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## RESTRICTIONS OF $\Omega_m(q)$ -MODULES TO ALTERNATING GROUPS

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We consider the restriction of an irreducible  $\mathbf{F}\Omega_m(q)$ -module  $M$  to a subgroup  $H$  where  $F^*(H) \cong A_n$  and where  $\mathbf{F}$  is algebraically closed with  $(\text{char}(\mathbf{F}), q) \neq 1$ . Given certain restrictions on the highest weight of  $M$ , we show that if  $m > n^6$ , then  $M \downarrow_H$  is reducible.

### 1. Introduction.

In the study of the maximal subgroups of classical groups, the following question arises: Given an absolutely irreducible module  $M$  for  $K$  and a subgroup  $H$ , when does  $M \downarrow_H$  remain absolutely irreducible? In this article  $K \cong \Omega_m(q)$  is the commutator subgroup of an  $m$ -dimensional orthogonal group over  $\mathbf{F}_q$ , and  $F^*(H) \cong A_n$  is the alternating group of degree  $n$ . We treat the case that the field of definition of  $M$  has characteristic dividing  $q$ .

Let  $\mathbf{F}$  be an algebraically closed field containing  $\mathbf{F}_q$ , the field with  $q$  elements, such that  $\text{char}(\mathbf{F}) > 3$ . Then  $K < \bar{K}$  where  $\bar{K} \cong \Omega_m(\mathbf{F})$  and we may assume that  $M$  is a  $\mathbf{F}K$ -module. By [6, Theorem 43], every absolutely irreducible  $\mathbf{F}K$ -module is the restriction of an irreducible  $\mathbf{F}\bar{K}$ -module of the same weight. So we may assume that  $M = M(\lambda)$  is an irreducible  $\mathbf{F}\bar{K}$ -module with highest weight  $\lambda$ . Let  $\ell = \lfloor m/2 \rfloor$  be the Lie rank of  $\bar{K}$  and let  $\{\lambda_i\}$  be the fundamental dominant weights of  $\bar{K}$ . The labeling of these weights corresponds to the labeling of the Dynkin diagrams for  $\bar{K}$  as given in [3].

**Hypothesis 1.1.** *Assume the following are true:*

(1) *If  $m$  is even, then  $\lambda = \left( \sum_{i=1}^{\ell-2} a_i \lambda_i \right) + a_{\ell-1}(\lambda_{\ell-1} + \lambda_\ell)$ ;  $a_i \in \mathbf{Z}$ ,  $a_i \geq 0$ .*

(2) *If  $m$  is odd, then  $\lambda = \left( \sum_{i=1}^{\ell-1} a_i \lambda_i \right) + 2a_\ell \lambda_\ell$ ;  $a_i \in \mathbf{Z}$ ,  $a_i \geq 0$ .*

(3) *If  $\mu_i = \sum_{j=i}^{\ell-1} a_j$ ,  $m$  even or if  $\mu_i = \sum_{j=i}^{\ell} a_j$ ,  $m$  odd then*

(a)  $\mu_1 < p = \text{char}(\mathbf{F}_q)$ ;

(b)  $1 < \sum \mu_i = k < \ell$ .

Conditions (1) and (2) imply that  $M$  is not a faithful module for any proper covering group of  $\overline{K}$ . We now state our main result:

**Theorem 1.2.** *Assume that  $H, K$  and  $M = M(\lambda)$  are as above with  $n, m \geq 10$  and  $(q, 6) = 1$ . Suppose further that  $\lambda$  satisfies Hypothesis 1.1. If  $m > n^6$ , then  $M \downarrow_H$  is reducible.*

Our strategy is to produce a small subspace in  $M$  with a large stabilizer in  $H$  and then, using Frobenius reciprocity, produce an upper bound for  $\dim(M)$ . We produce a lower bound for  $\dim(M)$  as an  $\mathbf{F}\overline{K}$ -module using the length of the Weyl group orbit of a subdominant weight in  $M$ . The result then follows by comparing these two bounds.

### 2. A construction of $\overline{W}(\lambda)$ .

In this section we construct the Weyl module  $\overline{W}(\lambda)$  of  $\overline{K}$  with highest weight  $\lambda$ . Then  $M$  is a homomorphic image of this module. Our construction proceeds by first constructing the Weyl module  $W(\lambda)$  for a complex Lie group  $G$  of the same type and rank as  $\overline{K}$ , then we use Kostant's  $\mathbf{Z}$ -form to produce  $\overline{W}(\lambda)$ . For notational convenience we assume that  $\{\lambda_i\}$  are the fundamental dominant weights for  $G$  as well as for  $\overline{K}$ , and accordingly, assume that  $\lambda$  is a dominant weight of  $G$ .

Let  $V$  be a complex,  $m$ -dimensional vector space possessing a non-degenerate orthogonal form  $\mathbf{f}(\ , \ )$  and let  $\mathcal{B}$  be a basis for  $V$  so that

$$\mathcal{B} = \begin{cases} \{e_i, f_i \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is even} \\ \{e_i, f_i, x \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is odd} \end{cases}$$

with  $\mathbf{f}(e_i, e_j) = \mathbf{f}(f_i, f_j) = \mathbf{f}(x, e_i) = \mathbf{f}(x, f_i) = 0$ ,  $\mathbf{f}(e_i, f_j) = \delta_{i,j}$  and  $\mathbf{f}(x, x) = 2$ . We then define  $G = \Omega(V)$  and let  $T$  be the maximal torus of  $G$  with respect to  $\mathcal{B}$ . Set  $V_e = \langle e_i \mid 1 \leq i \leq \ell \rangle$  and  $V_f = \langle f_i \mid 1 \leq i \leq \ell \rangle$ .

