

*Pacific  
Journal of  
Mathematics*

**TRACE IDEAL CRITERIA FOR TOEPLITZ AND HANKEL  
OPERATORS ON THE WEIGHTED BERGMAN SPACES WITH  
EXPONENTIAL TYPE WEIGHTS**

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# TRACE IDEAL CRITERIA FOR TOEPLITZ AND HANKEL OPERATORS ON THE WEIGHTED BERGMAN SPACES WITH EXPONENTIAL TYPE WEIGHTS

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Let  $\varphi : \mathbb{D} \rightarrow \mathbb{R}$  be a subharmonic function and let  $AL_\varphi^2(\mathbb{D})$  denote the closed subspace of  $L^2(\mathbb{D}, e^{-2\varphi}dA)$  consisting of analytic functions in the unit disk  $\mathbb{D}$ . For a certain class of subharmonic  $\varphi$ , the necessary and sufficient conditions are obtained for the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  and the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$  in order that they belong to the Schatten ideal  $S_p$ .

## 1. Introduction.

Let  $dA$  denote the area measure for the unit disk  $\mathbb{D}$  in the complex plane  $\mathbb{C}$ . Let  $L^2(\mathbb{D})$  denote  $L^2(\mathbb{D}, dA)$  and let  $L^\infty(\mathbb{D})$  denote  $L^\infty(\mathbb{D}, dA)$ . Let  $\varphi : \mathbb{D} \rightarrow \mathbb{R}$  be a subharmonic function. Let  $L_\varphi^\infty(\mathbb{D})$  be the space of all measurable functions  $f$  on  $\mathbb{D}$  such that  $e^{-\varphi}f \in L^\infty(\mathbb{D})$  and let  $H_\varphi^\infty(\mathbb{D})$  denote the subspace of  $L_\varphi^\infty(\mathbb{D})$  consisting of analytic functions. Let  $L_\varphi^2(\mathbb{D})$  be the Hilbert space of all measurable functions  $f$  on  $\mathbb{D}$  such that  $\|f\|_{L_\varphi^2} = (\int_{\mathbb{D}} |f|^2 e^{-2\varphi} dA)^{1/2} < \infty$ . The inner product of  $L_\varphi^2(\mathbb{D})$  is given by  $\langle f, g \rangle_{L_\varphi^2} = \int_{\mathbb{D}} f\bar{g}e^{-2\varphi} dA$  for  $f, g \in L_\varphi^2(\mathbb{D})$ . Let  $AL_\varphi^2(\mathbb{D})$  denote the closed subspace of  $L_\varphi^2(\mathbb{D})$  consisting of analytic functions. Let  $P$  be the orthogonal projection from  $L_\varphi^2(\mathbb{D})$  onto  $AL_\varphi^2(\mathbb{D})$ , which is given by  $Pf(z) = \int_{\mathbb{D}} K(z, w)f(w)e^{-2\varphi(w)} dA(w)$ , where  $K(z, w)$  is the reproducing kernel of  $AL_\varphi^2(\mathbb{D})$ . For  $b \in L^2(\mathbb{D})$ , the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$  is defined on the dense set  $H_\varphi^\infty(\mathbb{D})$  of  $AL_\varphi^2(\mathbb{D})$  (for certain class of subharmonic  $\varphi$ ) by

$$H_b f = bf - P(bf).$$

For a finite positive Borel measure  $\mu$  on  $\mathbb{D}$ , the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  is defined by

$$T_\mu f(z) = \int_{\mathbb{D}} K(z, w)f(w)e^{-2\varphi(w)} d\mu(w).$$

The purpose of this paper is for a certain class of subharmonic  $\varphi$  to prove necessary and sufficient conditions on  $b$  (respectively on  $\mu$ ) in order that

the Hankel operator  $H_b$  (respectively the Toeplitz operator  $T_\mu$ ) on  $AL_\varphi^2(\mathbb{D})$  belongs to a Schatten ideal  $S_p$ .

In [Lu1], Luecking obtained the trace ideal criteria for the Toeplitz operators on the (standard) weighted Bergman spaces. In [Lu2], he considered the boundedness, compactness and the Schatten class properties of the Hankel operators on the Bergman spaces of the unit disk  $\mathbb{D}$  with the symbol functions in  $L^2(\mathbb{D})$ .

In [LR], we studied the boundedness and compactness of the Hankel operator  $H_b$  on the weighted space  $AL_\varphi^2(\mathbb{D})$  with  $b \in L^2(\mathbb{D})$  for a certain class of subharmonic  $\varphi$ .

In the present paper, we will continue to study the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$  and we will also consider the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$ . We will still concentrate on the same class of subharmonic  $\varphi$  as in [LR]. The typical examples of our weight  $e^{-2\varphi}$  are  $(1 - |z|^2)^A$ ,  $A > 0$  (which corresponds to the weights for the standard weighted Bergman spaces  $A^{2,\alpha}$  for  $\alpha > 0$ ) and  $(1 - |z|^2)^A \exp\{-B/(1 - |z|^2)^\alpha\}$ ,  $A \geq 0$ ,  $B > 0$ ,  $\alpha > 0$ . For the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$ , we will give conditions on the finite positive Borel measure  $\mu$  on  $\mathbb{D}$  in order that  $T_\mu$  be bounded, compact and in  $S_p$  respectively. For the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$ , we will give conditions on the function  $b \in L^2(\mathbb{D})$  in order that  $H_b$  belong to  $S_p$ .

The paper is arranged as follows. In Section 2 we recall some results about the Carleson measures on  $AL_\varphi^2(\mathbb{D})$ . In Section 3, we consider the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  for finite positive Borel measure  $\mu$  on  $\mathbb{D}$ . In Section 4, by using the results obtained in Section 3, we prove the trace ideal criteria for the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$  for a certain class of subharmonic  $\varphi$ .

Throughout this paper, we will use the letter  $C$  to denote constants and they may change from line to line.

## 2. Carleson measures on $AL_\varphi^2(\mathbb{D})$ .

Let  $\mu$  be a locally finite nonnegative Borel measure on the unit disk  $\mathbb{D}$ ,  $dA$  be the area measure on  $\mathbb{D}$  and  $\varphi : \mathbb{D} \rightarrow \mathbb{R}$  be subharmonic function. Let  $L_{\varphi,\mu}^2(\mathbb{D})$  be the space of all measurable functions  $f$  on  $\mathbb{D}$  such that

$$\|f\|_{L_{\varphi,\mu}^2} = \left( \int_{\mathbb{D}} |f|^2 e^{-2\varphi} d\mu \right)^{1/2} < \infty.$$

Let  $L_\varphi^2(\mathbb{D})$  denote  $L_{\varphi,dA}^2(\mathbb{D})$  and  $AL_\varphi^2(\mathbb{D})$  be the closed subspace of  $L_\varphi^2(\mathbb{D})$  consisting of analytic functions.

**Definition 2.1.**  $\mu$  is called a Carleson measure on  $AL_\varphi^2(\mathbb{D})$  if the imbedding operator  $J : AL_\varphi^2(\mathbb{D}) \rightarrow L_{\varphi,\mu}^2(\mathbb{D})$  is bounded.

