

*Pacific
Journal of
Mathematics*

**ENDPOINT INEQUALITIES FOR BOCHNER-RIESZ
MULTIPLIERS IN THE PLANE**

ANDREAS SEEGER

ENDPOINT INEQUALITIES FOR BOCHNER-RIESZ MULTIPLIERS IN THE PLANE

ANDREAS SEEGER

**A weak-type inequality is proved for Bochner-Riesz means
 at the critical index, for functions in $L^p(\mathbb{R}^2)$, $1 \leq p < 4/3$.**

1. Introduction.

For a Schwartz-function $f \in \mathcal{S}(\mathbb{R}^2)$ let $\widehat{f}(\xi) = \int f(y)e^{-i\langle y, \xi \rangle} dy$ denote the Fourier transform and define the Bochner-Riesz means by

$$S_R^\lambda f(x) = \frac{1}{(2\pi)^2} \int_{|\xi| \leq R} \left(1 - \frac{|\xi|^2}{R^2}\right)^\lambda \widehat{f}(\xi) e^{i\langle x, \xi \rangle} d\xi;$$

we set $S^\lambda = S_1^\lambda$. It is a classical theorem of Bochner that S^λ extends to a bounded operator on $L^p(\mathbb{R}^2)$, $1 \leq p \leq \infty$ if $\lambda > 1/2$. The theorem of Carleson and Sjölin [2] states that S^λ is bounded in $L^p(\mathbb{R}^2)$ if $0 < \lambda \leq \frac{1}{2}$ and $\frac{4}{3+2\lambda} < p < \frac{4}{1-2\lambda}$. It is well known that the L^p boundedness fails if $p \leq \frac{4}{3+2\lambda}$ and C. Fefferman [11] showed that S^0 is not bounded in $L^p(\mathbb{R}^2)$ if $p \neq 2$.

In this paper we are concerned with endpoint estimates for the critical exponent $p_0(\lambda) = \frac{4}{3+2\lambda}$. In [4, 5] M. Christ proved that S^λ is of weak type $(p_0(\lambda), p_0(\lambda))$ if $1/6 < \lambda \leq 1/2$ (for related results see also [6, 15]). A combination of L^2 -variants of Calderón-Zygmund theory (as used first by Fefferman [10]) and the $L^p \rightarrow L^2$ restriction theorem for the Fourier transform (valid for $p \leq 6/5 = p_0(1/6)$) is essential in Christ's analysis; this accounts for the restriction $\lambda > 1/6$. It had been an open problem whether the weak type inequality for the critical index $\lambda(p) = 2(1/p - 1/2) - 1/2$ is true for $6/5 \leq p < 4/3$ (although for radial functions this was proved by Chanillo and Muckenhoupt [3]).

Theorem 1.1. *Suppose that $0 < \lambda \leq 1/2$. Then for all $\alpha > 0$ there is the weak-type inequality*

$$|\{x \in \mathbb{R}^2 : |S^\lambda f(x)| > \alpha\}| \leq C \frac{\|f\|_{p_0}^{p_0}}{\alpha^{p_0}}, \quad p_0 = \frac{4}{3 + 2\lambda},$$

where C does not depend on f or α .

By scaling the same estimate holds for S_R^λ , uniformly in R , and a standard argument gives that $\lim_{R \rightarrow \infty} S_R^\lambda f = f$ in the topology of the weak type space $L^{p_0 \infty}$ provided that $f \in L^{p_0}(\mathbb{R}^2)$.

We shall also prove an L^p endpoint version of the Carleson-Sjölin theorem. Define

$$(1.1) \quad m_{\lambda, \gamma}(\xi) = \frac{(1 - |\xi|^2)_+^\lambda}{(1 - \log(1 - |\xi|^2))^\gamma}.$$

Theorem 1.2. *Suppose that $1 \leq p < 4/3$ and $\lambda(p) = 2\left(\frac{1}{p} - \frac{1}{2}\right) - \frac{1}{2}$. Then $m_{\lambda(p), \gamma}$ is a Fourier multiplier of $L^p(\mathbb{R}^2)$ if and only if $\gamma > \frac{1}{p}$.*

The necessity of the condition $\gamma > 1/p$ was proved in [14], the sufficiency for $p \leq 6/5$ in [15].

In what follows c and C will always be positive numbers which may assume different values in different formulas.

