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**PSEUDO REGULAR ELEMENTS IN A NORMED RING**

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Let  $A$  be an algebra, and let  $f$  be a linear mapping of  $A$  into some normed linear space  $C$ . For  $a$  in  $A$  we will write  $af$  for the image of  $a$  under  $f$ . By  $abf$  we mean  $(ab)f$ . Suppose  $\|abf\| \leq M\|af\| \cdot \|bf\|$  for some real  $M$ , and all  $a, b$  in  $A$ . Then we will say that  $f$  is *pseudo regular* for  $A$ .

We study mainly the case when  $C = A$  and  $A$  is a commutative Banach algebra. We present some conditions which imply pseudo regularity, and some that prevent it. For example, if the non-zero elements of the spectrum of  $f$  are bounded away from zero, then  $f$  is pseudo regular. A result (5.3) in the other direction is that if  $\sum_{-\infty}^{\infty} |tf(t)|dt < \infty$  for a pseudo regular element  $f$  of  $L^1(\mathbb{Z})$ , then the spectrum is bounded away from 0. Concerning the algebra  $C^1[a, b]$ , any  $f$  which has no zero in common with its derivative is pseudo regular.

**2. The relation to regularity. Behavior on extension.** Let  $A$  be a normed commutative algebra and let  $f \in A$ . One says that  $f$  is *subregular* in  $A$  if there is another commutative normed algebra  $B$  which contains  $A$  isomorphically, which has a unit 1, and in which the element corresponding to  $f$  in  $B$  has an inverse.

(2.1) PROPOSITION. *If  $f$  is subregular in  $A$ , it is pseudo regular in  $A$ .*

*Proof.* Let  $f$  have the inverse  $g$  in an algebra  $B$  containing  $A$ . Let  $a$  and  $b$  belong to  $A$ . Then  $\|afbfg\| = \|afbfg\| \leq \|af\| \|bf\| \|g\|$ , so  $f$  is pseudo regular in  $A$ .

(2.2) PROPOSITION. *Pseudo regularity does not imply subregularity.*

Here an example will suffice. Take  $A$  to be the space  $C(S, \mathbb{C})$  of continuous functions on some compact Hausdorff space  $S$  with a non-trivial open-and-closed subset  $E$ . The characteristic function  $e$  of  $E$  satisfies  $e^2 = e$ , so it cannot have an inverse in any  $B$ . Thus  $e$  is not subregular. On the other hand, [A&G, Theorem 3.3, or (3.22) below] shows that  $e$  is pseudo regular.

The next theorem is needed for our further examples, and comes close to giving the essence of pseudo regularity for algebras resembling function algebras. It is a restatement of [A&G1, Th. 3.6].

(2.3) **THEOREM** [A&G1, Th. 3.6]. *Let  $A$  be a function algebra. Let  $f$  belong to  $A$  and let  $\Sigma$  be the set of values  $f$  has on the Shilov boundary  $\partial_A$  [G, 10]. If there is now a real  $M$  such that  $\|a^2\| \leq M\|af\|^2$  for all  $a$  in  $A$  then 0 is not a limit point of the rest of  $\Sigma$ . On the other hand, if 0 is not a limit point of the rest of  $\Sigma$ , then  $f$  is pseudo regular.*

(2.2) **THEOREM.** *Let  $f$  be an element of  $A$ , and let  $A$  be a subalgebra of a normed algebra  $B$ . If  $f$  is pseudo regular in  $B$ , it is pseudo regular in  $A$ ; but not the other way around.*

The first half is trivial, and for the second, an example will suffice. Let  $A$  be the disc algebra  $A(D)$  of those functions in  $B = C(D, \mathbb{C})$  which are holomorphic in the interior of the disc  $D$ . Consider the complex variable  $z$ . It has an inverse (namely its complex conjugate) in the superalgebra  $C(S^1, \mathbb{C})$  of the disc algebra  $A(D)$ . By (2.1), it is pseudo regular in  $A$ . But consider its spectrum as an element of  $B = C(D, \mathbb{C})$ . The Shilov boundary is the disc  $D$ , as is the set  $\Sigma$ , and 0 is a limit of the punctured disc. Hence, by (2.3),  $f$  is not pseudo regular in  $B$ .

**3. Strongly pseudo regular elements.** An element  $f$  of a normed algebra shall be called *strongly pseudo regular* if there exists a real number  $M$  such that for every pair of elements  $u, v$ , of  $A$ , and every positive integer  $n$ , there hold the inequalities

$$(3.1) \quad \|uvf^n\| \leq M^n \|uf^n\| \|vf^n\|.$$

The reason for introducing this concept is not merely its connection with pseudo regularity, but also because it can be neatly characterized.<sup>1</sup>

(3.2) **THEOREM.** *The statements (3.21), (3.22), (3.23) about an element of  $f$  of a semisimple<sup>2</sup> normed algebra  $A$  with unit are equivalent. The statements (3.24) and (3.25) are equivalent to each other.*

(3.21)  *$f$  is strongly pseudo regular,*

<sup>1</sup>Professor Johnson has shown that strong pseudo regularity is not equivalent to pseudo regularity. See [J] or (3.5) below.

