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## COMMUTATIVITY OF SELFADJOINT OPERATORS

MITSURU UCHIYAMA

**Nonnegative bounded operators  $A$  and  $B$  on a Hilbert space  $\mathcal{H}$  commute if  $AB^n + B^nA \geq 0$  for  $n = 1, 3, \dots$ , or if  $e^{tA} \leq e^{tA+sB} \leq e^{tA+s\|B\|}$  for every  $s, t > 0$ .**

In this paper  $A$  and  $B$  represent (not necessarily bounded) self-adjoint operators with spectral families  $\{E_\lambda\}$  and  $\{F_\lambda\}$ , respectively, on a Hilbert space  $\mathcal{H}$ . We study some conditions which imply that  $A$  and  $B$  commute.

1. In general,  $AB + BA$  is not necessarily nonnegative for some nonnegative operators  $A$  and  $B$  (cf. [3]).

**THEOREM 1.** *Let  $A$  and  $B$  be nonnegative and bounded operators. Then  $AB = BA$  if and only if*

$$0 \leq AB^n + B^nA \quad \text{for } n = 1, 2, \dots$$

To prove this theorem, we need the following:

**LEMMA.** *If a projection  $P$  satisfies  $0 \leq AP + PA$ , then  $AP = PA$ .*

*Proof.* For arbitrary vectors  $x \in P\mathcal{H}$ ,  $y \in (1-P)\mathcal{H}$ , and arbitrary complex numbers  $s$  and  $t$ , we have

$$\begin{aligned} 0 &\leq ((AP + PA)(tx + sy), (tx + sy)) \\ &= 2|t|^2(Ax, x) + 2 \operatorname{Re} t\bar{s}(Ax, y), \end{aligned}$$

from which it follows that  $0 = (Ax, y)$ . Thus we get  $AP = PA$ .

*Proof of Theorem 1.* The “only if” part is clear, so we show the “if” part. We may assume that  $\|B\| \leq 1$ , which means  $0 \leq B \leq 1$ . Since  $0 \leq AB^n + B^nA$ , we get

$$(1) \quad 0 \leq A \exp(tB) + \exp(tB)A \quad \text{for every } t > 0,$$

from which it follows that

$$0 \leq \exp(-tB)A + A \exp(-tB).$$

Thus (1) is valid for  $-\infty < t < \infty$ . Since  $0 \leq A \exp(tB) \exp(sB) + \exp(sB) \exp(tB)A$  for  $-\infty < s, t < \infty$ , we have

$$0 \leq \exp(-sB)A \exp(tB) + \exp(tB)A \exp(-sB).$$

By the Laplace transform relation

$$(2) \int_0^\infty s^{n-1} \exp(-\lambda s) \exp(-sB) ds = (n-1)!(B+\lambda)^{-n} \quad \text{for } \lambda > 0,$$

we obtain

$$0 \leq (B+\lambda)^{-n}A \exp(tB) + \exp(tB)A(B+\lambda)^{-n} \quad \text{for } \lambda > 0,$$

which implies that

$$0 \leq A \exp(tB)(B+\lambda)^n + (B+\lambda)^n \exp(tB)A.$$

Since  $A$  and  $B$  are continuous, by letting  $\lambda \rightarrow 0$ , we get

$$\begin{aligned} 0 &\leq A \exp(tB)B^n + B^n \exp(tB)A \\ &= AB^n \exp(tB) + \exp(tB)B^n A \quad \text{for } -\infty < t < \infty. \end{aligned}$$

It is easy to show that

$$0 \leq \exp(-t(I-B))AB^n + B^n A \exp(-t(I-b)) \quad \text{for } t > 0,$$

from which, using (2) again, we obtain

$$0 \leq AB^n(1-B)^m + (1-B)^m B^n A \quad \text{for } m, n = 0, 1, 2, \dots$$

By Bernstein's theorem, each polynomial  $p(x)$  which is positive on the interval  $[0, 1]$  is a linear combination of polynomials of the form  $x^n(1-x)^m$  with real nonnegative coefficients. Thus we have

$$0 \leq Ap(B) + p(B)A.$$

For each continuous function  $f(x)$  which is  $> 0$  on  $[0, 1]$  we can select a sequence of polynomials as above which uniformly converges to  $f(x)$ . Therefore we have

$$0 \leq Af(B) + f(B)A.$$

It is easy to show that the latter inequality holds for any continuous function  $f(x)$  which is  $\geq 0$  on  $[0, 1]$ , and hence that  $0 \leq AF_\lambda + F_\lambda A$ , where  $\{F_\lambda\}$  is the spectral family corresponding to  $b$ . From the lemma we obtain  $AF_\lambda = F_\lambda A$  and hence  $AB = BA$ . This concludes the proof.