2. Strong type estimates.

For an interval I on the real line denote by I^* the interval with same midpoint and double length. Suppose $\mathcal{I} = \{I_j\}_{j \geq 0}$ is a collection of intervals such that $I_j \subset (1/4, 4)$ and $2^{-j-3} \leq |I_j| \leq 2^{-j}$ and such that

$$I_j^* \cap I_{j'}^* = \emptyset \quad \text{if } j \neq j'.$$

For each $j \geq 0$ let ψ_j be a C^2 -function supported in I_j with bounds

$$\|\psi_j^{(\ell)}\|_\infty \leq 2^{j\ell}, \quad \ell = 0, 1, 2.$$

Let $\eta \in C_0^\infty(\mathbb{R}^2)$ such $\text{supp}(\eta) \subset \{\xi \in \mathbb{R}^2 : |\xi_1/\xi_2| \leq 10^{-1}, \xi_2 > 0\}$.

Define the operator T_j by

$$(2.1) \quad \widehat{T_j f}(\xi) = \eta(\xi)\psi_j(|\xi|)\widehat{f}(\xi).$$

T_j is a bounded operator on L^1 with operator norm $O(2^{j/2})$, and Córdoba [8] showed that the $L^{4/3}$ operator norm of T_j is $O(j^{1/4})$. We note that in order to prove results such as Theorem 1.2 for $p > 1$ it is not sufficient to derive sharp L^p bounds for the individual operators T_j . Our main result is

Theorem 2.1. *Suppose that $1 \leq p < 4/3$ and $\lambda(p) = 2\left(\frac{1}{p} - \frac{1}{2}\right) - \frac{1}{2}$ and \mathcal{I}, T_j are as above. Then there is the inequality*

$$(2.2) \quad \left\| \sum_j T_j f_j \right\|_p \leq C \left(\sum_j \left[2^{j\lambda(p)} \|f_j\|_p \right]^p \right)^{\frac{1}{p}}.$$

In particular if

$$(2.3) \quad m = \sum_j 2^{-j\lambda(p)} a_j \eta(\xi) \psi_j(|\xi|)$$

then m is a Fourier multiplier of L^p if $\{a_j\} \in \ell^p$ (simply apply Theorem 2.1 with $f_j = a_j 2^{-j\lambda(p)} f$). It is easy to see that the multiplier $m_{\lambda, \gamma}$ in (1.1) is a finite sum of a smooth compactly supported function and rotates of multipliers of the form (2.3), with $a_j = c j^{-\gamma}$. Therefore Theorem 2.1 implies Theorem 1.2.

Proof of Theorem 2.1 By duality the inequality (2.2) is equivalent to

$$(2.4) \quad \left(\sum_j \left[2^{-j\lambda(q')} \|T_j f\|_q \right]^q \right)^{\frac{1}{q}} \leq C \|f\|_q, \quad q > 4.$$

As in [8] one decomposes each $\psi_j(|\cdot|)$ into pieces which are essentially supported in rectangles of dimensions $(c2^{-j/2}, c2^{-j})$. To this end let $\beta \in C_0^\infty(\mathbb{R})$ be supported in $(-1, 1)$ such that $\sum_{\nu=-\infty}^\infty \beta(s - \nu) = 1$ for all $s \in \mathbb{R}$. Then define T_j^ν by

$$\widehat{T_j^\nu f}(\xi) = \beta(2^{j/2} \xi_1 - \nu) \widehat{T_j f}(\xi).$$

For $n \leq j/2$ let

$$\mathfrak{J}_j^n = \{(\nu, \nu') \in \mathbb{Z}^2 : 2^{j/2-n-1} < |\nu - \nu'| \leq 2^{j/2-n}\}.$$

Notice that $T_j^\nu f T_j^{\nu'} f = 0$ if $(\nu, \nu') \in \mathfrak{J}_j^n$ and $n < 0$. Therefore

$$(2.5) \quad \begin{aligned} & \left(\sum_j \left[2^{-j\lambda(q')} \|T_j f\|_q \right]^q \right)^{\frac{1}{q}} \\ &= \left(\sum_j \left[2^{-2j\lambda(q')} \left\| \sum_{\nu} \sum_{\nu'} T_j^\nu f T_j^{\nu'} f \right\|_{\frac{q}{2}} \right]^{\frac{q}{2}} \right)^{\frac{1}{q}} \\ &\leq \sum_{n=0}^\infty \left(\sum_{j \geq 2n} \left[2^{-2j\lambda(q')} \left\| \sum_{(\nu, \nu') \in \mathfrak{J}_j^n} T_j^\nu f T_j^{\nu'} f \right\|_{\frac{q}{2}} \right]^{\frac{q}{2}} \right)^{\frac{1}{q}} \end{aligned}$$

We shall show that for $q \geq 4$ the n^{th} term in (2.5) is bounded by $C 2^{-n(1/2-2/q)} \|f\|_q$ from which (2.4) immediately follows. This is contained in