**Definition 2.2.**  $\mu$  is called a vanishing Carleson measure on  $AL_\varphi^2(\mathbb{D})$  if the imbedding operator  $J : AL_\varphi^2(\mathbb{D}) \rightarrow L_{\varphi, \mu}^2(\mathbb{D})$  is compact.

**Definition 2.3.** For real valued function  $\varphi \in C^2(\mathbb{D})$  with  $\Delta\varphi > 0$ , let  $\tau(z) = (\Delta\varphi(z))^{-1/2}$ . We say that  $\varphi \in \mathcal{D}$  if the following conditions are satisfied.

- (1) There exists a constant  $C_1 > 0$  such that  $|\tau(z) - \tau(\xi)| \leq C_1|z - \xi|$  for  $z, \xi \in \mathbb{D}$ .
- (2) There exists a constant  $C_2 > 0$  such that  $\tau(z) \leq C_2(1 - |z|)$  for  $z \in \mathbb{D}$ .
- (3) There exist constants  $0 < t < 1$  and  $a > 0$  such that  $\tau(z) \leq \tau(\xi) + t|z - \xi|$  for  $|z - \xi| > a\tau(\xi)$ .

Some typical examples of functions in class  $\mathcal{D}$  are as follows:

- (i)  $\varphi_1(z) = -\frac{A}{2} \log(1 - |z|^2)$ ,  $A > 0$ . The corresponding weight  $e^{-2\varphi_1}$  is the standard weight  $(1 - |z|^2)^A$  for  $A > 0$ .
- (ii)  $\varphi_2(z) = \frac{1}{2}(-A \log(1 - |z|^2) + B/(1 - |z|^2)^\alpha)$ ,  $A \geq 0$ ,  $B > 0$ ,  $\alpha > 0$ . The corresponding weight  $e^{-2\varphi_2}$  is the exponential weight

$$(1 - |z|^2)^A \exp \{-B/(1 - |z|^2)^\alpha\}, \quad A \geq 0, B > 0, \alpha > 0.$$

- (iii)  $\varphi_1 + h$  and  $\varphi_2 + h$ , where  $\varphi_1$  and  $\varphi_2$  are as in (i) and (ii) respectively, and  $h \in C^2(\mathbb{D})$  can be any harmonic function on  $\mathbb{D}$ .

The following notation will be frequently used:

$$m_\varphi = \frac{\min(C_1^{-1}, C_2^{-1})}{4}$$

where  $C_1$  and  $C_2$  are the constants of  $\varphi$  in Definition 2.3.

For  $\varphi \in \mathcal{D}$ , we have the following theorem about the Carleson measure on  $AL_\varphi^2(\mathbb{D})$ .

**Theorem 2.4.** *Let  $\varphi \in \mathcal{D}$ . Then  $\mu$  is a Carleson measure on  $AL_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that*

$$(2.1) \quad \sup_{z \in \mathbb{D}} \frac{1}{\tau(z)^2} \mu \{ \xi \in \mathbb{D} : |\xi - z| \leq \alpha\tau(z) \} < \infty.$$

*Proof.* The sufficiency was proved by Oleinik [O] under the condition (1) and (2) of Definition 2.3 for any  $\alpha \in (0, m_\varphi)$ . For the necessity, see [LR].  $\square$

The following theorem is about the vanishing Carleson measures on  $AL_\varphi^2(\mathbb{D})$ .

**Theorem 2.5.** *Let  $\varphi \in \mathcal{D}$ . Then  $\mu$  is a vanishing Carleson measure on  $AL_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that*

$$\lim_{r \rightarrow 1} \sup_{r \leq |z| < 1} \frac{1}{\tau(z)^2} \mu \{ \xi \in \mathbb{D} : |\xi - z| \leq \alpha\tau(z) \} = 0.$$

*Proof.* For the sufficiency, see [O]. For the necessity, see [LR].  $\square$

In this paper, we will use the equivalent discrete form of condition (2.1) in Theorem 2.4. In order to get the equivalent condition of (2.1) in discrete form, we need some notations and a covering lemma.

Throughout this paper, we will always use the following notations:  $\tau(z) = (\Delta\varphi(z))^{-1/2}$ , for any constant  $\alpha > 0$ ,  $D(\alpha\tau(z)) = D(z, \alpha\tau(z))$  denotes the Euclidean disk in  $\mathbb{C}$  with center  $z$  and radius  $\alpha\tau(z)$ .

**Lemma 2.6** ([O]). *Let  $\varphi \in \mathcal{D}$  and let  $\alpha \in (0, m_\varphi)$ . Then there exists a sequence of points  $\{z_j\} \subset \mathbb{D}$ , such that the following conditions are satisfied:*

- (1)  $z_j \notin D(\alpha\tau(z_k))$ ,  $j \neq k$ .
- (2)  $\bigcup_j D(\alpha\tau(z_j)) = \mathbb{D}$ .
- (3)  $\tilde{D}(\alpha\tau(z_j)) \subset D(3\alpha\tau(z_j))$ , where  
 $\tilde{D}(\alpha\tau(z_j)) = \bigcup_{z \in D(\alpha\tau(z_j))} D(\alpha\tau(z))$ ,  $j = 1, 2, \dots$ .
- (4)  $\{D(3\alpha\tau(z_j))\}$  is a covering of  $\mathbb{D}$  of finite multiplicity  $N$ .

**Definition 2.7.** A covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$  is called a  $\tau$ -covering of  $\mathbb{D}$  if it satisfies all the conditions in Lemma 2.6.

**Theorem 2.8.** *Let  $\varphi \in \mathcal{D}$ . Then  $\mu$  is a Carleson measure on  $AL_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,*

$$\sup_j \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} < \infty.$$

*Proof.* The necessity follows from Theorem 2.4 immediately. The sufficiency follows from the proof of the sufficiency of Theorem 2.4 (see [O]).  $\square$

### 3. Toeplitz operators on $AL_\varphi^2(\mathbb{D})$ .

Let  $\mu$  be a finite positive Borel measure on  $\mathbb{D}$  and let  $K(z, w)$  be the reproducing kernel of  $AL_\varphi^2(\mathbb{D})$ . The Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  is defined by

$$T_\mu f(z) = \int_{\mathbb{D}} K(z, w) f(w) e^{-2\varphi(w)} d\mu(w).$$

Recall that  $J : AL_\varphi^2(\mathbb{D}) \rightarrow L_{\varphi, \mu}^2(\mathbb{D})$  is the imbedding operator. By direct computation one can check that for  $g, h \in AL_\varphi^2(\mathbb{D})$ ,

$$\langle Jg, Jh \rangle_{L_{\varphi, \mu}^2} = \langle T_\mu g, h \rangle_{L_\varphi^2}.$$

Thus  $T_\mu = J^*J$ . Then the next two theorems about the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  follow immediately from Theorem 2.4 and Theorem 2.5.