<sup>2</sup>[L, 62]. We can do without the semi-simplicity by using the argument of Th. 3.4 below.

(3.22) *There is a superalgebra  $B$  of  $A$  which has an element  $m$  such that  $f = f^2m$ ;*

(3.23) *0 is not a limit point of the rest of  $\Sigma$ .*

(3.24) *There is an element  $m$  of  $A$  such that  $f = f^2m$ ,*

(3.25) *0 is not a limit point of the rest of the spectrum of  $f$ .*

*Proof.* Assume (3.21). Replace  $u$  in (3.1) by  $u^n$  and  $v$  by  $v^n$ . Take the  $n$ th root of both sides, and using [G, 5.2], obtain  $|u_A v_A f_A| \leq M |u_A f_A| |v_A f_A|$ . Here the heavy bars indicate the spectral norm and the suffix  $A$  denotes the Gel'fand transform. This says that  $F_A$  is pseudo regular as an element of the algebra of Gel'fand transforms. We refer to (2.3) and declare that (3.23) holds.

Next assume (3.23). Let  $B$  be the algebra of all continuous complex valued functions on the Shilov boundary  $S$ . Define  $m$  to be  $1/f$  where  $f$  is not 0, and 0 otherwise. Then  $f^2m = f$ , which shows (3.22); and (3.22) obviously implies (3.21).

Next, assume (3.25). Let  $\sigma$  be the spectrum of  $f$ . Let  $U_1$  be a neighborhood of the origin in the complex plane. Let  $U_2$  be a neighborhood of  $\sigma$  minus the origin. These  $U_i$  can and shall be chosen to be disjoint, precisely because of (3.31). Let  $\eta$  be a function which is 1 on the first set and 0 on the second. This function is holomorphic on a neighborhood of  $\sigma$ . We can use [G, 5.1 Theorem, 10] to obtain an element  $e$  of  $B$  which is 1 at the points of  $S$  where  $f$  is 0, and 0 where  $f$  is not 0. Clearly  $fe = 0$ . Moreover, the element  $f + e$  is never 0 on the space of maximal ideals. So there is an  $m$  in  $A$  such that  $m(f + e) = 1$ . Hence  $f = mf^2$ , which is (3.24).

A comparison of (3.2) and (2.3) shows that for an algebra  $C(S, \mathbb{C})$ , pseudo regularity implies strong pseudo regularity.

Another application, (3.3), of (3.2) shows the same for a convolution algebra. Let  $G$  be a compact abelian group, and let  $A$  be the algebra  $L^1(G)$  of integrable<sup>3</sup> complex valued functions on  $G$ , under convolution [L, 35D]. The space of maximal ideals is the character group  $\Gamma$ . Given an  $f$  in  $A$ , it has a Gel'fand transform  $f_A$  whose value at the point  $m$  in  $\Gamma$  is the Fourier coefficient [L, loc. cit.]

$$f_A(m) = \int_G f(\theta)m(\theta) d\theta.$$

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<sup>3</sup>with respect to normalized Haar measure.

(3.3) THEOREM. *An  $f$  in  $L^1(G)$  for which*

(3.31) *only a finite number of Fourier coefficients are non-zero*

*is strongly pseudo regular. Conversely, (3.31) holds if  $f$  is pseudo regular.*

*Proof.* Assume (3.31). Let  $m$  belong to  $\Gamma$ . If the  $m$ th Fourier coefficient of  $f$  is  $c_m$  and is not 0, let the  $m$ th Fourier coefficient of  $g$  be the reciprocal of  $c_m$ . Otherwise let it be also zero. This clearly defines a linear combination  $g$  of characters and thus an element of  $L^1(G)$ . It is easy to see that the Fourier transform of  $f * f * g - f$  is 0 and hence that  $f * f * g - f$  is 0. By (3.22),  $f$  is strongly pseudo regular.

Now suppose  $f$  is pseudo regular. Then there is a real  $M$  such that  $\|u * u * f\| \leq M \|u * f\|^2$ . Let  $u$  be one of the characters  $m$  [L, 38C]. Then  $u * u = u$  and  $\|u * f\| = |c_m|$ . Call this positive number  $C$ . So  $C(1 - CM) \leq 0$ . Thus if  $C$  is not 0 then it is not less than  $1/M$ , so of course there can be only finitely many  $C$  not 0, because Fourier transforms of  $L^1$  functions vanish at infinity [L, 154-5].

We will go beyond (3.2) in two ways. In the first way, we consider algebras which are not semisimple. In the second, we enlarge  $f$  to be a finite set of elements.

For the remainder of this section, let  $A$  be a commutative Banach algebra with unit. For an element  $a$  of  $A$  there is the Gel'fand transform  $a_A$ , a function defined on the space of maximal ideals of  $A$ . It may happen that  $a_A$  vanishes identically. Then  $a$  is a *radical* element.