Suppose that  $\lambda$  satisfies hypothesis 1.1 and  $d = \max\{i \mid \mu_i \neq 0\}$  so that  $\mu = (\mu_1, \dots, \mu_d)$  is a proper partition of  $k$ . Let  $\mathcal{T}$  be the tableau of shape  $\mu$  with entries  $t_{i,j} = j + \sum_{s < i} \mu_s$ . Define the following subgroups of the symmetric group  $\mathcal{S}_k$ :

$$\mathcal{R}_\mu = \{\sigma \in \mathcal{S}_k \mid \sigma(t_{i,j}) \text{ lies in the same row as } t_{i,j} \text{ for all } i, j\}$$

$$\mathcal{C}_\mu = \{\sigma \in \mathcal{S}_k \mid \sigma(t_{i,j}) \text{ lies in the same column as } t_{i,j} \text{ for all } i, j\}$$

and elements of  $\mathbf{C}\mathcal{S}_k$ :

$$r_\mu = \sum_{\sigma \in \mathcal{R}_\mu} \sigma \quad \text{and} \quad c_\mu = \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma)\sigma$$

Define  $\kappa_{i,j} : V^{\otimes k} \rightarrow V^{\otimes(k-2)}$  by  $\kappa_{i,j}(v_{l_1} \otimes \cdots \otimes v_{l_k}) = f(v_{l_i}, v_{l_j})(v_{l_1} \otimes \cdots \otimes \widehat{v_{l_i}} \otimes \cdots \otimes \widehat{v_{l_j}} \otimes \cdots \otimes v_{l_k})$  for  $1 \leq i < j \leq k$  and set

$$\mathcal{K} = \bigcap_{i,j} \ker(\kappa_{i,j}).$$

$\mathcal{S}_k$  acts on  $V^{\otimes k}$  by place permutation, specifically:

$$\sigma(v_{i_1} \otimes \cdots \otimes v_{i_k}) = v_{i_{\sigma^{-1}(1)}} \otimes \cdots \otimes v_{i_{\sigma^{-1}(k)}}.$$

This action commutes with the diagonal action of  $G$  on  $V^{\otimes k}$ .

Given  $v \in V^{\otimes k}$ , we define one additional element  $r_\mu^v$  of the group algebra  $\mathbf{CS}_k$  as follows: Let  $\mathcal{R}_\mu^v = \{\sigma \in \mathcal{R}_\mu \mid \sigma(v) = v\}$  and let  $\{s_i\}$  be a left transversal for  $\mathcal{R}_\mu^v$  in  $\mathcal{R}_\mu$ . Define  $r_\mu^v = \sum_i s_i$ . Notice that  $r_\mu(v) = |\mathcal{R}_\mu^v| r_\mu^v(v)$ .

By [2, Theorem 19.22],  $W(\lambda) = c_\mu r_\mu(V^{\otimes k}) \cap \mathcal{K}$  is the Weyl module for  $G$  with highest weight  $\lambda$ . Since  $V$  is a complex vector space,  $c_\mu r_\mu(V^{\otimes k}) = \langle c_\mu r_\mu^v(v) \mid v \in V^{\otimes k} \rangle$ .

Define  $V_{\mathbf{Z}} = \mathbf{Z}[\mathcal{B}]$  and let  $\bar{V} = V_{\mathbf{Z}} \otimes_{\mathbf{Z}} \mathbf{F}$ . Then  $\bar{\mathbf{f}}(\ , \ ) = \mathbf{f}(\ , \ ) \otimes \mathbf{1}_{\mathbf{F}}$  is a non-degenerate orthogonal form on  $\bar{V}$ . Without loss of generality, we may assume that  $\bar{K} = \Omega(\bar{V})$ . Moreover if  $\bar{e}_i = e_i \otimes \mathbf{1}_{\mathbf{F}}$ ,  $\bar{f}_i = f_i \otimes \mathbf{1}_{\mathbf{F}}$  and  $\bar{x} = x \otimes \mathbf{1}_{\mathbf{F}}$ , then

$$\bar{\mathcal{B}} = \begin{cases} \{\bar{e}_i, \bar{f}_i \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is even} \\ \{\bar{e}_i, \bar{f}_i, \bar{x} \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is odd} \end{cases}$$

is a standard basis for  $\bar{V}$  with respect to  $\bar{\mathbf{f}}(\ , \ )$ . We identify  $r_\mu$  and  $c_\mu$  with the elements  $r_\mu \otimes \mathbf{1}_{\mathbf{F}}$  and  $c_\mu \otimes \mathbf{1}_{\mathbf{F}}$  of  $\mathbf{FS}_k$ .