**Theorem 3.1.** *Let  $\varphi \in \mathcal{D}$ . Then the Toeplitz operator  $T_\mu$  is bounded on  $AL_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that*

$$\sup_{z \in \mathbb{D}} \frac{\mu(D(\alpha\tau(z)))}{|D(\alpha\tau(z))|} < \infty.$$

**Theorem 3.2.** *Let  $\varphi \in \mathcal{D}$ . Then the Toeplitz operator  $T_\mu$  is a compact operator from  $AL_\varphi^2(\mathbb{D})$  to  $L_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that*

$$\lim_{r \rightarrow 1} \sup_{r \leq |z| < 1} \frac{\mu(D(\alpha\tau(z)))}{|D(\alpha\tau(z))|} = 0.$$

From Theorem 2.8 we also have

**Theorem 3.3.** *Let  $\varphi \in \mathcal{D}$ . Then the Toeplitz operator  $T_\mu$  is bounded on  $AL_\varphi^2(\mathbb{D})$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,*

$$\sup_j \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} < \infty.$$

In the rest of this section, we will characterize those finite positive Borel measure  $\mu$  for which the Toeplitz operator  $T_\mu$  on  $AL_\varphi^2(\mathbb{D})$  belongs to the Schatten ideal  $S_p$ .

The Schatten ideal  $S_p$  consists of all the operators  $T$  on Hilbert space for which the singular numbers  $s_n(T)$  form a sequence belonging to  $l^p$ . The singular numbers of the operator  $T$  are defined by

$$s_n = s_n(T) = \inf \{\|T - K\| : \text{rank } K \leq n\}.$$

We denote  $|T|_p = (\sum_{n=1}^{\infty} s_n^p)^{1/p}$ . For  $p \geq 1$  the quantity  $|T|_p$  is a norm, while for  $0 < p < 1$  we have the following inequality

$$|T + S|_p^p \leq |T|_p^p + |S|_p^p.$$

We refer to [GK] and [S] for more information about  $S_p$ .

First we consider the case  $1 \leq p < \infty$ .

**Theorem 3.4.** *Let  $1 \leq p < \infty$  and let  $\varphi \in \mathcal{D}$ . Then  $T_\mu$  belongs to  $S_p$  if and only if there exists a constant  $\alpha \in (0, m_\varphi)$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,*

$$\sum_j \left( \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} \right)^p < \infty.$$

We will prove the sufficiency first. We need a lemma.

**Lemma 3.5.** *Let  $\varphi \in \mathcal{D}$ . Then we have*

$$K(z, z)e^{-2\varphi(z)} \sim (\tau(z))^{-2} = \Delta\varphi(z), \quad z \in \mathbb{D}.$$

*By the relation  $\sim$  we mean that the ratio of the two expressions is bounded above and below by absolute positive constants.*

*Proof.* For any  $z \in \mathbb{D}$ , let  $L_z f = f(z)$  be the point evaluation on  $AL_\varphi^2(\mathbb{D})$ . It is well known that

$$K(z, z) = \|L_z\|^2.$$

The point evaluation  $L_z$  can be regarded as an imbedding operator from  $AL_\varphi^2(\mathbb{D})$  to  $L_{\varphi, e^{2\varphi}\delta_z}^2(\mathbb{D})$ , where  $\delta_z$  is the Dirac measure at the point  $z$ . Then by Theorem 2.4 and the estimate of the norm of the imbedding operator (obtained in the proof of Theorem 2.4, see [O] and [LR]), we have

$$\begin{aligned} \|L_z\|^2 &\sim \sup_{w \in \mathbb{D}} \frac{1}{\tau(w)^2} \int_{D(\alpha\tau(w))} e^{2\varphi(\xi)} \delta_z(\xi), \quad \text{for some } \alpha \in (0, m_\varphi) \\ &\sim \frac{1}{\tau(z)^2} e^{2\varphi(z)} \end{aligned}$$

where we use the fact that  $\tau(w) \sim \tau(z)$  whenever  $|z - w| < m_\varphi\tau(w)$ , which follows easily from condition (1) of Definition 2.3. Thus

$$K(z, z)e^{-2\varphi(z)} \sim (\tau(z))^{-2} = \Delta\varphi(z), \quad z \in \mathbb{D}.$$

This finishes the proof of Lemma 3.5. □

*Proof of the Sufficiency of Theorem 3.4.* Let  $\{e_n\}$  be any orthonormal set in  $AL_\varphi^2(\mathbb{D})$ . For any  $n \geq 1$ ,

$$\langle T_\mu e_n, e_n \rangle_{L_\varphi^2} = \int_{\mathbb{D}} |e_n(z)|^2 e^{-2\varphi(z)} d\mu(z).$$

Since  $\mu$  is a finite positive Borel measure on  $\mathbb{D}$ , it follows that

$$\begin{aligned} \sum_n |\langle T_\mu e_n, e_n \rangle_{L_\varphi^2}| &= \int_{\mathbb{D}} \sum_n |e_n(z)|^2 e^{-2\varphi(z)} d\mu(z) \\ &\leq \int_{\mathbb{D}} K(z, z) e^{-2\varphi(z)} d\mu(z). \end{aligned}$$

Let  $\{D(\alpha\tau(z_j))\}$  be a  $\tau$ -covering of  $\mathbb{D}$  with  $\alpha \in (0, m_\varphi)$ . Then

$$\begin{aligned} \sum_n |\langle T_\mu e_n, e_n \rangle_{L_\varphi^2}| &\leq \int_{\mathbb{D}} K(z, z) e^{-2\varphi(z)} d\mu(z) \\ &\leq \sum_j \int_{D(\alpha\tau(z_j))} K(z, z) e^{-2\varphi(z)} d\mu(z) \\ &\leq C \sum_j \int_{D(\alpha\tau(z_j))} (\tau(z))^{-2} d\mu(z) \end{aligned}$$

where the last inequality is by Lemma 3.5. As we pointed out before,  $\tau(z) \sim \tau(w)$  whenever  $|z - w| < m_\varphi \tau(w)$ . Thus we have

$$\begin{aligned} \sum_n |\langle T_\mu e_n, e_n \rangle_{L_\varphi^2}| &\leq C \sum_j (\tau(z_j))^{-2} \int_{D(\alpha\tau(z_j))} d\mu(z) \\ &= C \sum_j \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|}. \end{aligned}$$

Therefore

$$T_\mu \in S_1 \text{ if } \sum_j \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} < \infty.$$

On the other hand, by Theorem 3.3 we have

$$T_\mu \in S_\infty \text{ if } \sup_j \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} < \infty.$$

It then follows by interpolation that

$$T_\mu \in S_p \text{ if } \sum_j \left( \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} \right)^p < \infty.$$

This completes the proof of the sufficiency. □

To prove the necessity, we need two more lemmas.