Proposition 2.2. For $f, g \in \mathcal{S}(\mathbb{R}^2)$ let

$$\mathcal{B}_j^n(f, g) = \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} T_j^\nu f T_j^{\nu'} g.$$

Then for $q \geq 4$ there is the inequality

$$(2.6) \quad \left(\sum_{j \geq 2n} \left[2^{-2j\lambda(q')} \|\mathcal{B}_j^n(f, g)\|_{\frac{q}{2}} \right]^{\frac{q}{2}} \right)^{\frac{2}{q}} \leq C 2^{-n(1-\frac{4}{q})} \|f\|_q \|g\|_q.$$

Proof. The inequality follows by complex interpolation for bilinear mappings from the cases $q = 4$ and $q = \infty$. The correct interpretation of (2.6) for $q = \infty$ is of course

$$\sup_j 2^{-j} \left\| \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} T_j^\nu f T_j^{\nu'} g \right\|_{\infty} \leq C 2^{-n} \|f\|_{\infty} \|g\|_{\infty}.$$

But this is immediate since each operator T_j^ν is bounded on L^∞ with norm independent of j and ν and since the cardinality of \mathfrak{Z}_j^n is bounded by $C 2^{j/2} \times 2^{j/2-n} = C 2^{j-n}$.

We shall now prove the required estimate for $q = 4$ which is

$$(2.7) \quad \left(\sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \right)^{1/2} \leq C \|f\|_4 \|g\|_4$$

uniformly in n .

We first use Plancherel’s theorem and C. Fefferman’s basic observation ([12, 8]) that for fixed j the sets $\text{supp}(\widehat{T_j^\nu f}) + \text{supp}(\widehat{T_j^{\nu'} g})$ are essentially disjoint; that is each $\xi \in \mathbb{R}^2$ is contained in at most M of these sets where M is independent of j . This yields the inequality

$$(2.8) \quad \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \leq C \sum_{j \geq 2n} \sum_{(\nu, \nu') \in \mathfrak{Z}_j^n} \|T_j^\nu f T_j^{\nu'} g\|_2^2$$

It is crucial for this proof that a finer decomposition can be made depending on how far apart the supports of $\widehat{T_j^\nu f}$ and $\widehat{T_j^{\nu'} g}$ are, that is, depending on n . We define operators $T_j^{\nu\mu}$ by

$$\widehat{T_j^{\nu\mu} f}(\xi) = \beta(2^j - n \xi_1 - \mu) \widehat{T_j^\nu f}(\xi)$$

so that $\widehat{T_j^{\nu\mu} f}$ is supported in a rectangle of dimensions $(C 2^{-j+n}, C 2^{-j})$. Again one can check that for fixed j and fixed $(\nu, \nu') \in \mathfrak{Z}_j^n$ each $\xi \in \mathbb{R}^2$ is contained in at most M of the sets $E_{j\nu\nu'}^{\mu\mu'} = \text{supp}(\widehat{T_j^{\nu\mu} f}) + \text{supp}(\widehat{T_j^{\nu'\mu'} g})$ where

M is independent of j, ν, ν' . Each $E_{jn\nu\nu'}^{\mu\mu'}$ is contained in a rectangle of dimensions $(C'2^{-j+n}, C'2^{-j})$. For fixed j, ν, ν' there are no more than $C''2^{j(2-n)}$ of these rectangles and they form an essentially disjoint cover of $\text{supp}(\widehat{T_j^\nu f}) + \text{supp}(\widehat{T_j^{\nu'} g})$, the latter set being contained in a rectangle of dimensions $(C2^{-j/2}, C2^{-j/2-n})$. The disjointness property and Plancherel's theorem imply that

$$(2.9) \quad \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \leq C \sum_{j \geq 2n} \sum_{\mu, \mu'} \sum_{(\nu, \nu') \in \mathfrak{J}_j^n} \|T_j^{\nu\mu} f T_j^{\nu'\mu'} g\|_2^2.$$

For any integer κ with $|\kappa| \leq 2^n$ let

$$\mathfrak{W}_{jn}^\kappa = \{\mu \in \mathbb{Z} : |2^{n-j}\mu - 2^{-n}\kappa| \leq 2^{-n}\}.$$

Then observe that

$$(2.10) \quad T_j^{\nu\mu} f T_j^{\nu'\mu'} g = 0 \quad \text{if } (\nu, \nu') \in \mathfrak{J}_j^n, \mu \in \mathfrak{W}_{jn}^\kappa, \mu' \in \mathfrak{W}_{jn}^{\kappa'}, |\kappa - \kappa'| \geq 8.$$