(3.4) THEOREM. *Let  $A$  be as above and let  $f$  be an element of  $A$ . Suppose that (as in (3.23))*

(3.41) *0 is not a limit point of the spectrum  $\sigma$  of  $f$ .*

*Then  $f$  differs from a strongly pseudo regular element  $g$  in  $A$  by at most a radical element  $r$ .*

*Proof.* Construct the open set  $U_i$  and the function  $\eta$  as above in the proof of (3.2).

(3.42) Let  $\gamma$  be the function which is 0 on  $U_1$  and  $z$  on  $U_2$ .

Then  $\gamma + \eta$  is never 0 on the union  $U$  of  $U_1$  and  $U_2$ . Obviously there is a function  $\mu$  holomorphic on  $U$  such that  $\mu(\gamma + \eta) = 1$ . Hence  $\gamma\mu(\gamma + \eta) = \gamma$  and indeed

$$(3.43) \quad \gamma\mu\gamma = \mu$$

because  $\gamma\eta = 0$ , as is easily verified.

We now apply the analytic-functional calculus as established in [A1, see 5.1, p. 427; G, ch. 3] The four functions  $z$ ,  $\gamma$ ,  $\eta$ , and  $\mu$  give rise to four elements  $f$ ,  $g$ ,  $e$ , and  $m$  of  $A$  and they satisfy the relation  $\mu g = g$  because the relation (3.43) is preserved under the functional calculus. Comparing this with (3.22), we see that  $g$  is strongly pseudo regular.

We observe that  $z - \gamma$  is 0 on the spectrum  $\sigma$ . Therefore  $f_A - g_A$  is 0 on the space of maximal ideals whence  $f - g$  is a radical element.

Thus (3.4) is established.

Professor B. E. Johnson has kindly communicated to me the next theorem, and its consequence (3.6). See [J].

(3.5) THEOREM<sup>4</sup>. *Let  $A$  be the Banach algebra  $C^1[a, b]$ . Let  $f(t) = t$ . Then  $f$  is a pseudo regular element of  $A$ .*

*Proof.* If 0 does not lie in  $[a, b]$  then of course  $f$  is pseudo regular, but in any case the following argument will work.

Let  $J$  be the ideal of elements which vanish at 0. Let  $i$  belong to  $J$ . Define  $q(i)$  as the function whose value is  $i(t)/t$  for  $t \neq 0$ , and  $i'(0)$  otherwise. In this proof, let the supremum of the absolute value of any complex valued bounded function  $h$  be denoted by  $S(h)$ . The norm  $\|h\|$  of an element of  $A$  is  $S(h) + S(h')$ .

By the theorem of the mean

$$(3.51) \quad S(q(i)) \leq S(i') \leq \|i\|.$$

If  $j$  is another element of  $J$  we have  $S(q(i)j) \leq \|i\| \cdot \|j\|$ .

We turn to  $(q(i)j)'$ . Its value at  $t \neq 0$  is  $tq(i)'(t)[j(t)/t] + q(i)(t)j'(t)$ , and the obvious limit thereof for  $t = 0$ . Thus  $S((q(i)j)') \leq S(tq(i)'(t))S[j(t)/t] + S(q(i)(t))S(j'(t))$ . Now  $S[j(t)/t] \leq \|j\|$  by (3.51). So  $S((q(i)j)') \leq S(tq(i)'(t))\|j\| + \|i\| \cdot \|j\|$ . As to  $tq(i)'(t)$ , it is  $i'(t) + i(q)/t$ , so again by (3.51),  $S(tq(i)'(t)) \leq S(i') + S(i') \leq 2\|i\|$ . Thus  $S((q(i)j)') \leq 3\|i\| \cdot \|j\|$ . Therefore

$$(3.52) \quad \|q(i)j\| \leq 4\|i\| \cdot \|j\|.$$

<sup>4</sup>generalized in (3.9) below.

Take  $i(t)$  to be  $b(t)t$  where  $b$  is any element of  $A$ . So  $i = bf$ . It is easy to verify that  $q(i) = b$  itself, so  $\|bj\| \leq 4\|bf\| \cdot \|j\|$ . Now take  $j = af$ , and obtain the assertion that  $f$  is pseudo regular with  $M \leq 4$ .

(3.6) COROLLARY (B. E. Johnson). *Pseudo regularity does not imply strong pseudo regularity.*

Indeed, when 0 lies in the interval  $[a, b]$ , the  $f$  above is not strongly pseudo regular by (3.23).

To this counterexample we may add another, namely (3.8) below. First another theorem.