Suppose that  $L \subset \text{End}(V)$  is the adjoint module for  $G$  so that  $L$  is a complex Lie algebra of type  $D_\ell$  or  $B_\ell$ . Let  $\Delta = \{r_1, \dots, r_\ell\}$  be the set of simple roots corresponding to the torus  $T$  and let  $\Phi$  be the root system generated by  $\Delta$ . Set  $\Delta_0 = \{r_1, \dots, r_{\ell-1}\}$  and let  $\Phi_0 \subset \Phi$  be the subset generated by  $\Delta_0$ . Using the setup of [1, §11.2],  $\{\epsilon_r, h_{r_i} \mid r \in \Phi, 1 \leq i \leq \ell\}$  is a Chevalley basis for  $L$  and  $\{\epsilon_r, h_{r_i} \mid r \in \Phi_0, 1 \leq i \leq \ell - 1\}$  is a Chevalley basis for  $L_0 \subset L$  where  $L_0$  is a Lie algebra of type  $A_{\ell-1}$ . Let  $G_0 < N_G(V_e \oplus V_f)$  such that  $G_0 \cong SL_\ell(\mathbf{C})$ . Then, by [1, Theorem 11.3.2],  $G = \langle \exp(\zeta \epsilon_r) \mid r \in \Phi, \zeta \in \mathbf{C} \rangle$  and  $G_0 = \langle \exp(\zeta \epsilon_r) \mid r \in \Phi_0, \zeta \in \mathbf{C} \rangle$ . Note that neither  $G$  nor  $G_0$  is the adjoint group for  $L$  or  $L_0$ , respectively. We may consider  $V_e$  to be the natural module for  $G_0$ . Under this identification,  $V_f$  is the dual of  $V_e$ .

Assume that  $\mathcal{U}(L)$  is the universal enveloping algebra of  $L$ . From [3, §26], Kostant's  $\mathbf{Z}$ -form  $\mathcal{U}_{\mathbf{Z}}(L)$  is the  $\mathbf{Z}$ -span of  $\{\epsilon_r^m/m! \mid r \in \Phi, m \in \mathbf{Z}^+\}$ . Given any vector  $v$  of weight  $\lambda$  in  $W(\lambda)$ ,  $\mathcal{U}_{\mathbf{Z}}(L)v \otimes_{\mathbf{Z}} \mathbf{F} = \bar{W}(\lambda)$  where  $\bar{W}(\lambda)$  is the Weyl module for  $\bar{K}$  with highest weight  $\lambda$ . By the previous remarks,  $\mathcal{U}_{\mathbf{Z}}(L_0) \subset \mathcal{U}_{\mathbf{Z}}(L)$ , which implies that  $\mathcal{U}_{\mathbf{Z}}(L_0)v \otimes_{\mathbf{Z}} \mathbf{F} \subset \bar{W}(\lambda)$ .

Define  $v_{\mu_i} = \bigotimes_{j=1}^{\mu_i} e_i$  and  $v_\mu = \bigotimes_{i=1}^d v_{\mu_i}$ .

**Lemma 2.1.** *We have:*

- (1)  $c_\mu(v_\mu)$  is a vector of weight  $\lambda$  in  $W(\lambda)$ ;
- (2)  $\mathcal{U}_{\mathbf{Z}}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k}$ .

*Proof.* First note that  $\mathcal{R}_\mu^{v_\mu} = \mathcal{R}_\mu$  so that  $r_\mu^{v_\mu}(v_\mu) = v_\mu$  and that  $c_\mu(v_\mu) \neq 0$ . This implies that  $c_\mu(v_\mu) \in c_\mu r_\mu(V_e^{\otimes k})$ . It is clear that  $c_\mu(v_\mu) \in \mathcal{K}$  so we have  $c_\mu(v_\mu) \in W(\lambda)$ . Let  $t \in T$  and write  $t = \text{diag}(t_1, \dots, t_\ell, t_1^{-1}, \dots, t_\ell^{-1})$  or  $t = \text{diag}(t_1, \dots, t_\ell, t_1^{-1}, \dots, t_\ell^{-1}, t')$  depending on the parity of  $m$ . Then

$$tv = c_\mu(tc_\mu(v_\mu)) = c_\mu \left( \bigotimes_{i=1}^d t_i^{\mu_i} v_{\mu_i} \right) = \left( \prod_{i=1}^d t_i^{\mu_i} \right) c_\mu(v_\mu).$$

From the definition of  $\mu$  it follows that  $c_\mu(v_\mu)$  is a vector of weight  $\lambda$  and so (1) follows. With the identification of  $V_e$  with the natural module of  $G_0$ , we see by [2, Theorem 15.15] that  $c_\mu r_\mu(V_e^{\otimes k})$  is the Weyl module for  $G_0$  corresponding to the partition  $\mu$  of  $k$  via the Schur functor. The argument above restricted to  $t \in T \cap G_0$  shows that  $c_\mu(v_\mu)$  is a highest weight vector in  $c_\mu r_\mu(V_e^{\otimes k})$ . In particular  $\mathcal{U}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k})$ . Using the proof of [4, Theorem 8.3.1], we have

$$\mathcal{U}_{\mathbf{Z}}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k}$$

which completes our proof. □

**Lemma 2.2.** *Suppose  $\bar{v} = \bar{v}_{i_1} \otimes \dots \otimes \bar{v}_{i_k}$  where  $\{\bar{v}_i\}$  is a collection of mutually orthogonal, linearly independent singular vectors. Then:*

- (1) *If  $\text{sgn}(\sigma_c)\sigma_c\sigma_r(\bar{v}) \neq -\bar{v}$  for all  $\sigma_c \neq 1 \in \mathcal{C}_\mu, \sigma_r \in \mathcal{R}_\mu$ , then  $c_\mu r_\mu^{\bar{v}}(\bar{v}) \neq 0$ ;*
- (2)  $c_\mu r_\mu^{\bar{v}}(\bar{v}) \in \overline{W}(\lambda)$ .

