

*Pacific
Journal of
Mathematics*

**L^p -BOUNDS FOR HYPERSINGULAR INTEGRAL OPERATORS
ALONG CURVES**

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Volume 175 No. 2

October 1996

L^p -BOUNDS FOR HYPERSINGULAR INTEGRAL OPERATORS ALONG CURVES

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It is known that the Hilbert transform along curves:

$$\mathcal{H}_\Gamma f(x) = pv \int_{-\infty}^{\infty} f(x - \Gamma(t)) \frac{dt}{t} \quad (x \in \mathbf{R}^n)$$

is bounded on L^p , $1 < p < \infty$, where $\Gamma(t)$ is an appropriate curve in \mathbf{R}^n . In particular, $\|\mathcal{H}_\Gamma f\|_p \leq C\|f\|_p$, $1 < p < \infty$, where $\Gamma(t) = (t, |t|^k \operatorname{sgn} t)$, $k \geq 2$, is a curve in \mathbf{R}^2 .

It is easy to see that the *hypersingular integral operator*

$$\mathcal{T}f(x) = pv \int_{-1}^1 f(x - \Gamma(t)) \frac{dt}{t|t|^\alpha} \quad (\alpha > 0),$$

in which the singularity at the origin is worse than that in the Hilbert transform, is not bounded on $L^2(\mathbf{R}^2)$. To counterbalance this worsened singularity, we introduce an additional oscillation $e^{-2\pi i|t|^{-\beta}}$ and study the operator

$$\mathcal{T}_{\alpha,\beta} f(x, y) = pv \int_{-1}^1 f(x - t, y - \gamma(t)) e^{-2\pi i|t|^{-\beta}} \frac{dt}{t|t|^\alpha} \quad (\alpha, \beta > 0)$$

along the curve $\Gamma(t) = (t, \gamma(t))$, where $\gamma(t) = |t|^k$ or $\gamma(t) = |t|^k \operatorname{sgn} t$, $k \geq 2$, in \mathbf{R}^2 and show that

- (i) $\|\mathcal{T}_{\alpha,\beta} f\|_2 \leq A_{\alpha,\beta} \|f\|_2$ if and only if $\beta \geq 3\alpha$;
- (ii) $\|\mathcal{T}_{\alpha,\beta} f\|_p \leq B_{\alpha,\beta} \|f\|_p$ whenever $\beta > 3\alpha$, and

$$1 + \frac{3\alpha(\beta + 1)}{\beta(\beta + 1) + (\beta - 3\alpha)} < p < \frac{\beta(\beta + 1) + (\beta - 3\alpha)}{3\alpha(\beta + 1)} + 1.$$

1. Introduction.

In recent years, several mathematicians have studied the Hilbert transform along curves:

$$\mathcal{H}_\Gamma f(x) = pv \int_{-\infty}^{\infty} f(x - \Gamma(t)) \frac{dt}{t} \quad (x \in \mathbf{R}^n),$$

where $\Gamma(t)$ is an appropriate curve in \mathbf{R}^n . Fabes and Rivière were led to the study of \mathcal{H}_Γ in their attempt to generalize the Method of Rotation of Calderon and Zygmund; for details see [Fa, Ri] and [Wa2].

Nagel, Rivière, Stein and Wainger, and several other mathematicians have studied the L^p -boundedness of \mathcal{H}_Γ for a variety of curves Γ . A detailed survey of these results can be found in [St, Wa]; also see [Wa1]. Nagel, Rivière and Wainger proved in [NRW1] that \mathcal{H}_Γ is a bounded operator on L^p , $1 < p < \infty$, when $\Gamma(t) = (|t|^{\alpha_1} \operatorname{sgn} t, \dots, |t|^{\alpha_n} \operatorname{sgn} t)$, each $\alpha_k > 0$, is a curve in \mathbf{R}^n . In particular, $\|\mathcal{H}_\Gamma f\|_p \leq C\|f\|_p$, $1 < p < \infty$, where $\Gamma(t) = (t, |t|^k \operatorname{sgn} t)$, $k \geq 2$, is a curve in \mathbf{R}^2 . For more general curves see [Na, Wa], [NVWW], and [Wa3].

The kernel, $K(x) = \frac{1}{\pi x}$, of the Hilbert transform,

$$\mathcal{H}f(x) = \frac{1}{\pi} \int_{-\infty}^{\infty} \frac{f(x-y)}{y} dy \quad (x \in \mathbf{R}),$$

owing to its order of magnitude, is not integrable either at 0 or ∞ . It does, however, compensate for this deficiency by cancellation due to oscillation; this oscillatory property being reflected in the fact that its Fourier transform, $\hat{K}(x) = i \operatorname{sgn} x$, is bounded.

It is tempting to explore a situation where the order of magnitude of the singularity of K at the origin is greater than that of $|x|^{-1}$, say of the order of $|x|^{-1-\alpha}$, $\alpha > 0$. It is reasonable to expect that some additional oscillation is required to compensate for this worsened singularity. This translates to the requirement that the Fourier transform of K , in addition to being bounded, have some decay at infinity; that is, $|\hat{K}(x)| \leq C(1+|x|)^{-\beta}$ for some $\beta > 0$. For further discussion see Theorem 5 of [St].

Integral operators with strong singularities of the type described above, were studied by Hirschman in one dimension [Hi], Wainger in k -dimensions [Wa], Stein [St], Fefferman [Fe], and Fefferman and Stein [Fe, St].

It is not hard to see that the *hypersingular integral operator*

$$\mathcal{T}f(x) = pv \int_{-1}^1 f(x - \Gamma(t)) \frac{dt}{t|t|^\alpha} \quad (\alpha > 0)$$

along $\Gamma(t) = (t, \gamma(t))$, where $\gamma(t) = |t|^k$ or $\gamma(t) = |t|^k \operatorname{sgn} t$, $k \geq 2$, is not bounded on $L^2(\mathbf{R}^2)$. The L^2 -boundedness of this operator is equivalent to

the *uniform* boundedness, in \mathbf{R}^2 , of the *multiplier*

$$m(x, y) = pv \int_{-1}^1 e^{-2\pi i[xt+y\gamma(t)]} \frac{dt}{t|t|^\alpha} \quad (\alpha > 0).$$

It is easy to see that $|m(\frac{1}{4}, 0)| = \infty$ for $\alpha \geq 1$; for $0 < \alpha < 1$ and $x > 0$,

$$|m(x, 0)| = 2 \left| \int_0^1 \sin(2\pi xt) \frac{dt}{t^{1+\alpha}} \right| = 2(2\pi x)^\alpha \left| \int_0^{2\pi x} \sin s \frac{ds}{s^{1+\alpha}} \right| \rightarrow \infty$$

as $x \rightarrow \infty$.

One can ask if the worsened singularity at the origin can be counterbalanced by an oscillation. This leads us to the operator

$$\mathcal{T}_{\alpha,\beta} f(x, y) = pv \int_{-1}^1 f(x-t, y-\gamma(t)) e^{-2\pi i|t|^{-\beta}} \frac{dt}{t|t|^\alpha} \quad (\alpha, \beta > 0)$$

along the curve $\Gamma(t) = (t, \gamma(t))$, $\gamma(t) = |t|^k$ or $\gamma(t) = |t|^k \operatorname{sgn} t$, $k \geq 2$, in \mathbf{R}^2 .

Zielinski, in his thesis [Zi], studied the L^2 -boundedness of $\mathcal{T}_{\alpha,\beta}$ along the parabola $\gamma(t) = (t, t^2)$, and proved that $\|\mathcal{T}_{\alpha,\beta} f\|_2 \leq C\|f\|_2 \iff \beta \geq 3\alpha$.