(3.7) THEOREM. *Assume the hypotheses of (3.5), and take  $[a, b] = [0, 1]$ . Then  $f^2$  is not pseudo regular.*

*Proof.* We use the  $S$ -notation of (3.5), but we use the norm  $N(h) = |h(0)| + S(h')$  in  $A$ . This is equivalent to  $\|\cdot\|$ . We will study  $f(t) = 1 - t$ , rather than  $t$ . This helps in the notation. Assume  $N(f^2g^2) \leq N(f^2g)^2$  with  $g(t) = t^n$ . We will estimate  $N(f^2g)$ , which is  $S((f^2g)')$ . Now

$$(3.71) \quad (f^2g)' = [t^n - t^{n-1}][(n+2)t - n].$$

The extremal points of this expression are the two zeros of  $(n+1)(n+2)t^2 - 2n(n+1)t + (n-1)n$ . We expand these roots in powers of  $z = 1/n$ . They are  $t_{1,2} = 1 + \zeta z + \dots$  where  $\zeta = -2 \pm \sqrt{2}$ . Inserting either of these into (3.71) gives an expression of the order of  $z$ , so

$$(3.72) \quad N(f^2g) \text{ is of the order of } z = 1/n.$$

This implies that  $N(f^2g^2)$  is of the order of  $1/2n$ . So we get  $K/n \leq M(L/n)^2$  for all sufficiently large  $n$ . This forces  $M$  to be infinite. In other words,  $f^2$  couldn't have been pseudo regular.

(3.8) COROLLARY. *The product of pseudo regular elements need not be pseudo regular.*

COROLLARY. *Let  $f$  belong to  $A$  and suppose  $f'(x)$  is never 0. Then  $f$  is pseudo regular.*

To prove this, just change the variable to  $t = f(x)$ , and use (3.5).

So now we know that if either  $f$  is never 0 in  $C^1[a, b]$ , or  $f'$  is never 0, then  $f$  is pseudo regular. In fact, we can generalize this and (3.5) in one theorem.<sup>5</sup>

(3.9) **THEOREM.** *Let  $f$  belong to  $C^1[a, b]$  and suppose  $f$  and  $f'$  have no common zero. Then  $f$  is pseudo regular.*

*Proof.* We will adapt Johnson's line of reasoning as presented in (3.5). Let  $J$  be the ideal elements of  $C^1[a, b]$  which vanish on the set  $Z = \{t_1, \dots, t_n\}$  of zeros of  $f$ . For an element  $i$  of  $J$  we define  $q(i)$  as  $i(t)/f(t)$  or as  $i'(t)/f'(t)$  according to whether  $t$  is not, or is, a zero of  $f$ .

For each  $k$  there is an open interval  $V_k$  containing  $t_k$  on which  $f'$  is bounded away from 0. Let  $V$  be the union of these  $V_k$ . Then  $|f'| > r$  in  $V$  for some positive  $r$ . Moreover,  $|f| > s$  for some positive  $s$ , outside of  $V$ . By multiplying  $f$  by some constant, we can make sure that 1 will serve as  $r$  and  $s$ .

Now suppose  $t$  is outside of  $V$ . Then  $|q(i)(t)| \leq |i(t)|/|f(t)| \leq S(i)$ .

Next suppose  $t$  is in  $V$ . If  $t$  is a zero of  $f$  we have  $|q(i)(t)| = |i'(t)|/|f'(t)| \leq S(i')$ . If  $t$  is not a zero of  $f$  then we can find a  $z$  which is a zero and such that the interval  $[z, t]$  lies in  $V$ , then

$$q(i)(t) = \frac{i(t) - i(z)}{f(t) - f(z)} = \frac{i'(v)}{f'(v)}$$

for some  $v$  in  $[z, t]$ . Hence

$$(3.91) \quad |q(i)(t)| \leq S(i') \quad \text{for all } t \text{ in } V.$$

We can therefore assert that

$$(3.92) \quad S(q(i)) \leq S(i) + S(i') = \|i\|, \quad \text{and} \quad S(q(i)j) \leq \|i\| \cdot \|j\|$$

just as in (3.51). We now examine the  $(q(i)j)'$ .  $q(i)j$  is  $ij/f$  off  $Z$ . Using Leibniz' rule yields  $(q(i)j)' = i'q(j) + j'q(i) - q(i)q(j)f'$  on the (dense) complement of  $Z$ . Hence  $S((q(i)j)') \leq S(i')S(q(j)) + S(j')S(q(i)) - S(q(i))S(q(j))S(f') \leq (2 + \|f'\|)\|i\| \cdot \|j\|$ , by a multiple use of (3.92). We must also consider the difference quotients where one or both points are on  $Z$ . The derivative there is easily found to be  $i'j'/f'$ , since  $j$  is 0 on  $Z$ . Hence

$$(3.94) \quad \|q(i)j\| = S(q(i)j) + S((q(i)j)') \leq (3 + \|f'\|)\|i\| \cdot \|j\|.$$

<sup>5</sup>Functions of several variables are discussed in §7 below.

Now take  $i = af$  and  $j = bf$ , and conclude that  $f$  is pseudo regular.

Statement (3.7) shows that when  $f$  has a repeated zero, pseudo regularity may indeed fail.

#### 4. Pseudo regular systems.

DEFINITION. Let  $F$  be a subset  $\{f_1, \dots, f_N\}$  of  $A$ . Let  $A$  be a subalgebra of a second Banach algebra in which there exist elements  $b_1, \dots, b_N$  such that  $f_1b_1 + \dots + f_Nb_N = 1$ . Then  $F$  is called *subregular*.