**Lemma 3.6.** *Let  $\varphi \in \mathcal{D}$  and let*

$$k_w(z) = K(z, w)(K(w, w))^{-1/2}.$$

*Then there exists a small constant  $\alpha_0 \in (0, m_\varphi)$  such that*

$$|k_w(z)|^2 \sim K(z, z) \quad \text{whenever} \quad |z - w| < \alpha_0 \tau(w).$$



*Proof.* For any fixed  $w_0 \in \mathbb{D}$ , consider the subspace  $AL_\varphi^2(\mathbb{D}, w_0)$  which is defined by

$$AL_\varphi^2(\mathbb{D}, w_0) = \left\{ f \in AL_\varphi^2(\mathbb{D}) : f(w_0) = 0 \right\}.$$

Note that we have the decomposition

$$(3.1) \quad AL_\varphi^2(\mathbb{D}) = AL_\varphi^2(\mathbb{D}, w_0) \oplus \mathcal{L}_{w_0}$$

where  $\mathcal{L}_{w_0}$  is the one-dimensional subspace spanned by the function

$$k_{w_0}(z) = K(z, w_0)(K(w_0, w_0))^{-1/2}.$$

We denote by  $K_{w_0}(z, w)$  the reproducing kernel of  $AL_\varphi^2(\mathbb{D}, w_0)$ . From (3.1) we obtain

$$(3.2) \quad K(z, z) = K_{w_0}(z, z) + |k_{w_0}(z)|^2.$$

Hence we always have

$$(3.3) \quad |k_{w_0}(z)|^2 \leq K(z, z).$$

Now we need to prove the reverse inequality. By (3.2) we only need to show that there exist constants  $0 < \alpha_0 < m_\varphi$  and  $0 < \delta_0 < 1$  such that

$$(3.4) \quad K_{w_0}(z, z) \leq \delta_0 K(z, z) \quad \text{whenever} \quad |z - w_0| < \alpha_0 \tau(w_0).$$

Let us consider the operator

$$(S_{w_0} f)(z) = f(z)(z - w_0)^{-1}.$$

It is easy to check that  $S_{w_0}$  maps  $AL_\varphi^2(\mathbb{D}, w_0)$  into  $AL_\varphi^2(\mathbb{D})$ . Let  $V_{w_0}^z : \mathbb{C} \rightarrow \mathbb{C}$  be defined by  $V_{w_0}^z(\xi) = (z - w_0)\xi$ . Then the point evaluation  $U_{w_0}^z f = f(z)$  on  $AL_\varphi^2(\mathbb{D}, w_0)$  can be represented as

$$U_{w_0}^z = V_{w_0}^z L_z S_{w_0}$$

where  $L_z$  is the point evaluation on  $AL_\varphi^2(\mathbb{D})$ . Hence

$$(3.5) \quad \|U_{w_0}^z\| \leq \|V_{w_0}^z\| \|L_z\| \|S_{w_0}\|.$$

When  $|z - w_0| < \alpha_0 \tau(w_0)$ , where  $\alpha_0 \in (0, m_\varphi)$  will be chosen later, it is obvious that

$$(3.6) \quad \|V_{w_0}^z\| \leq \alpha_0 \tau(w_0).$$

To estimate the norm of  $S_{w_0}$ , let us take a small  $\alpha_1 \in (0, m_\varphi)$ . The choice of  $\alpha_1$  will be made precise later. For any  $f \in AL_\varphi^2(\mathbb{D}, w_0)$ , let

$$g(z) = f(z)(z - w_0)^{-1} = (S_{w_0}f)(z).$$

Then  $g \in AL_\varphi^2(\mathbb{D})$  since  $S_{w_0}$  maps  $AL_\varphi^2(\mathbb{D}, w_0)$  into  $AL_\varphi^2(\mathbb{D})$ . For this  $g$  we have

$$(3.7) \quad \|g\|_{L_\varphi^2}^2 = \int_{D(\alpha_1\tau(w_0))} |g(z)|^2 e^{-2\varphi(z)} dA(z) + \int_{\mathbb{D} \setminus D(\alpha_1\tau(w_0))} |g(z)|^2 e^{-2\varphi(z)} dA(z).$$

By the reproducing property we have

$$g(z) = \int_{\mathbb{D}} K(z, w)g(w)e^{-2\varphi(w)} dA(w).$$

It then follows

$$(3.8) \quad \begin{aligned} & \int_{D(\alpha_1\tau(w_0))} |g(z)|^2 e^{-2\varphi(z)} dA(z) \\ & \leq \int_{D(\alpha_1\tau(w_0))} \|K(z, \cdot)\|_{L_\varphi^2}^2 \|g\|_{L_\varphi^2}^2 e^{-2\varphi(z)} dA(z) \\ & = \int_{D(\alpha_1\tau(w_0))} K(z, z)e^{-2\varphi(z)} dA(z) \cdot \|g\|_{L_\varphi^2}^2. \end{aligned}$$

By using Lemma 3.5, we obtain

$$(3.9) \quad \begin{aligned} \int_{D(\alpha_1\tau(w_0))} K(z, z)e^{-2\varphi(z)} dA(z) & \leq C \int_{D(\alpha_1\tau(w_0))} (\tau(z))^{-2} dA(z) \\ & \leq C(\tau(w_0))^{-2} \int_{D(\alpha_1\tau(w_0))} dA(z) \\ & \leq C\alpha_1. \end{aligned}$$

The second inequality is because  $\tau(z) \sim \tau(w)$  whenever  $|z - w| < m_\varphi\tau(w)$ . Note that the constant  $C$  in (3.9) is independent of  $w_0$ . Now we choose a small  $\alpha_1 \in (0, m_\varphi)$  such that  $C\alpha_1 < 1$  in (3.9). Then from (3.7), (3.8) and (3.9) we obtain

$$\begin{aligned} \|g\|_{L_\varphi^2}^2 & \leq C \int_{\mathbb{D} \setminus D(\alpha_1\tau(w_0))} |g(z)|^2 e^{-2\varphi(z)} dA(z) \\ & = C \int_{\mathbb{D} \setminus D(\alpha_1\tau(w_0))} \left| \frac{f(z)}{z - w_0} \right|^2 e^{-2\varphi(z)} dA(z) \\ & \leq C(\tau(w_0))^{-2} \|f\|_{L_\varphi^2}^2. \end{aligned}$$

It then follows that

$$(3.10) \quad \|S_{w_0}\| \leq C(\tau(w_0))^{-1}$$

where  $C$  is independent of  $w_0$ .

Now from (3.5), (3.6) and (3.10) we obtain, for  $|z - w_0| < \alpha_0\tau(w_0)$ , that

$$(3.11) \quad \begin{aligned} \|U_{w_0}^z\| &\leq C\alpha_0\tau(w_0)(\tau(w_0))^{-1}\|L_z\| \\ &= C\alpha_0\|L_z\|. \end{aligned}$$

Choose  $\alpha_0 \in (0, m_\varphi)$  such that  $C\alpha_0 < 1$  in (3.11). Since  $\|U_{w_0}^z\|^2 = K_{w_0}(z, z)$  and  $\|L_z\|^2 = K(z, z)$ , we have

$$K_{w_0}(z, z) \leq \delta_0 K(z, z) \quad \text{whenever} \quad |z - w_0| < \alpha_0\tau(w_0)$$

where  $\delta_0 = (C\alpha_0)^2 < 1$  is independent of  $w_0$ . This completes the proof of (3.4) and of Lemma 3.6.  $\square$

We will always let  $k_w(z) = K(z, w)(K(w, w))^{-1/2}$ , which is the normalized reproducing kernel of  $AL_\varphi^2(\mathbb{D})$ .

