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BRIDGED EXTREMAL DISTANCE AND MAXIMAL CAPACITY

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We develop the concept of “bridged extremal distance” between disjoint sets X and Z on the boundary of a finitely connected domain G ; that is, the extremal length of the family of curves connecting X and Z which are allowed to stop at a component of the “bridge” $Y = \partial G \setminus (X \cup Z)$ and re-emerge from any other point of that component. We connect bridged extremal distance with the extremal problem of “minimal extremal distance”, and express it in terms of the period matrix associated with the harmonic measures of the boundary components of G . Then, in direct analogy to Ahlfors and Beurling’s extremal length interpretation of logarithmic capacity, we use bridged extremal distance to give an extremal length interpretation of “maximal capacity”.

1. Introduction.

Early in the development of extremal length, Ahlfors and Beurling related it to logarithmic capacity. Their survey article [3] introduced “reduced extremal distance” and connected it to capacity in the following way. Let Ω be a planar domain containing the point at infinity whose boundary Γ consists of a finite number of non-degenerate continua. Then Ω has a Green’s function $g(z)$ with pole at infinity, and

$$\gamma = \lim_{z \rightarrow \infty} \{g(z) - \log |z|\}$$

is called *Robin’s constant* for Ω . The *logarithmic capacity* of Γ is $d(\Gamma) = e^{-\gamma}$. To express γ in terms of extremal length, consider the domain $\Omega_R \subset \Omega$ bounded by Γ and the circle C_R centered at the origin of radius R , where R is large. Let λ_R denote the *extremal distance* between Γ and C_R ; that is, the extremal length of the family of curves in Ω_R connecting Γ to C_R . Then λ_R increases to infinity with R , and Ahlfors and Beurling (see also [2],

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Ch. 4, Sec. 14) give the following asymptotic relationship between extremal distance and capacity:

$$(1) \quad \frac{1}{2\pi}\gamma = \lim_{R \rightarrow \infty} \left\{ \lambda_R - \frac{1}{2\pi} \log R \right\}.$$

The right-hand side is called the *reduced extremal distance* of Γ .

In this paper we develop the concept of “bridged extremal distance”, and, in direct analogy to (1), relate it to the “maximal capacity” of a boundary subset. We briefly describe both quantities below, so as to state the result more precisely.

Let f be a conformal map of Ω , normalized so that $f(z) \approx z$ near infinity. Then by conformal invariance of Green’s function, f preserves the capacity (and hence the reduced extremal distance) of the full boundary Γ . On the other hand, if A is a subset of Γ consisting of some number of components of Γ , then the capacity of A is likely to change under such maps. This leads us to consider the *maximal capacity* of A ,

$$d_m(A) = \sup_f d(f(A)),$$

where the supremum ranges over all normalized conformal maps f of Ω . It was shown in [14] that extremal functions always exist, and the maximal capacity was expressed in terms of the capacity of the full boundary and the harmonic measures of each of the components of $\Gamma \setminus A$. The results are restated as Theorem B in Section 2 of this paper.

Bridged extremal distance is defined as follows. Fix an arbitrary finitely-connected domain G , and partition its boundary S into subsets X, Y , and Z , each consisting of a union of components of S . Consider the family Φ_b of rectifiable curves in G connecting X to Z , using Y as a “bridge” (see Figure 1). That is, in connecting X to Z , a curve in this bridging family is allowed to stop at a point of a component of Y and re-emerge at any other point of that same component. Define the *bridged extremal distance* $\lambda^b = \lambda_G^b(X, Y, Z)$ to be the extremal length of Φ_b , according to the precise definition of extremal length in Section 2.

A main goal of this paper is to establish the following connection between bridged extremal distance and maximal capacity. With Ω as before, let B be the set $\Gamma \setminus A$. Again form $\Omega_R \subset \Omega$, and compute the bridged extremal distance between A and C_R , using B as the bridge:

$$\lambda_R^b = \lambda_{\Omega_R}^b(A, B, C_R).$$

where the infimum is taken over all normalized conformal maps of Ω . They showed that the minimal capacity of A equals the “Robin capacity” of A . Duren and Pfaltzgraff [5] then exploited an extremal length interpretation of Robin capacity. We compare our maximal capacity results with those of Duren and Pfaltzgraff in Section 6. In Section 7 we show how minimal and bridged extremal distances can be interpreted for more general partitions $X \cup Y \cup Z$ of the boundary S .

Note. After this paper had been prepared for publication, the author learned that families of bridging curves on Riemann surfaces were considered in the 1970’s by David Minda [8, 9]. He generalized earlier results on the same subject by Marden and Rodin [7]. We briefly compare their results with ours in the final section. We thank David Minda for calling our attention to this earlier work.

2. Background.

We begin by reviewing the definitions and some of the key results in the method of extremal length, and then state the main result on maximal capacity from [14]. See Ahlfors [2] (Chapter 4) or Ohtsuka [12] for a detailed discussion of the following material.

Let Φ be a family of rectifiable curves lying in a domain U . Call a non-negative Borel-measurable function $\rho(x, y)$ defined in U an *admissible metric* for Φ if

$$\int_{\phi} \rho ds \geq 1$$

for all curves $\phi \in \Phi$. The left-hand side is called the ρ -length of ϕ . Define the *modulus* of Φ to be

$$M(\Phi) = \inf \left\{ \iint_U \rho^2 dx dy : \rho \text{ is admissible} \right\}.$$

Define the *extremal length* $\lambda(\Phi)$ to be $1/M(\Phi)$. If there are no admissible metrics, set $M(\Phi) = \infty$ and $\lambda(\Phi) = 0$. Call ρ_0 an *extremal metric* if

$$M(\Phi) = \iint_U \rho_0^2 dx dy.$$

Two simple inequalities follow immediately from the definition.

Comparison Principles. Let Φ_1 and Φ_2 be families of curves.

- (i) If $\Phi_1 \subseteq \Phi_2$ then $\lambda(\Phi_2) \leq \lambda(\Phi_1)$.
- (ii) If every curve in Φ_1 has a subcurve in Φ_2 , then $\lambda(\Phi_2) \leq \lambda(\Phi_1)$.