1.1. Statement of the Main Result. We state the main result of this paper as:

Theorem 1. *Suppose that $\gamma(t) = |t|^k$ or $\gamma(t) = |t|^k \operatorname{sgn} t$, $k \geq 2$, and*

$$\mathcal{T}_{\alpha,\beta} f(x, y) = pv \int_{-1}^1 f(x-t, y-\gamma(t)) e^{-2\pi i|t|^{-\beta}} \frac{dt}{t|t|^\alpha} \quad (\alpha, \beta > 0).$$

Then

- (i) $\|\mathcal{T}_{\alpha,\beta} f\|_2 \leq A_{\alpha,\beta}\|f\|_2$ *if and only if* $\beta \geq 3\alpha$;
- (ii) $\|\mathcal{T}_{\alpha,\beta} f\|_p \leq B_{\alpha,\beta}\|f\|_p$ *whenever* $\beta > 3\alpha$, *and*

$$1 + \frac{3\alpha(\beta + 1)}{\beta(\beta + 1) + (\beta - 3\alpha)} < p < \frac{\beta(\beta + 1) + (\beta - 3\alpha)}{3\alpha(\beta + 1)} + 1.$$

Here $A_{\alpha,\beta}$ also depends on k , and $B_{\alpha,\beta}$ also depends on p .

1.2. Outline of Proof. In Section 2, we define an appropriate *one parameter family of dilations* $\{\delta_t\}_{t>0}$, and a corresponding distance function ρ , whose *homogeneity* with respect to δ_t is essential in proving the L^2 and L^p -boundedness of $\mathcal{T}_{\alpha,\beta}$.

In Section 3, we prove that $\mathcal{T}_{\alpha,\beta}$ is a bounded operator on L^2 if and only if $\beta \geq 3\alpha$. This is achieved by applying van der Corput’s Lemma and its corollary to judiciously subdivided intervals, and the *asymptotics of oscillatory integrals*.

The L^p -boundedness, as stated in the second assertion of Theorem 1, is proven in Section 4. This is accomplished by showing that a certain *analytic family*, $\{\mathcal{T}_z^\varepsilon\}$, of truncated operators is bounded on L^2 for an appropriate $\Re z > 0$; and it is bounded on L^p , $1 < p < \infty$, for an appropriate $\Re z < 0$; and that the bound in each case grows at most as fast as a polynomial in $|z|$. The result then follows by *analytic interpolation*.

2. Dilations and Homogeneity.

We define a *one parameter group of dilations* $\{\delta_t\}_{t>0}$, $\delta_t : \mathbf{R}^2 \rightarrow \mathbf{R}^2$, by $\delta_t = \text{diag}[t^{1+\beta}, t^{k+\beta}]$, with $A = \text{diag}[1+\beta, k+\beta]$ and $a = \text{trace } A = 2\beta+k+1$, and a corresponding *distance function* ρ defined by: $\rho = \rho(x, y) = t$ such that

$$\|\delta_\rho^{-1}(x, y)\|^2 = \left(\frac{x}{\rho^{1+\beta}}\right)^2 + \left(\frac{y}{\rho^{k+\beta}}\right)^2 = 1$$

if $(x, y) \neq (0, 0)$, and $\rho(0, 0) = 0$. Then ρ is *homogeneous* with respect to δ_t : $\rho(\delta_t x) = t\rho(x)$, $t > 0, x \in \mathbf{R}^2$; $\rho(x)$ is continuous and is in $C^\infty(\mathbf{R}^2 - 0)$; $\rho(x + y) \leq C[\rho(x) + \rho(y)]$, for some $C > 0$; and \mathbf{R}^2 can be coordinatized by the *polar-like* coordinates $\rho = \rho(x)$ and $u = \delta_\rho^{-1} x$, with $dx = \rho^{a-1} d\rho(Au, u) d\varsigma = \rho^{a-1} d\rho d\varphi$, where $d\varsigma$ is the linear measure on \mathbf{S}^1 . For proofs of these assertions and additional properties of δ_t and ρ see [St, Wa].

3. L^2 -Boundedness.

The proof of sufficiency in the first assertion of Theorem 1 is accomplished as an easy consequence of Theorem 2, which we prove next. Our point of departure is the observation that

$$\widehat{(\mathcal{T}_{\alpha,\beta} f)}(x, y) = m_{\alpha,\beta}(x, y)\hat{f}(x, y) \quad (f \in L^2),$$

where $\hat{}$ denotes the *Fourier transform*, and $m_{\alpha,\beta}(x, y)$ is the *multiplier* given by

$$m_{\alpha,\beta}(x, y) = pv \int_{-1}^1 e^{-2\pi i [xt+y\gamma(t)+|t|^{-\beta}]} \frac{dt}{t|t|^\alpha} \quad (\alpha, \beta > 0).$$

Thus, the boundedness of $\mathcal{T}_{\alpha,\beta}$ on L^2 is, by the Plancherel Theorem, equivalent to the *uniform boundedness*, in x and y , of the multiplier $m_{\alpha,\beta}$. So we first prove:

Theorem 2. *The multiplier $m_{\alpha,\beta}(x, y)$ is uniformly bounded in \mathbf{R}^2 for $\beta \geq 3\alpha$. More precisely:*

$$|m_{\alpha,\beta}(x, y)| \leq \begin{cases} C & \text{if } 0 \leq \rho \leq 1, \\ C \rho^{-\frac{\beta-3\alpha}{3}} & \text{if } \rho > 1 \end{cases}, \quad \beta \geq 3\alpha, (x, y) \in \mathbf{R}^2.$$

The proof of Theorem 2 depends mainly on the following:

Lemma 3.1. *Suppose that*

- (i) g is real-valued and smooth for all $t \in [a, b]$, $0 < a < b$;
- (ii) $|g^{(k)}(t)| \geq \rho > 0$ for all $t \in [a, b]$ with $k \geq 2$; in addition, g' is monotone on $[a, b]$ if $k = 1$;
- (iii) $z = \sigma + i\tau$, $\sigma \geq 0$, $\tau \in \mathbf{R}$
- (iv) $\alpha \geq 0$.

Then,

$$\left| \int_a^b e^{-2\pi i g(t)} \frac{dt}{t^{1+\alpha+z}} \right| \leq \frac{C(1+|z|)}{a^{1+\alpha+\sigma}} \rho^{-\frac{1}{k}}.$$

Proof. Let

$$G(t) = \int_a^t e^{-2\pi i g(s)} ds.$$

Then, by van der Corput's Lemma (see [St3], Chapter VIII),

$$|G(t)| \leq C_k \rho^{-\frac{1}{k}}, \quad t \in [a, b].$$

Integrating by parts, we get

$$\left| \int_a^b e^{-2\pi i g(t)} \frac{dt}{t^{1+\alpha+z}} \right| = \left| \left[\frac{G(t)}{t^{1+\alpha+z}} \right]_{t=a}^{t=b} - (1+\alpha+z) \int_a^b \frac{G(t)}{t^{2+\alpha+z}} dt \right|$$

$$\begin{aligned} &\leq C \rho^{-\frac{1}{k}} \left[\frac{1}{b^{1+\alpha+\sigma}} + \frac{1}{a^{1+\alpha+\sigma}} \right] \\ &\quad + C(1 + \alpha + |z|) \rho^{-\frac{1}{k}} \int_a^b \frac{dt}{|t^{2+\alpha+z}|} \\ &\leq \frac{2C}{a^{1+\alpha+\sigma}} \rho^{-\frac{1}{k}} + \frac{C(1 + \alpha + |z|)}{1 + \alpha + \sigma} \left[\frac{1}{b^{1+\alpha+\sigma}} + \frac{1}{a^{1+\alpha+\sigma}} \right] \rho^{-\frac{1}{k}} \\ &\leq \frac{C(1 + |z|)}{a^{1+\alpha+\sigma}} \rho^{-\frac{1}{k}} . \end{aligned}$$

This completes the proof of Lemma 3.1. □

Proof of Theorem 2: We only need look at

$$m^+(x, y) = m_{\alpha, \beta}^+(x, y) = \int_0^1 e^{-2\pi i [xt + yt^k + t^{-\beta}]} \frac{dt}{t^{1+\alpha}} ,$$

since the other half can be dealt with similarly.