**Lemma 3.7.** *Let  $\varphi \in \mathcal{D}$  and let  $\{D(\alpha\tau(z_j))\}$  be a  $\tau$ -covering of  $\mathbb{D}$  with  $0 < \alpha < m_\varphi$ . Then for every orthonormal sequence  $\{e_j\}$  in  $AL_\varphi^2(\mathbb{D})$ , the operator  $A$  taking  $e_j$  to  $k_{z_j}(z)$  is bounded.*

*Proof.* It is required to show

$$\left\| A \left( \sum_j c_j e_j \right) \right\|_{L_\varphi^2} \leq C \left( \sum_j |c_j|^2 \right)^{1/2}.$$

For any  $g \in AL_\varphi^2(\mathbb{D})$ , we have

$$\begin{aligned} \left| \left\langle A \left( \sum_j c_j e_j \right), g \right\rangle_{L_\varphi^2} \right| &= \left| \left\langle \sum_j c_j k_{z_j}, g \right\rangle_{L_\varphi^2} \right| \\ &= \left| \sum_j c_j \left\langle K(\cdot, z_j)(K(z_j, z_j))^{-1/2}, g \right\rangle_{L_\varphi^2} \right| \\ &= \left| \sum_j c_j (K(z_j, z_j))^{-1/2} \bar{g}(z_j) \right| \end{aligned}$$

$$\begin{aligned} &\leq \left( \sum_j |c_j|^2 \right)^{1/2} \left( \sum_j |g(z_j)|^2 (K(z_j, z_j))^{-1} \right)^{1/2} \\ &\leq \left( \sum_j |c_j|^2 \right)^{1/2} \left( \int_{\mathbb{D}} |g|^2 e^{-2\varphi} d\mu_0 \right)^{1/2} \end{aligned}$$

where  $\mu_0$  is the discrete measure defined by

$$\mu_0(\{z_j\}) = (K(z_j, z_j))^{-1} e^{2\varphi(z_j)}, \quad j = 1, 2, \dots .$$

For  $\mu_0$  we have

$$\begin{aligned} \sup_j \frac{\mu_0(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} &= \sup_j \frac{(K(z_j, z_j))^{-1} e^{2\varphi(z_j)}}{|D(\alpha\tau(z_j))|} \\ &\leq C \sup_j \frac{\tau(z_j)^2}{|D(\alpha\tau(z_j))|} \quad (\text{by Lemma 3.5}) \\ &= C \sup_j \frac{\tau(z_j)^2}{\pi\alpha^2\tau(z_j)^2} < \infty. \end{aligned}$$

Thus by Theorem 2.8 we have

$$\left( \int_{\mathbb{D}} |g|^2 e^{-2\varphi} d\mu_0 \right)^{1/2} \leq C \|g\|_{L^2_\varphi}.$$

This completes the proof of Lemma 3.7. □

*Proof of the Necessity of Theorem 3.4.* From p. 94 of [GK] a necessary condition for an operator  $T$  on a Hilbert space to be in  $S_p$  is that  $\sum_j |\langle Te_j, e_j \rangle|^p < \infty$  for any orthonormal set  $\{e_j\}$ . If  $T$  is in  $S_p$  then so is  $A^*TA$  for any bounded operator  $A$ . If we choose  $A$  as in Lemma 3.7, then the necessary condition  $\sum_j |\langle A^*T_\mu A e_j, e_j \rangle_{L^2_\varphi}|^p < \infty$  becomes  $\sum_j |\langle T_\mu k_{z_j}, k_{z_j} \rangle_{L^2_\varphi}|^p < \infty$ . But

$$\begin{aligned} |\langle T_\mu k_{z_j}, k_{z_j} \rangle_{L^2_\varphi}|^p &= \left( \int_{\mathbb{D}} |k_{z_j}(z)|^2 e^{-2\varphi(z)} d\mu(z) \right)^p \\ &\geq \left( \int_{D(\alpha_0\tau(z_j))} |k_{z_j}(z)|^2 e^{-2\varphi(z)} d\mu(z) \right)^p \end{aligned}$$

where  $\alpha_0$  is chosen as in Lemma 3.6. By Lemma 3.6 and Lemma 3.5 we have

$$\begin{aligned}
 \left( \int_{D(\alpha_0\tau(z_j))} |k_{z_j}(z)|^2 e^{-2\varphi(z)} d\mu(z) \right)^p &\geq C \left( \int_{D(\alpha_0\tau(z_j))} |K(z, z)|^2 e^{-2\varphi(z)} d\mu(z) \right)^p \\
 &\geq C \left( \int_{D(\alpha_0\tau(z_j))} (\tau(z))^{-2} d\mu(z) \right)^p \\
 &\geq C \left( (\tau(z_j))^{-2} \int_{D(\alpha_0\tau(z_j))} d\mu(z) \right)^p \\
 &= C \left( \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} \right)^p.
 \end{aligned}$$

The last inequality is because  $\tau(z) \sim \tau(w)$  whenever  $|z - w| < m_\varphi\tau(w)$ . Therefore

$$\sum_j \left( \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} \right)^p < \infty.$$

This completes the proof of the necessity and of Theorem 3.4. □

For the case  $0 < p < 1$ , we have a sufficient condition.

**Theorem 3.8.** *Let  $0 < p < 1$  and let  $\varphi \in \mathcal{D}$ . If there exists a constant  $\alpha \in (0, m_\varphi)$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,*

$$\sum_j \left( \frac{\mu(D(\alpha\tau(z_j)))}{|D(\alpha\tau(z_j))|} \right)^p < \infty,$$

then  $T_\mu$  belongs to  $S_p$ .

*Proof.* We only need to consider the case  $0 < p \leq 1/2$  because the results for  $1/2 < p < 1$  can follow by interpolation.

Since  $T_\mu = J^*J$ , where  $J : AL_\varphi^2(\mathbb{D}) \rightarrow L_{\varphi,\mu}^2(\mathbb{D})$  is the imbedding operator, we have  $|T_\mu|_p^p = |J|_{2p}^{2p}$ . Let  $\{D(\alpha_0(z_j))\}$  be a  $\tau$ -covering of  $\mathbb{D}$  where  $0 < \alpha_0 < m_\varphi$  is chosen as in Lemma 3.6 and let  $\{\sigma_j\}$  be a partition of unity subordinate to the covering  $\{D(\alpha_0\tau(z_j))\}$ . Then for any  $f \in AL_\varphi^2(\mathbb{D})$  we have

$$f = \sum_j \sigma_j f.$$

We introduce the following operators

$$J_j : AL_\varphi^2(\mathbb{D}) \rightarrow L_{\varphi,\mu}^2(D(\alpha_0\tau(z_j))); \quad J_j f = \sigma_j f$$

and the natural imbeddings

$$I_j : L^2_{\varphi,\mu}(D(\alpha_0\tau(z_j))) \rightarrow L^2_{\varphi,\mu}(\mathbb{D}); \quad I_j g = g.$$

We then have

$$J = \sum_j I_j J_j.$$

Since  $0 < 2p \leq 1$ , we have

$$|J|_{2p}^{2p} \leq \sum_j |I_j J_j|_{2p}^{2p} \leq \sum_j |J_j|_{2p}^{2p}.$$

Now everything reduces to an estimate of the norm  $|J_j|_{2p}$ .