There is a physical interpretation of extremal length. Think of the curve family Φ as representing a system of homogeneous electric wires. Then the extremal length $\lambda(\Phi)$ represents the resistance of Φ . The above lemmas reflect the fact that systems of fewer, longer wires have greater resistance.

A conformal map f of U transforms the family Φ into a family $f(\Phi)$ lying in the domain $f(U)$. The map provides a one-to-one correspondence between admissible metrics in the two domains, and conformal invariance of extremal length follows easily:

$$\lambda(f(\Phi)) = \lambda(\Phi).$$

In this paper we will primarily consider the family of curves connecting disjoint sets E_1 and E_2 lying on the boundary of a domain U . The extremal length of this family is called the *extremal distance* between E_1 and E_2 in U , denoted $\lambda_U(E_1, E_2)$. The following theorem [2] shows that in the case of extremal distance, extremal metrics can be expressed in terms of a generalization of harmonic measure.

Theorem A. *Let E_1 and E_2 be disjoint sets lying on ∂U , each a finite union of arcs or closed curves. Let ω be the harmonic function satisfying the following boundary conditions:*

- (i) $\omega = 0$ on E_1 .
- (ii) $\omega = 1$ on E_2 .
- (iii) $\frac{\partial \omega}{\partial n} = 0$ on $\partial U \setminus (E_1 \cup E_2)$.

Then $\rho_0 = |\nabla \omega|$ is the extremal metric for $\lambda_U(E_1, E_2)$. That is,

$$\lambda_U(E_1, E_2) = [D_U(\omega)]^{-1},$$

where

$$D_U(\omega) = \iint_U (\omega_x^2 + \omega_y^2) dx dy$$

is the Dirichlet integral of ω in U .

By conformal invariance, we may assume ∂U is a union of analytic curves, so that the boundary conditions on ω make sense. We will not give the proof of Theorem A here, but it is easy enough (and will suit our purposes later) to show that $\rho_0 = |\nabla \omega|$ is an admissible metric. For this, let ϕ be a rectifiable curve in U connecting E_1 to E_2 . Then

$$(2) \quad \int_{\phi} \rho_0 ds = \int_{\phi} |\nabla \omega| |dz| \geq \int_{\phi} |\nabla \omega \cdot dz| = \left| \int_{\phi} d\omega \right| = 1.$$

Note that the “flow-lines” of ω (the curves tangent to $\nabla \omega$) have ρ_0 -length exactly equal to 1, so provide the shortest paths from E_1 to E_2 in this metric.

Theorem A provides the key to relation (1) between extremal length and capacity. Roughly speaking, since Green’s function g of Ω is identically zero on Γ , and since $g(z) \approx \log R + \gamma$ on the circle C_R for large R ,

$$\frac{g(z)}{\log R + \gamma}$$

approximates the harmonic measure ω_R of C_R relative to Ω_R . If we assume the approximation is exact, then Theorem A says

$$\frac{1}{\lambda_R} = D_{\Omega_R} \left(\frac{g(z)}{\log R + \gamma} \right).$$

Green’s theorem then shows that the right-hand side equals $2\pi/\{\log R + \gamma\}$. Thus

$$\frac{1}{2\pi}\gamma = \lambda_R - \frac{1}{2\pi} \log R$$

under our assumption about g .

We now restate the main theorem on maximal capacity from [14]. Let Ω be a domain containing ∞ whose boundary Γ consists of a finite union of nondegenerate continua. Let Γ be partitioned into subsets A and B , each a union of boundary components:

$$A = A_1 \cup \dots \cup A_k, \quad B = B_1 \cup \dots \cup B_m.$$

Let $h_i(z)$ be the harmonic measure of B_i with respect to Ω , and set $v_i = h_i(\infty)$ for $i = 1, \dots, m$. Let p_{ij} be the period of the harmonic conjugate of h_i about B_j for $i, j = 1, \dots, m$:

$$p_{ij} = \frac{1}{2\pi} \int_L \frac{\partial h_i}{\partial n} ds,$$

where L is any positively oriented analytic closed curve looping around B_j exactly once, and not enclosing any other boundary component. Set $\mathbf{v} = (v_1, \dots, v_m)$ and $\mathbf{P} = (p_{ij})$. For any normalized conformal map f of Ω , denote by $\widehat{f(\Omega)}$ the domain containing $f(\Omega)$ which is exterior to $f(A)$. Let $\widehat{g}(z)$ denote Green’s function with pole at infinity for $\widehat{f(\Omega)}$. Recall that the maximal capacity $d_m(A)$ of A is the supremum of the capacities $d(f(A))$ as f ranges over all normalized conformal maps of Ω .

Theorem B. *With the above definitions,*

$$d_m(A) = d(\Gamma) \exp \left\{ - \sum_{i=1}^m c_i v_i \right\},$$

where $\mathbf{c} = (c_1, \dots, c_m)$ and

$$(3) \quad \mathbf{c}^T = \mathbf{P}^{-1}\mathbf{v}^T.$$

Extremal functions F satisfying $d(F(A)) = d_m(A)$ always exist. Extremal domains $F(\Omega)$ are characterized by the property that each component $F(B_i)$ of $F(B)$ is a union of analytic arcs on which \widehat{g} is constant. That is, $d(F(A)) = d_m(A)$ if and only if $\widehat{g}(z)$ is constant on $F(B_i)$, for each $i = 1, \dots, m$. In this extremal case, the constant values are uniquely determined and are given by the vector \mathbf{c} : $\widehat{g}(z) = c_i$ on $F(B_i)$.

Corollary. Let γ_m be such that $d_m(A) = e^{-\gamma_m}$. Let $g(z)$ be Green's function for Ω with pole at infinity, and let $h_i(z)$ be the harmonic measure of B_i with respect to Ω . Set $M(z) = g(z) + \sum_{i=1}^m c_i h_i(z)$, where c_i is defined in (3). Then $\gamma_m = \lim_{z \rightarrow \infty} \{M(z) - \log |z|\}$.

3. Minimal extremal distance.

We now give an explicit expression for minimal extremal distance and characterize extremal domains in a way that parallels Theorem B. The crux of both proofs is an application of Schiffer's method of boundary variation to extremal domains.