DEFINITION. Let  $F$  be a subset  $\{f_1, \dots, f_N\}$  of  $A$ . For each  $a$  in  $A$  define  $T_F(a)$  to be  $\|f_1a\| + \dots + \|f_Na\|$ . Then  $F$  is a *pseudo regular system* if there is a real constant  $M$  such that for any  $a, b$  in  $A$  one has  $T_F(ab) \leq MT_F(a)T_F(b)$ .

Pseudo regular system is the same sort of generalization of regular system [A] as pseudo regular element is of regular element.

Just for the record, we state without proof the obvious analogue of (2.1).

(4.1) PROPOSITION. *If  $F$  is subregular in  $A$ , it is pseudo regular in  $A$ .*

More substantial is the analogue of (3.2).

(4.2) THEOREM. *Let  $A$  be as above and let  $F$  be a finite set  $\{f_1, \dots, f_N\}$  of elements of  $A$ . Suppose that*

(4.21) *the origin  $\mathbf{0}$  is not a limit point of the joint spectrum  $\sigma$  of  $F$ .*

*Then  $F$  differs from a pseudo regular system  $G$  in  $A$  by an additive  $N$ -tuple  $(r_1, r_2, \dots, r_N)$  where the  $r_i$  are radical elements.*

*Proof.* Find disjoint open sets  $U_1$  and  $U_2$  in complex  $N$  space where  $U_1$  contains the origin  $\mathbf{0}$  and  $U_2$  contains the rest of  $\sigma$ . Define a function  $\eta$  to be 1 on  $U_1$  and 0 on  $U_2$ . Using the analytic functional calculus gives us an idempotent  $e$  such that  $e_A$  is 1 when all the  $f_{iA}$  are 0, and 1 otherwise. Now  $f_i = ef_i + (1 - e)f_i$ . Let  $g_i = f_i(1 - e)$ . Then  $f_i - g_i = ef_i$ . Now  $e_A$  is 0 when any of the  $f_{iA}$  are not 0 and  $f_{iA}$  is of course 0 when all the  $f_{jA}$  are 0. So  $f_i - g_i$  is a radical element.

I declare that the  $N$  tuple  $(e_A + (1 - e_A)f_{1A}, \dots, e_A + (1 - e_A)f_{NA})$  have no common 0. For when  $e_A$  is 1, then they are all 1, and when  $e_A$  is 0 they have the values of  $(f_{1A}, \dots, f_{NA})$ , which are not all 0 when  $e_A$  is 0.

So the  $g_i$  form a regular system, and there are elements  $m_i$  such that  $1 = m_1(e + g_1) + \dots + m_N(e + g_N)$ . Fix a value of  $j$  and obtain  $g_j = \sum_i m_i g_j g_i$  because  $g_i e = 0$  for all  $i$ . Select any pair  $a, b$  from  $A$  and you have  $g_j a b = \sum_i m_i g_j a g_i b$ . Hence  $\sum_j k \|g_j a b\| \leq \sum_{i,j} \|g_j a\| \cdot \|g_i b\| M$ , where  $M$  is the greatest of the norms of the  $m_i$ . Thus the  $g_i$  form a pseudo regular system.

**5. Conditions preventing pseudo regularity.** Let  $A$  be a subalgebra of a function algebra  $C(S, \mathbb{C})$  where  $S$  is some compact Hausdorff space, and suppose that  $A$  separates [G, pp. 15, 4] the points of  $S$  and contains the unit.

REMARK. Let  $f$  be an element of such an algebra  $A$ . Suppose  $f$  is not pseudo regular in  $A$ . Then  $f$  must vanish somewhere on the Shilov boundary  $\partial_A$ , or it is not pseudo regular.

(5.1) THEOREM. *Let  $f$  be a non-zero element of such an algebra, and suppose the Shilov boundary  $\partial_A$  is connected. Then  $f$  is pseudo regular if and only if it does not vanish on  $\partial_A$ .*

For if  $f$  does vanish on  $\partial_A$  then the part of  $\partial_A$  where  $f$  is not 0 must be an open set  $Z$ . If  $Z$  is empty then  $f$  is 0 (and thus pseudo regular in a trivial way) or  $\partial_A$  is not connected.

We now turn to a normed algebra with a norm other than the sup norm. Consider  $A = L^1(\mathbb{Z})$  as an algebra under convolution

(5.2) THEOREM. *Let  $f = \{c(n) : n \in \mathbb{Z}\}$  belong to  $L^1(\mathbb{Z})$  and suppose the Gel'fand transform series*

$$(5.21) \quad \sum_n c(n) e^{in\theta}$$

*never vanishes on the unit circle. Then  $f$  is pseudo regular.*

We make this well-known statement only to draw attention to the converse. If (5.21) is sometimes 0, must it be *not* pseudo regular? We can *almost* prove it.<sup>6</sup> Let  $\|\cdot\|$  denote the usual norm in  $A = L^1(\mathbb{Z})$ . The operations are linear combination and convolution. We write simply  $fg$  for the convolution of  $f$  and  $g$ .

