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RESTRICTIONS OF $\Omega_m(q)$ -MODULES TO ALTERNATING
GROUPS

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We consider the restriction of an irreducible $\mathbf{F}\Omega_m(q)$ -module M to a subgroup H where $F^*(H) \cong A_n$ and where \mathbf{F} is algebraically closed with $(\text{char}(\mathbf{F}), q) \neq 1$. Given certain restrictions on the highest weight of M , we show that if $m > n^6$, then $M \downarrow_H$ is reducible.

1. Introduction.

In the study of the maximal subgroups of classical groups, the following question arises: Given an absolutely irreducible module M for K and a subgroup H , when does $M \downarrow_H$ remain absolutely irreducible? In this article $K \cong \Omega_m(q)$ is the commutator subgroup of an m -dimensional orthogonal group over \mathbf{F}_q , and $F^*(H) \cong A_n$ is the alternating group of degree n . We treat the case that the field of definition of M has characteristic dividing q .

Let \mathbf{F} be an algebraically closed field containing \mathbf{F}_q , the field with q elements, such that $\text{char}(\mathbf{F}) > 3$. Then $K < \bar{K}$ where $\bar{K} \cong \Omega_m(\mathbf{F})$ and we may assume that M is a $\mathbf{F}K$ -module. By [6, Theorem 43], every absolutely irreducible $\mathbf{F}K$ -module is the restriction of an irreducible $\mathbf{F}\bar{K}$ -module of the same weight. So we may assume that $M = M(\lambda)$ is an irreducible $\mathbf{F}\bar{K}$ -module with highest weight λ . Let $\ell = \lfloor m/2 \rfloor$ be the Lie rank of \bar{K} and let $\{\lambda_i\}$ be the fundamental dominant weights of \bar{K} . The labeling of these weights corresponds to the labeling of the Dynkin diagrams for \bar{K} as given in [3].

Hypothesis 1.1. *Assume the following are true:*

- (1) *If m is even, then $\lambda = \left(\sum_{i=1}^{\ell-2} a_i \lambda_i \right) + a_{\ell-1}(\lambda_{\ell-1} + \lambda_\ell); a_i \in \mathbf{Z}, a_i \geq 0$.*
- (2) *If m is odd, then $\lambda = \left(\sum_{i=1}^{\ell-1} a_i \lambda_i \right) + 2a_\ell \lambda_\ell; a_i \in \mathbf{Z}, a_i \geq 0$.*
- (3) *If $\mu_i = \sum_{j=i}^{\ell-1} a_j, m$ even or if $\mu_i = \sum_{j=i}^{\ell} a_j, m$ odd then*
 - (a) $\mu_1 < p = \text{char}(\mathbf{F}_q)$;
 - (b) $1 < \sum \mu_i = k < \ell$.

Conditions (1) and (2) imply that M is not a faithful module for any proper covering group of \overline{K} . We now state our main result:

Theorem 1.2. *Assume that H, K and $M = M(\lambda)$ are as above with $n, m \geq 10$ and $(q, 6) = 1$. Suppose further that λ satisfies Hypothesis 1.1. If $m > n^6$, then $M \downarrow_H$ is reducible.*

Our strategy is to produce a small subspace in M with a large stabilizer in H and then, using Frobenius reciprocity, produce an upper bound for $\dim(M)$. We produce a lower bound for $\dim(M)$ as an $\mathbf{F}\overline{K}$ -module using the length of the Weyl group orbit of a subdominant weight in M . The result then follows by comparing these two bounds.

2. A construction of $\overline{W}(\lambda)$.

In this section we construct the Weyl module $\overline{W}(\lambda)$ of \overline{K} with highest weight λ . Then M is a homomorphic image of this module. Our construction proceeds by first constructing the Weyl module $W(\lambda)$ for a complex Lie group G of the same type and rank as \overline{K} , then we use Kostant’s \mathbf{Z} -form to produce $\overline{W}(\lambda)$. For notational convenience we assume that $\{\lambda_i\}$ are the fundamental dominant weights for G as well as for \overline{K} , and accordingly, assume that λ is a dominant weight of G .

Let V be a complex, m -dimensional vector space possessing a non-degenerate orthogonal form $\mathbf{f}(\cdot, \cdot)$ and let \mathcal{B} be a basis for V so that

$$\mathcal{B} = \begin{cases} \{e_i, f_i \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is even} \\ \{e_i, f_i, x \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is odd} \end{cases}$$

with $\mathbf{f}(e_i, e_j) = \mathbf{f}(f_i, f_j) = \mathbf{f}(x, e_i) = \mathbf{f}(x, f_i) = 0$, $\mathbf{f}(e_i, f_j) = \delta_{i,j}$ and $\mathbf{f}(x, x) = 2$. We then define $G = \Omega(V)$ and let T be the maximal torus of G with respect to \mathcal{B} . Set $V_e = \langle e_i \mid 1 \leq i \leq \ell \rangle$ and $V_f = \langle f_i \mid 1 \leq i \leq \ell \rangle$.