*Proof.* Since  $\bar{v}$  is a summand of  $c_\mu r_\mu^{\bar{v}}(\bar{v})$  and all other summands of  $c_\mu r_\mu^{\bar{v}}(\bar{v})$  have the form  $\text{sgn}(\sigma_c)\sigma_c\sigma_r(\bar{v})$ , part (1) must hold. There is  $g \in \overline{K}$  such that  $g(\bar{v}_{i_j}) = \alpha_{i_j}\bar{e}_{i_j}$  such that  $\alpha_{i_j} \neq 0$  for all  $1 \leq i \leq k$ . If  $w = e_{i_1} \otimes \dots \otimes e_{i_k}$ , then  $r_\mu^{\bar{v}} = r_\mu^w$ . As

$$c_\mu r_\mu^w(w) \in c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k},$$

Lemma 2.1 implies that  $c_\mu r_\mu^w(w) \in \mathcal{U}_{\mathbf{Z}}(L)v$ . Writing  $\bar{w} = \alpha_{i_1}\bar{e}_{i_1} \otimes \dots \otimes \alpha_{i_k}\bar{e}_{i_k}$ , we then have

$$c_\mu r_\mu^{\bar{w}}(\bar{w}) \in \mathcal{U}_{\mathbf{Z}}(L)v \bigotimes_{\mathbf{Z}} \mathbf{F} = \overline{W}(\lambda).$$

Finally, as  $\overline{W}(\lambda)$  is a  $\mathbf{F}\overline{K}$ -module,  $g^{-1}c_\mu r_\mu^{\bar{w}}(\bar{w}) = c_\mu r_\mu^{\bar{v}}(\bar{v}) \in \overline{W}(\lambda)$ . □

Though  $W(\lambda)$  is a irreducible module for  $G$ ,  $\overline{W}(\lambda)$  may not be an irreducible module for  $\overline{K}$ ; however, it does possess a unique maximal submodule by [6, Lemma 80] which we denote by  $\text{Rad}(\overline{W}(\lambda))$ . Moreover,  $M \cong \overline{W}(\lambda)/\text{Rad}(\overline{W}(\lambda))$ .

We now discuss the orthogonal forms on  $V^{\otimes k}$  and  $W(\lambda)$ . Suppose  $v, w \in V^{\otimes k}$  where  $v = v_1 \otimes \cdots \otimes v_k$  and  $w = w_1 \otimes \cdots \otimes w_k$ . We define  $\mathbf{f}^k(\ , \ )$  by

$$\mathbf{f}^k(v, w) = \prod_{i=1}^k \mathbf{f}(v_i, w_i).$$

$\mathbf{f}^k(\ , \ )$  is a non-degenerate,  $G$ -invariant orthogonal form on  $V^{\otimes k}$ . This form is also invariant under the action of  $\mathcal{S}_k$ . Note that

$$\begin{aligned} \mathbf{f}^k[c_\mu(v), c_\mu(w)] &= \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma) \mathbf{f}^k[\sigma(v), c_\mu(w)] \\ &= \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma) \mathbf{f}^k[v, \sigma^{-1}c_\mu(w)] \\ &= \sum_{\sigma \in \mathcal{C}_\mu} \mathbf{f}^k[v, c_\mu(w)] \\ &= |\mathcal{C}_\mu| \mathbf{f}^k[v, c_\mu(w)]. \end{aligned}$$

We define  $\mathbf{f}_\mu^k(\ , \ )$  on  $c_\mu(V^{\otimes k})$  by

$$\mathbf{f}_\mu^k[c_\mu(v), c_\mu(w)] = \mathbf{f}^k[v, c_\mu(w)].$$

By a similar argument as above, we see that  $\mathbf{f}^k[v, c_\mu(w)] = \mathbf{f}^k[w, c_\mu(v)]$ , so this form is symmetric. Since  $\mathbf{f}^k(\ , \ )$  is bilinear and  $G$ -invariant,  $\mathbf{f}_\mu^k(\ , \ )$  is also bilinear and  $G$ -invariant. Therefore  $\mathbf{f}_\mu^k(\ , \ )$  is a  $G$ -invariant orthogonal form on  $W(\lambda) \subset c_\mu(V^{\otimes k})$ . As before,  $\bar{\mathbf{f}}^k(\ , \ ) = \mathbf{f}^k(\ , \ ) \otimes 1_{\mathbf{F}}$  is a  $\bar{K}$ -invariant orthogonal form on  $\bar{V}^{\otimes k}$  and  $\bar{\mathbf{f}}_\mu^k(\ , \ ) = \mathbf{f}_\mu^k(\ , \ ) \otimes 1_{\mathbf{F}}$  is a  $\bar{K}$ -invariant orthogonal form on  $\bar{W}(\lambda)$ . This form is possibly degenerate. We denote the radical of this form as  $\bar{W}(\lambda)^\perp$ . The following lemma is generally known, although we present a proof:

**Lemma 2.3.**  $\text{Rad}(\bar{W}(\lambda)) = \bar{W}(\lambda)^\perp$ .

*Proof.* Define  $\bar{v}_{-\mu_i} = \otimes_{j=1}^{\mu_i} \bar{f}_j$  and  $\bar{v}_{-\mu} = \otimes_{i=1}^d \bar{v}_{-\mu_i}$ . Noting that  $r_{\mu}^{\bar{v}_{-\mu}} = 1$ ,  $c_\mu(v_{-\mu}) \neq 0 \in \bar{W}(\lambda)$  by Lemma 2.2. A similar argument as in the proof of Lemma 2.1 shows that  $c_\mu(v_{-\mu})$  is a vector of weight  $-\lambda$ . Hypothesis 1.1 implies that  $d < \ell$ . In particular, there is an element  $\omega_0$  of the Weyl group of  $\bar{K}$  such that  $\omega_0[c_\mu(v_{-\mu})] = c_\mu(v_\mu)$ . This means that  $M = M(\lambda)$  must be self-dual. Clearly we have that  $\bar{W}(\lambda)^\perp \subset \text{Rad}(\bar{W}(\lambda))$  and that  $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$  is non-degenerate, so this latter module is also self-dual. Since  $M$  is self-dual and is a homomorphic image of  $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$ ,  $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$  must possess a submodule isomorphic to  $M$ . Since  $M \cong \bar{W}(\lambda)/\text{Rad}(\bar{W}(\lambda))$  and  $\text{Rad}(\bar{W}(\lambda))$  does not possess a constituent which is isomorphic to  $M$ , we must have  $\text{Rad}(\bar{W}(\lambda)) = \bar{W}(\lambda)^\perp$  and our result follows.  $\square$

**Lemma 2.4.** *Let  $\{\bar{v}_i, \bar{w}_i \mid 1 \leq i \leq k\}$  be a hyperbolic basis for some  $2k$ -dimensional subspace of  $\bar{V}$ . Set  $\bar{v} = \bar{v}_1 \otimes \cdots \otimes \bar{v}_k$  and  $\bar{w} = \bar{w}_1 \otimes \cdots \otimes \bar{w}_k$ . Then:*

- (1)  $c_\mu r_\mu(\bar{v}) \neq 0, c_\mu r_\mu(\bar{w}) \neq 0;$
- (2)  $c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w}) \in \bar{W}(\lambda);$
- (3)  $\bar{\mathbf{f}}_\mu^k[c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] \neq 0.$

*Proof.* Parts (1) and (2) follow from Lemma 2.2 since  $r_\mu^{\bar{v}} = r_\mu^{\bar{w}} = r_\mu$  and the  $\bar{v}_i$  are distinct, similarly for  $\bar{w}_i$ . If  $\sigma_1, \sigma_2 \in \mathcal{S}_k$ , then

$$\bar{\mathbf{f}}^k[\sigma_1(\bar{v}), \sigma_2(\bar{w})] = \prod_{i=1}^k \bar{\mathbf{f}}[\bar{v}_{\sigma_1^{-1}(i)}, \bar{w}_{\sigma_2^{-1}(i)}] = \begin{cases} 1 & \text{if } \sigma_1 = \sigma_2 \\ 0 & \text{otherwise.} \end{cases}$$

Recall that  $\mathcal{R}_\mu \cap \mathcal{C}_\mu = 1$ . Then we have

$$\begin{aligned} \bar{\mathbf{f}}_\mu^k[c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] &= \bar{\mathbf{f}}^k[r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] \\ &= \sum_{\sigma \in \mathcal{R}_\mu} \bar{\mathbf{f}}^k[\sigma(\bar{v}), c_\mu r_\mu(\bar{w})] \\ &= \sum_{\sigma \in \mathcal{R}_\mu} \bar{\mathbf{f}}^k[\sigma(\bar{v}), \sigma(\bar{w})] \\ &= |\mathcal{R}_\mu|. \end{aligned}$$

Part (3) then follows as  $|\mathcal{R}_\mu| = \prod_{i=1}^d \mu_i!$  and  $\mu_i < \text{char}(\mathbf{F}_q)$  for all  $i$ . □

**Lemma 2.5.**  *$M$  possesses a vector of weight  $\lambda_k$ .*

*Proof.* Let  $\{\bar{e}_i, \bar{f}_i \mid 1 \leq i \leq k\}$  be a subset of our standard basis  $\bar{\mathbf{B}}$  for  $\bar{V}$ . By part (2) of Lemma 2.4,  $c_\mu r_\mu(\bar{e}_1 \otimes \cdots \otimes \bar{e}_k) \in \bar{W}(\lambda)$ . An argument similar to that used in Lemma 2.1 shows that  $c_\mu r_\mu(\bar{e}_1 \otimes \cdots \otimes \bar{e}_k)$  is a vector of weight  $\lambda_k$ . Hence  $\lambda_k$  is a subdominant weight of  $\lambda$ . Condition (3) of Hypothesis 1.1 insures that  $\lambda$  is  $p$ -restricted. Therefore using the results of [5],  $M$  possesses a vector of weight  $\lambda_k$ . □

### 3. Elementary abelian 3-subgroup $E_k$ .

Assume that  $k \leq n/3 - 2$  and recall that  $F^*(H)$  possesses a subgroup  $H_0$  isomorphic to  $S_{n-2}$ . Let

$$E_k \cong \langle (123), (456), \dots, (3k-2, 3k-1, 3k) \rangle < A_n$$

be a subgroup of  $H_0$  generated by commuting 3-cycles in  $F^*(H)$  so that  $E_k$  is an elementary abelian 3-group of rank  $k$ . Then

$$N_k = N_{H_0}(E_k) \cong S_3 \wr S_k \times S_{n-3k-2}$$

$$C_k = C_{H_0}(E_k) \cong E_k \times S_{n-3k-2}$$

and let  $H_k < C_k$  so that  $H_k \cong S_{n-3k-2}$ . Note that  $C_{N_k}(H_k) \cong S_3 \wr S_k$  and this subgroup controls fusion in  $E_k$ . Let  $\sigma \neq 1 \in E_k$  and assume that  $\sigma$  is the product of  $k_1$  disjoint 3-cycles. Then  $C_{N_k}(\sigma) \cong \mathbf{Z}_3 \wr S_{k_1} \times S_3 \wr S_{k-k_1} \times S_{n-3k-2}$  which implies  $|\sigma^{N_k}| = 2^{k_1} \binom{k}{k_1}$ .