<sup>6</sup> almost because we also assume (5.31).

(5.3) **THEOREM** [*Compare A&G2, 3.1*]. *Let  $f \in L^1(\mathbb{Z})$  and suppose*

$$(5.31) \quad \sum_{-\infty}^{\infty} |tf(t)| dt < \infty,$$

(5.32)  $\|u^2 f\| \leq M \|uf\|^2$  *for some real  $M$  and at least for all  $u$  of finite support for which  $u(t) = 0$  when  $t < 0$ .*

*Then  $f$  has an inverse in  $L^1(\mathbb{Z})$  or  $f = 0$ .*

We insert two lemmas. We omit the proof of the first.

(5.4) **LEMMA.** *Let  $s(p) = \sum_{-\infty}^p f(t) dt$ . Let  $N$  be a positive integer. Define  $u$  by setting  $u(t)$  be 1 when  $t$  lies in the interval  $[0, N]$ , and 0 otherwise. Then*

$$(5.41) \quad uf(t) = s(t) - s(t - N - 1).$$

(5.5) **LEMMA.** *Let  $J = \sum_{-\infty}^0 |tf(t)| dt$ . Let*

$$D = f^\wedge(0) = \sum_{-\infty}^{\infty} f(t) dt$$

*where  $f^\wedge$  is the Fourier transform of  $f$ . Let  $K = \sum_0^{\infty} |tf(t)| dt$ . Then*

$$(5.51) \quad \sum_{-\infty}^0 |s(t)| dt \leq J$$

*and*

$$(5.52) \quad \sum_0^{\infty} |s(t) - D| dt \leq K.$$

These are readily obtained by reversing the order of summation. To derive (5.52) one starts by observing that

$$(5.53) \quad s(p) + \sum_{p+1}^{\infty} f(t) = D.$$

Define  $T$  by

$$(5.54) \quad T = \sum_{-\infty}^{\infty} |tf(t)|.$$

Then  $J + K = T$ .

(5.55) Define  $h(t)$  to be 0 for  $t < 0$  and 1 for all other values.

**PROPOSITION.** *According to (5.41),  $uf = s - s^{N+1}$  where  $s^{N+1}$  is  $s$  shifted  $N + 1$  units to the right. As to its norm,*

$$(5.6) \quad \|uf\| \leq (N + 1)|D| + 2T.$$

*Proof.*  $s - s^{N+1} = s - Dh + Dh - Dh^{N+1} + Dh^{N+1} - s^{N+1}$ . Therefore  $\|s - s^{N+1}\| \leq \|s - Dh\| + \|Dh - Dh^{N+1}\| + \|Dh^{N+1} - s^{N+1}\|$ .

Now  $\|s - Dh\| = \|Dh^{N+1} - s^{N+1}\|$  which is not greater than  $J + K$  by (5.51) and (5.52). The term  $\|Dh - Dh^{N+1}\| = (N + 1)|D|$ . From this, (5.6) follows.

We resume the proof of (5.3) by deducing from this that the right side of the inequality in (5.32) is  $M[(N + 1)|D| + 2T]^2$ , and turn to the left side.

A real number  $\alpha$  represents a point of the space of maximal ideals of  $A$ . The value of the Gel'fand transform of  $f$  there is  $f^\wedge(\alpha)$ . This has to be numerically at most equal to the norm of  $u^2 f$ , and hence, assuming (5.32),

$$|u^\wedge(\alpha)^2| |f^\wedge(\alpha)| \leq M[(N + 1)|D| + 2T]^2.$$

Let us evaluate this for  $\alpha = 0$ , noting  $u^\wedge(0) = N + 1$ . So  $(N + 1)^2 |D| \leq M[(N + 1)|D| + 2T]^2$ . Since  $N$  is arbitrary, we have  $|D| \leq M|D|^2$ . Thus either  $f^\wedge(0) = 0$ , or  $1/M \leq |f^\wedge(0)|$ .

The property of pseudo regularity has the invariance property that for each character  $\alpha$ ,  $e^{-i\alpha t} f(t)$  is pseudo regular if  $f$  is. Thus we either have

$$(5.7) \quad f^\wedge(\alpha) = 0,$$

or

$$(5.8) \quad 1/M \leq |f^\wedge(\alpha)|.$$

If (5.7) holds for some  $\alpha$ , it must hold for all, because  $f^\wedge$  is continuous, and  $f$  must be 0. If (5.8) holds then  $f^\wedge$  does not vanish anywhere on the space of maximal ideals, and hence  $f$  has an inverse.

It almost goes without saying that the converse is true, too.

**6. The situation based on the action of  $L^1(\mathbb{Z})$  on  $L^2(\mathbb{Z})$ .** A  $u \in L^1(\mathbb{Z})$  works on an element  $f$  in  $L^2(\mathbb{Z})$  by sending it into  $u * f$  in  $L^2(\mathbb{Z})$ . So we are defining  $uf$  as  $u * f$  in this situation. Then  $f$  is pseudo regular if there is a real  $M$  such that  $\|(u * v) * f\| \leq M \|u * f\| \|v * f\|$  where the norm is that of  $L^2(\mathbb{Z})$ .