By (3.1) we have the orthogonal decomposition

$$AL^2_{\varphi}(\mathbb{D}) = AL^2_{\varphi}(\mathbb{D}, z_j) \oplus \mathcal{L}_{z_j}$$

where  $AL^2_{\varphi}(\mathbb{D}, z_j) = \{f \in AL^2_{\varphi}(\mathbb{D}) : f(z_j) = 0\}$  and  $\mathcal{L}_{z_j}$  is the one-dimensional subspace spanned by the function  $k_{z_j}(z) = K(z, z_j)(K(z_j, z_j))^{-1/2}$ . Set

$$\begin{aligned} J_j^{(1)} &= J_j|_{AL^2_{\varphi}(\mathbb{D}, z_j)} : AL^2_{\varphi}(\mathbb{D}, z_j) \rightarrow L^2_{\varphi,\mu}(D(\alpha_0\tau(z_j))), \\ J_j^{(2)} &= J_j|_{\mathcal{L}_{z_j}} : \mathcal{L}_{z_j} \rightarrow L^2_{\varphi,\mu}(D(\alpha_0\tau(z_j))). \end{aligned}$$

It is clear that  $J_j = J_j^{(1)} + J_j^{(2)}$ . Hence for  $0 < 2p \leq 1$ ,

$$(3.12) \quad |J_j|_{2p}^{2p} \leq |J_j^{(1)}|_{2p}^{2p} + |J_j^{(2)}|_{2p}^{2p}.$$

Since  $J_j^{(2)}$  is a rank one operator, we have

$$(3.13) \quad |J_j^{(2)}|_{2p} = |J_j^{(2)}|_2 \leq \left( \int_{D(\alpha_0\tau(z_j))} K(z, z) e^{-2\varphi(z)} d\mu(z) \right)^{1/2}.$$

To estimate  $|J_j^{(1)}|_{2p}$  we consider the division operator

$$S_j : AL^2_{\varphi}(\mathbb{D}, z_j) \rightarrow AL^2_{\varphi}(\mathbb{D}); \quad (S_j f)(z) = f(z)(z - z_j)^{-1}$$

and the multiplication operator

$$T_j : L^2_{\varphi,\mu}(D(\alpha_0\tau(z_j))) \rightarrow L^2_{\varphi,\mu}(D(\alpha_0\tau(z_j))); \quad (T_j f)(z) = f(z)(z - z_j).$$

The operator  $J_j^{(1)}$  admits a decomposition  $J_j^{(1)} = T_j J_j S_j$ . Hence

$$(3.14) \quad |J_j^{(1)}|_{2p} \leq \|T_j\| \|S_j\| |J_j|_{2p}.$$

Then as in the proof of Lemma 3.6, there exists a constant  $0 < \delta_0 < 1$  such that

$$\|T_j\| \|S_j\| < \delta_0.$$

Thus from (3.12), (3.13) and (3.14) we obtain

$$|J_j|_{2p}^{2p} \leq (1 - \delta_0^{2p})^{-1} \left( \int_{D(\alpha_0\tau(z_j))} K(z, z) e^{-2\varphi(z)} d\mu(z) \right)^p.$$

Then by Lemma 3.5 and the fact that  $\tau(z) \sim \tau(w)$  whenever  $|z - w| < m_\varphi\tau(w)$ , we obtain

$$|J_j|_{2p}^{2p} \leq C \left( \frac{\mu(D(\alpha_0\tau(z_j)))}{|D(\alpha_0\tau(z_j))|} \right)^p.$$

Thus

$$|T_\mu|_p^p = |J|_{2p}^{2p} \leq \sum_j |J_j|_{2p}^{2p} \leq C \sum_j \left( \frac{\mu(D(\alpha_0\tau(z_j)))}{|D(\alpha_0\tau(z_j))|} \right)^p < \infty.$$

This completes the proof.  $\square$

#### 4. Schatten class Hankel operators on $AL_\varphi^2(\mathbb{D})$ .

In [LR] we studied the boundedness and compactness of the Hankel operator  $H_b$  on  $AL_\varphi^2(\mathbb{D})$  with  $b \in L^2(\mathbb{D})$ . We restate our main results in [LR] here for convenient reference.

**Theorem 4.1** ([LR]). *Let  $\varphi \in \mathcal{D}$  and suppose that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$ . Let  $b \in L^2(\mathbb{D})$  and let  $H_b$  be defined on  $H_\varphi^\infty(\mathbb{D})$  by  $H_b f = bf - P(bf)$ . Then the following are equivalent.*

- (1)  $H_b$  is bounded in the  $L_\varphi^2$  norm.
- (2) The function  $F_\alpha(z)$  defined by

$$F_\alpha(z)^2 = \inf \left\{ \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b - h|^2 dA : h \text{ analytic in } D(\alpha\tau(z)) \right\}$$

is bounded for some  $\alpha \in (0, m_\varphi)$ .

- (3)  $b$  admits a decomposition  $b = b_1 + b_2$  where  $b_2 \in C^1(\mathbb{D})$  and satisfies

$$\frac{\bar{\partial} b_2}{(\Delta\varphi)^{1/2}} \in L^\infty(\mathbb{D}),$$

while  $b_1$  satisfies the following condition: the function  $G_\alpha(z)$  defined by

$$G_\alpha(z)^2 = \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b_1|^2 dA$$

is bounded for some  $\alpha \in (0, m_\varphi)$ .

**Theorem 4.2** ([LR]). *Let  $\varphi \in \mathcal{D}$  and suppose that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$ . Let  $b \in L^2(\mathbb{D})$  and let  $H_b$  be defined on  $H_\varphi^\infty(\mathbb{D})$  by  $H_b f = bf - P(bf)$ . Then the following are equivalent.*

- (1)  $H_b$  is (extends to) a compact operator from  $AL_\varphi^2(\mathbb{D})$  to  $L_\varphi^2(\mathbb{D})$ .
- (2) The function  $F_\alpha(z)$  defined by

$$F_\alpha(z)^2 = \inf \left\{ \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b - h|^2 dA : h \text{ analytic in } D(\alpha\tau(z)) \right\}$$

tends to zero as  $|z| \rightarrow 1$  for some  $\alpha \in (0, m_\varphi)$ .

- (3)  $b$  admits a decomposition  $b = b_1 + b_2$  with  $b_2 \in C^1(\mathbb{D})$  so that

$$\frac{\bar{\partial} b_2(z)}{(\Delta\varphi(z))^{1/2}} \rightarrow 0 \quad \text{as } |z| \rightarrow 1,$$

and for some  $\alpha \in (0, m_\varphi)$ ,  $G_\alpha(z) \rightarrow 0$  as  $|z| \rightarrow 1$ , where the function  $G_\alpha(z)$  is defined by

$$G_\alpha(z)^2 = \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b_1|^2 dA.$$

**Remark 4.3.** If  $\varphi \in \mathcal{D}$  is a radial function, one can show that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$ . So, at least for radial function  $\varphi \in \mathcal{D}$ , the assumption that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$  is satisfied in Theorem 4.1 and Theorem 4.2.