Let  $\varphi \in E_k^* = \text{Hom}(E_k, \mathbf{F}^*)$ . The group  $N_k$  acts on this group by  $\varphi^g : \sigma \mapsto \varphi(g^{-1}\sigma g)$  for  $g \in N_k, \sigma \in E_k$ . We abuse notation slightly and define  $\varphi^{-1}$  by  $\varphi^{-1} : \sigma \mapsto \varphi(\sigma^{-1})$  for all  $\sigma \in E_k$ . Recall that  $\text{In}_{N_k}(\varphi) = \{g \in N_k \mid \varphi^g = \varphi\}$  is the inertia group of  $\varphi$  in  $N_k$  and note that  $H_k \in \text{In}_{N_k}(\varphi)$ .

If  $\varphi \in E_k^*$  is non-trivial, then the previous remarks concerning the action of  $N_k$  on  $E_k$  imply that  $[\text{In}_{N_k} : \text{In}_{N_k}(\varphi)] = 2^{k_1} \binom{k}{k_1}$  for some  $k_1, 1 \leq k_1 \leq k$  and that  $\varphi^{-1} \in \varphi^{N_k}$ . Since  $\binom{k}{k_1} \geq k$  unless  $k = k_1$ , in which case  $2^{k_1} \geq 2k$ , we have  $[\text{In}_{N_k} : \text{In}_{N_k}(\varphi)] \geq 2k$ .

**4. Decomposition of  $\bar{V} \downarrow_{E_k}$  and  $C_k$ -invariant subspace of  $\bar{W}(\lambda)$ .**

We continue to assume that  $k \leq n/3 - 2$  and we now consider the restriction of  $\bar{V}$  to  $E_k$ . Since  $\text{char}(\mathbf{F}) \neq 3$ , we have  $\bar{V} \downarrow_{E_k} \cong \bigoplus_{\varphi \in E_k^*} \bar{V}_\varphi$  where  $\bar{V}_\varphi$  is the homogeneous component of  $\varphi$ . Let  $\bar{v}_1 \in \bar{V}_{\varphi_1}$  and  $\bar{v}_2 \in \bar{V}_{\varphi_2}$ . Then  $(g\bar{v}_1, g\bar{v}_2) = \varphi_1(g)\varphi_2(g)(\bar{v}_1, \bar{v}_2)$  for all  $g \in E_k$ . If  $\varphi_1^{-1} \neq \varphi_2$  then  $(\bar{v}_1, \bar{v}_2) = 0$  which implies  $\bar{V}_{\varphi_1} \perp \bar{V}_{\varphi_2}$  when  $\varphi_1^{-1} \neq \varphi_2$ . Since  $\bar{V}$  is non-degenerate,  $\dim(\bar{V}_{\varphi_1}^\perp) = \dim(\bar{V}) - \dim(\bar{V}_{\varphi_1})$  and it follows that  $\bar{V}_\varphi \oplus \bar{V}_{\varphi^{-1}}$  must be non-degenerate and therefore possesses a hyperbolic basis.

Pick  $\varphi \neq 1$  so that  $\bar{V}_\varphi \neq 0$ . Since  $g\bar{V}_\varphi = \bar{V}_{\varphi^g}$  for  $g \in N_k$ , we may consider  $\bar{V}_\varphi$  to be an  $\mathbf{F}\text{In}_{N_k}(\varphi)$ -module. Let  $E_{k-1}^*$  be a maximal subgroup of  $E_k^*$  which does not contain  $\varphi$ . Define  $\mathcal{O}_+ = \varphi E_{k-1}^* \cap \varphi^{N_k}$  and  $\mathcal{O}_- = \varphi^{-1} E_{k-1}^* \cap \varphi^{N_k}$  so that  $\mathcal{O}_+ \cup \mathcal{O}_- = \varphi^{N_k}$  and  $|\mathcal{O}_+| = |\mathcal{O}_-| \geq k$ . Moreover  $\varphi_i \in \mathcal{O}_+$  if and only if  $\varphi_i^{-1} \in \mathcal{O}_-$ . We assume that  $\mathcal{O}_+ = \{\varphi_i\}$  and that  $\mathcal{O}_- = \{\varphi_i^{-1}\}$ . Then  $\left(\bigoplus_{\varphi_i \in \mathcal{O}_+} \bar{V}_{\varphi_i}\right) \oplus \left(\bigoplus_{\varphi_i^{-1} \in \mathcal{O}_-} \bar{V}_{\varphi_i^{-1}}\right)$  is an  $\mathbf{F}N_k$ -submodule of  $\bar{V} \downarrow_{N_k}$ . If  $\varphi' \in \varphi^{N_k}$  then, as  $C_{N_k}(H_k)$  also controls fusion in  $E_k^*$ , there is a  $g \in C_{N_k}(H_k)$  such that  $g\bar{V}_\varphi = \bar{V}_{\varphi'}$ . In particular  $\bar{V}_\varphi \cong \bar{V}_{\varphi'}$  as  $\mathbf{F}H_k$ -modules. Define  $D = \dim(\bar{V}_\varphi)$  so that  $D = \dim(\bar{V}_{\varphi_i})$  for all  $i$ .