(6.1) **THEOREM.** *Let  $f \in L^1(\mathbb{Z})$  and suppose*

$$(6.11) \quad \sum_{-\infty}^{\infty} |tf(t)| dt < \infty,$$

(6.12)  $\|u^2 f\| \leq M \|uf\|^2$  for some real  $M$  and at least for all those  $u$  in  $L^2(\mathbb{Z})$  for which  $u(t) = 0$  when  $t < 0$ .

Then  $f = 0$ .

*Proof.* The norm  $\|\cdot\|$  shall now refer to  $L^2(\mathbb{Z})$ . Define  $D$ ,  $h$ ,  $u$  and  $s$  as in (5.3)–(5.55). We want an upper estimate for  $\|s - s^{N+1}\|$ .  $\|s - s^{N+1}\| \leq \|s - Dh\| + \|Dh - Dh^{N+1}\| + \|Dh^{N+1} - s^{N+1}\|$ . Now  $\|Dh^{N+1} - s^{N+1}\| = \|s - Dh\|$ , and our next step is to show that

$$(6.13) \quad \|s - Dh\| \quad \text{is finite.}$$

$$\begin{aligned} \|s - Dh\|^2 &= \sum_{p=-\infty}^{-1} |s(p)|^2 + \sum_{p=0}^{\infty} |s(p) - D|^2 \\ &= \sum_{p=-\infty}^{-1} \left| \sum_{t=-\infty}^p f(t) \right|^2 + \sum_{p=0}^{\infty} \left| \sum_{t=p+1}^{\infty} f(t) \right|^2 \\ &\leq \sum_{p=-\infty}^{-1} \left| \sum_{t=-\infty}^p f_t \right|^2 + \sum_{p=0}^{\infty} \left| \sum_{t=p+1}^{\infty} f_t \right|^2 \end{aligned}$$

where  $f_t$  is an abbreviation for  $|f(t)|$ . Let these two terms be called  $S_1$  and  $S_2$  respectively, for a moment. Take  $S_1$  and let the index  $p$  be called  $-q$ . Then

$$S_1 = \sum_{q=1}^{\infty} \left| \sum_{t=q}^{\infty} f_{-t} \right|^2$$

which we will call  $T^-$ . Concerning  $S_2$  we can surely say  $S_2 \leq \sum_{q=1}^{\infty} \left| \sum_{t=q}^{\infty} f_t \right|^2$  which sum we shall call  $T^+$ . We may rewrite  $T^+$  as  $\sum_{q=1}^{\infty} \sum_{t=q}^{\infty} \sum_{u=q}^{\infty} f_t f_u$ . This is twice the sum over all lattice points for which  $u \geq t \geq q \geq 0$ . Thus

$$T^+ = 2 \sum_{u \geq t \geq 0} \sum_{q=0}^t f_t f_u \sum_{u \geq t \geq 0} (t+1) f_t f_u \leq 2(T+U)U$$

where  $T$  is given in (5.54), and  $U$  is the  $L^1$  norm of  $f$ . The reason for the  $\leq$  is that in the summing for  $T^+$  only the values of  $f_i$  with nonnegative suffix are used, whereas in  $U$  all suffixes are involved.

It is easy to see that  $T^-$  also is not greater than  $2(T + U)U$ , and consequently (6.13) is true.

Concerning  $\|Dh - Dh^{N+1}\|$  it is easy to see that it is equal to  $|D|\sqrt{N+1}$ . This gives the dominant term on the right side of the inequality  $\|u^2 f\| \leq M\|u f\|^2$  in (6.12). Squaring both sides it implies  $\|u^2 f\| \leq (b + |D|\sqrt{N+1})^2$ . By Parseval,

$$\|u^2 f\|^2 = (1/2\pi) \int_{-\pi}^{\pi} |u^\wedge|^4 |f^\wedge|^2 d\theta.$$

It is not hard to compute that  $(1/2\pi) \int_{-\pi}^{\pi} |u^\wedge|^4 d\theta$  is a polynomial  $N_3$  of degree 3 in  $N$ , and that  $|u^\wedge|^4/N_3$  satisfy the conditions (i), (ii), (iii) of [Z, 3.201] associated with the concept of an approximate identity. Therefore  $(1/2\pi) \int_{-\pi}^{\pi} p(1/N_3)|u^\wedge|^4 |f^\wedge|^2 d\theta$  tends to  $|f^\wedge(0)|^2$  as  $N$  goes to  $\infty$ . But  $(b + |D|\sqrt{N+1})^2/N_3$  tends to 0, so  $f^\wedge(0) = 0$ .

Appealing again to the invariance which led to (5.7), we conclude that  $f = 0$ .

One might wonder what about (5.8). Apparently regular elements are not pseudo regular in this situation. If the identity element of  $L^1(\mathbb{Z})$  were pseudo regular, then  $L^2(\mathbb{Z})$  would be a Banach algebra.