Suppose that λ satisfies hypothesis 1.1 and $d = \max\{i \mid \mu_i \neq 0\}$ so that $\mu = (\mu_1, \dots, \mu_d)$ is a proper partition of k . Let \mathcal{T} be the tableau of shape μ with entries $t_{i,j} = j + \sum_{s < i} \mu_s$. Define the following subgroups of the symmetric group \mathcal{S}_k :

$$\mathcal{R}_\mu = \{\sigma \in \mathcal{S}_k \mid \sigma(t_{i,j}) \text{ lies in the same row as } t_{i,j} \text{ for all } i, j\}$$

$$\mathcal{C}_\mu = \{\sigma \in \mathcal{S}_k \mid \sigma(t_{i,j}) \text{ lies in the same column as } t_{i,j} \text{ for all } i, j\}$$

and elements of \mathbf{CS}_k :

$$r_\mu = \sum_{\sigma \in \mathcal{R}_\mu} \sigma \quad \text{and} \quad c_\mu = \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma)\sigma$$

Define $\kappa_{i,j} : V^{\otimes k} \rightarrow V^{\otimes(k-2)}$ by $\kappa_{i,j}(v_{l_1} \otimes \cdots \otimes v_{l_k}) = f(v_{l_i}, v_{l_j})(v_{l_1} \otimes \cdots \otimes \widehat{v_{l_i}} \otimes \cdots \otimes \widehat{v_{l_j}} \otimes \cdots \otimes v_{l_k})$ for $1 \leq i < j \leq k$ and set

$$\mathcal{K} = \bigcap_{i,j} \ker(\kappa_{i,j}).$$

S_k acts on $V^{\otimes k}$ by place permutation, specifically:

$$\sigma(v_{i_1} \otimes \cdots \otimes v_{i_k}) = v_{i_{\sigma^{-1}(1)}} \otimes \cdots \otimes v_{i_{\sigma^{-1}(k)}}.$$

This action commutes with the diagonal action of G on $V^{\otimes k}$.

Given $v \in V^{\otimes k}$, we define one additional element r_μ^v of the group algebra \mathbf{CS}_k as follows: Let $\mathcal{R}_\mu^v = \{\sigma \in \mathcal{R}_\mu \mid \sigma(v) = v\}$ and let $\{s_i\}$ be a left transversal for \mathcal{R}_μ^v in \mathcal{R}_μ . Define $r_\mu^v = \sum_i s_i$. Notice that $r_\mu(v) = |\mathcal{R}_\mu^v| r_\mu^v(v)$.

By [2, Theorem 19.22], $W(\lambda) = c_\mu r_\mu(V^{\otimes k}) \cap \mathcal{K}$ is the Weyl module for G with highest weight λ . Since V is a complex vector space, $c_\mu r_\mu(V^{\otimes k}) = \langle c_\mu r_\mu^v(v) \mid v \in V^{\otimes k} \rangle$.

Define $V_{\mathbf{Z}} = \mathbf{Z}[\mathcal{B}]$ and let $\overline{V} = V_{\mathbf{Z}} \otimes_{\mathbf{Z}} \mathbf{F}$. Then $\overline{\mathbf{f}}(\ , \) = \mathbf{f}(\ , \) \otimes 1_{\mathbf{F}}$ is a non-degenerate orthogonal form on \overline{V} . Without loss of generality, we may assume that $\overline{K} = \Omega(\overline{V})$. Moreover if $\overline{e}_i = e_i \otimes 1_{\mathbf{F}}$, $\overline{f}_i = f_i \otimes 1_{\mathbf{F}}$ and $\overline{x} = x \otimes 1_{\mathbf{F}}$, then

$$\overline{\mathcal{B}} = \begin{cases} \{\overline{e}_i, \overline{f}_i \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is even} \\ \{\overline{e}_i, \overline{f}_i, \overline{x} \mid 1 \leq i \leq \ell\} & \text{if } m \text{ is odd} \end{cases}$$

is a standard basis for \overline{V} with respect to $\overline{\mathbf{f}}(\ , \)$. We identify r_μ and c_μ with the elements $r_\mu \otimes 1_{\mathbf{F}}$ and $c_\mu \otimes 1_{\mathbf{F}}$ of \mathbf{FS}_k .

Suppose that $L \subset \text{End}(V)$ is the adjoint module for G so that L is a complex Lie algebra of type D_ℓ or B_ℓ . Let $\Delta = \{r_1, \dots, r_\ell\}$ be the set of simple roots corresponding to the torus T and let Φ be the root system generated by Δ . Set $\Delta_0 = \{r_1, \dots, r_{\ell-1}\}$ and let $\Phi_0 \subset \Phi$ be the subset generated by Δ_0 . Using the setup of [1, §11.2], $\{\epsilon_r, h_{r_i} \mid r \in \Phi, 1 \leq i \leq \ell\}$ is a Chevalley basis for L and $\{\epsilon_r, h_{r_i} \mid r \in \Phi_0, 1 \leq i \leq \ell - 1\}$ is a Chevalley basis for $L_0 \subset L$ where L_0 is a Lie algebra of type $A_{\ell-1}$. Let $G_0 < N_G(V_e \oplus V_f)$ such that $G_0 \cong SL_\ell(\mathbf{C})$. Then, by [1, Theorem 11.3.2], $G = \langle \exp(\zeta \epsilon_r) \mid r \in \Phi, \zeta \in \mathbf{C} \rangle$ and $G_0 = \langle \exp(\zeta \epsilon_r) \mid r \in \Phi_0, \zeta \in \mathbf{C} \rangle$. Note that neither G nor G_0 is the adjoint group for L or L_0 , respectively. We may consider V_e to be the natural module for G_0 . Under this identification, V_f is the dual of V_e .