Given the above decomposition, we form the following:

$$\bar{V}_+ = \bigotimes_{i=1}^k \bar{V}_{\varphi_i} \quad \text{and} \quad \bar{V}_- = \bigotimes_{i=1}^k \bar{V}_{\varphi_i^{-1}}.$$

Recall that  $D = \dim(\bar{V}_{\varphi_i})$  and assume that  $\{\bar{v}_{i,j}, \bar{w}_{i,j} \mid 1 \leq j_i \leq D\}$  is a hyperbolic basis for  $\bar{V}_{\varphi_i} \oplus \bar{V}_{\varphi_i^{-1}}$ . Define  $\bar{v}^{j_1, \dots, j_k} = \bigotimes_{i=1}^k \bar{v}_{i,j_i}$  and  $\bar{w}^{j_1, \dots, j_k} = \bigotimes_{i=1}^k \bar{w}_{i,j_i}$ . Then  $\{\bar{v}^{j_1, \dots, j_k}, \bar{w}^{j_1, \dots, j_k} \mid 1 \leq j_i \leq D\}$  forms a hyperbolic basis for  $\bar{V}_+ \oplus \bar{V}_-$ . If  $\sigma \in S_k$ , then  $\sigma(\bar{v}^{j_1, \dots, j_k}) = \bar{v}^{j_1, \dots, j_k}$  if and only if  $\sigma = 1$

since the  $V_{\varphi_i}$  are distinct. Moreover,  $r_{\mu}^{\bar{v}^{j_1, \dots, j_k}} = r_{\mu}$  for all  $\bar{v}^{j_1, \dots, j_k} \in \bar{V}_+$ . Similarly for  $\bar{w}^{j_1, \dots, j_k} \in V_-$ .

By parts (1) and (2) of Lemma 2.4, and as  $\bar{V}_{\pm}$  are both totally singular,  $c_{\mu}r_{\mu}(\bar{V}_{\pm}) \subset \bar{W}(\lambda)$ . By part (3) of Lemma 2.4,  $\bar{\mathbf{f}}_{\mu}^k[c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j_1, \dots, j_k})] \neq 0$ . Whenever  $(j_1, \dots, j_k) \neq (j'_1, \dots, j'_k)$ , we have that  $\bar{\mathbf{f}}_{\mu}^k[c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j'_1, \dots, j'_k})] = 0$ . Therefore  $\{c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j_1, \dots, j_k}) \mid 1 \leq j_i \leq D\}$  is a hyperbolic basis for

$$c_{\mu}r_{\mu}(\bar{V}_+) \oplus c_{\mu}r_{\mu}(\bar{V}_-).$$

**Lemma 4.1.** *We have:*

- (1)  $\bar{V}_{\pm} \cong c_{\mu}r_{\mu}(\bar{V}_{\pm})$  as  $\mathbf{F}C_k$ -modules;
- (2) If  $k$  is even, then  $C_k$  stabilizes a 1-dimensional subspace of  $M$ ;
- (3) If  $k$  is odd, then  $C_k$  stabilizes a  $D$ -dimensional subspace of  $M$ .

*Proof.* Given the hyperbolic basis  $\{\bar{v}^{j_1, \dots, j_k}, \bar{w}^{j_1, \dots, j_k} \mid 1 \leq j_i \leq D\}$  for  $\bar{V}_+ \oplus \bar{V}_-$ , it is clear that the map  $\bar{v}^{j_1, \dots, j_k} \mapsto c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k})$  is a  $C_k$ -invariant bijection. Therefore  $\bar{V}_+ \cong c_{\mu}r_{\mu}(\bar{V}_+)$  as  $\mathbf{F}C_k$ -modules. The case for  $\bar{V}_-$  follows by a similar argument, proving part (1). Suppose that  $k$  is even and recall that  $\bar{V}_{\varphi_i} \cong \bar{V}_{\varphi_j}$  and  $\bar{V}_{\varphi_i^{-1}} \cong \bar{V}_{\varphi_j^{-1}}$  as  $\mathbf{F}H_k$ -modules. As  $H_k \cong S_{n-3k-2}$  and all irreducible  $\mathbf{F}S_{n-2k-2}$  are self-dual,  $H_k$  stabilizes a 1-dimensional subspace of  $\bar{V}_{\varphi_i} \otimes \bar{V}_{\varphi_j}$ . It follows by induction that  $H_k$  stabilizes a 1-dimensional subspace of  $\bar{V}_+$ . If  $k$  is odd, then the same argument leads to a  $D$ -dimensional subspace being stabilized by  $H_k$ . As  $E_k$  acts as scalars on  $\bar{V}_{\pm}$ , these spaces are, in fact, stabilized by  $C_k$ . Using part (1),  $C_k$  stabilizes a subspace  $\bar{W}_0$  of one of these dimensions in  $\bar{W}(\lambda)$ . Since  $c_{\mu}r_{\mu}(\bar{V}_+) \oplus c_{\mu}r_{\mu}(\bar{V}_-)$  possesses a hyperbolic basis,  $\bar{W}_0 \cap \bar{W}(\lambda)^{\perp} = 0$ . If we let

$$M_0 = (\bar{W}_0 + \bar{W}(\lambda)^{\perp}) / \bar{W}(\lambda)^{\perp}$$

then Lemma 2.3 implies that  $M_0 \subset \bar{W}(\lambda) / \bar{W}(\lambda)^{\perp} \cong M$ , hence (2) and (3). □