Indeed, if $\mu \in \mathfrak{W}_{jn}^\kappa, \mu' \in \mathfrak{W}_{jn}^{\kappa'}, T_j^{\nu\mu} f T_j^{\nu'\mu'} g \neq 0$ then $|2^{n-j}\mu - 2^{-j/2}\nu| \leq 2^{-j/2+1}$ and $|2^{n-j}\mu' - 2^{-j/2}\nu'| \leq 2^{-j/2+1}$. If $(\nu, \nu') \in \mathfrak{J}_j^n$ this implies that $|2^{n-j}(\mu - \mu')| \leq 2^{-j/2+2} + 2^{-n} \leq 5 \cdot 2^{-n}$ and therefore $|\kappa - \kappa'| \leq 7$, hence (2.10). Moreover we note that for $\mu \in \mathfrak{W}_{jn}^\kappa$ the support of $\widehat{T_j^{\nu\mu} f}$ is essentially a rectangle with eccentricity 2^{-n} such that the directions of its sides depend on κ but not on μ .

By (2.9) and (2.10) we obtain that

$$\begin{aligned} & \sum_{j \geq 2n} \|\mathcal{B}_j^n(f, g)\|_2^2 \\ & \leq C \sum_{j \geq 2n} \sum_{\kappa} \sum_{\substack{\kappa' \\ |\kappa' - \kappa| < 8}} \left\| \left(\sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \left(\sum_{\mu' \in \mathfrak{W}_{jn}^{\kappa'}} \sum_{\nu'} |T_j^{\nu'\mu'} g|^2 \right)^{\frac{1}{2}} \right\|_2^2 \\ & \leq C' \sum_{j \geq 2n} \sum_{\kappa} \sum_{\substack{\kappa' \\ |\kappa' - \kappa| < 8}} \left\| \left(\sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_4^2 \left\| \left(\sum_{\mu' \in \mathfrak{W}_{jn}^{\kappa'}} \sum_{\nu'} |T_j^{\nu'\mu'} g|^2 \right)^{\frac{1}{2}} \right\|_4^2 \\ & \leq C'' \left(\sum_{j \geq 2n} \sum_{\kappa} \left\| \left(\sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_4^4 \right)^{\frac{1}{2}} \\ & \quad \left(\sum_{j \geq 2n} \sum_{\kappa} \left\| \left(\sum_{\mu \in \mathfrak{W}_{jn}^\kappa} \sum_{\nu} |T_j^{\nu\mu} g|^2 \right)^{\frac{1}{2}} \right\|_4^4 \right)^{\frac{1}{2}}. \end{aligned}$$

Therefore the desired estimate (2.7) follows from the case $q = 4$ of the following lemma.

Lemma 2.3. *For $q \geq 2$ there is the inequality*

$$(2.11) \quad \left(\sum_{j \geq 2n} \sum_{\kappa} \left\| \left(\sum_{\mu \in \mathfrak{W}_{jn}^{\kappa}} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_q^q \right)^{\frac{1}{q}} \leq C \|f\|_q$$

where C does not depend on n .

Proof. It suffices to prove (2.11) for $q = 2$ and $q = \infty$. Let $h_j^{\nu\mu}$ be the Fourier multiplier defining $T_j^{\nu\mu}$.

For fixed μ and j there are at most three ν such that $T_j^{\nu\mu} \neq 0$ and since the supports of the functions ψ_j are disjoint it follows that each $\xi \in \mathbb{R}^2$ is contained in at most 6 of the sets $\text{supp } h_j^{\nu\mu}$. Moreover for fixed μ and j there are at most two κ such that $\mu \in \mathfrak{W}_{jn}^{\kappa}$. Now (2.11) for $q = 2$ is an immediate consequence of Plancherel's theorem.

In order to check the required estimate for $q = \infty$ we consider for a fixed $\mathfrak{a} = \{a_{\nu\mu}\} \in \ell^2(\mathbb{Z}^2)$ the multiplier

$$m_{\mathfrak{a}}^{j\kappa}(\xi) = \sum_{\mu \in \mathfrak{W}_{jn}^{\kappa}} \sum_{\nu} a_{\nu\mu} h_j^{\nu\mu}(\xi)$$

and denote by $K_{\mathfrak{a}}^{j\kappa}$ its inverse Fourier transform.