Let G be a domain whose boundary S consists of a finite union of non-degenerate continua. Let S be partitioned into subsets X, Y and Z , each a union of boundary components:

$$\begin{aligned} X &= X_1 \cup \dots \cup X_r, \\ Y &= Y_1 \cup \dots \cup Y_s, \\ Z &= Z_1 \cup \dots \cup Z_t. \end{aligned}$$

Let $\eta_Z(z)$ be the harmonic measure of Z relative to G and let $\eta_i(z)$ be the harmonic measure of Y_i , for $i = 1, \dots, s$. Let w_i and w_Z be the periods of the harmonic conjugate of η_i and η_Z , respectively, about Z . Let q_{ij} be the period of the harmonic conjugate of η_i about Y_j . Set $\mathbf{w} = (-w_1, \dots, -w_s)$ and $\mathbf{Q} = (q_{ij})$. For any conformal map f of G , denote by $\widehat{f(G)}$ the domain containing $f(G)$ which is bounded only by $f(X)$ and $f(Z)$. Let $\widehat{\eta}_Z(\zeta)$ denote the harmonic measure of $f(Z)$ relative to $\widehat{f(G)}$. Recall that $\widehat{\lambda}_f$ denotes the extremal distance between $f(X)$ and $f(Z)$ in $\widehat{f(G)}$, and that the minimal extremal distance $\widehat{\lambda}$ between X and Z in G is the infimum of $\widehat{\lambda}_f$ over all

conformal maps f of G .

Theorem 1. *With the above definitions,*

$$(4) \quad \widehat{\lambda} = \left[2\pi \left(w_Z + \sum_{i=1}^s b_i w_i \right) \right]^{-1},$$

where $\mathbf{b} = (b_1, \dots, b_s)$ and

$$(5) \quad \mathbf{b}^T = \mathbf{Q}^{-1} \mathbf{w}^T.$$

Extremal functions F satisfying $\widehat{\lambda}_F = \widehat{\lambda}$ always exist. Extremal domains $F(G)$ are characterized by the property that each component $F(Y_i)$ of $F(Y)$ is a union of analytic arcs on which $\widehat{\eta}_Z$ is constant. That is, $\widehat{\lambda}_F = \widehat{\lambda}$ if and only if $\widehat{\eta}_Z$ is constant on $F(Y_i)$, for each $i = 1, \dots, s$. In this extremal case, the constants are uniquely determined and are given by the vector \mathbf{b} : $\widehat{\eta}_Z \equiv b_i$ on $F(Y_i)$.

Proof. We begin with a compactness argument to establish the existence of extremal maps. Let $\{f_n\}$ be a sequence of conformal maps of G such that $\widehat{\lambda}_{f_n} \rightarrow \widehat{\lambda}$. Normalize the functions so that $f_n(z_1) = 0$ and $f'_n(z_1) = 1$ for each n , where z_1 is an arbitrary fixed point of G . The normalization will not change the value of $\widehat{\lambda}_{f_n}$, but will make the sequence normal and compact. Thus we may assume that $\{f_n\}$ converges locally uniformly in G to a conformal map F . By the Carathéodory convergence theorem, the domains $f_n(G)$ converge to their kernel, which is $F(G)$. Thus the domains $\widehat{f_n(G)}$ converge to $\widehat{F(G)}$. A theorem of Navoyan [10], modified slightly to hold in the complex plane, guarantees the convergence of the sequence $\{\widehat{\lambda}_{f_n}\}$ to the extremal distance $\widehat{\lambda}_F$. Thus $\widehat{\lambda}_F = \widehat{\lambda}$.

The next step is to apply a boundary variation to obtain information about extremal domains. We assume from the beginning that G is extremal. Then by Theorem A and Green's Theorem,

$$(6) \quad \frac{1}{\widehat{\lambda}} = D_{\widehat{G}}(\widehat{\eta}_Z) = \int_Z \frac{\partial \widehat{\eta}_Z}{\partial n} ds = 2\pi \widehat{p},$$

where n denotes the direction of the outward pointing normal, and \widehat{p} is the period of the harmonic conjugate of $\widehat{\eta}_Z$ about Z .

For each fixed point z_0 in Y , there is a family of functions

$$V_\rho(z) = z + \frac{a\rho^2}{z - z_0} + O(\rho^3), \quad \rho \geq 0,$$

analytic and univalent in the complement of some small connected subset of Y containing z_0 (see Duren [4], Section 10.3). Let $G^* = V_\rho(G)$, and let $\widehat{G}^* \supset G^*$ be the domain bounded only by $X^* = V_\rho(X)$ and $Z^* = V_\rho(Z)$. As above, the extremal distance of X^* and Z^* in \widehat{G}^* is

$$\frac{1}{\lambda^*} = 2\pi p^*,$$

where p^* is the period of the harmonic conjugate of the harmonic measure of Z^* relative to \widehat{G}^* . Since G is extremal for $\widehat{\lambda}$,

$$(7) \quad p^* \leq \widehat{p}.$$

Each of the boundary variations $V_\rho(z)$ of G acts also as an interior variation of the domain \widehat{G} . A variational formula due to Schiffer [13] allows us to relate the two periods:

$$p^* = \widehat{p} + \operatorname{Re} \{-a\rho^2 H'_Z(z_0)^2\} + O(\rho^3),$$

where H_Z is the analytic completion of $\widehat{\eta}_Z$. Thus by (7),

$$(8) \quad \operatorname{Re} \{-a\rho^2 H'_Z(z_0)^2\} + O(\rho^3) \leq 0.$$

We may now invoke Schiffer's theorem for the method of boundary variation ([4], Section 10.3). Since (8) holds for each $z_0 \in Y$, and since $H'_Z(z)$ is analytic and not identically zero on Y , the theorem says that Y must be the union of analytic arcs lying on the trajectories of the quadratic differential

$$H'_Z(z)^2 dz^2 < 0.$$

Parametrizing each component Y_i of Y by $z = z(t)$, we conclude that

$$\frac{d}{dt} H_Z(z(t)) = H'_Z(z(t))z'(t)$$

is purely imaginary, or that

$$\frac{d}{dt} \widehat{\eta}_Z(z(t)) = \frac{d}{dt} \operatorname{Re}\{H_Z(z(t))\} = 0$$

on Y . In other words, $\widehat{\eta}_Z(z) \equiv b_i$ on Y_i for some constants b_i with $0 < b_i < 1$, for $i = 1, \dots, s$.