**COROLLARY 2.** *Let  $A$  and  $B$  be nonnegative bounded operators. Then  $AB = BA$  if  $A^2 \leq (A + tB)^2$  for every  $t > 0$  and  $n = 1, 2, \dots$ .*

*Proof.* From the assumption, it follows that

$$0 \leq (AB^n + B^nA) + tB^{2n} \quad \text{for } t > 0.$$

Letting  $t \rightarrow 0$ , we get  $0 \leq AB^n + B^nA$ .

**COROLLARY 3.** *Let  $0 \leq A$  and  $0 \leq B$ . Suppose  $B$  is bounded. Then  $BA \subset AB$  if for  $n = 1, 2, \dots$ ,*

$$(3) \quad B\mathcal{D}(A) \subset \mathcal{D}(A) \quad \text{and} \quad 0 \leq ((AB^n + B^nA)x, x) \\ \text{for every } x \in \mathcal{D}(A).$$

*Proof.* For  $t > 0$ ,  $(t + A)^{-1}$  is bounded and nonnegative. From (3) it follows that  $0 \leq (t + A)^{-1}B^n + B^n(t + A^{-1})$ , which implies  $(t + A)^{-1}B = B(t + A)^{-1}$  and hence  $BA \subset AB$ .

**COROLLARY 4.** *Let  $A$  be unbounded selfadjoint, and let  $B$  be selfadjoint and bounded from below. Then  $E_\lambda F_\mu = F_\mu E_\lambda$  for every  $\lambda, \mu$  if  $0 \leq \exp(A) \exp(-nB) + \exp(-nB) \exp(A)$  for  $n = 1, 2, \dots$ , where the inequality should be interpreted like (3).*

*Proof.* Clearly  $\exp(-B)$  is bounded and nonnegative. Since  $\exp(-nB) = \{\exp(-B)\}^n$  (cf. §128 of [9]), we have

$$\exp(-B) \exp(A) \subset \exp(A) \exp(-B).$$

Since the spectral family corresponding to  $\exp(A)$  is  $\{E_{\log t}\}_{0 < t < \infty}$ ,  $\exp(-B)$  and  $E_\lambda$  commute. Thus we get  $E_\lambda F_\mu = F_\mu E_\lambda$ .

For a  $C^*$ -algebra  $\mathcal{A}$ , Ogasawara [7] showed that  $\mathcal{A}$  is abelian if the condition  $0 \leq a \leq b, a, b \in \mathcal{A}$  implies  $a^2 \leq b^2$ . In other words,  $\mathcal{A}$  is abelian if  $0 \leq ab + ba$  for every  $0 \leq a, b \in \mathcal{A}$ . Clearly Theorem 1 and Corollary 2 are true for nonnegative  $a, b$  in  $\mathcal{A}$ . Consequently we can consider them to be extensions of Ogasawara's theorem.

2. Let us recall that if  $A$  and  $B$  are unbounded, then  $A \leq B$  means that  $\mathcal{D}(B^{1/2}) \subset \mathcal{D}(A^{1/2})$  and  $\|A^{1/2}x\| \leq \|B^{1/2}x\|$  for  $x \in \mathcal{D}(B^{1/2})$ . We have

$$(4) \quad 0 \leq A \leq B \Rightarrow 0 \leq B^{-1} \leq A^{-1}.$$

**PROPOSITION 5.** *Let  $A$  and  $B$  be bounded from below, and suppose  $A \geq -\zeta$ ,  $B \geq -\zeta$ . Then the following are equivalent:*

- (a)  $(A + \zeta)^n \leq (B + \zeta)^n$  for every  $n = 1, 2, \dots$
- (b)  $F_\lambda \leq E_\lambda$  for every  $\lambda$ .
- (c)  $\exp(tA) \leq \exp(tB)$  for every  $t > 0$ .
- (d)  $\exp(-tB) \leq \exp(-tA)$  for every  $t > 0$ .

*Proof.* Olson [8] (cf. [12]) showed that (a) and (b) are equivalent if  $A$  and  $B$  are bounded and  $\zeta = 0$ . We can easily apply his proof to this case. To show (a)  $\Rightarrow$  (d), we need the following (cf. Chap. 9 of [5]):

$$(5) \quad \exp(-tA) = \lim_{m \rightarrow \infty} (I + t/mA)^{-m}.$$

If  $m > t\zeta$ , then each term in the right side is positive and bounded. From (a) we get

$$(1 + t/mA)^{-m} \geq (1 + t/mB)^{-m} \quad \text{for } m > t\zeta.$$

By using (5) we have (d). We show (d)  $\Rightarrow$  (a). Since (d) is equivalent to

$$\exp(-t(B + \zeta)) \leq \exp(-t(A + \zeta)),$$

from (2) it follows that

$$(B + \zeta + \lambda)^{-n} \leq (A + \zeta + \lambda)^{-n} \quad \text{for } \lambda > 0, \quad n = 1, 2, \dots$$

Thus for  $x \in \mathcal{D}((A + \zeta)^{-n/2})$  we have

$$\|(B + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta)^{-n/2}x\|.$$

By using Fatou's lemma we obtain

$$\|(B + \zeta)^{-n/2}x\| \leq \lim_{\lambda \rightarrow 0} \|(B + \zeta + \lambda)^{-n/2}x\| \leq \|(A + \zeta)^{-n/2}x\|,$$

that is,  $(B + \zeta)^{-n} \leq (A + \zeta)^{-n}$ . Taking their inverses, we obtain (a).