Let $e_1^{\kappa} = (2^{-n}\kappa, \sqrt{1 - 2^{-2n}\kappa^2})$ and $e_2^{\kappa} = (-\sqrt{1 - 2^{-2n}\kappa^2}, 2^{-n}\kappa)$ and let L_{jn}^{κ} be the symmetric linear transformation in \mathbb{R}^2 with $L_{jn}^{\kappa} e_1^{\kappa} = 2^j e_1^{\kappa}$, $L_{jn}^{\kappa} e_2^{\kappa} = 2^{j-n} e_2^{\kappa}$. Then $h_j^{\nu\mu}(L_{jn}^{\kappa} \cdot)$ is supported in a cube $Q_j^{\nu\mu}$ of sidelength 10 and for fixed j the cubes $Q_j^{\nu\mu}$ have finite overlap, uniformly in j . Moreover it is easy to see that for $\mu \in \mathfrak{W}_{jn}^{\kappa}$

$$\left\| \frac{\partial^{\alpha}}{\partial \xi^{\alpha}} \left[h_j^{\nu\mu}(L_{jn}^{\kappa} \cdot) \right] \right\|_{\infty} \leq C, \quad |\alpha| \leq 2.$$

Since the Sobolev-space L_2^2 is a subspace of \widehat{L}^1 we obtain that

$$\begin{aligned} \|K_{\mathfrak{a}}^{j\kappa}\|_1 &= \|2^{-2j+n} K_{\mathfrak{a}}^{j\kappa}((L_{jn}^{\kappa})^{-1} \cdot)\|_1 \\ &\leq C \sum_{|\alpha| \leq 2} \left\| \sum_{\mu, \nu} a_{\nu\mu} \frac{\partial^{\alpha}}{\partial \xi^{\alpha}} \left[h_j^{\nu\mu}(L_{jn}^{\kappa} \cdot) \right] \right\|_2 \\ &\leq C' \left(\sum_{\mu, \nu} |a_{\nu\mu}|^2 \right)^{\frac{1}{2}} \end{aligned}$$

where C' does not depend on j , κ and \mathbf{a} . This implies

$$\begin{aligned} & \sup_{j \geq 2n} \sup_{\kappa} \left\| \left(\sum_{\mu \in \mathfrak{M}_{j_n}^{\kappa}} \sum_{\nu} |T_j^{\nu\mu} f|^2 \right)^{\frac{1}{2}} \right\|_{\infty} \\ &= \sup_{j \geq 2n} \sup_{\kappa} \sup_{x \in \mathbb{R}^2} \sup_{\|\mathbf{a}\|_{\ell^2(\mathbb{Z}^2)} \leq 1} |K_{\mathbf{a}}^{j\kappa} * f(x)| \\ &\leq \sup_{j \geq 2n} \sup_{\kappa} \sup_{\|\mathbf{a}\|_{\ell^2(\mathbb{Z}^2)} \leq 1} \|K_{\mathbf{a}}^{j\kappa}\|_1 \|f\|_{\infty} \leq C \|f\|_{\infty} \end{aligned}$$

which is the desired estimate for $q = \infty$. \square

Remarks.

(a) For $q = \infty$ the inequality (2.11) is closely related to an estimate on square-functions with respect to an equally spaced decomposition, see *e.g.* [9, 13]; in fact it can be obtained from these estimates.

(b) A variant of the above proof can be used to obtain the known sharp L^4 bound $\|T_j\|_{L^4 \rightarrow L^4} = O(j^{1/4})$ without making use of the sharp L^2 bounds for Keakeya-maximal functions.

(c) The observation concerning the overlapping properties of $\widehat{\text{supp}} T_j^{\nu\mu} f + \widehat{\text{supp}} T_j^{\nu'\mu'} g$ can be used to improve on some bounds for sectorial square-functions in Córdoba [9]. This has been observed by A. Carbery and the author.

(d) The decomposition in terms of the bilinear operators \mathcal{B}_j^n is related to a decomposition used by Carbery [1] in his work on weighted inequalities for the maximal Bochner-Riesz operator S_*^{λ} . The techniques above can be used to prove new weighted inequalities for S_*^{λ} .

3. Weak type estimates.

Let \mathcal{J} be a family of disjoint intervals as introduced in §2 and let T_j be as in (2.1). Define

$$T^{\lambda} f = \sum_{j \geq 0} 2^{-j\lambda} T_j f.$$

We shall prove the estimate

$$(3.1) \quad \left| \{x \in \mathbb{R}^2 : |T^{\lambda(p)} f(x)| > \alpha\} \right| \leq C \frac{\|f\|_p^p}{\alpha^p}, \quad p < \frac{4}{3}$$

where $\lambda(p) = 2(1/p - 1/2) - 1/2$ and C does not depend on f or α . Of course Theorem 1.1 is a consequence of (3.1).

As in [5] the proof is based on an interpolation. The argument uses Theorem 2.1 and known estimates previously obtained in the proof of weak-type (1,1) inequalities (see [4, 7, 15]).