Assume that $\mathcal{U}(L)$ is the universal enveloping algebra of L . From [3, §26], Kostant's \mathbf{Z} -form $\mathcal{U}_{\mathbf{Z}}(L)$ is the \mathbf{Z} -span of $\{\epsilon_r^m / m! \mid r \in \Phi, m \in \mathbf{Z}^+\}$. Given any vector v of weight λ in $W(\lambda)$, $\mathcal{U}_{\mathbf{Z}}(L)v \otimes_{\mathbf{Z}} \mathbf{F} = \overline{W}(\lambda)$ where $\overline{W}(\lambda)$ is the Weyl module for \overline{K} with highest weight λ . By the previous remarks, $\mathcal{U}_{\mathbf{Z}}(L_0) \subset \mathcal{U}_{\mathbf{Z}}(L)$, which implies that $\mathcal{U}_{\mathbf{Z}}(L_0)v \otimes_{\mathbf{Z}} \mathbf{F} \subset \overline{W}(\lambda)$.

Define $v_{\mu_i} = \otimes_{j=1}^{\mu_i} e_i$ and $v_\mu = \otimes_{i=1}^d v_{\mu_i}$.

Lemma 2.1. *We have:*

- (1) $c_\mu(v_\mu)$ is a vector of weight λ in $W(\lambda)$;
- (2) $\mathcal{U}_{\mathbf{Z}}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k}$.

Proof. First note that $\mathcal{R}_\mu^{v_\mu} = \mathcal{R}_\mu$ so that $r_\mu^{v_\mu}(v_\mu) = v_\mu$ and that $c_\mu(v_\mu) \neq 0$. This implies that $c_\mu(v_\mu) \in c_\mu r_\mu(V_e^{\otimes k})$. It is clear that $c_\mu(v_\mu) \in \mathcal{K}$ so we have $c_\mu(v_\mu) \in W(\lambda)$. Let $t \in T$ and write $t = \text{diag}(t_1, \dots, t_\ell, t_1^{-1}, \dots, t_\ell^{-1})$ or $t = \text{diag}(t_1, \dots, t_\ell, t_1^{-1}, \dots, t_\ell^{-1}, t')$ depending on the parity of m . Then

$$tv = c_\mu(tc_\mu(v_\mu)) = c_\mu \left(\bigotimes_{i=1}^d t_i^{\mu_i} v_{\mu_i} \right) = \left(\prod_{i=1}^d t_i^{\mu_i} \right) c_\mu(v_\mu).$$

From the definition of μ it follows that $c_\mu(v_\mu)$ is a vector of weight λ and so (1) follows. With the identification of V_e with the natural module of G_0 , we see by [2, Theorem 15.15] that $c_\mu r_\mu(V_e^{\otimes k})$ is the Weyl module for G_0 corresponding to the partition μ of k via the Schur functor. The argument above restricted to $t \in T \cap G_0$ shows that $c_\mu(v_\mu)$ is a highest weight vector in $c_\mu r_\mu(V_e^{\otimes k})$. In particular $\mathcal{U}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k})$. Using the proof of [4, Theorem 8.3.1], we have

$$\mathcal{U}_{\mathbf{Z}}(L_0)c_\mu(v_\mu) = c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k}$$

which completes our proof. □

Lemma 2.2. *Suppose $\bar{v} = \bar{v}_{i_1} \otimes \dots \otimes \bar{v}_{i_k}$ where $\{\bar{v}_i\}$ is a collection of mutually orthogonal, linearly independent singular vectors. Then:*

- (1) If $\text{sgn}(\sigma_c)\sigma_c\sigma_r(\bar{v}) \neq -\bar{v}$ for all $\sigma_c \neq 1 \in \mathcal{C}_\mu, \sigma_r \in \mathcal{R}_\mu$, then $c_\mu r_\mu^{\bar{v}}(\bar{v}) \neq 0$;
- (2) $c_\mu r_\mu^{\bar{v}}(\bar{v}) \in \overline{W}(\lambda)$.