Since $\rho(0, 0) = 0$ and $m(0, 0) = 0$; for $(x, y) \neq (0, 0)$ but $x^2 + y^2 \leq 1$, so that $0 < \rho \leq 1$, if we let

$$g(s) = xs + ys^k + s^{-\beta} ,$$

then

$$g'(s) = x + yk s^{k-1} - \beta s^{-(\beta+1)} ,$$

and so there exists a $T > 0$ independent of x and y such that $g'(s) \leq -\frac{\beta}{2} s^{-(\beta+1)}$ for $s \in (0, T]$. Then if we let

$$G(s) = \int_0^s e^{-2\pi i g(t)} dt ,$$

we get $|G(s)| \leq Cs^{\beta+1}$, by van der Corput's Lemma. Hence integrating by parts we get,

$$\begin{aligned} \left| \int_0^T e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| &\leq \left| \left[\frac{G(s)}{s^{1+\alpha}} \right]_{s=0}^{s=T} \right| + (1 + \alpha) \int_0^T \frac{|G(s)|}{s^{\alpha+2}} ds \\ &\leq C \left[\frac{s^{\beta+1}}{s^{\alpha+1}} \right]_{s=0}^{s=T} + C(1 + \alpha) \int_0^T \frac{s^{\beta+1}}{s^{\alpha+2}} ds \end{aligned}$$

$$= C [s^{\beta-\alpha}]_{s=0}^{s=T} + C (1 + \alpha) \int_0^T s^{(\beta-\alpha)-1} ds .$$

Both of these exist if $\beta > \alpha$. Thus,

$$\left| \int_0^T e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C .$$

For $s \in [T, 1]$,

$$\left| \int_T^1 e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq \int_T^1 \frac{ds}{s^{1+\alpha}} = \frac{1}{\alpha} \left[\frac{1}{T^\alpha} - 1 \right] \leq C .$$

Thus $m^+(x, y)$ is uniformly bounded when $0 \leq \rho \leq 1$. We now turn to the case when $\rho > 1$. With $\rho = \rho(x, y)$ as defined above, the change of variable $t = s \rho^{-1}$ leads us to

$$m^+(x, y) = \rho^\alpha \int_0^\rho e^{-2\pi i [\frac{x}{\rho} s + \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta}]} \frac{ds}{s^{1+\alpha}} .$$

Thus, to prove the theorem, we need only show that

$$\left| \int_0^\rho e^{-2\pi i [\frac{x}{\rho} s + \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta}]} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{\alpha}}$$

for all $(x, y) \in \mathbf{R}^2$. To this end, we show that the above integral is *uniformly bounded* in each of the four quadrants of \mathbf{R}^2 .

Note: For notational convenience, we shall write x (resp. y) if x (resp. y) is positive, and $-x$ (resp. $-y$) if x (resp. y) is negative.

Case I: $x < 0, y < 0$.

Let

$$g(s) = -\frac{x}{\rho} s - \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta} .$$

Then,

$$g'(s) = -\frac{x}{\rho} - \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)} ,$$

and

$$g''(s) = -\frac{y}{\rho^k} k(k-1) s^{k-2} + \rho^\beta \beta(\beta+1) s^{-(\beta+2)}.$$

Let

$$G(s) = \int_0^s e^{-2\pi i g(t)} dt.$$

Since near 0 we have $g'(s) \leq -\rho^\beta \beta s^{-(\beta+1)}$, van der Corput's Lemma gives $|G(s)| \leq C \rho^{-\beta} s^{\beta+1}$. Hence, integrating by parts as before, we get

$$\left| \int_0^1 e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta} \quad \text{for } \beta > \alpha.$$

To tackle the integral from 1 to ρ , we need to consider the following two cases:

$$(i) \frac{x}{\rho} \geq \frac{\rho^\beta}{2}; \quad (ii) \frac{x}{\rho} \leq \frac{\rho^\beta}{2}.$$

$$(i) \quad \frac{x}{\rho} \geq \frac{\rho^\beta}{2}$$

This implies that $-\frac{x}{\rho} \leq -\frac{\rho^\beta}{2}$. Thus $g'(s) \leq -\frac{x}{\rho} \leq -\frac{\rho^\beta}{2}$ on $[1, \rho]$, together with Lemma 3.1, yields

$$\left| \int_1^\rho e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta}.$$

$$(ii) \quad \frac{x}{\rho} \leq \frac{\rho^\beta}{2}$$

By the definition of ρ , this implies that $-\frac{y}{\rho^k} \leq -\frac{\rho^\beta}{2}$. Then,

$$\begin{aligned} g'(s) &= -\frac{x}{\rho} - \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)} \\ &\leq -\frac{x}{\rho} - k \frac{\rho^\beta}{2} - \rho^\beta \beta s^{-(\beta+1)} \\ &\leq -\frac{k}{2} \rho^\beta \quad \text{for } s \in [1, \rho]. \end{aligned}$$

This, along with Lemma 3.1, gives

$$\left| \int_1^\rho e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta}.$$

Hence, $|m^+(x, y)| \leq C \rho^{-(\beta-\alpha)}$ whenever $x, y < 0$ and $\beta > \alpha$. This completes *Case I*.

Case II: $x \geq 0, y \geq 0$.

In this case,

$$\begin{aligned} g(s) &= + \frac{x}{\rho} s + \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta}, \\ g'(s) &= + \frac{x}{\rho} + \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)}, \\ g''(s) &= + \frac{y}{\rho^k} k(k-1) s^{k-2} + \rho^\beta \beta(\beta+1) s^{-(\beta+2)}. \end{aligned}$$

In the vicinity of 0, we have $g''(s) \geq C \rho^\beta s^{-(\beta+2)}$; and so

$$\left| \int_0^b e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{2}} \quad \text{for } \beta > 2\alpha,$$

using van der Corput's lemma, where b can be chosen later.

Away from 0, we have the following two cases:

$$(i) \frac{y}{\rho^k} \leq \frac{\rho^\beta}{2}; \quad (ii) \frac{y}{\rho^k} \geq \frac{\rho^\beta}{2}.$$

$$(i) \quad \frac{y}{\rho^k} \leq \frac{\rho^\beta}{2}$$

This, and the definition of ρ imply that $\frac{x}{\rho} \geq \frac{\rho^\beta}{2}$.

Then,

$$\begin{aligned} g'(s) &\geq \frac{\rho^\beta}{2} - \beta \rho^\beta s^{-(\beta+1)} \\ &\geq \frac{\rho^\beta}{2} - \frac{\rho^\beta}{4} \\ &\geq \frac{\rho^\beta}{4} \quad \text{whenever } s \geq (4\beta)^{\frac{1}{\beta+1}}. \end{aligned}$$

Note that g' is increasing since $g'' > 0$. Choosing $b = (4\beta)^{\frac{1}{\beta+1}}$, and using Lemma 3.1, we get

$$\left| \int_b^\rho e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta} .$$

(ii) $\frac{y}{\rho^k} \geq \frac{\rho^\beta}{2}$

Here, $g''(s) \geq C \frac{\rho^\beta}{2}$. Choosing $b = 1$, and using Lemma 3.1, we get

$$\left| \int_1^\rho e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{2}} .$$

Thus, $|m^+(x, y)| \leq C \rho^{-(\frac{\beta}{2}-\alpha)}$ whenever $x, y \geq 0$ and $\beta > 2\alpha$.

This completes *Case II*.

Case III: $x < 0, y \geq 0$.

Here,

$$\begin{aligned} g(s) &= -\frac{x}{\rho} s + \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta}, \\ g'(s) &= -\frac{x}{\rho} + \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)}, \\ g''(s) &= +\frac{y}{\rho^k} k(k-1) s^{k-2} + \rho^\beta \beta(\beta+1) s^{-(\beta+2)}. \end{aligned}$$

Close to 0, $g''(s) \geq \beta(\beta+1) \rho^\beta s^{-(\beta+2)}$; and so

$$\left| \int_0^b e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{2}} \quad \text{for } \beta > 2\alpha,$$

using van der Corput's Lemma, where b can be chosen later.