**7.  $C^1$  algebras of functions of several variables.** The general idea is that if the differential  $df$  is not 0 anywhere on the set  $Z$  of zeros of  $f$ , then  $f$  should be pseudo regular. In order to prove any theorems, we have to augment this hypothesis with some technical details which cannot be overlooked. We will assume that  $M$  is a Riemannian manifold, or a closed interval in some  $\mathbb{R}^m$ , and consider the algebra of  $C^1$  functions on  $M$ . For any numerical valued function  $f$  on  $M$  we define  $S(f)$  to be the sup of the values  $|f(t)|$  for  $t$  in  $M$ . If  $f$  is  $C^1$ , we denote by  $f'$  the *gradient* of  $f$ . Let  $|f'|$  be the length of  $f'$  and abbreviate  $S(|f'|)$  by  $S(f')$ . We consider the algebra of those  $C^1$  functions  $f$  for which  $\|f\| = S(f) + S(f')$  is finite.

We will now define an  $f'$ ,  $u$  curve in  $M$ , where  $u > 0$ . It is a  $C^1$  curve with tangents  $T$  such that  $T \cdot f' > u|T| \cdot |f'|$ .

Let  $V^r$  be the set of points where  $|f| < r$ .

We now enumerate the precise conditions imposed on  $f$ .

(7.1) There are  $r, s > 0$  such that  $|f'| > s$  on  $V^r$ .

(7.2) There is a  $u > 0$  such that given any point  $t$  of  $V^r$ , there is an  $f'$ ,  $u$  curve lying in  $V^r$  and connecting  $t$  to  $Z$ .<sup>7</sup>

Let  $f$  satisfy these. It is enough to treat the case  $r = s = 1$ . We will abbreviate  $V^1$  to  $V$ . We will follow the line of reasoning of (3.9). Let  $J$  be the ideal of elements of  $C^1[M]$  which vanish on the set  $Z$  of zeros of  $F$ . Let  $i$  belong to  $J$ . We define  $q(i)$  as

$$(7.3) \quad i(t)/f(t)$$

or as

$$(7.31) \quad i'(t) \cdot f'(t)/f'(t) \cdot f'(t)$$

according to whether  $t$  is not, or is, a zero of  $f$ . (7.31) obviously defines a function continuous on  $Z$ . It is not hard to show that (7.3) approaches (7.31) as  $t$  approaches a point of  $Z$ . So  $q$  is continuous on  $M$ .

Now suppose  $t$  is outside of  $V$ . Then  $|q(i)(t)| \leq |i(t)|/|f(t)| \leq S(i)$ . If  $t$  is in  $Z$ , we can see from (7.31) that  $|q(i)(t)| \leq |i'(t)| \leq S(i') \leq \|i\|$  for such  $t$ .

Now suppose  $t$  is in  $V$ . Then we can find an  $f'$ ,  $u$  curve  $c$  leading from  $z$  in  $Z$  to  $t$ ,  $c$  lying in  $V$ . Now  $i(t) = \int i' \cdot T ds$ , where the integral is over  $c$ ,  $T$  is the unit tangent to  $c$ , and  $s$  is the arc length. By the theorem of the mean,  $i(t) = i' \cdot Ts$ , where now  $i' \cdot T$  is evaluated somewhere along  $c$ , so inside  $V$ . The same thing holds for  $f$ . Now  $|i' \cdot T| \leq S(i') \leq \|i\|$ , and  $|uS(f')| \leq |f' \cdot T|$ , so

$$(7.32) \quad |q(i)(t)| \leq \|i\|.$$

We can therefore assert that

$$(7.34) \quad S(q(i)) \leq S(i) + S(i') = \|i\|, \quad \text{and} \quad S(q(i)j) \leq \|i\| \cdot \|j\|.$$

We now examine the  $(q(i)j)'$ .  $q(i)j$  is  $ij/f$  off  $Z$ . Using Leibniz' rule yields  $(q(i)j)' = i'q(j) + j'q(i) - q(i)q(j)f'$  on the (dense) complement of  $Z$ . Hence  $S((q(i)j)') \leq S(i')(q(j)) + S(j')S(q(i)) - S(q(i))S(q(j))S(f') \leq (2 + \|f'\|)\|i\| \cdot \|j\|$ , by a multiple use of (7.34). We must also consider the difference quotients where one or both points are on  $Z$ , on which  $j$  is 0. The derivative there is

<sup>7</sup>Let  $M$  be the closed first quadrant in  $\mathbb{R}^2$  and let  $f = x^2 - y$ . Then for each  $u$ , (7.2) does not hold for  $t = (0, v)$  when  $v$  is sufficiently small. It fails because  $Z$  is tangent to the boundary of  $M$ .

$(i' \cdot f' / f' \cdot f')j'$ . Hence

$$(7.35) \quad \|q(i)j\| = S(q(i)j) + S((q(i)j)') \leq (3 + \|f'\|)\|i\| \cdot \|j\|.$$

Now take  $i = af$  and  $j = bf$ , and observe that  $f$  is pseudo regular.

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