Proof. Since \bar{v} is a summand of $c_\mu r_\mu^{\bar{v}}(\bar{v})$ and all other summands of $c_\mu r_\mu^{\bar{v}}(\bar{v})$ have the form $\text{sgn}(\sigma_c)\sigma_c\sigma_r(\bar{v})$, part (1) must hold. There is $g \in \overline{K}$ such that $g(\bar{v}_{i_j}) = \alpha_{i_j}\bar{e}_{i_j}$ such that $\alpha_{i_j} \neq 0$ for all $1 \leq i \leq k$. If $w = e_{i_1} \otimes \dots \otimes e_{i_k}$, then $r_\mu^{\bar{v}} = r_\mu^w$. As

$$c_\mu r_\mu^w(w) \in c_\mu r_\mu(V_e^{\otimes k}) \cap \mathbf{Z}[e_1, \dots, e_\ell]^{\otimes k},$$

Lemma 2.1 implies that $c_\mu r_\mu^w(w) \in \mathcal{U}_{\mathbf{Z}}(L)v$. Writing $\bar{w} = \alpha_{i_1}\bar{e}_{i_1} \otimes \dots \otimes \alpha_{i_k}\bar{e}_{i_k}$, we then have

$$c_\mu r_\mu^{\bar{w}}(\bar{w}) \in \mathcal{U}_{\mathbf{Z}}(L)v \bigotimes_{\mathbf{Z}} \mathbf{F} = \overline{W}(\lambda).$$

Finally, as $\overline{W}(\lambda)$ is a $\mathbf{F}\overline{K}$ -module, $g^{-1}c_\mu r_\mu^{\bar{w}}(\bar{w}) = c_\mu r_\mu^{\bar{v}}(\bar{v}) \in \overline{W}(\lambda)$. □

Though $W(\lambda)$ is a irreducible module for G , $\overline{W}(\lambda)$ may not be an irreducible module for \overline{K} ; however, it does possess a unique maximal submodule by [6, Lemma 80] which we denote by $\text{Rad}(\overline{W}(\lambda))$. Moreover, $M \cong \overline{W}(\lambda)/\text{Rad}(\overline{W}(\lambda))$.

We now discuss the orthogonal forms on $V^{\otimes k}$ and $W(\lambda)$. Suppose $v, w \in V^{\otimes k}$ where $v = v_1 \otimes \cdots \otimes v_k$ and $w = w_1 \otimes \cdots \otimes w_k$. We define $\mathbf{f}^k(,)$ by

$$\mathbf{f}^k(v, w) = \prod_{i=1}^k \mathbf{f}(v_i, w_i).$$

$\mathbf{f}^k(,)$ is a non-degenerate, G -invariant orthogonal form on $V^{\otimes k}$. This form is also invariant under the action of \mathcal{S}_k . Note that

$$\begin{aligned} \mathbf{f}^k[c_\mu(v), c_\mu(w)] &= \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma) \mathbf{f}^k[\sigma(v), c_\mu(w)] \\ &= \sum_{\sigma \in \mathcal{C}_\mu} \text{sgn}(\sigma) \mathbf{f}^k[v, \sigma^{-1}c_\mu(w)] \\ &= \sum_{\sigma \in \mathcal{C}_\mu} \mathbf{f}^k[v, c_\mu(w)] \\ &= |\mathcal{C}_\mu| \mathbf{f}^k[v, c_\mu(w)]. \end{aligned}$$

We define $\mathbf{f}_\mu^k(,)$ on $c_\mu(V^{\otimes k})$ by

$$\mathbf{f}_\mu^k[c_\mu(v), c_\mu(w)] = \mathbf{f}^k[v, c_\mu(w)].$$

By a similar argument as above, we see that $\mathbf{f}^k[v, c_\mu(w)] = \mathbf{f}^k[w, c_\mu(v)]$, so this form is symmetric. Since $\mathbf{f}^k(,)$ is bilinear and G -invariant, $\mathbf{f}_\mu^k(,)$ is also bilinear and G -invariant. Therefore $\mathbf{f}_\mu^k(,)$ is a G -invariant orthogonal form on $W(\lambda) \subset c_\mu(V^{\otimes k})$. As before, $\bar{\mathbf{f}}^k(,) = \mathbf{f}^k(,) \otimes \mathbf{1}_\mathbf{F}$ is a \bar{K} -invariant orthogonal form on $\bar{V}^{\otimes k}$ and $\bar{\mathbf{f}}_\mu^k(,) = \mathbf{f}_\mu^k(,) \otimes \mathbf{1}_\mathbf{F}$ is a \bar{K} -invariant orthogonal form on $\bar{W}(\lambda)$. This form is possibly degenerate. We denote the radical of this form as $\bar{W}(\lambda)^\perp$. The following lemma is generally known, although we present a proof:

Lemma 2.3. $\text{Rad}(\bar{W}(\lambda)) = \bar{W}(\lambda)^\perp$.