Farther from 0, the following two cases need to be considered:

$$(i) \frac{y}{\rho^k} \geq \frac{\rho^{\frac{\beta}{3}}}{8k}; \quad (ii) \frac{y}{\rho^k} \leq \frac{\rho^{\frac{\beta}{3}}}{8k} .$$

(i) $\frac{y}{\rho^k} \geq \frac{\rho^{\frac{\beta}{3}}}{8k}$

Here,

$$g''(s) \geq \frac{y}{\rho^k} k(k-1) s^{k-2}$$

$$\begin{aligned} &\geq \frac{(k-1)}{8} \rho^{\frac{\beta}{3}} s^{k-2} \\ &\geq C \rho^{\frac{\beta}{3}} \rho^{\frac{\beta}{3}} \\ &= C \rho^{\frac{2\beta}{3}} \quad \text{whenever } s \in I = \left[\rho^{\frac{\beta}{3(k-2)}}, \rho \right]. \end{aligned}$$

Choosing $b = \rho^{\frac{\beta}{3(k-2)}}$ in the above, and using Lemma 3.1 we get

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{3(k-2)}} \rho^{-\frac{\beta}{3}} \leq C \rho^{-\frac{\beta}{3}}.$$

(ii) $\frac{y}{\rho^k} \leq \frac{\rho^{\frac{\beta}{3}}}{8k}$

This implies that $\frac{x}{\rho} \geq \frac{\rho^\beta}{2}$, and so

$$\begin{aligned} g'(s) &\leq -\frac{x}{\rho} + \frac{y}{\rho^k} k s^{(k-1)} \\ &\leq -\frac{\rho^\beta}{2} + \frac{\rho^{\frac{\beta}{3}}}{8} \rho^{\frac{2\beta}{3}} \\ &\leq -\frac{\rho^\beta}{4} \quad \text{whenever } s \in \left[1, \rho^{\frac{2\beta}{3(k-1)}} \right]. \end{aligned}$$

If $\beta \geq \frac{3(k-1)}{2}$, we are done using Lemma 3.1. If not, we need to subdivide further:

(ii a) $\frac{y}{\rho^k} \leq \frac{\rho^{\beta-(k-1)}}{8k}$; (ii b) $\frac{\rho^{\beta-(k-1)}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\frac{\beta}{3}}}{8k}$.

(ii a) $\frac{y}{\rho^k} \leq \frac{\rho^{\beta-(k-1)}}{8k}$; $0 < \beta < \frac{3(k-1)}{2}$, $s \in [1, \rho]$.

In this case,

$$\begin{aligned} g'(s) &\leq -\frac{\rho^\beta}{2} + \frac{\rho^{\beta-(k-1)}}{8} s^{(k-1)} \\ &\leq -\frac{\rho^\beta}{2} + \frac{\rho^\beta}{8} \\ &\leq -\frac{\rho^\beta}{4}. \end{aligned}$$

Thus, Lemma 3.1 gives

$$\left| \int_1^\rho e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta}.$$

$$(ii) \quad \frac{\rho^{\beta-(k-1)}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\frac{\beta}{3}}}{8k}; \quad 0 < \beta < \frac{3(k-1)}{2}, \quad s \in [1, \rho].$$

There is a real number $j > 1$ such that $0 < j - 1 \leq \frac{2\beta}{3} \leq j < k$. Then $\frac{\beta}{3} = \beta - \frac{2\beta}{3} \leq \beta - (j - 1) = \beta - [k - \{k - (j - 1)\}]$.

Now, let

$$S_N = \sum_{n=0}^N \left(\frac{k-1}{k} \right)^n.$$

Then, $S_{m+1} = 1 + \left(\frac{k-1}{k} \right) S_m$; $m \geq 0$. We choose N so that

$$S_N = \frac{1 - \left(\frac{k-1}{k} \right)^{N+1}}{1 - \left(\frac{k-1}{k} \right)} = k \left[1 - \left(\frac{k-1}{k} \right)^{N+1} \right] \geq k - (j - 1);$$

i.e., $(j - 1) \geq k \left(\frac{k-1}{k} \right)^{N+1}$. This can be done since $\frac{k-1}{k} < 1$.

We now look at:

$$\frac{\rho^{\beta-[k-S_m]}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\beta-[k-S_{m+1}]}}{8k}; \quad m = 0, 1, 2, \dots, N - 1.$$

For $s \in I = \left[1, \rho^{1-\frac{S_m}{k}} \right]$, we have

$$\begin{aligned} g'(s) &= -\frac{x}{\rho} + \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)} \\ &\leq -\frac{\rho^\beta}{2} + \frac{1}{8} \rho^{\beta-[k-S_{m+1}]} \rho^{k-1-\left(\frac{k-1}{k}\right)S_m} \\ &= -\frac{\rho^\beta}{2} + \frac{\rho^\beta}{8} \\ &\leq -\frac{\rho^\beta}{4}. \end{aligned}$$

Hence,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta} \quad \text{using Lemma 3.1.}$$

Next, for $s \in I = \left[\rho^{1-\frac{S_m}{k}}, \rho \right]$, we have

$$\begin{aligned} g''(s) &\geq k(k-1) \frac{y}{\rho^k} s^{k-2} \\ &\geq \frac{(k-1)}{8} \rho^{\beta-[k-S_m]} s^{k-2} \end{aligned}$$

$$\begin{aligned} &\geq \frac{(k-1)}{8} \rho^{\beta-[k-S_m]} \rho^{k-2-\left(\frac{k-2}{k}\right) S_m} \\ &= C \rho^{\beta-2+\frac{2}{k} S_m}. \end{aligned}$$

This, along with Lemma 3.1, gives

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{2}+1-\frac{S_m}{k}} \rho^{-1+\frac{S_m}{k}} = C \rho^{-\frac{\beta}{2}}.$$

Thus, $|m^+(x, y)|$ is uniformly bounded when $x, y < 0$ and $\beta \geq 3\alpha$.

This completes *Case III*.

Case IV: $x > 0, y < 0$.

Here,

$$\begin{aligned} g(s) &= + \frac{x}{\rho} s - \frac{y}{\rho^k} s^k + \rho^\beta s^{-\beta}, \\ g'(s) &= + \frac{x}{\rho} - \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)}, \\ g''(s) &= - \frac{y}{\rho^k} k(k-1) s^{k-2} + \rho^\beta \beta(\beta+1) s^{-(\beta+2)}, \\ g'''(s) &= - \frac{y}{\rho^k} k(k-1)(k-2) s^{k-3} - \rho^\beta \beta(\beta+1)(\beta+2) s^{-(\beta+3)}. \end{aligned}$$

We need to split as follows:

$$(i) \frac{y}{\rho^k} \geq C_1 \rho^\beta; \quad (ii) \frac{y}{\rho^k} \leq C_1 \rho^\beta$$

where $0 < C_1 < 1$ is to be chosen appropriately at a later stage.

Note that, in the vicinage of 0,

$$\begin{aligned} g'(s) &\leq \frac{x}{\rho} - \rho^\beta \beta s^{-(\beta+1)} \\ &\leq \rho^\beta - \rho^\beta \beta s^{-(\beta+1)} \\ &\leq -\frac{\beta}{2} \rho^\beta s^{-(\beta+1)} \end{aligned}$$

whenever $s \in I = \left(0, \left(\frac{\beta}{2} \right)^{\frac{1}{\beta+1}} \right]$.

Therefore,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta} \text{ for } \beta > \alpha$$

using van der Corput's Lemma.

$$(i) \quad \frac{y}{\rho^k} \geq C_1 \rho^\beta$$

Note that, $|g'''(s)| \geq \frac{y}{\rho^k} k(k-1)(k-2) s^{k-3} \geq C \rho^\beta$ whenever $s \in I = \left[\left(\frac{\beta}{2} \right)^{\frac{1}{\beta+1}}, \rho \right]$. Thus,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{3}}$$

using Lemma 3.1. This completes (i).

$$(ii) \quad \frac{y}{\rho^k} \leq C_1 \rho^\beta$$

This needs to be split further:

$$(ii \text{ a}) \quad \frac{\rho^{\frac{2\beta}{3}}}{8k} \leq \frac{y}{\rho^k} \leq C_1 \rho^\beta; \quad (ii \text{ b}) \quad \frac{y}{\rho^k} \leq \frac{\rho^{\frac{2\beta}{3}}}{8k}.$$

$$(ii \text{ a}) \quad \frac{\rho^{\frac{2\beta}{3}}}{8k} \leq \frac{y}{\rho^k} \leq C_1 \rho^\beta$$

At $s_0 = \left[\frac{\beta(\beta+1)}{k(k-1)y} \right]^{\frac{1}{\beta+k}} \rho$, we have $g''(s_0) = 0$. Since $g''' < 0$, g' has a maximum at s_0 .