We next compute the constants b_i . Since $\widehat{\eta}_Z(z) \equiv b_i$ on Y_i ,

$$(9) \quad \widehat{\eta}_Z(z) = \eta_Z(z) + \sum_{i=1}^s b_i \eta_i(z)$$

in G . Fix $j \in \{1, \dots, s\}$. Since Y_j is a union of analytic arcs, the normal direction is defined almost everywhere. Therefore, we may integrate the derivative with respect to the outer normal of both sides of (9) about the curve Y_j :

$$(10) \quad \int_{Y_j} \frac{\partial \widehat{\eta}_Z}{\partial n} ds = \int_{Y_j} \frac{\partial \eta_Z}{\partial n} ds + \sum_{i=1}^s b_i \int_{Y_j} \frac{\partial \eta_i}{\partial n} ds.$$

By definition,

$$\int_{Y_j} \frac{\partial \eta_i}{\partial n} ds = 2\pi q_{ij}.$$

And by the symmetry of the period matrix,

$$\int_{Y_j} \frac{\partial \eta_Z}{\partial n} ds = 2\pi w_j.$$

Since $\widehat{\eta}_Z$ is harmonic on and around each Y_j ,

$$(11) \quad \int_{Y_j} \frac{\partial \widehat{\eta}_Z}{\partial n} ds = 0.$$

Thus, (10) reduces to the equation

$$(12) \quad -w_j = \sum_{i=1}^s b_i q_{ij}.$$

Repeating this calculation for each $j \in \{1, \dots, s\}$, we find that

$$(13) \quad \mathbf{Q}\mathbf{b}^T = \mathbf{w}^T.$$

But \mathbf{Q} is invertible. To see this, recall that for the $m \times m$ period matrix $\mathbf{R} = (r_{ij})$ of an arbitrary m -connected domain D , the $(m-1) \times (m-1)$ submatrix $\mathbf{R}' = (r_{ij})$, for $i, j = 1, \dots, m-1$ is positive-definite, hence invertible. (See, for instance, Nehari [11], Ch. I, Sect. 10.) The invertibility of \mathbf{Q} follows, since any square submatrix centered on the diagonal of a positive-definite matrix is also positive-definite.

We now compute $\widehat{\lambda} = \widehat{\lambda}_F$. Equations (6) and (9) yield

$$(14) \quad \frac{1}{\widehat{\lambda}} = \int_Z \frac{\partial \widehat{\eta}_Z}{\partial n} ds = \int_Z \frac{\partial}{\partial n} \left(\eta_Z + \sum_{i=1}^s b_i \eta_i \right) ds.$$

The last integral reduces to

$$2\pi \left(w_Z + \sum_{i=1}^s b_i w_i \right),$$

proving (4).

Finally, observe that none of the previous calculations depend on the extremal character of the domain. The fact that $\hat{\eta}_Z$ is constant on each Y_i completely determines the b_i and the extremal distance $\hat{\lambda}_F$, which is thus minimal. In other words, the extremal domains are characterized by the fact that $\hat{\eta}_Z$ is constant on each Y_i . This completes the proof of the theorem. \square

Example. Suppose X and Z are both single components of the boundary S . Then G can be mapped conformally to an annulus with concentric circular slits such that X and Z correspond to the inner and outer circles, respectively, and each Y_i corresponds to one of the slits. If the inner and outer radii equal 1 and R , the map F is unique up to rotation. (See Ahlfors [1], Chapter 6, Section 5.1, for example.) Then $\log |z|/\log R$ is the harmonic measure $\hat{\eta}_Z$ of the full annulus $\widehat{F(G)}$, and is constant on each of the slits. By Theorem 1, this is a configuration minimizing the extremal distance between X and Y . The extremal distance is easily computed to be $\frac{1}{2\pi} \log R$.

Any doubly-connected domain can be mapped conformally to an annulus. The ratio of the inner and outer radii of any such annulus is a conformal invariant, called the *modulus* of the doubly-connected domain. Thus for any conformal map f of the domain G , the modulus of the doubly-connected domain bounded only by $f(X)$ and $f(Z)$ is a minimum (and equal to R) when $f(G)$ is an annulus minus concentric circular slits.

This example provides us with a geometric interpretation of the constants b_i in this special case. Since $\hat{\eta}_Z = \log |z|/\log R$, Theorem 1 says that $b_i = \log r_i/\log R$, where r_i is the radius of the circular slit corresponding to Y_i .

Let $v(z) = \eta_Z(z) + \sum_{i=1}^s b_i \eta_i(z)$. From (10) and (11) we see that the period of the harmonic conjugate of v about Y_i is 0 for $i = 1, \dots, s$. And from (6), the period about Z equals $(2\pi\hat{\lambda})^{-1}$, or $(\log R)^{-1}$. Thus the conjugate of $(\log R)v$ has period 1 about Z , periods 0 about Y_i for $i = 1, \dots, s$, and consequently period -1 about X . Let $f(z)$ be the (multiple-valued) analytic function whose real part is $(\log R)v(z)$. Then it is known (see Ahlfors again) that the above period values guarantee that $e^{f(z)}$ is, up to a rotation, the unique conformal map of G onto a slit annulus of inner radius 1.

4. Minimal extremal distance = bridged extremal distance.

Recall that the bridged extremal distance is the extremal length of the family Φ_b of curves in G bridging Y in connecting X to Z . This definition is conformally invariant in the sense that, for any conformal map f of G , the family of curves bridging $f(Y)$ in connecting $f(X)$ to $f(Z)$ is just $f(\Phi_b)$. For a fixed such map, every curve $\hat{\phi}$ in the family $\hat{\Phi}_f$ of curves connecting $f(X)$ and $f(Z)$ in the domain $\widehat{f(G)} \supset f(G)$ has a subcurve in the bridging family $f(\Phi_b)$. By the comparison principle, we immediately have

$$\lambda^b = \lambda(f(\Phi_b)) \leq \hat{\lambda}_f,$$

whence $\lambda^b \leq \hat{\lambda}$. Thus the equality $\lambda^b = \hat{\lambda}$ given by Theorem 2 below means that there is some conformal map F of G which collapses Y in such a way that there is no advantage in using $F(Y)$ as a bridge in connecting $F(X)$ to $F(Z)$. The map F must also minimize the extremal distance between $F(X)$ and $F(Z)$. The preceding example illustrates the situation. A curve connecting the inner and outer radii of the annulus makes progress only by moving in a radial direction. It gains no advantage by stopping at one of the concentric slits and continuing on from a different point of that slit.