### 5. Proof of Theorem 1.2.

We are now in a position to prove Theorem 1.2:

Since  $M$  possesses a vector  $\bar{v}_{\lambda_k}$  of weight  $\lambda_k$  by Lemma 2.5, we can produce a lower bound for  $\dim(M)$  as follows: Let  $\text{Weyl}(\bar{K})$  be the Weyl group of  $\bar{K}$  and recall that  $\ell$  is the Lie rank of  $\bar{K}$ . We compute  $C_{\text{Weyl}(\bar{K})}(\lambda_k)$  using [3, §13.1], and compute  $|\lambda_k^{\text{Weyl}(\bar{K})}|$ , whence

$$(1) \quad \dim(M) \geq |\lambda_k^{\text{Weyl}(\bar{K})}| = 2^k \binom{\ell}{k}.$$

*Case 1.* First suppose that  $k \geq n/3 - 1$ . We assume that  $\dim(\bar{V}) \geq 2n^4$ , so  $\ell \geq n^4$ . Since  $\dim(M) \leq \sqrt{|H|} \leq \sqrt{n!}$ , Eq. (1) implies that  $2^k \binom{\ell}{k} \leq \sqrt{n!}$ . Trivially,  $2^{n^4/2} > \sqrt{n!}$  for all  $n \geq 1$ , so that  $k < n^4/2 \leq \ell/2$ . Using the fact that  $\binom{\ell}{k_1} < \binom{\ell}{k_2}$  if  $k_1 < k_2 < \ell/2$ ,  $\binom{\ell}{k}$  will be minimal when  $k = n/3 - 1$  and  $\ell = n^4$ . Note also that  $\binom{\ell}{k} = \prod_{i=1}^k \frac{(\ell-i+1)}{(k-i+1)} \geq \frac{(\ell-k+1)^k}{k^k}$ . We have:

$$\begin{aligned}
 2^{n/3-1} \binom{n^4}{n/3-1} &< \sqrt{n!}, \\
 2^{n/3-1} \frac{(n^4 - n/3 + 2)^{n/3-1}}{(n/3)^{n/3-1}} &< (n^{1/2})^{n-1}, \\
 2^{n/3-1} (n^3 - 1)^{n/3-1} &< n^{(n-1)/2}, \\
 n^{n-3} &< n^{(n-1)/2}, \\
 n - 3 &< (n - 1)/2, \\
 n &< 5.
 \end{aligned}$$

This contradicts our assumption that  $n \geq 10$ , so that  $\dim(\bar{V}) \leq 2n^4$  or  $k < n/3 - 1$ .

*Case 2.* We assume that  $k < n/3 - 1$  and that  $k$  is odd. Lemma 4.1 and Frobenius reciprocity imply  $\dim(M) \leq D[H : C_k]$ . Since  $D \geq \frac{\ell}{2k}$  and  $[H : C_k] = \frac{n!}{2(3^k)(n-3k-2)!}$ , we have  $\dim(M) \leq \frac{\ell}{2k} \frac{n!}{3^k(n-3k-2)!}$ . Combining with (1) we get:

$$\begin{aligned}
 2^k \binom{\ell}{k} &\leq \frac{\ell}{2k} \frac{n!}{2(3^k)(n-3k-2)!}, \\
 2^k \binom{\ell-1}{k-1} &< \frac{n^{3k+2}}{3^{k-1}}, \\
 2^k \frac{(\ell-k+1)^{k-1}}{(k-1)^{k-1}} &< \frac{n^{3(k-1)}n^5}{3^{k-1}}, \\
 2 \frac{\ell-k}{k-1} &< \frac{n^3}{3} n^{5/(k-1)}.
 \end{aligned}$$

Observing that  $(k-1)n^{5/(k-1)} < n^3$  when  $k \geq 3$  and  $n \geq 10$ , we have

$$2\ell < \frac{n^6 + 2n}{3} < n^6.$$

*Case 3.* Finally we assume that  $k < n/3 - 1$  and that  $k$  is even. Again Lemma 4.1 and Frobenius reciprocity imply that  $\dim(M) \leq [H : C_k] \leq \frac{n!}{2(3^k)(n-3k-2)!}$ . Combining with (1) we get:

$$2^k \binom{\ell}{k} \leq \frac{n!}{3^k(n-3k-2)!},$$

$$2^k \frac{(\ell - k + 1)^k}{k^k} < \frac{n^{3k+2}}{3^k} = \frac{n^{3k}}{3^k} n^2,$$

$$2 \frac{\ell - k}{k} < \frac{n^3}{3} n^{2/k},$$

$$2\ell < \frac{n^5 + 3n}{9}.$$

In all cases,  $2\ell < n^6$ , which implies that  $\dim(\overline{V}) \leq n^6$ . This completes the proof of Theorem 1.2.  $\square$

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