Now,

$$\begin{aligned} g'(s_0) &= \frac{x}{\rho} - \frac{y}{\rho^k} k \left[\frac{\beta(\beta+1)}{k(k-1)y} \right]^{\frac{k-1}{\beta+k}} \rho^{k-1} - \rho^{-1} \beta \left[\frac{\beta(\beta+1)}{k(k-1)y} \right]^{-\frac{\beta+1}{\beta+k}} \\ &= \frac{x}{\rho} - C_{\beta,k} \frac{y^{\frac{\beta+1}{\beta+k}}}{\rho}, \end{aligned}$$

where $C_{\beta,k} = \left[\frac{\beta(\beta+k)}{(k-1)} \right] \left[\frac{k(k-1)}{\beta(\beta+1)} \right]^{\frac{\beta+1}{\beta+k}}$.

Now, choose C_1 so that $g'(s_0) \geq \frac{1}{2} \frac{x}{\rho}$. Next, choose $a < 1$ and $b > 1$ such that in the neighborhood $I_{a,b} = [a s_0, b s_0]$ of s_0 , we have

$$g'(s) \geq \frac{x}{4\rho} \geq \frac{1}{4} (1 - C_1^2)^{\frac{1}{2}} \rho^\beta.$$

Then,

$$\left| \int_{I_{a,b}} e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta}$$

using van der Corput's Lemma on $[as_0, s_0]$ and $[s_0, bs_0]$.

Since $g'''(s) < 0$, $g''(s)$ is decreasing; and so on $I = \left[\left(\frac{\beta}{2}\right)^{\frac{1}{\beta+1}}, as_0 \right]$, we have

$$\begin{aligned} g''(s) &\geq g''(as_0) = -\frac{y}{\rho^k} k(k-1)(as_0)^{k-2} + \rho^\beta \beta(\beta+1)(as_0)^{-(\beta+2)} \\ &= C_{a,\beta,k} \frac{y^{\frac{\beta+2}{\beta+k}}}{\rho^2} \geq C'_{a,\beta,k} \rho^{\frac{2\beta}{3}}, \end{aligned}$$

as a simple calculation shows.

Thus on I ,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{3}}$$

by Lemma 3.1.

Now, on $I = [bs_0, \rho]$,

$$g''(s) \leq g''(bs_0) \leq -C'_{b,\beta,k} \rho^{\frac{2\beta}{3}},$$

as before. Hence, once again,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\beta}{3}}$$

using Lemma 3.1. This completes (ii a).

(ii b) $\frac{y}{\rho^k} \leq \frac{\rho^{\frac{2\beta}{3}}}{8k}$

Have,

$$\begin{aligned} g'(s) &= +\frac{x}{\rho} - \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)} \\ &\geq \frac{\rho^\beta}{2} - \frac{\rho^{\frac{2\beta}{3}}}{8} s^{(k-1)} - \rho^\beta \beta s^{-(\beta+1)} \\ &\geq \frac{\rho^\beta}{4} \quad \text{whenever } s \in I = \left[(8\beta)^{\frac{1}{\beta+1}}, \rho^{\frac{\beta}{3(k-1)}} \right]. \end{aligned}$$

If $\beta \geq 3(k-1)$, we are done using Lemma 3.1. If not, we need to subdivide further:

(ii b A) $\frac{y}{\rho^k} \leq \frac{\rho^{\beta-(k-1)}}{8k}$; (ii b B) $\frac{\rho^{\beta-(k-1)}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\frac{2\beta}{3}}}{8k}$

with $0 < \beta < 3(k-1)$, and $s \in I = [1, \rho]$.

(ii b A) $\frac{y}{\rho^k} \leq \frac{\rho^{\beta-(k-1)}}{8k}$; $0 < \beta < 3(k-1)$, $s \in I = [1, \rho]$

Have,

$$\begin{aligned} g'(s) &= + \frac{x}{\rho} - \frac{y}{\rho^k} k s^{k-1} - \rho^\beta \beta s^{-(\beta+1)} \\ &\geq \frac{\rho^\beta}{2} - \frac{\rho^{\beta-(k-1)}}{8} \rho^{k-1} - \frac{\rho^\beta}{8} \\ &\geq \frac{\rho^\beta}{4} \text{ whenever } s \in I = \left[(8\beta)^{\frac{1}{\beta+1}}, \rho \right]. \end{aligned}$$

Using Lemma 3.1 once again, we are done.

(ii b B) $\frac{\rho^{\beta-(k-1)}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\frac{2\beta}{3}}}{8k}$; $0 < \beta < 3(k-1)$, $s \in I = [1, \rho]$

We proceed here as in *Case III* (ii b):

There is a real number $j > 1$ such that $0 < j - 1 \leq \frac{\beta}{3} \leq j < k$.

Then $\frac{2\beta}{3} = \beta - \frac{\beta}{3} \leq \beta - (j - 1) = \beta - [k - \{k - (j - 1)\}]$.

With N , S_N , and S_m as in *Case III* (ii b), for

$$\frac{\rho^{\beta-[k-S_m]}}{8k} \leq \frac{y}{\rho^k} \leq \frac{\rho^{\beta-[k-S_{m+1}]}}{8k}, \quad m = 0, 1, 2, \dots, N - 1,$$

and $s \in I = \left[(8\beta)^{\frac{1}{\beta+1}}, \rho^{1-\frac{S_m}{k}} \right]$, we note that

$$\begin{aligned} g'(s) &\geq \frac{\rho^\beta}{2} - \frac{1}{8} \rho^{\beta-[k-S_{m+1}]} \rho^{k-1-\left(\frac{k-1}{k}\right)S_m} - \frac{\rho^\beta}{8} \\ &= \frac{\rho^\beta}{2} - \frac{\rho^\beta}{8} - \frac{\rho^\beta}{8} \geq \frac{\rho^\beta}{4}. \end{aligned}$$

Hence Lemma 3.1 implies that

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\beta}.$$

For $s \in I = \left[\rho^{1-\frac{S_m}{k}}, \rho \right]$, we use the fact that

$$\begin{aligned} |g'''(s)| &\geq k(k-1)(k-2) \frac{y}{\rho^k} s^{k-3} \\ &\geq C_k \rho^{\beta-[k-S_m]} \rho^{k-3-\frac{k-3}{k}S_m} \\ &\geq C_k \rho^{\beta-3+\frac{3}{k}S_m}. \end{aligned}$$

Lemma 3.1 now yields,

$$\left| \int_I e^{-2\pi i g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \rho^{-\frac{\rho}{3}+1-\frac{\rho m}{k}} \rho^{-1+\frac{\rho m}{k}} = C \rho^{-\frac{\rho}{3}}.$$

On $\left[\left(\frac{\beta}{2}\right)^{\frac{1}{\beta+1}}, (8\beta)^{\frac{1}{\beta+1}} \right]$ we use the fact that $|g'''(s)| \geq C' \rho^\beta$, and Lemma 3.1. This completes *Case IV*, and shows that $|m(x, y)| \leq C \rho^{-\frac{\rho}{3}+\alpha}$; i.e., the multiplier $m(x, y)$ is uniformly bounded in \mathbf{R}^2 whenever $\beta \geq 3\alpha$. Thus the proof of Theorem 2 is complete. \square

Plancherel's Theorem now shows that

$$\|\mathcal{T}_{\alpha,\beta} f\|_2 = \|\widehat{\mathcal{T}_{\alpha,\beta} f}\|_2 \leq A_{\alpha,\beta} \|\hat{f}\|_2 = A_{\alpha,\beta} \|f\|_2 \quad \text{for } \beta \geq 3\alpha.$$

Theorem 3. *Along the curve $y = -C_{\beta,k} x^{\frac{\beta+k}{\beta+1}}$ ($x > 0$),*

$$\left| m\left(x, -C_{\beta,k} x^{\frac{\beta+k}{\beta+1}}\right) \right| \sim C \rho^{-[\frac{\rho}{3}-\alpha]} \quad \text{as } \rho \rightarrow \infty.$$

Proof. As before, it suffices to prove the above estimate for

$$m^+(x, y) = \int_0^1 e^{-2\pi i [xs+ys^k+s^{-\beta}]} \frac{ds}{s^{1+\alpha}}.$$