Theorem 2. *Let G , X , Y , and Z be as in Theorem 1, and let λ^b and $\hat{\lambda}$ denote the bridged extremal distance and minimal extremal distance, respectively. Then*

$$\lambda^b = \hat{\lambda}.$$

The extremal metric for λ^b is $\rho_0 = |\nabla u|$, where

$$u(z) = \eta_Z(z) + \sum_{i=1}^s b_i \eta_i(z),$$

and η_Z , η_i , and b_i are also as in Theorem 1.

Proof. By the above remarks, we need only show $\lambda^b \geq \hat{\lambda}$. Consider the metric $\rho_0 = |\nabla u|$. If $\phi \in \Phi_b$, then

$$\int_{\phi} \rho_0 ds \geq \left| \int_{\phi} du \right|,$$

just as in (2). The curve ϕ may make jumps on components of Y . But since u is constant on each of those components, the total change in u on ϕ is just the difference between the values of u on X and Z , or 1:

$$\left| \int_{\phi} du \right| = 1.$$

Thus ρ_0 is admissible for the bridging family Φ_b , so

$$\frac{1}{\lambda^b} = M(\Phi_b) \leq \iint_G \rho_0^2 = D_G(u).$$

But

$$D_G(u) = \frac{1}{\hat{\lambda}},$$

by the proof of Theorem 1, so it follows that $\lambda^b \geq \hat{\lambda}$. □

With a little extra work, the proofs of Theorems 1 and 2 lead to three different characterizations of the constants b_i .

Theorem 3. *Let η_Z and η_i denote the harmonic measures of Z and Y_i , respectively, relative to the domain G . For any conformal map f of G , let $\hat{\eta}_Z$ denote the harmonic measure of $f(Z)$ relative to the domain $\widehat{f(G)} \supset f(G)$ bounded only by $f(X)$ and $f(Z)$. Let $v(z) = \eta_Z(z) + \sum_{i=1}^s a_i \eta_i(z)$, where $a_i \in \mathbf{R}$. Then*

- (i) $\hat{\eta}_Z$ is constant on each $f(Y_i)$ if and only if $\hat{\eta}_Z = b_i$ on $f(Y_i)$ for $i = 1, \dots, s$.
- (ii) The period of the harmonic conjugate of v about Y_i is zero for $i = 1, \dots, s$ if and only if $a_i = b_i$ for each i .
- (iii) The Dirichlet integral $D_G(v)$ as a function of the a_i is a minimum if and only if $a_i = b_i$.

Proof. The first assertion is contained in Theorem 1. The calculations spanned by (10) and (13) in the proof of Theorem 1 verify assertion (ii). For statement (iii), note that the same reasoning applied in the proof of Theorem 2 shows that $\rho = |\nabla v|$ is an admissible metric for Φ_b , simply because v is constant on each Y_i . Thus $D_G(v) \geq M(\Phi_b)$, with equality for $a_i = b_i$. To see that this is the only possibility, expand $D_G(v)$ via Green’s Theorem to see that it is a quadratic function of the a_i , and so can have only one absolute minimum. Indeed, if we set the gradient of this function of the a_i equal to zero, we are led directly to equations (12) and (13). □

5. Bridged extremal distance and maximal capacity.

We turn now to the relation between bridged extremal distance and maximal capacity, expressed in Theorem 4 below. Following the proof, we discuss the connection between the conformally invariant constants defining bridged extremal distance and those defining maximal capacity. The results are contained in Theorem 5. We conclude the section with an example illustrating both theorems.

Recall that Ω_R is the domain contained in Ω which is bounded by Γ and the circle C_R centered at the origin, of radius R , where R is large enough so that C_R surrounds Γ . Define λ_R^b to be the bridged extremal distance between A and C_R in Ω_R , using B as the bridge.

Theorem 4. *Let Ω be a domain containing the point at infinity whose boundary Γ consists of a finite number of non-degenerate continua. Let Γ be partitioned into subsets A and B , each a union of components of Γ . Then with the bridged extremal distance λ_R^b defined as above,*

$$(15) \quad \frac{1}{2\pi}\gamma_m = \lim_{R \rightarrow \infty} \left\{ \lambda_R^b - \frac{1}{2\pi} \log R \right\},$$

where the maximal capacity $d_m(A)$ equals $e^{-\gamma_m}$.

The proof is rather technical, so it may be helpful to begin with a sketch of the main ideas. By Theorem 2, the bridged extremal distance λ_R^b equals the minimal extremal distance between A and C_R in Ω_R . It is the properties of minimal extremal distance which will lead us to (15). We show that the normalized conformal map of Ω maximizing the capacity of A essentially minimizes the extremal distance between A and C_R , for large R . Intuitively, this makes some sense. To attain the maximal capacity, A must “expand” as much as possible. But when A expands, the “distance” between A and C_R decreases. A rigorous argument follows from the characterization of extremal domains for maximal capacity and minimal extremal distance. To highlight the argument, assume that Ω is extremal for maximizing the capacity of A . Then by Theorem B, Green’s function \hat{g} for $\hat{\Omega}$ is constant on B . Moreover, $\hat{g} \approx \log R + \gamma_m$ on C_R . So as in the comments following Theorem A in Section 2,

$$\frac{\hat{g}}{\log R + \gamma_m}$$

approximates the harmonic measure of C_R relative to the domain bounded only by C_R and A , and is constant on B . Assume the approximation is exact. Then by Theorem 1 the extremal distance between A and C_R is a minimum, and by Theorem 2 it equals λ_R^b . But Theorem A and Green’s theorem yield

$$\frac{1}{\lambda_R^b} = D_{\Omega_R} \left(\frac{\hat{g}}{\log R + \gamma_m} \right) = \frac{2\pi}{\log R + \gamma_m},$$

which gives (15). For an actual proof we must remove the assumptions that the approximation of the harmonic measure by \hat{g} is exact and that the domain Ω is extremal.