Now we have only to show (c)  $\Leftrightarrow$  (d). But since

$$I = \exp(tA) \exp(-tA) \supset \exp(-tA) \exp(tA)$$

(cf. §128 of [9]),  $\exp(tA)$  is the inverse of  $\exp(-tA)$ ; by (4) we obtain it. This concludes the proof.

**THEOREM 6.** *Let  $A$  and  $B$  be unbounded selfadjoint operators with spectral families  $\{E_\lambda\}$  and  $\{F_\lambda\}$ , respectively. Then the following are equivalent:*

- (b)  $F_\lambda \leq E_\lambda$  for every  $\lambda$ .
- (c)  $\exp(tA) \leq \exp(tB)$  for every  $t > 0$ .
- (d)  $\exp(-tB) \leq \exp(-tA)$  for every  $t > 0$ .

*Proof.* (b) implies that for every  $\mu > 0$ ,  $F_{\log \mu} \leq E_{\log \mu}$ . Since these operators are the spectral families corresponding to  $\exp(B)$  and  $\exp(A)$ , respectively, by Proposition 5 we obtain

$$(6) \quad 0 \leq (\exp(A))^n \leq (\exp(B))^n \quad \text{for } n = 1, 2, \dots$$

To see that the above inequalities hold for all  $t > 0$ , we use Heinz's inequality [6]. Since  $\exp(tA) = (\exp(A))^t$ , we have (c). Conversely, (c) implies (6). By using Proposition 5 again, we arrive at (b). (c)  $\Leftrightarrow$  (d) is obvious. This concludes the proof.

**THEOREM 7.** *Let  $A$  be a (not necessarily bounded) selfadjoint operator. Let  $X$  be a bounded operator which is nonnegative. If there is a real number  $\alpha \geq \|X\|$  such that*

$$(7) \quad \exp(tA) \leq \exp(t(A + \varepsilon X)) \leq \exp(t(A + \varepsilon \alpha I)) \quad \text{for every } t, \varepsilon > 0,$$

*then  $XA \subset AX$ .*

*Proof.* Set  $B = A + \varepsilon X$ . Then  $B$  is selfadjoint and  $\mathcal{D}(B) = \mathcal{D}(A)$ . Now let us denote the spectral families corresponding  $A$  and  $B$  by  $E(\lambda)$  and  $F(\lambda)$ , respectively. From Theorem 6, it follows that

$$E(\lambda - \varepsilon \alpha) \leq F(\lambda) \leq E(\lambda) \quad \text{for } -\infty < \lambda < \infty.$$

The above inequalities are equivalent to

$$E(\lambda)\mathcal{H} \subset F(\lambda + \varepsilon \alpha)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H} \quad \text{for } -\infty < \lambda < \infty.$$

Since  $BE(\lambda)\mathcal{H} \subset BF(\lambda + \varepsilon \alpha)\mathcal{H} \subset F(\lambda + \varepsilon \alpha)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H}$ , we have  $XE(\lambda)\mathcal{H} \subset E(\lambda + \varepsilon \alpha)\mathcal{H}$ . Since  $E(\lambda)$  is continuous from the right, we obtain  $XE(\lambda)\mathcal{H} \subset E(\lambda)\mathcal{H}$  and hence  $XE(\lambda) = E(\lambda)X$ , which implies  $XA \subset AX$ . Thus the proof is complete.

**COROLLARY 8.** *Let  $A$  and  $X$  be nonnegative operators. Suppose  $X$  is bounded. If there is a real number  $\alpha \geq \|X\|$  such that*

$$(8) \quad A^n \leq (A + \varepsilon X)^n \leq (A + \varepsilon \alpha I)^n \quad \text{for every } \varepsilon > 0, n = 1, 2, \dots,$$

*then  $XA \subset AX$ .*

*Proof.* It is clear.