For (x, y) on the above curve, write $x = C_{\beta,k} \tau^{\beta+1}$ and $y = -\tau^{\beta+k}$ ($\tau > 0$). The change of variable $s \mapsto s\tau^{-1}$ yields

$$m^+(C_{\beta,k} \tau^{\beta+1}, -\tau^{\beta+k}) = \tau^\alpha \int_0^\tau e^{-2\pi i \tau^\beta g(s)} \frac{ds}{s^{1+\alpha}},$$

with $g(s) = [C_{\beta,k} s - s^k + s^{-\beta}]$. We split the above integral as

$$\int_0^\tau = \int_0^a + \int_a^b + \int_b^\tau$$

where $[a, b]$ is a small fixed interval centered at $s_0 = \left[\frac{\beta(\beta+1)}{k(k-1)}\right]^{\frac{1}{\beta+1}}$. Then since $g'(s_0) = g''(s_0) = 0$, but $g'''(s_0) \neq 0$, we have

$$\tau^\alpha \left| \int_a^b e^{-2\pi i \tau^\beta g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \tau^{(\alpha-\frac{\rho}{3})} + O\left(\tau^{(\alpha-\frac{2\rho}{3})}\right) \quad \text{as } \tau \rightarrow \infty,$$

by a standard result on integral asymptotics; see [St3], Chapter VIII. Next, on $I_1 = \left(0, \left[\frac{\beta}{2C_{\beta,k}}\right]^{\frac{1}{\beta+1}}\right)$, we have

$$g'(s) \leq -\frac{\beta}{2} s^{-(\beta+1)} \leq -C_{\beta,k}.$$

Hence, by van der Corput's Lemma we get

$$\tau^\alpha \left| \int_{I_1} e^{-2\pi i \tau^\beta g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \tau^{-(\beta-\alpha)}.$$

Since $g''' < 0$, g'' is decreasing on $I_2 = \left[\left[\frac{\beta}{2C_{\beta,k}}\right]^{\frac{1}{\beta+1}}, a\right)$. Thus

$$g''(s) \geq g''(a) = \left[-k(k-1)a^{k-2} + \beta(\beta+1)a^{-(\beta+2)}\right] = C > 0,$$

since $0 < a < s_0$ and $g''(s_0) = 0$. Hence,

$$\tau^\alpha \left| \int_{I_2} e^{-2\pi i \tau^\beta g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \tau^{-\left[\frac{\beta}{2}-\alpha\right]}.$$

Since $g''' < 0$, as seen before, g'' is decreasing on $I_3 = [b, \tau]$. Then

$$g''(s) \leq g''(b) = \left[-k(k-1)b^{k-2} + \beta(\beta+1)b^{-(\beta+2)}\right] = -C < 0,$$

since $b > s_0$ and $g''(s_0) = 0$. Hence, by van der Corput's Lemma,

$$\tau^\alpha \left| \int_{I_3} e^{-2\pi i \tau^\beta g(s)} \frac{ds}{s^{1+\alpha}} \right| \leq C \tau^{-\left[\frac{\beta}{2}-\alpha\right]}.$$

Thus on $(0, a] \cup [b, \tau]$, $m^+(x, y)$ decays *faster* than required. This shows that

$$|m(C_{\beta,k} \tau^{\beta+1}, -\tau^{\beta+k})| \sim C \tau^{-\left[\frac{\beta}{3}-\alpha\right]} \quad \text{as } \tau \rightarrow \infty;$$

that is,

$$|m(x, -C_{\beta,k} x^{\frac{\beta+k}{\beta+1}})| \sim C \rho^{-\left[\frac{\beta}{3}-\alpha\right]} \quad \text{as } \rho \rightarrow \infty.$$

This completes the proof of Theorem 3. □

This shows that on the curve $y = -C_{\beta,k} x^{\frac{\beta+k}{\beta+1}}$ ($x > 0$), the multiplier $m(x, y)$ becomes unbounded if $\beta < 3\alpha$; hence the bound $\beta \geq 3\alpha$ on $m(x, y)$ is *sharp*, and the first assertion of Theorem 1 is proved.

4. L^p -Boundedness.

To prove the second assertion of Theorem 1, we introduce an *analytic family* of truncated operators defined by

$$\widehat{(\mathcal{T}_z^\epsilon f)}(x, y) = \rho^z(x, y) m_z^\epsilon(x, y) \hat{f}(x, y) \quad (f \in \mathcal{S}),$$

where

$$m_z^\epsilon(x, y) = \int_{\epsilon \leq |t| \leq 1} e^{-2\pi i [xt + y\gamma(t) + |t|^{-\beta}]} |t|^{-z} \frac{dt}{|t|^\alpha}; \quad \alpha > 0, \beta \geq 3\alpha, \text{ and } \epsilon > 0.$$

We note at the outset that $\mathcal{T}_0^0 = \mathcal{T}_{\alpha, \beta}$ is bounded on L^2 . We need to prove that

$$\|\mathcal{T}_0^0 f\|_p \leq C \|f\|_p \quad (f \in L^p),$$

where p is as in the statement of Theorem 1.

Lemma 4.1. *Let $z = \sigma + i\tau$; $0 \leq \sigma \leq \frac{1}{2} \left[\frac{\beta}{3} - \alpha \right]$, $\tau \in \mathbf{R}$. Then for simple f*

$$\|\mathcal{T}_z^\epsilon f\|_2 \leq C(1 + |z|) \|f\|_2.$$

Proof. It suffices to show that for each z , $|m_z^\epsilon(x, y)|$ is uniformly bounded for $(x, y) \in \mathbf{R}^2$. The proof of this fact is very similar to that of Theorem 2 of Section 3, and shows that

$$|m_z^\epsilon(x, y)| \leq \begin{cases} C(1 + |z|) & \text{if } 0 \leq \rho \leq 1 \\ C(1 + |z|) \rho^{-\frac{\beta}{3} + (\alpha + \sigma)} & \text{if } \rho > 1 \end{cases} \quad \text{for all } (x, y) \in \mathbf{R}^2.$$

Then for $\rho > 1$,

$$\begin{aligned} |\rho^z m_z^\epsilon(x, y)| &\leq C(1 + |z|) \rho^\sigma \rho^{-\frac{\beta}{3} + (\alpha + \sigma)} \\ &= C(1 + |z|) \rho^{-(\frac{\beta}{3} - \alpha) + 2\sigma}. \end{aligned}$$

For each z , this is uniformly bounded whenever $0 \leq \sigma \leq \frac{1}{2} \left[\frac{\beta}{3} - \alpha \right]$. The result now follows from the definition of \mathcal{T}_z^ϵ and the Plancherel theorem. This completes the proof of Lemma 4.1. □

To prove the L^p -boundedness of T_z^ϵ , we need the following:

Lemma 4.2. For $-a < \Re z < 0$,

$$\rho^z(x, y) = \hat{h}_z(x, y)$$

where

- (i) $h_z(x, y)$ is a locally integrable function;
- (ii) $h_z \in C^\infty(\mathbf{R}^2 - 0)$;
- (iii) $h_z(\delta_\lambda(x, y)) = \lambda^{-a-z} h_z(x, y)$, $\lambda > 0$, $(x, y) \neq (0, 0)$;
- (iv) each derivative of $h_z(x, y)$ is bounded by a polynomial in $|z|$ if $\rho(x, y) \geq 1$.

Here $a = (2\beta + k + 1) = \text{trace } A$, and the Fourier transform is to be taken in the sense of distributions.