Proof. Define $\bar{v}_{-\mu_i} = \bigotimes_{j=1}^{\mu_i} \bar{f}_j$ and $\bar{v}_{-\mu} = \bigotimes_{i=1}^d \bar{v}_{-\mu_i}$. Noting that $r_{\bar{v}_{-\mu}} = 1$, $c_\mu(v_{-\mu}) \neq 0 \in \bar{W}(\lambda)$ by Lemma 2.2. A similar argument as in the proof of Lemma 2.1 shows that $c_\mu(v_{-\mu})$ is a vector of weight $-\lambda$. Hypothesis 1.1 implies that $d < \ell$. In particular, there is an element ω_0 of the Weyl group of \bar{K} such that $\omega_0[c_\mu(v_{-\mu})] = c_\mu(v_\mu)$. This means that $M = M(\lambda)$ must be self-dual. Clearly we have that $\bar{W}(\lambda)^\perp \subset \text{Rad}(\bar{W}(\lambda))$ and that $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$ is non-degenerate, so this latter module is also self-dual. Since M is self-dual and is a homomorphic image of $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$, $\bar{W}(\lambda)/\bar{W}(\lambda)^\perp$ must possess a submodule isomorphic to M . Since $M \cong \bar{W}(\lambda)/\text{Rad}(\bar{W}(\lambda))$ and $\text{Rad}(\bar{W}(\lambda))$ does not possess a constituent which is isomorphic to M , we must have $\text{Rad}(\bar{W}(\lambda)) = \bar{W}(\lambda)^\perp$ and our result follows. \square

Lemma 2.4. *Let $\{\bar{v}_i, \bar{w}_i \mid 1 \leq i \leq k\}$ be a hyperbolic basis for some $2k$ -dimensional subspace of \bar{V} . Set $\bar{v} = \bar{v}_1 \otimes \cdots \otimes \bar{v}_k$ and $\bar{w} = \bar{w}_1 \otimes \cdots \otimes \bar{w}_k$. Then:*

- (1) $c_\mu r_\mu(\bar{v}) \neq 0, c_\mu r_\mu(\bar{w}) \neq 0;$
- (2) $c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w}) \in \bar{W}(\lambda);$
- (3) $\bar{\mathbf{f}}_\mu^k[c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] \neq 0.$

Proof. Parts (1) and (2) follow from Lemma 2.2 since $r_\mu^{\bar{v}} = r_\mu^{\bar{w}} = r_\mu$ and the \bar{v}_i are distinct, similarly for \bar{w}_i . If $\sigma_1, \sigma_2 \in \mathcal{S}_k$, then

$$\bar{\mathbf{f}}^k[\sigma_1(\bar{v}), \sigma_2(\bar{w})] = \prod_{i=1}^k \bar{\mathbf{f}}[\bar{v}_{\sigma_1^{-1}(i)}, \bar{w}_{\sigma_2^{-1}(i)}] = \begin{cases} 1 & \text{if } \sigma_1 = \sigma_2 \\ 0 & \text{otherwise.} \end{cases}$$

Recall that $\mathcal{R}_\mu \cap \mathcal{C}_\mu = 1$. Then we have

$$\begin{aligned} \bar{\mathbf{f}}_\mu^k[c_\mu r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] &= \bar{\mathbf{f}}^k[r_\mu(\bar{v}), c_\mu r_\mu(\bar{w})] \\ &= \sum_{\sigma \in \mathcal{R}_\mu} \bar{\mathbf{f}}^k[\sigma(\bar{v}), c_\mu r_\mu(\bar{w})] \\ &= \sum_{\sigma \in \mathcal{R}_\mu} \bar{\mathbf{f}}^k[\sigma(\bar{v}), \sigma(\bar{w})] \\ &= |\mathcal{R}_\mu|. \end{aligned}$$

Part (3) then follows as $|\mathcal{R}_\mu| = \prod_{i=1}^d \mu_i!$ and $\mu_i < \text{char}(\mathbf{F}_q)$ for all i . □

Lemma 2.5. *M possesses a vector of weight λ_k .*

Proof. Let $\{\bar{e}_i, \bar{f}_i \mid 1 \leq i \leq k\}$ be a subset of our standard basis $\bar{\mathcal{B}}$ for \bar{V} . By part (2) of Lemma 2.4, $c_\mu r_\mu(\bar{e}_1 \otimes \cdots \otimes \bar{e}_k) \in \bar{W}(\lambda)$. An argument similar to that used in Lemma 2.1 shows that $c_\mu r_\mu(\bar{e}_1 \otimes \cdots \otimes \bar{e}_k)$ is a vector of weight λ_k . Hence λ_k is a subdominant weight of λ . Condition (3) of Hypothesis 1.1 insures that λ is p -restricted. Therefore using the results of [5], M possesses a vector of weight λ_k . □

3. Elementary abelian 3-subgroup E_k .

Assume that $k \leq n/3 - 2$ and recall that $F^*(H)$ possesses a subgroup H_0 isomorphic to S_{n-2} . Let

$$E_k \cong \langle (123), (456), \dots, (3k-2, 3k-1, 3k) \rangle < A_n$$

be a subgroup of H_0 generated by commuting 3-cycles in $F^*(H)$ so that E_k is an elementary abelian 3-group of rank k . Then

$$N_k = N_{H_0}(E_k) \cong S_3 \wr S_k \times S_{n-3k-2}$$

$$C_k = C_{H_0}(E_k) \cong E_k \times S_{n-3k-2}$$

and let $H_k < C_k$ so that $H_k \cong S_{n-3k-2}$. Note that $C_{N_k}(H_k) \cong S_3 \wr S_k$ and this subgroup controls fusion in E_k . Let $\sigma \neq 1 \in E_k$ and assume that σ is the product of k_1 disjoint 3-cycles. Then $C_{N_k}(\sigma) \cong \mathbf{Z}_3 \wr S_{k_1} \times S_3 \wr S_{k-k_1} \times S_{n-3k-2}$ which implies $|\sigma^{N_k}| = 2^{k_1} \binom{k}{k_1}$.