Proof of Theorem 4. Suppose first that Ω is chosen to maximize the capacity of A . That is, assume that

$$d(A) = d_m(A) = e^{-\gamma_m},$$

where

$$(16) \quad \gamma_m = \lim_{z \rightarrow \infty} \{\widehat{g}(z) - \log |z|\}.$$

Then for any $\epsilon > 0$, there exists $R_0 > 0$ such that when $|z| > R_0$,

$$|\widehat{g}(z) - \log |z| - \gamma_m| \leq \log(1 + \epsilon).$$

Thus if $|z| = R/(1 + \epsilon) > R_0$, then $\widehat{g}(z) \leq \log R + \gamma_m$. And if $|z| = R(1 + \epsilon)$, then $\widehat{g}(z) \geq \log R + \gamma_m$. Thus by the maximum principle for harmonic functions, the level curve $L_R = \{z : \widehat{g}(z) = \log R + \gamma_m\}$ must lie between the circles $C_{R/(1+\epsilon)}$ and $C_{R(1+\epsilon)}$, as long as $R/(1 + \epsilon) > R_0$. Let $O_R \subset \Omega$ be the domain bounded by A , B , and L_R , and let \widehat{O}_R be the domain bounded only by A and L_R . Since Ω is extremal, \widehat{g} is constant on the components of B . Thus the function

$$\frac{\widehat{g}(z)}{\log R + \gamma_m},$$

which takes the values 1 on L_R and 0 on A , is the harmonic measure of L_R relative to \widehat{O}_R and is constant on the components of B . By Theorems 1 and 2, the extremal distance between A and L_R in \widehat{O}_R equals the bridged extremal distance between A and L_R in O_R , using B as the bridge:

$$\lambda_{\widehat{O}_R}(A, L_R) = \lambda_{O_R}^b(A, B, L_R).$$

Since L_R is bounded by $C_{R/(1+\epsilon)}$ and $C_{R(1+\epsilon)}$ for R large,

$$\lambda_{R/(1+\epsilon)}^b \leq \lambda_{O_R}^b(A, B, L_R) \leq \lambda_{R(1+\epsilon)}^b,$$

by the comparison principle for extremal length. But by Theorem A and Green's theorem,

$$\frac{1}{\lambda_{\widehat{O}_R}(A, L_R)} = D_{\widehat{O}_R} \left(\frac{\widehat{g}}{\log R + \gamma_m} \right) = \frac{2\pi}{\log R + \gamma_m}.$$

Combine these last two results to see that

$$(17) \quad \lambda_{R/(1+\epsilon)}^b - \frac{1}{2\pi} \log R \leq \frac{1}{2\pi} \gamma_m \leq \lambda_{R(1+\epsilon)}^b - \frac{1}{2\pi} \log R$$

whenever R is large. If we now add $\frac{1}{2\pi} \log(1+\epsilon)$ to both sides of the left-hand inequality in (17), we get

$$\lambda_{R/(1+\epsilon)}^b - \frac{1}{2\pi} \log \left(\frac{R}{1+\epsilon} \right) \leq \frac{1}{2\pi} (\gamma_m + \log(1+\epsilon)),$$

whence

$$\limsup_{R \rightarrow \infty} \left\{ \lambda_R^b - \frac{1}{2\pi} \log R \right\} \leq \frac{1}{2\pi} (\gamma_m + \log(1+\epsilon)).$$

Since $\epsilon > 0$ is arbitrary,

$$\limsup_{R \rightarrow \infty} \left\{ \lambda_R^b - \frac{1}{2\pi} \log R \right\} \leq \frac{1}{2\pi} \gamma_m.$$

A similar manipulation of the right-hand inequality in (17) yields

$$\liminf_{R \rightarrow \infty} \left\{ \lambda_R^b - \frac{1}{2\pi} \log R \right\} \geq \frac{1}{2\pi} \gamma_m.$$

This proves (15) if Ω is extremal for maximizing the capacity of A .

What if Ω is not extremal? The limit in (15) is invariant under any normalized conformal map of Ω . The same normalized invariance for reduced extremal distance was noted by Ahlfors and Beurling in [3]. The proof (see [12], Ch. 2, Sec. 23) easily adapts to our case. Since by Theorem 1 there is some normalized conformal map of Ω which maximizes the capacity of A , our proof is complete. \square

For fixed R , let $\mathbf{b}_R = (b_1(R), \dots, b_m(R))$, $\mathbf{w}_R = (w_1(R), \dots, w_m(R))$, and $\mathbf{Q}_R = (q_{ij}(R))$ be the constants from Theorem 1 defining the minimal extremal distance between A and C_R in Ω_R . Thus $\mathbf{Q}_R \mathbf{b}_R^T = \mathbf{w}_R^T$. In view of Theorem 4, it is natural to expect a corresponding relation between these constants and the constants given by $\mathbf{P} \mathbf{c}^T = \mathbf{v}^T$ from Theorem B defining maximal capacity in Ω . The following theorem, which we state without proof, describes the connection. Assertions (i) and (ii) describe the asymptotic behavior of the period matrix associated with the harmonic measures of Ω_R as the circle C_R is dilated to infinity. The example following the theorem provides a geometric interpretation of the constants $b_i(R)$ and c_i , and illustrates assertion (iii).

Theorem 5. *With the above definitions,*

(i) $\lim_{R \rightarrow \infty} \mathbf{Q}_R = \mathbf{P}$

- (ii) $\lim_{R \rightarrow \infty} (\log R) \mathbf{w}_R = \mathbf{v}$
- (iii) $\lim_{R \rightarrow \infty} (\log R) \mathbf{b}_R = \mathbf{c}$

Example. Suppose that A is a single component of Γ . Then there is a normalized conformal map F of Ω such that $F(\Omega)$ is the exterior of a disk centered at the origin minus concentric circular slits outside the disk. The map may be chosen so that $F(A)$ is the boundary of the disk, and each component of $F(B)$ is one of the arcs. Relabel $F(\Omega)$ to be Ω . Then the capacity of A is the radius r of the disk. Green’s function \widehat{g} of the exterior of A is $\log(|z|/r)$, and is constant on each component of B . Thus by Theorem B, the capacity of A is maximum, so $\gamma_m = -\log r$. Let r_i be the radius of the arc B_i . Then $c_i = \widehat{g}(B_i) = \log(r_i/r)$.

