terms and the \((n-i)\)th terms cancel. Therefore \(L_q(n) = 0\) if \(n\) is odd. If \(n\) is even, then, by (36) and (39)

\[
L_q(n) = \sum_{i=0}^{n} q^{6i(\gamma-n)/2-1} \left( \frac{q^{6n} q^3}{q^2 q^3 q^3} \frac{q^{6n} q^2}{q^2} \right)_i \left( \frac{q^{6n+2} q^6}{q^2 q^3 q^3} \frac{q^{6n+4} q^6}{q^2} \right)_i
\]

\[
= \sum_{i=0}^{n} q^{6i(\gamma-n)/2-1} \left( \frac{q^{6n} q^6}{q^2 q^6 q^6} \frac{q^{6n+2} q^6}{q^2 q^6 q^6} \right)_i \left( \frac{q^{6n+4} q^6}{q^2 q^6 q^6} \frac{q^{6n+6} q^6}{q^2 q^6 q^6} \right)_i
\]

\[
\times \left( \frac{q^4 q^6}{q^4 q^6 q^6} \frac{q^3 q^6}{q^4 q^6 q^6} \right)_i \left( \frac{q^4 q^6}{q^4 q^6 q^6} \frac{q^3 q^6}{q^4 q^6 q^6} \right)_i
\]

\[
\times \left( \frac{q^{6n} q^6}{q^{6n} q^6 q^6} \frac{q^{6n+2} q^6}{q^{6n} q^6 q^6} \right)_i \left( \frac{q^{6n+4} q^6}{q^{6n} q^6 q^6} \frac{q^{6n+6} q^6}{q^{6n} q^6 q^6} \right)_i
\]

\[
= \phi_2 \left( \frac{q^{6n} q^5 q^6 q^{3(\gamma-n)-6}}{q^{6n+5} q^{6n+1}} \right)
\]

\[= q^{(\gamma+6)\gamma} \frac{q^{3n+6} q^{6n+1}}{q^{3n+5} q^{6n+1}} \frac{q^{3n+6} q^{6n+1}}{q^{3n+5} q^{6n+1}}
\]

by (8). Hence Theorem 5.1 has been established. \(\square\)

6. Concluding remarks. The proofs of the theorems in §§3–5 rely on the reduction of certain \(q\)-binomial coefficient sums to \(3\phi_2\)'s using the methods outlined in §5 of [1], and, in particular, the application of Theorem 5.1. The summands which occur with index \(i\) in the \(q\)-analogue of Lemma 2.2 have the form

\[
\binom{rn-s}{ri-t} r^{jn-u} \binom{kn-w}{ki-v} j'.
\]

It is therefore clear that the simplest \(q\)-hypergeometric series are obtained with \(rr'=j j'=k\), to ensure the most extensive cancellation of factors after normalisation. However, factors of the form \((q^a; q^k)_i\) cannot be cancelled if \((a, k) = 1\), so there will be at least \(\phi(k)\) (where
\( \phi \) is Euler's totient function) entries in the numerator of the resulting \( m\phi_{m-1} \) corresponding to the reduced residue class modulo \( k \). Thus, the only ways the \( \phi_2 \) summations of §2 can be applied is with \( \phi(k) < 4 \), so \( k = 1, 2, 3, 4, 6 \). Examination of these shows that the truly nontrivial cases occur for \( \phi(k) = 2 \), in which case \( k = 3, 4, 6 \). These are indeed the instances of \( k \) we have considered. Other examples for \( k = 3, 4, 6 \) can be derived following our methods, and we have merely provided a sample.

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References


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