Let $\varphi \in E_k^* = \text{Hom}(E_k, \mathbf{F}^*)$. The group N_k acts on this group by $\varphi^g : \sigma \mapsto \varphi(g^{-1}\sigma g)$ for $g \in N_k, \sigma \in E_k$. We abuse notation slightly and define φ^{-1} by $\varphi^{-1} : \sigma \mapsto \varphi(\sigma^{-1})$ for all $\sigma \in E_k$. Recall that $\text{In}_{N_k}(\varphi) = \{g \in N_k \mid \varphi^g = \varphi\}$ is the inertia group of φ in N_k and note that $H_k \in \text{In}_{N_k}(\varphi)$.

If $\varphi \in E_k^*$ is non-trivial, then the previous remarks concerning the action of N_k on E_k imply that $[N_k : \text{In}_{N_k}(\varphi)] = 2^{k_1} \binom{k}{k_1}$ for some $k_1, 1 \leq k_1 \leq k$ and that $\varphi^{-1} \in \varphi^{N_k}$. Since $\binom{k}{k_1} \geq k$ unless $k = k_1$, in which case $2^{k_1} \geq 2k$, we have $[N_k : \text{In}_{N_k}(\varphi)] \geq 2k$.

4. Decomposition of $\bar{V} \downarrow_{E_k}$ and C_k -invariant subspace of $\bar{W}(\lambda)$.

We continue to assume that $k \leq n/3 - 2$ and we now consider the restriction of \bar{V} to E_k . Since $\text{char}(\mathbf{F}) \neq 3$, we have $\bar{V} \downarrow_{E_k} \cong \bigoplus_{\varphi \in E_k^*} \bar{V}_\varphi$ where \bar{V}_φ is the homogeneous component of φ . Let $\bar{v}_1 \in \bar{V}_{\varphi_1}$ and $\bar{v}_2 \in \bar{V}_{\varphi_2}$. Then $(g\bar{v}_1, g\bar{v}_2) = \varphi_1(g)\varphi_2(g)(\bar{v}_1, \bar{v}_2)$ for all $g \in E_k$. If $\varphi_1^{-1} \neq \varphi_2$ then $(\bar{v}_1, \bar{v}_2) = 0$ which implies $\bar{V}_{\varphi_1} \perp \bar{V}_{\varphi_2}$ when $\varphi_1^{-1} \neq \varphi_2$. Since \bar{V} is non-degenerate, $\dim(\bar{V}_{\varphi_1}^\perp) = \dim(\bar{V}) - \dim(\bar{V}_{\varphi_1})$ and it follows that $\bar{V}_\varphi \oplus \bar{V}_{\varphi^{-1}}$ must be non-degenerate and therefore possesses a hyperbolic basis.

Pick $\varphi \neq 1$ so that $\bar{V}_\varphi \neq 0$. Since $g\bar{V}_\varphi = \bar{V}_{\varphi^g}$ for $g \in N_k$, we may consider \bar{V}_φ to be an $\mathbf{F}\text{In}_{N_k}(\varphi)$ -module. Let E_{k-1}^* be a maximal subgroup of E_k^* which does not contain φ . Define $\mathcal{O}_+ = \varphi E_{k-1}^* \cap \varphi^{N_k}$ and $\mathcal{O}_- = \varphi^{-1} E_{k-1}^* \cap \varphi^{N_k}$ so that $\mathcal{O}_+ \cup \mathcal{O}_- = \varphi^{N_k}$ and $|\mathcal{O}_+| = |\mathcal{O}_-| \geq k$. Moreover $\varphi_i \in \mathcal{O}_+$ if and only if $\varphi_i^{-1} \in \mathcal{O}_-$. We assume that $\mathcal{O}_+ = \{\varphi_i\}$ and that $\mathcal{O}_- = \{\varphi_i^{-1}\}$. Then $(\bigoplus_{\varphi_i \in \mathcal{O}_+} \bar{V}_{\varphi_i}) \oplus (\bigoplus_{\varphi_i^{-1} \in \mathcal{O}_-} \bar{V}_{\varphi_i^{-1}})$ is an $\mathbf{F}N_k$ -submodule of $\bar{V} \downarrow_{N_k}$. If $\varphi' \in \varphi^{N_k}$ then, as $C_{N_k}(H_k)$ also controls fusion in E_k^* , there is a $g \in C_{N_k}(H_k)$ such that $g\bar{V}_\varphi = \bar{V}_{\varphi'}$. In particular $\bar{V}_\varphi \cong \bar{V}_{\varphi'}$ as $\mathbf{F}H_k$ -modules. Define $D = \dim(\bar{V}_\varphi)$ so that $D = \dim(\bar{V}_{\varphi_i})$ for all i .