Now we consider the membership of  $H_b$  in the Schatten classes  $S_p$ . We have the following theorem.

**Theorem 4.4.** *Let  $\varphi \in \mathcal{D}$  and suppose that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$ . Let  $1 \leq p < \infty$  and let  $b \in L^2(\mathbb{D})$ . Assume that  $H_b$  is bounded in the  $L_\varphi^2$  norm. Then the following are equivalent.*

- (1)  $H_b$  belongs to  $S_p$ .
- (2)  $F_\alpha(z) \in L^p(\mathbb{D}, \Delta\varphi dA)$  for some  $\alpha \in (0, m_\varphi)$ , where the function  $F_\alpha(z)$  is defined by

$$F_\alpha(z)^2 = \inf \left\{ \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b - h|^2 dA : h \text{ analytic in } D(\alpha\tau(z)) \right\}.$$



(3)  $b$  admits a decomposition  $b = b_1 + b_2$  where  $b_1$  satisfies

$$G_\alpha(z) = \left( \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b_1|^2 dA \right)^{1/2} \in L^p(\mathbb{D}, \Delta\varphi dA)$$

for some  $\alpha \in (0, m_\varphi)$ , and  $\bar{\partial}b_2/(\Delta\varphi)^{1/2}$  satisfies the same condition as  $b_1$ .

By using a similar argument of [Lu2], one can show that Theorem 4.4 is equivalent to the following theorem.

**Theorem 4.4'.** *Let  $\varphi \in \mathcal{D}$  and suppose that  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$ . Let  $1 \leq p < \infty$  and let  $b \in L^2(\mathbb{D})$ . Assume that  $H_b$  is bounded in the  $L_\varphi^2$  norm. Then the following are equivalent.*

(1')  $H_b$  belongs to  $S_p$ .

(2') There exists a constant  $\alpha \in (0, m_\varphi)$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,

$$\sum_j F_\alpha(z_j)^p < \infty.$$

(3') There exist a constant  $\alpha \in (0, m_\varphi)$  and a decomposition  $b = b_1 + b_2$  such that for every  $\tau$ -covering  $\{D(\alpha\tau(z_j))\}$  of  $\mathbb{D}$ ,

$$\sum_j \left( \frac{1}{|D(\alpha\tau(z_j))|} \int_{D(\alpha\tau(z_j))} |b_1|^2 dA \right)^{p/2} < \infty,$$

and the same holds with  $\bar{\partial}b_2/(\Delta\varphi)^{1/2}$  in place of  $b_1$ .

So we only need to prove Theorem 4.4'.

*Proof of Theorem 4.4'.* First we prove (1')  $\Rightarrow$  (2'). Let  $\{D(\alpha_0\tau(z_j))\}$  be a  $\tau$ -covering of  $\mathbb{D}$ , where  $0 < \alpha_0 < m_\varphi$  is chosen as in Lemma 3.6. Since  $H_\varphi^\infty(\mathbb{D})$  is dense in  $AL_\varphi^2(\mathbb{D})$  and convergence in  $AL_\varphi^2(\mathbb{D})$  implies uniform convergence on compacta, it is easy to see that for each  $z_j$  ( $j = 1, 2, \dots$ ) there exists  $\tilde{k}_{z_j}(z) \in H_\varphi^\infty(\mathbb{D})$  ( $j = 1, 2, \dots$ ) satisfying the following conditions:

$$(4.1) \quad \|\tilde{k}_{z_j} - k_{z_j}\|_{L_\varphi^2} < \frac{1}{2j}$$

and

$$(4.2) \quad |\tilde{k}_{z_j}(z)|^2 e^{-2\varphi(z)} \geq C(\tau(z_j))^{-2} \quad \text{whenever } |z - z_j| < \alpha_0\tau(z_j).$$

Let  $\{e_j\}$  be an orthonormal sequence in  $AL_\varphi^2(\mathbb{D})$  and let  $\tilde{A}$  be the operator taking  $e_j$  to  $\tilde{k}_{z_j}(z)$ . We have

$$\tilde{A}e_j = \tilde{k}_{z_j}(z) = k_{z_j}(z) + (\tilde{k}_{z_j}(z) - k_{z_j}(z)) = Ae_j + Ee_j, \quad (j = 1, 2, \dots)$$

where  $Ae_j = k_{z_j}(z)$  is a bounded operator by Lemma 3.7 and  $Ee_j = \tilde{k}_{z_j}(z) - k_{z_j}(z)$ . To prove  $\tilde{A}$  is bounded, we only need to show that  $E$  is bounded. By using (4.1) we have

$$\begin{aligned}
 \left\| E \left( \sum_j c_j e_j \right) \right\|_{L_\varphi^2} &= \left\| \sum_j c_j (\tilde{k}_{z_j} - k_{z_j}) \right\|_{L_\varphi^2} \\
 &\leq \sum_j |c_j| \|\tilde{k}_{z_j} - k_{z_j}\|_{L_\varphi^2} \\
 &\leq \sum_j |c_j| \frac{1}{2^j} \\
 &\leq \left( \sum_j |c_j|^2 \right)^{1/2} \left( \sum_j \frac{1}{2^{2j}} \right)^{1/2} \\
 &= C \left( \sum_j |c_j|^2 \right)^{1/2}.
 \end{aligned}$$

Thus  $E$  is bounded, so is  $\tilde{A}$ .

From p. 94 of [GK] a necessary condition for an operator  $T$  on a Hilbert space to be in  $S_p$  is that  $\sum_j |\langle Te_j, e_j \rangle|^p < \infty$  for any orthonormal sequence  $\{e_j\}$ . We apply this to  $B^* H_b \tilde{A}$  where  $\tilde{A}$  is as above and  $Be_j = a_j \chi_{D(\alpha_0 \tau(z_j))} H_b(\tilde{k}_{z_j})$  with  $a_j = (\int_{D(\alpha_0 \tau(z_j))} |H_b(\tilde{k}_{z_j})|^2 e^{-2\varphi} dA)^{-1/2}$ . By the finite multiplicity property of the  $\tau$ -covering, it is easy to see that  $B$  is bounded. Since  $H_b \in S_p$ , we have  $B^* H_b \tilde{A} \in S_p$ . Thus

$$\begin{aligned}
 &\sum_j \left| \left\langle B^* H_b \tilde{A} e_j, e_j \right\rangle_{L_\varphi^2} \right|^p \\
 &= \sum_j \left| a_j \left\langle H_b \tilde{k}_{z_j}, \chi_{D(\alpha_0 \tau(z_j))} H_b \tilde{k}_{z_j} \right\rangle_{L_\varphi^2} \right|^p \\
 &= \sum_j \left( \int_{D(\alpha_0 \tau(z_j))} |H_b(\tilde{k}_{z_j})|^2 e^{-2\varphi} dA \right)^{p/2} \\
 &= \sum_j \left( \int_{D(\alpha_0 \tau(z_j))} |b\tilde{k}_{z_j} - P(b\tilde{k}_{z_j})|^2 e^{-2\varphi} dA \right)^{p/2} \\
 &= \sum_j \left( \int_{D(\alpha_0 \tau(z_j))} \left| b - \frac{1}{\tilde{k}_{z_j}} P(b\tilde{k}_{z_j}) \right|^2 |\tilde{k}_{z_j}|^2 e^{-2\varphi} dA \right)^{p/2}
 \end{aligned}$$

$$\begin{aligned} &\geq C \sum_j \left( \frac{1}{|D(\alpha_0\tau(z_j))|} \int_{D(\alpha_0\tau(z_j))} \left| b - \frac{1}{\tilde{k}_{z_j}} P(b\tilde{k}_{z_j}) \right|^2 e^{-2\varphi} dA \right)^{p/2} \\ &\geq C \sum_j F_{\alpha_0}(z_j)^p \end{aligned}$$

where the first inequality is by (4.2). Hence (1') implies (2').