Let R be large enough so that C_R encloses all of Γ . Then Ω_R is a slit annulus. As in the example in Section 3, $\lambda_R^b = \frac{1}{2\pi} \log R/r$, so

$$\lambda_R^b - \frac{1}{2\pi} \log R = -\frac{1}{2\pi} \log r = \frac{1}{2\pi} \gamma_m,$$

which confirms Theorem 4. Since $\widehat{\eta}_R(z) = \log(|z|/r)/\log(R/r)$, we have $b_i(R) = \widehat{\eta}_R(B_i) = \log(r_i/r)/\log(R/r)$. Thus

$$(\log R)b_i(R) = \frac{\log R \log \frac{r_i}{r}}{\log R - \log r},$$

which converges to $\log \frac{r_i}{r} = c_i$ as R approaches infinity. This verifies statement (iii) of Theorem 5.

6. Maximal extremal distance and Robin capacity.

In Theorem 1 we considered the problem of minimizing the extremal distance between the subsets X and Z on the boundary of the domain G . We now discuss the opposite problem of maximal extremal distance and relate it to Robin capacity.

For simplicity, we assume that each component of $S = \partial G$ is an analytic Jordan curve. For any conformal map f of G , we defined $\widehat{f(G)}$ to be the domain containing $f(G)$ bounded only by $f(X)$ and $f(Z)$, and $\widehat{\lambda}_f$ to be the extremal distance between $f(X)$ and $f(Z)$ in $\widehat{f(G)}$. Define now the *maximal extremal distance* between X and Z to be

$$\widehat{\Lambda} = \sup_f \widehat{\lambda}_f,$$

where the supremum is taken over all conformal maps f of G . In analogy to Theorems 1 and 2 for minimal extremal distance, the next theorem computes

maximal extremal distance by a Dirichlet integral and characterizes extremal domains . For a given map f , recall that $\hat{\eta}_Z$ is the harmonic measure of $f(Z)$ relative to $\widehat{f(G)}$.

Theorem 6. *Let $\lambda_G(X, Z)$ denote the extremal distance between X and Z in G ; that is, the extremal length of the family of curves in G connecting X and Z . Then*

$$\hat{\Lambda} = \lambda_G(X, Z) = D_G(w),$$

where $w(z)$ is the unique harmonic function in G such that $w(z) \equiv 1$ on Z , $w(z) \equiv 0$ on X , and $\frac{\partial w}{\partial n} = 0$ on Y . Extremal functions F satisfying $\hat{\lambda}_F = \hat{\Lambda}$ always exist. Extremal domains $F(G)$ are characterized by the property that each component $F(Y_i)$ of $F(Y)$ is a union of analytic arcs on which

$$\frac{\partial \hat{\eta}_Z}{\partial n} = 0.$$

Sketch of proof. The proof of existence of extremal domains is exactly as in Theorem 1. The proof of the characterization of extremal domains proceeds also as in Theorem 1, through the method of boundary variation. If G maximizes the extremal distance between X and Z , Schiffer's theorem tells us that Y is the union of analytic arcs lying on the trajectories of the quadratic differential

$$H'_Z(z)^2 dz^2 > 0,$$

where H_Z is the analytic completion of $\hat{\eta}_Z$ in \hat{G} . This leads us to conclude that

$$\frac{d}{dt} \text{Im}\{H_Z(z(t))\} = 0$$

on Y , where $z(t)$ is a parametrization of any component of Y . By the Cauchy-Riemann equations, this implies that

$$\frac{\partial \hat{\eta}_Z}{\partial n} = \frac{\partial}{\partial n} \text{Re}\{H_Z\} = 0$$

on Y . To compute $\hat{\Lambda}$, observe as in (6) that

$$\frac{1}{\hat{\Lambda}} = D_{\hat{G}}(\hat{\eta}_Z) = \int_{\partial \hat{G}} \hat{\eta}_Z \frac{\partial \hat{\eta}_Z}{\partial n} ds = \int_Z \frac{\partial \hat{\eta}_Z}{\partial n} ds.$$

Let $w(z)$ be as in the statement of the theorem. Then w and $\hat{\eta}_Z$ have the same mixed boundary values on $S = \partial G$, so must agree in G . In particular,

$$\int_Z \frac{\partial \hat{\eta}_Z}{\partial n} ds = \int_Z \frac{\partial w}{\partial n} ds.$$

But

$$\int_Z \frac{\partial w}{\partial n} ds = \int_{\partial G} w \frac{\partial w}{\partial n} ds = D_G(w) = \frac{1}{\lambda_G(X, Z)},$$

by Theorem A.

We have shown that if G is an extremal domain, maximizing the extremal distance between X and Z in \widehat{G} , then $\frac{\partial \widehat{\eta}_Z}{\partial n} = 0$ on Y . But as in Theorem 1, if $\widehat{\eta}_Z$ has this property, the above calculations lead to the same extremal distance, which is thus maximum. The property therefore characterizes extremal domains. \square

By way of illustration, suppose X and Z are single components of S . There is some conformal map F_1 such that the extremal distance between $F_1(X)$ and $F_1(Z)$ is maximum. If we follow by a map F_2 of $\widehat{F_1(G)}$ onto an annulus centered at the origin, then the extremal distance between $F_2 \circ F_1(X)$ and $F_2 \circ F_1(Z)$ is still a maximum, and $F_2 \circ F_1(G)$ is an annulus with some pieces (corresponding to $F_2 \circ F_1(Y)$) removed. Call the new domain D , and call the corresponding boundary subsets \widetilde{X} , \widetilde{Y} , and \widetilde{Z} . Then \widehat{D} is an annulus, say of inner radius 1 and outer radius P , so $\widehat{\eta}_Z(z) = \log |z| / \log P$. The curves in \widehat{D} on which $\frac{\partial \widehat{\eta}_Z}{\partial n} = 0$ are exactly the radial segments connecting \widetilde{X} and \widetilde{Z} , so by the characterization of extremal domains, each component of \widetilde{Y} must lie on a radial segment. We thus have an independent proof of the existence and uniqueness of the well-known map of a multiply-connected domain onto a radially-slit annulus. The extremal distance $\lambda_G(X, Z)$ equals the maximal extremal distance $\widehat{\lambda}$, which is $\frac{1}{2\pi} \log P$. Thus, for any conformal map f of G , the modulus of the doubly-connected domain bounded by $f(X)$ and $f(Z)$ is bounded from above by P .