Proof. See [St, Wa]. □

Remark 4.3. If the line joining x and $x - w$ avoids the origin, and $\frac{|w|}{|x|}$ is sufficiently small, then

$$\begin{aligned} |h_z(x - w) - h_z(x)| &= \left| \int_0^1 \frac{d}{dt} h_z(x - tw) dt \right| \\ &= \left| - \int_0^1 \nabla h_z(x - tw) \cdot w dt \right| \\ &\leq |w| \int_0^1 |\nabla h_z(x - tw)| dt \\ (4.3-1) \quad &\leq C(z) |w| \end{aligned}$$

since the derivatives of h_z are bounded by $C(z)$, by Lemma 4.2. This observation, and the homogeneity of h_z with $\lambda = \rho(x)$ and $\|x\|$ sufficiently large, then imply that,

$$\begin{aligned} &|h_z(x - w) - h_z(x)| \\ &= \left| h_z\left(\delta_{\rho(x)}\left(\delta_{\rho(x)}^{-1}x - \delta_{\rho(x)}^{-1}w\right)\right) - h_z\left(\delta_{\rho(x)}\left(\delta_{\rho(x)}^{-1}x\right)\right) \right| \\ &\leq C(z) \frac{|\delta_{\rho(x)}^{-1}| |w|}{\rho(x)^{(2\beta+k+1)+\sigma}} \quad \text{by (4.3-1)} \\ (4.3-2) \quad &\leq C(z) \frac{|w|}{\rho(x)^{(2\beta+k+1)+\sigma+\beta+1}}. \end{aligned}$$

Lemma 4.4. *Suppose that*

(i) $\mathcal{T}_z^\epsilon f$ is defined by

$$\widehat{(\mathcal{T}_z^\epsilon f)}(x, y) = \rho^z(x, y) m_z^\epsilon(x, y) \hat{f}(x, y), \quad f \in \mathcal{S};$$

(ii) $z = \sigma + i\tau$; $-\alpha < \sigma \leq -\alpha \left[\frac{\beta + 1}{\beta + 2} \right] < 0$, $\tau \in \mathbf{R}$.

Then

$$\|\mathcal{T}_z^\epsilon f\|_p \leq C(z) \|f\|_p \quad (1 < p < \infty),$$

where, for fixed α and β , $C(z)$ grows at most as fast as a polynomial in $|z|$.

Proof. By Lemma 4.2, for $f \in \mathcal{S}$, we see that

$$(4.4-1) \quad (\mathcal{T}_z^\epsilon f)(x) = (K_z * f)(x),$$

where

$$K_z(x) = \int_{\epsilon \leq |t| \leq 1} h_z(x - \Gamma(t)) |t|^{-z} e^{-2\pi i |t|^{-\beta}} \frac{dt}{t|t|^\alpha}$$

with $x \in \mathbf{R}^2$, and $\Gamma(t) = [t, \gamma(t)] \in \mathbf{R}^2$. It follows that (4.4-1) holds when f is simple. Our aim now is to show that, for $x, y \in \mathbf{R}^2$,

$$(4.4-2) \quad \int_{\rho(x) > C\rho(y)} |K_z(x - y) - K_z(x)| dx \leq C_1(z),$$

where $C_1(z)$ has at most polynomial growth in $|z|$. Now $U_\alpha = \{x : \rho(x) < \alpha\}$ is a regular Vitali family; and proving (4.4-2) will prove our lemma by virtue of Theorem 4.1 of [Ri].

There are two cases to consider: $0 < \rho(y) \leq 1$, and $\rho(y) \geq 1$.

Case I: $0 < \rho(y) \leq 1$.

Since

$$\int_{\epsilon \leq |t| \leq 1} h_z(x) e^{-2\pi i |t|^{-\beta}} |t|^{-z} \frac{dt}{t|t|^\alpha} = 0;$$

$$K_z(x) = \int_{\epsilon \leq |t| \leq 1} [h_z(x - \Gamma(t)) - h_z(x)] e^{-2\pi i |t|^{-\beta}} |t|^{-z} \frac{dt}{t|t|^\alpha}.$$

The change of variable $t = s\rho(y)^{\beta+1}$ gives $dt = \rho(y)^{\beta+1} ds$, and

$$K_z(x) = \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} [h_z(x - \Gamma(s\rho(y)^{\beta+1})) - h_z(x)] e^{-2\pi i |s\rho(y)^{\beta+1}|^{-\beta}} ds$$

$$\begin{aligned} & \cdot \left| s \rho(y)^{\beta+1} \right|^{-z} \rho(y)^{-(\beta+1)\alpha} \frac{ds}{s|s|^\alpha} \\ & + \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} \dots \\ & = K_z^1 + K_z^2. \end{aligned}$$

Now,

$$\begin{aligned} & \int_{\rho(x) > C\rho(y)} |K_z^1(x)| dx \\ & \leq \int_{\rho(x) > C\rho(y)} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} \left| h_z \left(x - \Gamma \left(s \rho(y)^{\beta+1} \right) \right) - h_z(x) \right| \\ (4.4-3) \quad & |s|^{-1-\alpha-\sigma} \rho(y)^{-(\beta+1)(\alpha+\sigma)} ds dx. \end{aligned}$$

The change of variable $x = \delta_{\rho(y)} x'$ implies $dx = \rho(y)^{(2\beta+k+1)} dx'$; $\rho(x) = \rho(y) \rho(x')$; and that $\|x'\|$ is large. The right-hand side of (4.4-3) now becomes:

$$\begin{aligned} & \int_{\rho(x') > C} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} \left| h_z \left(\delta_{\rho(y)} \left[x' - \delta_{\rho(y)}^{-1} \Gamma \left(s \rho(y)^{\beta+1} \right) \right] \right) h_z \left(\delta_{\rho(y)} x' \right) \right| \\ & \cdot |s|^{-1-\alpha-\sigma} \rho(y)^{-(\beta+1)(\alpha+\sigma)} \rho(y)^{(2\beta+k+1)} ds dx'. \end{aligned}$$

Now, using the homogeneity of h_z :

$$h_z \left(\delta_{\rho(y)} x \right) = \rho(y)^{-(2\beta+k+1)-z} h_z(x),$$

and writing $x = x'$, this

$$\begin{aligned} & = \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{\rho(x) > C} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} \\ & \left| h_z \left(x - \left[s, \gamma(s) \rho(y)^{\beta(k-1)} \right] \right) - h_z(x) \right| |s|^{-1-\alpha-\sigma} ds dx \\ & = \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{\rho(x) > C} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} \\ & \left| h_z \left(\delta_{\rho(x)} \left(\delta_{\rho(x)}^{-1} x - \delta_{\rho(x)}^{-1} \left[s, \gamma(s) \rho(y)^{\beta(k-1)} \right] \right) \right) - h_z \left(\delta_{\rho(x)} \left(\delta_{\rho(x)}^{-1} x \right) \right) \right| \\ & \cdot |s|^{-1-\alpha-\sigma} ds dx. \end{aligned}$$

Note that $\|\delta_{\rho(x)}^{-1} x\| = 1$; and since $\rho(x)$ is large, $\|w\| = \|\delta_{\rho(x)}^{-1} [s, \gamma(s) \rho(y)^{\beta(k-1)}]\|$ is small. Fubini's theorem and (4.3-2) then imply that the above is

$$\leq C(z) \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} |s|^{-1-\alpha-\sigma} [s^2 + s^{2k} \rho(y)^{2\beta(k-1)}]^{\frac{1}{2}} ds$$

$$\cdot \int_{\rho(x) > C} \frac{dx}{\rho(x)^{(2\beta+k+1)+\sigma+\beta+1}}.$$

Changing to *polar-like* coordinates with $dx = \rho(x)^{(2\beta+k+1)-1} d\rho(x) d\varphi$, the above is

$$\leq C(z) \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1} |s|^{-\alpha-\sigma} [1 + s^{2k-2} \rho(y)^{2\beta(k-1)}]^{\frac{1}{2}} ds$$

$$\cdot \int_{S^1} d\varphi \int_{\rho(x) > C} \frac{d\rho(x)}{\rho(x)^{\beta+\sigma+2}}.$$

For $\rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma}$ to be bounded, we need $-(\beta + 1)(\alpha + \sigma) - \sigma \geq 0$; that is, $\sigma \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right] < 0$. With σ as in the preceding statement, $-\alpha - \sigma \geq -\frac{\alpha}{\beta+2} > -1$ since $\beta \geq 3\alpha$; and so $|s|^{-\alpha-\sigma}$ is integrable on $\epsilon \rho(y)^{-(\beta+1)} \leq |s| \leq 1$. For the ρ -integral to be bounded, we need $\beta + \sigma + 2 > 1$; that is, $\sigma > -(\beta + 1)$. Thus, whenever $-(\beta + 1) < -\alpha < \sigma \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right]$, we have that $\int_{\rho(x) > C \rho(y)} |K_z^1(x)| dx$ is bounded by $C(z)$.

Similarly, $\int_{\rho(x) > C \rho(y)} |K_z^1(x - y)| dx \leq C(z)$ using the fact that $\rho(x + y) \leq C[\rho(x) + \rho(y)]$.