Given the above decomposition, we form the following:

$$\bar{V}_+ = \bigotimes_{i=1}^k \bar{V}_{\varphi_i} \quad \text{and} \quad \bar{V}_- = \bigotimes_{i=1}^k \bar{V}_{\varphi_i^{-1}}.$$

Recall that $D = \dim(\bar{V}_{\varphi_i})$ and assume that $\{\bar{v}_{i,j}, \bar{w}_{i,j} \mid 1 \leq j \leq D\}$ is a hyperbolic basis for $\bar{V}_{\varphi_i} \oplus \bar{V}_{\varphi_i^{-1}}$. Define $\bar{v}^{j_1, \dots, j_k} = \bigotimes_{i=1}^k \bar{v}_{i,j_i}$ and $\bar{w}^{j_1, \dots, j_k} = \bigotimes_{i=1}^k \bar{w}_{i,j_i}$. Then $\{\bar{v}^{j_1, \dots, j_k}, \bar{w}^{j_1, \dots, j_k} \mid 1 \leq j_i \leq D\}$ forms a hyperbolic basis for $\bar{V}_+ \oplus \bar{V}_-$. If $\sigma \in \mathcal{S}_k$, then $\sigma(\bar{v}^{j_1, \dots, j_k}) = \bar{v}^{j_1, \dots, j_k}$ if and only if $\sigma = 1$

since the V_{φ_i} are distinct. Moreover, $r_{\mu}^{\bar{v}^{j_1, \dots, j_k}} = r_{\mu}$ for all $\bar{v}^{j_1, \dots, j_k} \in \bar{V}_+$. Similarly for $\bar{w}^{j_1, \dots, j_k} \in V_-$.

By parts (1) and (2) of Lemma 2.4, and as \bar{V}_{\pm} are both totally singular, $c_{\mu}r_{\mu}(\bar{V}_{\pm}) \subset \bar{W}(\lambda)$. By part (3) of Lemma 2.4, $\bar{\mathbf{f}}_{\mu}^k[c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j_1, \dots, j_k})] \neq 0$. Whenever $(j_1, \dots, j_k) \neq (j'_1, \dots, j'_k)$, we have that $\bar{\mathbf{f}}_{\mu}^k[c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j'_1, \dots, j'_k})] = 0$. Therefore $\{c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k}), c_{\mu}r_{\mu}(\bar{w}^{j_1, \dots, j_k}) \mid 1 \leq j_i \leq D\}$ is a hyperbolic basis for

$$c_{\mu}r_{\mu}(\bar{V}_+) \oplus c_{\mu}r_{\mu}(\bar{V}_-).$$

Lemma 4.1. *We have:*

- (1) $\bar{V}_{\pm} \cong c_{\mu}r_{\mu}(\bar{V}_{\pm})$ as $\mathbf{F}C_k$ -modules;
- (2) If k is even, then C_k stabilizes a 1-dimensional subspace of M ;
- (3) If k is odd, then C_k stabilizes a D -dimensional subspace of M .

Proof. Given the hyperbolic basis $\{\bar{v}^{j_1, \dots, j_k}, \bar{w}^{j_1, \dots, j_k} \mid 1 \leq j_i \leq D\}$ for $\bar{V}_+ \oplus \bar{V}_-$, it is clear that the map $\bar{v}^{j_1, \dots, j_k} \mapsto c_{\mu}r_{\mu}(\bar{v}^{j_1, \dots, j_k})$ is a C_k -invariant bijection. Therefore $\bar{V}_+ \cong c_{\mu}r_{\mu}(\bar{V}_+)$ as $\mathbf{F}C_k$ -modules. The case for \bar{V}_- follows by a similar argument, proving part (1). Suppose that k is even and recall that $\bar{V}_{\varphi_i} \cong \bar{V}_{\varphi_j}$ and $\bar{V}_{\varphi_i^{-1}} \cong \bar{V}_{\varphi_j^{-1}}$ as $\mathbf{F}H_k$ -modules. As $H_k \cong S_{n-3k-2}$ and all irreducible $\mathbf{F}S_{n-2k-2}$ are self-dual, H_k stabilizes a 1-dimensional subspace of $\bar{V}_{\varphi_i} \otimes \bar{V}_{\varphi_j}$. It follows by induction that H_k stabilizes a 1-dimensional subspace of \bar{V}_+ . If k is odd, then the same argument leads to a D -dimensional subspace being stabilized by H_k . As E_k acts as scalars on \bar{V}_{\pm} , these spaces are, in fact, stabilized by C_k . Using part (1), C_k stabilizes a subspace \bar{W}_0 of one of these dimensions in $\bar{W}(\lambda)$. Since $c_{\mu}r_{\mu}(\bar{V}_+) \oplus c_{\mu}r_{\mu}(\bar{V}_-)$ possesses a hyperbolic basis, $\bar{W}_0 \cap \bar{W}(\lambda)^{\perp} = 0$. If we let

$$M_0 = \left(\bar{W}_0 + \bar{W}(\lambda)^{\perp} \right) / \bar{W}(\lambda)^{\perp}$$

then Lemma 2.3 implies that $M_0 \subset \bar{W}(\lambda) / \bar{W}(\lambda)^{\perp} \cong M$, hence (2) and (3). □