Let $f \in L^p(\mathbb{R}^2)$ where $1 \leq p < \frac{4}{3}$ and let $\alpha > 0$. In order to estimate the quantity on the left hand side of (3.1) we apply the Calderón-Zygmund decomposition to $|f|^p$ at height α^p . We obtain a decomposition $f = g + b$ where $\|g\|_\infty \leq C\alpha$, $\|g\|_p \leq C\|f\|_p$, $b = \sum_Q b_Q$, $\text{supp } b_Q \subset Q$, the squares Q are pairwise disjoint, $\|b_Q\|_p^p \leq C\alpha^p|Q|$, $\sum_Q |Q| \leq C\alpha^{-p}\|f\|_p^p$; and as a consequence $\alpha^{p-2}\|g\|_2^2 + \|b\|_p^p \leq C\|f\|_p^p$.

Let $l(Q)$ be the sidelength of Q and $B_j = \sum_{Q:l(Q)=2^j} b_Q$ if $j > 0$ and $B_0 = \sum_{Q:l(Q) \leq 0} b_Q$. Then

$$\{x \in \mathbb{R}^2 : |T^{\lambda(p)}f(x)| > \alpha\} \subset \Omega_1 \cup \Omega_2 \cup \Omega_3 \cup \Omega_4 \cup \Omega_5$$

where Ω_1 is the union of the double squares Q^* and

$$\begin{aligned} \Omega_2 &= \left\{ x \in \mathbb{R}^2 : |T^{\lambda(p)}g(x)| > \frac{\alpha}{5} \right\} \\ \Omega_3 &= \left\{ x \in \mathbb{R}^2 : \left| \sum_{s \geq 0} \sum_{j > s} 2^{-j\lambda(p)} T_j B_{j-s}(x) \right| > \frac{\alpha}{5} \right\} \\ \Omega_4 &= \left\{ x \in \mathbb{R}^2 : \left| \sum_{j \geq 0} 2^{-j\lambda(p)} T_j B_0(x) \right| > \frac{\alpha}{5} \right\} \\ \Omega_5 &= \left\{ x \in \mathbb{R}^2 \setminus \Omega_1 : \left| \sum_{\sigma > 0} \sum_{j \geq 0} 2^{-j\lambda(p)} T_j B_{j+\sigma}(x) \right| > \frac{\alpha}{5} \right\}. \end{aligned}$$

By the disjointness of the squares Q we have

$$|\Omega_1| \leq \sum_Q |Q^*| \leq C \frac{\|f\|_p^p}{\alpha^p}$$

and Chebyshev's inequality and the L^2 -boundedness of T^λ imply

$$|\Omega_2| \leq C \frac{\|T^\lambda g\|_2^2}{\alpha^2} \leq C' \frac{\|g\|_2^2}{\alpha^2} \leq C'' \frac{\|f\|_p^p}{\alpha^p}.$$

Next we choose r such that $p < r < 4/3$. We shall show that the following estimates hold with $\epsilon = \frac{1}{2}(\frac{r}{p} - 1)$.

$$(3.2) \quad \left\| \sum_{j > s} 2^{-j\lambda(p)} T_j B_{j-s} \right\|_r^r \leq C 2^{-\epsilon s} \alpha^{r-p} \|b\|_p^p, \quad s \geq 0,$$

$$(3.3) \quad \|2^{-j\lambda(p)}T_j B_0\|_r^r \leq C2^{-\epsilon j}\alpha^{r-p}\|b\|_p^p, \quad j \geq 0,$$

$$(3.4) \quad \left\| \sum_{j \geq 0} 2^{-j\lambda(p)}T_j B_{j+\sigma} \right\|_{L^p(\mathbb{R}^2 \setminus \Omega_1)}^p \leq C2^{-\epsilon\sigma}\|b\|_p^p, \quad \sigma \geq 0.$$

From (3.2-3.4) it follows by applications of Minkowski's and Chebyshev's inequalities that

$$|\Omega_3| + |\Omega_4| + |\Omega_5| \leq C \frac{\|b\|_p^p}{\alpha^p} \leq C' \frac{\|f\|_p^p}{\alpha^p}.$$

In order to prove (3.2-4) we use analytic interpolation (i.e. the Phragmen-Lindelöf principle) similarly as in [5]. For $\text{Re}(z) \in [0, 1]$ define

$$B_{j,z}(x) = |B_j(x)|^{p[(1-z)+z/\tau]} \text{sign}(B_j(x))$$

and

$$\gamma(z) = 2 \left(1 - z + \frac{z}{r} - \frac{1}{2} \right) - \frac{1}{2}.$$

Since $2^{-j\gamma(1+i\tau)}T_j$ is a bounded operator on L^1 with norm independent of j we obtain

$$(3.5) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)}T_j B_{j-s,1+i\tau} \right\|_1 \leq C \sum_{j>s} \|B_{j-s,1+i\tau}\|_1 \leq C'\|b\|_p^p$$

$$(3.6) \quad \|2^{-j\gamma(1+i\tau)}T_j B_{0,1+i\tau}\|_1 \leq C\|B_0\|_p^p \leq C'\|b\|_p^p.$$