Next,

$$\int_{\rho(x) > C \rho(y)} |K_z^2(x - y) - K_z^2(x)| dx$$

$$\leq \int_{\rho(x) > C \rho(y)} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |h_z(x - y - \Gamma(s \rho(y)^{\beta+1})) - h_z(x - \Gamma(s \rho(y)^{\beta+1}))|$$

$$\cdot |s|^{-1-\alpha-\sigma} \rho(y)^{-(\beta+1)(\alpha+\sigma)} ds dx.$$

(4.4-4)

Again, with $x = \delta_{\rho(y)} x'$ so that $\|x'\|$ is large, $dx = \rho(y)^{(2\beta+k+1)} dx'$, $\rho(x) = \rho(y)\rho(x')$, and using the homogeneity of h_z with $\lambda = \rho(y)$, the right-hand side of (4.4-4) becomes

$$= \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{\rho(x') > C} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} \left| h_z \left(x' - \delta_{\rho(y)}^{-1} y - \left[s, \gamma(s) \rho(y)^{\beta(k-1)} \right] \right) - h_z \left(x' - \left[s, \gamma(s) \rho(y)^{\beta(k-1)} \right] \right) \right| \cdot |s|^{-1-\alpha-\sigma} ds dx'.$$

Writing $x = x'$ and $w = x - \left[s, \gamma(s) \rho(y)^{\beta(k-1)} \right]$, so that $dw = dx$ and $\|x\|$ is large, and using Fubini's theorem, this is

$$= \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds \cdot \left[\int_{\rho(w) > C_2} + \int_{\rho(w) \leq C_2} \left| h_z \left(w - \delta_{\rho(y)}^{-1} y \right) - h_z(w) \right| dw \right] = \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds [I + II];$$

where C_2 is a large constant. Now, using the homogeneity of h_z , and (4.3-2) we see that,

$$I = \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds \cdot \int_{\rho(w) > C_2} \left| h_z \left(\delta_{\rho(w)} \left(\delta_{\rho(w)}^{-1} w - \delta_{\rho(y)}^{-1} \left(\delta_{\rho(y)}^{-1} y \right) \right) \right) - h_z \left(\delta_{\rho(w)} \left(\delta_{\rho(w)}^{-1} w \right) \right) \right| dw \leq C(z) \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds \cdot \int_{\rho(w) > C_2} \frac{\left| \delta_{\rho(y)}^{-1} y \right|}{\rho(w)^{(2\beta+k+1)+\sigma+\beta+1}} dw \leq C(z) \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds \cdot \int_{S^1} d\varphi \int_{\rho(w) > C_2} \frac{d\rho(w)}{\rho(w)^{\beta+\sigma+2}}.$$

For $-\alpha < \sigma \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right] < 0$, we have $0 < \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \leq 1$, and $1 + \alpha + \sigma > 1$; and so $|s|^{-1-\alpha-\sigma}$ is integrable on $|s| \geq 1$. The ρ -integral is bounded, since $\beta + \sigma + 2 > 1$ whenever $\sigma > -\alpha > -(\beta + 1)$. Hence, I is bounded by $C(z)$.

Next,

$$II = \rho(y)^{-(\beta+1)(\alpha+\sigma)-\sigma} \int_{1 \leq |s| \leq \rho(y)^{-(\beta+1)}} |s|^{-1-\alpha-\sigma} ds \cdot \int_{\rho(w) \leq C_2} \left| h_z \left(w - \delta_{\rho(y)}^{-1} y \right) - h_z(w) \right| dw.$$

The inner w -integral is bounded, since h_z is locally integrable; the outer s -integral is bounded whenever $-\alpha < \sigma \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right]$.

Case II: $\rho(y) > 1$.

Fubini's theorem, homogeneity of h_z , and (4.3-2) together imply that,

$$\begin{aligned} & \int_{\rho(x) > C\rho(y)} |K_z(x)| dx \\ & \leq \int_{\epsilon \leq |t| \leq 1} |t|^{-1-\alpha-\sigma} dt \int_{\rho(x) > C\rho(y)} |h_z(x - \Gamma(t)) - h_z(x)| dx \\ & = \int_{\epsilon \leq |t| \leq 1} |t|^{-1-\alpha-\sigma} dt \cdot \int_{\rho(x) > C} \left| h_z \left(\delta_{\rho(x)} \left(\delta_{\rho(x)}^{-1} x - \delta_{\rho(x)}^{-1} \Gamma(t) \right) \right) - h_z \left(\delta_{\rho(x)} \left(\delta_{\rho(x)}^{-1} x \right) \right) \right| dx \\ & \leq C(z) \int_{\epsilon \leq |t| \leq 1} |t|^{-1-\alpha-\sigma} |\Gamma(t)| dt \int_{\rho(x) > C} \frac{dx}{\rho(x)^{(2\beta+k+1)+\sigma+\beta+1}} \\ & \leq C(z) \int_{\epsilon \leq |t| \leq 1} |t|^{-\alpha-\sigma} dt \int_{\mathbb{S}^1} d\varphi \int_{\rho(x) > C} \frac{d\rho(x)}{\rho(x)^{\beta+\sigma+2}} \end{aligned}$$

since $|\Gamma(t)| = (t^2 + t^{2k})^{\frac{1}{2}} \leq \sqrt{2} |t|$ for $|t| \leq 1$. The last expression is bounded whenever $-\alpha < \sigma \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right]$. Similarly, $\int_{\rho(x) > C\rho(y)} |K_z(x - y)| dx$ is

bounded by $C(z)$. This completes the proof of Lemma 4.4. □

This brings us to the final step of the proof of Theorem 1:

4.1. Interpolation. Lemma 4.1 shows that, for f simple, $\|\mathcal{T}_z^\epsilon f\|_2 \leq C_1(z)\|f\|_2$ whenever $0 < \Re z \leq \frac{1}{2} \left[\frac{\beta}{3} - \alpha \right]$, $\beta > 3\alpha$; Lemma 4.4 shows that $\|\mathcal{T}_z^\epsilon f\|_p \leq C_2(z)\|f\|_p$, $1 < p < \infty$, whenever $-\alpha < \Re z \leq -\alpha \left[\frac{\beta+1}{\beta+2} \right] < 0$; each $C_i(z)$ ($i = 1, 2$) grows at most as fast as a polynomial in $|z|$. It follows that $\{\mathcal{T}_z^\epsilon\}$ is an *admissible analytic family* for the *Stein analytic interpolation theorem* (see [St, We], page 205), defined for z in the strip

$$S = \left\{ z \in \mathbf{C} : -\alpha \left[\frac{\beta+1}{\beta+2} \right] \leq \Re z \leq \frac{1}{2} \left[\frac{\beta}{3} - \alpha \right] \right\}.$$

Analytic interpolation and *duality* now imply that $\mathcal{T}_0^\epsilon = \mathcal{T}_{\alpha,\beta}^\epsilon$ is bounded on L^p whenever

$$1 + \frac{3\alpha(\beta+1)}{\beta(\beta+1) + (\beta-3\alpha)} < p < \frac{\beta(\beta+1) + (\beta-3\alpha)}{3\alpha(\beta+1)} + 1,$$

for all *simple* f on \mathbf{R}^2 . An easy limiting argument shows that $\|\mathcal{T}_{\alpha,\beta}^\epsilon f\|_p \leq B_{\alpha,\beta}\|f\|_p$ for all $f \in \mathcal{S}$. The constant $B_{\alpha,\beta}$ is independent of ϵ . Letting $\epsilon \rightarrow 0$, Fatou's lemma gives $\|\mathcal{T}_{\alpha,\beta} f\|_p \leq B_{\alpha,\beta}\|f\|_p$ for all $f \in \mathcal{S}$. Now, another limiting argument shows that the last inequality holds for all $f \in L^p$. This completes the proof of Theorem 1. \square

Acknowledgements. I would like to express my deep gratitude to my *guru* Prof. Stephen Wainger for his invaluable guidance during the preparation of this paper. Under his supervision, portions of this paper comprised my *PhD* dissertation at the University of Wisconsin-Madison. I would also like to thank Prof. Alex Nagel, Prof. Dan Shea, and the referee for helpful suggestions.

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Received July 15, 1994 and revised December 7, 1995.

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