5. Proof of Theorem 1.2.

We are now in a position to prove Theorem 1.2:

Since M possesses a vector \bar{v}_{λ_k} of weight λ_k by Lemma 2.5, we can produce a lower bound for $\dim(M)$ as follows: Let $\text{Weyl}(\bar{K})$ be the Weyl group of \bar{K} and recall that ℓ is the Lie rank of \bar{K} . We compute $C_{\text{Weyl}(\bar{K})}(\lambda_k)$ using [3, §13.1], and compute $|\lambda_k^{\text{Weyl}(\bar{K})}|$, whence

$$(1) \quad \dim(M) \geq |\lambda_k^{\text{Weyl}(\bar{K})}| = 2^k \binom{\ell}{k}.$$

Case 1. First suppose that $k \geq n/3 - 1$. We assume that $\dim(\overline{V}) \geq 2n^4$, so $\ell \geq n^4$. Since $\dim(M) \leq \sqrt{|H|} \leq \sqrt{n!}$, Eq. (1) implies that $2^k \binom{\ell}{k} \leq \sqrt{n!}$. Trivially, $2^{n^4/2} > \sqrt{n!}$ for all $n \geq 1$, so that $k < n^4/2 \leq \ell/2$. Using the fact that $\binom{\ell}{k_1} < \binom{\ell}{k_2}$ if $k_1 < k_2 < \ell/2$, $\binom{\ell}{k}$ will be minimal when $k = n/3 - 1$ and $\ell = n^4$. Note also that $\binom{\ell}{k} = \prod_{i=1}^k \frac{(\ell-i+1)}{(k-i+1)} \geq \frac{(\ell-k+1)^k}{k^k}$. We have:

$$\begin{aligned} 2^{n/3-1} \binom{n^4}{n/3-1} &< \sqrt{n!}, \\ 2^{n/3-1} \frac{(n^4 - n/3 + 2)^{n/3-1}}{(n/3)^{n/3-1}} &< (n^{1/2})^{n-1}, \\ 2^{n/3-1} (n^3 - 1)^{n/3-1} &< n^{(n-1)/2}, \\ n^{n-3} &< n^{(n-1)/2}, \\ n - 3 &< (n - 1)/2, \\ n &< 5. \end{aligned}$$

This contradicts our assumption that $n \geq 10$, so that $\dim(\overline{V}) \leq 2n^4$ or $k < n/3 - 1$.

Case 2. We assume that $k < n/3 - 1$ and that k is odd. Lemma 4.1 and Frobenius reciprocity imply $\dim(M) \leq D[H : C_k]$. Since $D \geq \frac{\ell}{2k}$ and $[H : C_k] = \frac{n!}{2(3^k)(n-3k-2)!}$, we have $\dim(M) \leq \frac{\ell}{2k} \frac{n!}{3^k(n-3k-2)!}$. Combining with (1) we get:

$$\begin{aligned} 2^k \binom{\ell}{k} &\leq \frac{\ell}{2k} \frac{n!}{2(3^k)(n-3k-2)!}, \\ 2^k \binom{\ell-1}{k-1} &< \frac{n^{3k+2}}{3^{k-1}}, \\ 2^k \frac{(\ell-k+1)^{k-1}}{(k-1)^{k-1}} &< \frac{n^{3(k-1)}n^5}{3^{k-1}}, \\ 2 \frac{\ell-k}{k-1} &< \frac{n^3}{3} n^{5/(k-1)}. \end{aligned}$$

Observing that $(k-1)n^{5/(k-1)} < n^3$ when $k \geq 3$ and $n \geq 10$, we have

$$2\ell < \frac{n^6 + 2n}{3} < n^6.$$

Case 3. Finally we assume that $k < n/3 - 1$ and that k is even. Again Lemma 4.1 and Frobenius reciprocity imply that $\dim(M) \leq [H : C_k] \leq \frac{n!}{2(3^k)(n-3k-2)!}$. Combining with (1) we get:

$$2^k \binom{\ell}{k} \leq \frac{n!}{3^k(n-3k-2)!},$$

$$\begin{aligned}
2^k \frac{(\ell - k + 1)^k}{k^k} &< \frac{n^{3k+2}}{3^k} = \frac{n^{3k}}{3^k} n^2, \\
2 \frac{\ell - k}{k} &< \frac{n^3}{3} n^{2/k}, \\
2\ell &< \frac{n^5 + 3n}{9}.
\end{aligned}$$

In all cases, $2\ell < n^6$, which implies that $\dim(\overline{V}) \leq n^6$. This completes the proof of Theorem 1.2. \square

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