For finite matrices or compact operators, we can get better conditions than (7) or (8). From now on,  $A$  and  $B$  are nonnegative

finite matrices or compact operators which are represented as  $A = \sum \mu_i(A)e_i \otimes e_i$  and  $B = \sum \mu_i(B)d_i \otimes d_i$ , where  $\{\mu_i(\cdot)\}$  is a decreasing sequence of eigenvalues. It is easy to see that, in this case, the condition (b) in Proposition 5 is equivalent to

$$(b') \quad \mu_i(A) \leq \mu_i(B), \quad \text{and} \quad \text{if } \mu_i(A) > \mu_j(B), \text{ then } e_i \perp d_j.$$

**PROPOSITION 9.** *Let  $A$  be a nonnegative finite matrix. Set  $\delta(A) := \min\{|\lambda - \mu| : \lambda \neq \mu, \lambda, \mu \in \sigma_p(A)\}$ .*

(i) *If  $0 \leq X < \delta(A)$ , and  $(A + X)^n \geq A^n$  for  $n = 1, 2, \dots$ , then  $AX = XA$ .*

(ii) *If  $0 \leq X < \delta(A)$ , and  $A^n \geq (A - X)^n \geq 0$  for  $n = 1, 2, \dots$ , then  $AX = XA$ .*

*Proof.* (i) Set  $B = A + X$  and suppose  $\mu_1(A) = \dots = \mu_i(A) > \mu_{i+1}(A)$ . Then, by Ky Fan [4] (cf. [10]), we obtain

$$\mu_{i+1}(B) \leq \mu_{i+1}(A) + \mu_1(X) \leq \mu_{i+1}(A) + \delta(A) < \mu_i(A).$$

(b') implies  $\{e_1, \dots, e_i\} \perp \{d_{i+1}, d_{i+2}, \dots\}$  and hence the subspace  $\{e_1, \dots, e_i\} = \{d_1, \dots, d_i\}$  reduces  $A$  and  $B$ . Since the reduced operator of  $A$  is constant,  $A$  and  $B$  commute there. Repeating this procedure in the same way to the other restrictions of  $A$  and  $B$ , we can derive  $AB = BA$ , which means  $AX = XA$ .

(ii) To prove this in the same way as (i), we need only to start with the smallest eigenvalue of  $A$ . Thus the proof is complete.

**COROLLARY 10.** *Let  $A$  be a selfadjoint finite matrix which is not necessarily nonnegative.*

(i) *If  $0 \leq X < \delta(A)$ , and  $\exp(tA) \leq \exp(t(A+X))$  for every  $t > 0$ , then  $AX = XA$ .*

(ii) *If  $0 \leq X < \delta(A)$ , and  $\exp(t(A-X)) \leq \exp(tA)$  for every  $t > 0$ , then  $AX = XA$ .*

*Proof.* (i) Take a real number  $\zeta > 0$  so that  $A + \zeta I \geq 0$ . From  $\exp(t(A+\zeta I)) \leq \exp(t(A+\zeta I+X))$ , using Proposition 5.9.  $AX = XA$  follows.

(ii) Take  $\zeta > 0$  such that  $A + \zeta I - X \geq 0$ . Then we can derive  $AX = XA$ .

**PROPOSITION 11.** *Let  $A$  and  $X$  be nonnegative compact operators. If  $A^n \leq (A+sX)^n$  for every  $s > 0$  and  $n = 1, 2, \dots$ , then  $AX = XA$ .*

*Proof.* Suppose  $\mu_1(A) = \cdots = \mu_j(A) > \mu_{i+1}(A)$  as in the proof of Proposition 7. Let us take  $s$  which satisfies  $s\|X\| < \mu_i(A) - \mu_{i+1}(A)$ . Then the subspace  $\{e_1, \dots, e_i\}$  reduces  $A$  and  $A + sX$ , where they commute. We have only to repeat this procedure to get  $AXe_m = XAe_m$  for every  $m$ .

Let us end this paper by giving an example. Let  $A$  and  $B$  be nonnegative matrices. Set  $V = \{rA + sB + tI; r, s, t > 0\}$ . Then  $AB = BA$  if

$$(9) \quad \exp\left(\frac{1}{2}(X + Y)\right) \leq \frac{1}{2}(\exp(X) + \exp(Y)) \quad \text{for every } X, Y \in V,$$

In fact, take  $r > 0$  such that  $A \leq rI \leq B + rI$ . Then we have  $\exp(tA) \leq \exp(t(B + rI))$  for every  $t > 0$ . From this and (9) it follows that

$$\exp\left(t(B + rI)\left(\frac{1}{2} + \left(\frac{1}{2}\right)^2 + \cdots + \left(\frac{1}{2}\right)^n\right) + t\left(\frac{1}{2}\right)^n A\right) \leq \exp(t(B + rI)).$$

By Corollary 10(ii), we get  $AB = BA$ . This example shows that we cannot regard  $\exp\left(\frac{1}{2}(X + Y)\right)$  as the geometric mean of  $\exp X$  and  $\exp Y$  if they do not commute (cf. [1]).

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