Now we prove (2')  $\Rightarrow$  (3'). As we point out in the proof of (2)  $\Rightarrow$  (3) of Theorem 4.1 in [LR], the functions  $b_1$  and  $b_2$  produced in the proof of Theorem 3.1 in [LR] actually satisfy the following conditions:

$$(4.3) \quad \frac{1}{|D(\alpha\tau(z))|} \int_{D(\alpha\tau(z))} |b_1|^2 dA \leq C \sup \{F_\alpha(w)^2 : w \in D(3\alpha\tau(z))\}$$

and

$$(4.4) \quad \left| \frac{\bar{\partial}b_2(z)}{(\Delta\varphi(z))^{1/2}} \right| \leq C \sup \{F_\alpha(w) : w \in D(3\alpha\tau(z))\}.$$

It is easy to verify from the definition of  $F_\alpha(w)$  that

$$(4.5) \quad \sup \{F_\alpha(w) : w \in D(3\alpha\tau(z))\} \leq CF_{4\alpha}(z).$$

If we replace  $\alpha$  by  $\alpha/5$ , then from (4.3), (4.4) and (4.5) we obtain

$$(4.6) \quad \frac{1}{|D(\alpha/5\tau(z))|} \int_{D(\alpha/5\tau(z))} |b_1|^2 dA \leq CF_{4\alpha/5}(z)$$

and

$$(4.7) \quad \left| \frac{\bar{\partial}b_2(z)}{(\Delta\varphi(z))^{1/2}} \right| \leq CF_{4\alpha/5}(z).$$

Let us consider the  $\tau$ -covering  $\{D(\alpha/5\tau(z_j))\}$  of  $\mathbb{D}$ . From (4.6) and the fact  $F_{4\alpha/5}(z) \leq CF_\alpha(z)$ , we obtain

$$\begin{aligned} \sum_j \left( \frac{1}{|D(\alpha/5\tau(z_j))|} \int_{D(\alpha/5\tau(z_j))} |b_1|^2 dA \right)^{p/2} &\leq C \sum_j F_{4\alpha/5}(z_j)^p \\ &\leq C \sum_j F_\alpha(z_j)^p < \infty. \end{aligned}$$

If  $z \in D(\alpha/5\tau(z_j))$ , then it is easy to verify from its definition that  $F_{4\alpha/5\tau(z)} \leq CF_\alpha(z_j)$ . Therefore, from (4.7) we obtain

$$\sum_j \left( \frac{1}{|D(\alpha/5\tau(z_j))|} \int_{D(\alpha/5\tau(z_j))} \left| \frac{\bar{\partial}b_2(z)}{(\Delta\varphi(z))^{1/2}} \right|^2 dA \right)^{p/2} \leq C \sum_j F_\alpha(z_j)^p < \infty.$$

Thus (2') implies (3').

Finally, let us show (3')  $\Rightarrow$  (1'). Let  $H_b$  be a bounded Hankel operator and let  $b = b_1 + b_2$  be as in part (3) of Theorem 4.1. The argument in the proof of the boundedness theorem of  $H_b$  in [LR] actually shows that for any  $f \in AL_\varphi^2(\mathbb{D})$ ,

$$\|H_{b_1}f\|_{L_\varphi^2} \leq C\|M_{b_1}f\|_{L_\varphi^2}$$

and

$$\|H_{b_2}f\|_{L_\varphi^2} \leq C\|M_{\bar{\delta}b_2/(\Delta\varphi)^{1/2}}f\|_{L_\varphi^2},$$

where  $M_{b_1}f = b_1f$  and  $M_{\bar{\delta}b_2/(\Delta\varphi)^{1/2}}f = \bar{\delta}b_2/(\Delta\varphi)^{1/2}f$  are the multiplication operators. By the following equivalent definition of the singular numbers of the operator  $T$ ,

$$s_n = \inf \{ \|T|_W\| : \text{comdim } W = n \},$$

we know that the singular numbers for  $H_{b_1}$  and  $H_{b_2}$  are dominated by those for  $M_{b_1}|_{AL_\varphi^2(\mathbb{D})}$  and  $M_{\bar{\delta}b_2/(\Delta\varphi)^{1/2}}|_{AL_\varphi^2(\mathbb{D})}$ . So, to prove  $H_b \in S_p$  it suffices to show that  $M_\psi : AL_\varphi^2(\mathbb{D}) \rightarrow L_\varphi^2(\mathbb{D})$  belongs to  $S_p$  for  $\psi = b_1$  or  $\bar{\delta}b_2/(\Delta\varphi)^{1/2}$ . Observe that

$$\langle M_\psi f, M_\psi g \rangle_{L_\varphi^2} = \int_{\mathbb{D}} f\bar{g}|\psi|^2 e^{-2\varphi} dA = \langle T_{|\psi|^2} f, g \rangle_{L_\varphi^2}.$$

Therefore  $M_\psi^* M_\psi = T_{|\psi|^2}$ . Thus  $M_\psi \in S_p$  if and only if  $T_{|\psi|^2} \in S_{p/2}$ . By Theorem 3.4 (for  $p/2 \geq 1$ ) and Theorem 3.8 (for  $0 < p/2 < 1$ ), the condition in (3') is exactly what is needed to have both  $T_{|b_1|^2}$  and  $T_{|\bar{\delta}b_2/(\Delta\varphi)^{1/2}|^2}$  belong to  $S_{p/2}$ . Thus the corresponding multiplication operators  $M_{b_1}$  and  $M_{\bar{\delta}b_2/(\Delta\varphi)^{1/2}}$  belong to  $S_p$ . Hence  $H_b$  belongs to  $S_p$ . This completes the proof.  $\square$

**Acknowledgment.** We thank the referee for several helpful suggestions.

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Received August 12, 1993 and revised April 6, 1994. R. Rochberg's research was partially supported by a grant from the National Science Foundation.

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The Pacific Journal of Mathematics at the University of California, c/o Department of Mathematics, 981 Evans Hall, Berkeley, CA 94720 (ISSN 0030-8730) is published monthly except for July and August. Second-class postage paid at Berkeley, CA 94704, and additional mailing offices. POSTMASTER: send address changes to Pacific Journal of Mathematics, P.O. Box 6143 Berkeley, CA 94704-0163.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS at University of California,  
Berkeley, CA 94720, A NON-PROFIT CORPORATION

This publication was typeset using AMS-LATEX,  
the American Mathematical Society's TEX macro system.

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