Return now to the setting for maximal capacity, where Ω is a finitely-connected domain containing infinity. We showed in the proof of Theorem 4 that a map maximizing the capacity of the boundary subset A must essentially minimize the extremal distance between A and the circles C_R for large R . This is reflected in the asymptotic relation

$$\frac{1}{2\pi} \gamma_m(A) = \lim_{R \rightarrow \infty} \left\{ \lambda_R^b - \frac{1}{2\pi} \log R \right\},$$

where we recognized the bridged extremal distance λ_R^b as the minimal extremal distance between A and C_R .

Recall that *Robin's function* $R(z)$ is the unique function harmonic in $\Omega \setminus \infty$ such that $R(z) \equiv 0$ on A , the normal derivative $\frac{\partial R}{\partial n}$ is zero on B , and such that $R(z) - \log |z|$ is harmonic at infinity. The *Robin capacity* of A relative to Ω is $\delta(A) = e^{-\rho(A)}$, where

$$\rho(A) = \lim_{z \rightarrow \infty} \{R(z) - \log |z|\}.$$

Duren and Schiffer [6] showed that Robin capacity can be interpreted as minimal capacity:

$$\delta(A) = \inf_f d(f(A)),$$

where the infimum ranges over all normalized conformal maps of Ω preserving infinity. In [5] Duren and Pfaltzgraff exploited the following relation between extremal length and Robin capacity:

$$(18) \quad \frac{1}{2\pi} \rho(A) = \lim_{R \rightarrow \infty} \left\{ \lambda_{\Omega_R}(A, C_R) - \frac{1}{2\pi} \log R \right\}.$$

Since we can now recognize $\lambda_{\Omega_R}(A, C_R)$ as the maximal extremal distance between A and C_R , equation (18) suggests that maps minimizing the capacity of A essentially maximize the extremal distance between A and C_R . As in the proof of Theorem 4, we could make this more rigorous by exploiting the similar characterizations for maximal extremal distance and Robin capacity. Duren and Schiffer showed that $d(f(A))$ is minimum if and only if Green's function \hat{g} of the exterior of $f(A)$ satisfies $\frac{\partial \hat{g}}{\partial n} \equiv 0$ on $f(B)$.

7. More general partitions of the boundary.

Suppose G is an annulus, and X and Y are the top and bottom halves, respectively, of the inner circle. For any conformal map f of G , we set $f(X) = \{C(f, z) : z \in X\}$, where $C(f, z)$ denotes the cluster set of f at the point z . What can we say about the minimal and bridged extremal distances between X and the outer circle Z in this case? We briefly indicate below how the results on minimal extremal distance and bridged extremal distance might generalize to arbitrary partitions $X \cup Y \cup Z$ of the boundary S of G .

In [14] we found that the maximal capacity $d_m(A)$ for arbitrary partitions $A \cup B$ of the boundary Γ equals $d_m([A])$, where $[A]$ is the union of all components with which A has non-empty intersection. In other words, if even a single point of A lies on a component of Γ , the maximal capacity is the same as if that entire component belonged to A . This basically follows from the fact that, with the cluster point definition for $f(A)$, we can find a conformal map f such that $f(A) = f([A])$, no matter how thinly A is distributed. The connection between maximal capacity and bridged extremal distance thus makes the following conjecture plausible.

Conjecture . *Let Z be a union of components of S , and let $X \cup Y$ be an arbitrary partition of $S \setminus Z$. Let $(Y) = Y \setminus [X]$. Then*

$$\hat{\lambda}_G(X, Y, Z) = \hat{\lambda}_G([X], (Y), Z)$$

and

$$\lambda_G^b(X, Y, Z) = \lambda_G^b([X], (Y), Z).$$

So, for example, the bridged extremal distance between the top half of the inner circle of the annulus and the outer circle should be the same as the extremal distance between the inner and outer circles.

The conjecture is easily proved if we include in the family of bridging curves from X to Z all paths from $[X]$ to Z . One can justify including these curves in the following way. Suppose a component S_i of S contains points of X and Y . A path γ from a point in $Y \cap S_i$ to Z does not connect X to Z . But let p be any point in the non-empty set $\overline{X} \cap \overline{Y} \cap S_i$. The “path” $\{p\} \cup \gamma$ “bridges” Y in connecting X to Z , and has the same ρ -length as γ for any admissible metric ρ .

If we instead insist that all bridging curves contain an initial segment in G with endpoint in X , the assertion for bridged extremal distance is still true if the family of curves in G connecting X to Y has zero extremal length. If it does not, the question remains open.

8. Earlier work on bridged extremal distance.

In [8] and [9] Minda extended results of Marden and Rodin [7] in computing a generalization of bridged extremal distance on Riemann surfaces. He considered partitions $X \cup Y \cup Z \cup W$ of the components of S , where the bridge Y is further partitioned into subsets Y_i , $i = 1, \dots, n$, each Y_i a union of components of S . A curve connecting X to Z in his bridging family is allowed to stop at any Y_i and re-emerge from a point on any other component of Y_i . Minda computes the extremal length of this family of curves with the Dirichlet integral of a harmonic function u , uniquely defined by the following conditions: $u \equiv 0$ on X , $u \equiv 1$ on Y , $\frac{\partial u}{\partial n} \equiv 0$ on W , and $u \equiv b_i$ on Y_i , where the constants $\{b_i\}$ are uniquely determined by requiring $\int_{Y_i} \frac{\partial u}{\partial n} ds = 0$ for $i = 1, \dots, n$ (compare with Theorems 1, 2 and Theorem 3, assertion (ii)). He does not compute the b_i explicitly. Aside from our more restrictive definition, the point of departure in this paper has been to connect bridged extremal distance to the extremal problems of minimal extremal distance and maximal capacity. Minda uses bridged extremal distance to devise tests for degeneracy in the classification of Riemann surfaces [8], and to give extremal length interpretations of reproducing differentials on Riemann surfaces [9].

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