From estimates in [7] (or [15]) it follows that

$$(3.7) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)}T_j B_{j-s,1+i\tau} \right\|_2^2 \leq C2^{-s/2}\alpha^p\|b\|_p^p$$

$$(3.8) \quad \|2^{-j\gamma(1+i\tau)}T_j B_{0,1+i\tau}\|_2^2 \leq C2^{-j/2}\|b\|_p^p$$

and also that

$$(3.9) \quad \left\| \sum_{j \geq 0} 2^{-j\gamma(1+i\tau)}T_j B_{j+\sigma,1+i\tau} \right\|_{L^1(\mathbb{R}^2 \setminus \Omega_1)} \leq C2^{-\sigma} \sum_{j \geq 0} \|B_{j+\sigma,1+i\tau}\|_1 \leq C'2^{-\sigma}\|b\|_p^p.$$

Using the inequality $\|F\|_r \leq C\|F\|_1^{\frac{2}{r}-1}\|F\|_2^{2-\frac{2}{r}}$ we get from (3.5), (3.7) and from (3.6), (3.8) that

$$(3.10) \quad \left\| \sum_{j>s} 2^{-j\gamma(1+i\tau)}T_j B_{j-s,1+i\tau} \right\|_r^r \leq C2^{-s\frac{r-1}{2}}\alpha^{p(r-1)}\|b\|_p^p$$

$$(3.11) \quad \|2^{-j\gamma(1+i\tau)}T_jB_{0,1+i\tau}\|_r^r \leq C2^{-j\frac{r-1}{2}}\alpha^{p(r-1)}\|b\|_p^p.$$

Now by Theorem 2.1 it follows that

$$(3.12)$$

$$\left\| \sum_{j>s} 2^{-j\gamma(i\tau)}T_jB_{j-s,i\tau} \right\|_r^r \leq C \sum_{j>s} \|B_{j-s,i\tau}\|_r^r \leq C'\|b\|_p^p$$

$$(3.13)$$

$$\|2^{-j\gamma(i\tau)}T_jB_{0,i\tau}\|_r^r \leq C\|B_{0,i\tau}\|_r^r \leq C'\|b\|_p^p$$

$$(3.14)$$

$$\left\| \sum_{j\geq 0} 2^{-j\gamma(i\tau)}T_jB_{j+\sigma,i\tau} \right\|_r^r \leq C \sum_{j\geq 0} \|B_{j+\sigma,i\tau}\|_r^r \leq C'\|b\|_p^p.$$

Now let h be arbitrary function in $L^{p'}$, $p' = p/(p - 1)$, with $\|h\|_{p'} \leq 1$ and define

$$h_z(x) = |h(x)|^{z p' / r'} \text{sign}(h(x)).$$

Moreover let g be an arbitrary function in $L^{r'}$ with $\|g\|_{r'} \leq 1$. We then apply the Phragmen-Lindelöf principle to the functions

$$z \mapsto W_{1,s}(z) = \int \sum_{j>s} 2^{-j\gamma(z)}T_jB_{j-s,z}(x)g(x)dx$$

$$z \mapsto W_{2,j}(z) = \int 2^{-j\gamma(z)}T_jB_{0,z}(x)g(x)dx$$

$$z \mapsto W_{3,\sigma}(z) = \int \sum_{j\geq 0} 2^{-j\gamma(z)}T_jB_{j+\sigma,z}(x)h_z(x)dx$$

and estimate these functions at $z = \theta$ chosen such that $1/p = (1 - \theta) + \theta/r$. From (3.10), (3.12), from (3.11), (3.13) and from (3.9), (3.14) it follows that

$$|W_{1,s}(\theta)| \leq C\alpha^{r-p}2^{-\frac{\sigma}{2}(\frac{r}{p}-1)}\|b\|_p^p$$

$$|W_{2,j}(\theta)| \leq C\alpha^{r-p}2^{-\frac{j}{2}(\frac{r}{p}-1)}\|b\|_p^p$$

$$|W_{3,\sigma}(\theta)| \leq C2^{-\sigma(\frac{r}{p}-1)}\|b\|_p^p$$

and an application of the converse of Hölder’s inequality yields (3.2), (3.3) and (3.4).

Remark. Endpoint versions for more general classes of multiplier transformations have been formulated in [15]. By combining arguments in this and the present paper one can prove similar results for radial Fourier multipliers of $L^p(\mathbb{R}^2)$, for the full range $1 \leq p < 4/3$.

References

- [1] A. Carbery, *A weighted inequality for the maximal Bochner-Riesz operator on R^2* , Trans. Amer. Math. Soc., **287** (1985), 673-679.
- [2] L. Carleson and P. Sjölin, *Oscillatory integrals and a multiplier problem for the disc*, Studia Math., **44** (1972), 287-299.
- [3] S. Chanillo and B. Muckenhoupt, *Weak type estimates for Bochner-Riesz spherical summation multipliers*, Trans. Amer. Math. Soc., **294** (1986), 693-703.
- [4] M. Christ, *Weak type (1, 1) bounds for rough operators*, Annals of Math., **128** (1988), 19-42.
- [5] ———, *Weak type endpoint bounds for Bochner-Riesz multipliers*, Revista Mat. Iberoamericana, **3** (1987), 25-31.
- [6] M. Christ and C.D. Sogge, *The weak type L^1 convergence of eigenfunction expansions for pseudodifferential operators*, Invent. Math., **94** (1988), 421-453.
- [7] ———, *On the L^1 behavior of eigenfunction expansions and singular integral operators in Miniconferences on harmonic analysis and operator algebras* (Canberra, 1987), Proc. Centre Math. Anal. Austral. Nat. Univ., Austral. Nat. Univ., Canberra, **16** (1988), 29-50.
- [8] A. Córdoba, *A note on Bochner-Riesz operators*, Duke Math. J., **46** (1979), 505-511.
- [9] ———, *Geometric Fourier analysis*, Ann. Inst. Fourier, **32** (1982), 215-226.
- [10] C. Fefferman, *Inequalities for strongly singular convolution operators*, Acta Math., **124** (1970), 9-36.
- [11] ———, *The multiplier problem for the ball*, Annals of Math., **94** (1971), 330-336.
- [12] ———, *A note on spherical summation multipliers*, Israel J. Math., **15** (1973), 44-52.
- [13] J.L. Rubio de Francia, *Estimates for some square functions of Littlewood-Paley type*, Publicacions Mathématiques, **27** (1983), 81-108.
- [14] A. Seeger, *Necessary conditions for quasiradial Fourier multipliers*, Tôhoku Math. J., **39** (1986), 249-257.
- [15] ———, *Endpoint estimates for multiplier transformations on compact manifolds*, Indiana Univ. Math. J., **40** (1991), 471-533.

Received January 28, 1994. Research supported in part by a grant from the National Science Foundation.

UNIVERSITY OF WISCONSIN
MADISON, WI 53706
E-mail address: seeger@math.wisc.edu

Andreas Seeger , Endpoint inequalities for Bochner-Riesz multipliers in the plane	543
Ted Stanford , Braid commutators and Vassiliev invariants	269
Xiangsheng Xu , On the Cauchy problem for a singular parabolic equation	277
Xingwang Xu , On the existence of extremal metrics	555
Rugang Ye , Constant mean curvature foliation: singularity structure and curvature estimate	569

PACIFIC JOURNAL OF MATHEMATICS

Volume 174 No. 2 June 1996

Quantum affine algebras and affine Hecke algebras	295
VYJAYANTHI CHARI and ANDREW PRESSLEY	
On the zero sets of bounded holomorphic functions in the bidisc	327
PHILIPPE CHARPENTIER and JOAQUIM ORTEGA-CERDÀ	
Bloch constants in one and several variables	347
IAN GRAHAM and DROR VAROLIN	
Characters of the centralizer algebras of mixed tensor representations of $GL(r, \mathbb{C})$ and the quantum group ${}^{\mathcal{O}}U_q(gl(r, \mathbb{C}))$	359
TOM HALVERSON	
Derivations of C^* -algebras and almost Hermitian representations on Π_k -spaces	411
EDWARD KISSIN, ALEKSEI I. LOGINOV and VICTOR S. SHULMAN	
Twisted Alexander polynomial and Reidemeister torsion	431
TERUAKI KITANO	
Explicit solutions for the corona problem with Lipschitz data in the polydisc	443
STEVEN KRANTZ and SONG-YING LI	
Prepolar deformations and a new Lê-Iomdine formula	459
DAVID MASSEY	
KK -groups of twisted crossed products by groups acting on trees	471
KEVIN PAUL MCCLANAHAN	
Coherent states, holomorphic extensions, and highest weight representations	497
KARL-HERMANN NEEB	
Endpoint inequalities for Bochner-Riesz multipliers in the plane	543
ANDREAS SEEGER	
On the existence of extremal metrics	555
XINGWANG XU	
Constant mean curvature foliation: singularity structure and curvature estimate	569
RUGANG YE	